

DISTRICT
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BUDGET

ANNUAL REPORT 2020/21



cooperative
governance

Department:
Cooperative Governance
REPUBLIC OF SOUTH AFRICA





DEPARTMENT OF COOPERATIVE GOVERNANCE

VOTE NO. 3

ANNUAL REPORT 2020/21 FINANCIAL YEAR







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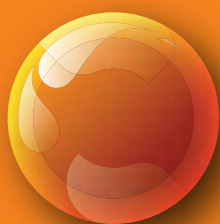


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PART A

GENERAL INFORMATION



PART A: DEPARTMENT'S GENERAL INFORMATION

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LIST OF ABBREVIATIONS/ ACRONYMS

AGSA	Auditor-General of South Africa	IUDG	Integrated Urban Development Grant
AO	Accounting Officer	IGR	Intergovernmental Relations
APP	Annual Performance Plan	IMSI	Intergovernmental Monitoring, Support, and Intervention
CEO	Chief Executive Officer	ICM	Intermediate Cities municipalities
CFO	Chief Financial Officer	IMTT	Inter-Ministerial Task Team
CSP	City Support Programme	KPA	Key Performance Area
COGTA	Cooperative Governance and Traditional Affairs	KZN	KwaZulu-Natal
CWP	Community Work Programme	LED	Local Economic Development
DCOG	Department of Cooperative Governance	LGSETA	Local Government Sector Education and Training Authority
DDM	District Development Model	LM	Limpopo
DG	Director-General	MI	Material Irregularities
NDMC	National Disaster Management Centre	MPAC	Municipal Public Accounts Committees
DM	District Municipality	MP	Mpumalanga
DMAA	Disaster Management Amendment Act, 2015 (Act No. 16 of 2015)	M&E	Monitoring and Evaluation
DORA	Division of Revenue Act	MDB	Municipal Demarcation Board
DPME	Department of Planning, Monitoring, and Evaluation	MEC	Member of Executive Committee
DPSA	Department of Public Service and Administration	MIG	Municipal Infrastructure Grant
DTA	Department of Traditional Affairs	MinMEC	Ministers and Members of Executive Council
EC	Eastern Cape	MISA	Municipal Infrastructure Support Agent
ENE	Estimates of National Expenditure	MoU	Memorandum of Understanding
FBSA	Fire Brigade Services Act	MPRA	Municipal Property Rates Act
FBS	Free Basic Services	MSA	Municipal Systems Act
FS	Free State	MTEF	Medium-Term Expenditure Framework
GIS	Geographic Information System	MTSF	Medium-Term Strategic Framework
GP	Gauteng Province	NC	Northern Cape
HCM	Human Capital Management	NCBCF	National Capacity Building Coordinating Forum
IAA	Internal Audit Activity	NDMC	National Disaster Management Centre
IDDR	International Day for Disaster Risk Reduction	NDP	National Development Plan
IDP	Integrated Development Plan	NFSF	National Fire Services Framework
IUDF	Integrated Urban Development Framework	NGO	Non-Government Organisation
		NT	National Treasury



NW	North West
UCLGA	United Cities and Local Governments of Africa
UNISDR	United Nations Strategy for Disaster Reduction
PAJA	Promotion of Administrative Justice Act
POA	Programme of Action
SACN	South African Cities Network
SADC	Southern African Development Community
SALGA	South African Local Government Association
SARS	South African Revenue Services
SCM	Supply Chain Management
SDIP	Service Delivery Improvement Plan
SFDRR	Sendai Framework for Disaster Risk Reduction
SITA	State Information Technology Agency
SIU	Special Investigation Unit
SLA	Service Level Agreement
SMS	Senior Management Services
STR	Small Town Regeneration Programmes
TOR	Terms of Reference
WC	Western Cape
DWS	Department of Water and Sanitation
DBSA	Development bank of Southern Africa
NT	National Treasury
FFC	Financial Fiscal Commission
TSP	Technical Support Plans
SPLUMA	The Spatial Planning and Land Use Management Act
ICT	Information Communication Technology
IEC	Independent Electoral Commission
NCoP	National Council of Provinces



FOREWORD BY THE MINISTER



DR NKOSAZANA DLAMINI ZUMA, MP
Minister for Cooperative Governance and
Traditional Affairs

It is with great pleasure that I present this annual report which reflects our efforts in building a developmental State and facilitating cooperative governance in order to improve the lives of all South Africans. We've put the country on a new path of socio-economic and spatial transformation by implementing the District Development Model (DDM), which provides a more concrete common vision for development. It is an 'all-of-government and society' approach in which all three domains of Government and State entities collaborate in a way that has a positive influence, by altering the way Government works.

In the process of creating the first generation One Plans, we've accomplished a number of milestones, including district profiles for all 52 districts, the DDM implementation framework, and implementation tools to facilitate the development of One Plans. The Department is working with Department of Planning Monitoring and Evaluation (DPME) and Treasury to make it easier for spatial budgeting principles to be incorporated into planning and budgeting frameworks. As part of the institutionalisation of the DDM, the President has also appointed Ministers and Deputy Ministers as political champions for each District and Metro.

To strengthen the collaborative intergovernmental approach, a draft Section 47(1) (b) Regulations Framework for the Intergovernmental Relations Framework Act (IGRFA) has been developed for discussion. The alignment of Integrated Development Plans (IDP) and One Plans of Districts and Metros are underway, and projects of sector departments are being consolidated. We are also looking at society-wide contributions to crowd in investments in a locality. We further welcome several strategic partnerships that have been established to support the implementation of the DDM.

The DDM Hubs have been launched and resourced at three pilot sites (Waterberg, OR Tambo, and eThekweni). The Hubs will serve as a functional network of support and facilitation system for Intergovernmental Planning in relation to a specific district space. The Hubs will also house critical and scarce skills such as engineers, planners, and ICT specialists and administrators to the districts and local municipalities.

We have already allocated R2,9 billion in the MTEF period for MIG in the OR Tambo District, with a focus on water, sanitation, road, and community projects. Water, roads, community, and solid waste projects are underway in the Waterberg District, which has been given R968 million for the MTEF period. Through Operation Good Hope, efforts have been done in the eThekweni Metro with the city and province to ensure that the city is more responsive and professional. We are enhancing efficiencies at the Durban Port and tackling service delivery difficulties in informal settlements by upgrading services.

In undertaking planning and budgeting for the DDM, we must pay particular attention to Gender-Responsive Budgeting, so that we may be able to address gender inequality in our society. Thus, through the DDM, we intend to specifically target women-led and owned initiatives, including businesses and cooperatives of all sizes and sectors. This will require that we also pay particular attention to the participation of women and youth, by reskilling them and paying attention to them accessing financing and credit, among other things. In this regard, we have hosted a colloquium on Zero-Based Budgeting Framework and Gender-Responsive Budgeting where international experts reinforced the importance of an explicit intent with the requisite institutional capacities and capabilities for gender-responsive fiscal policy.



We recognise the important role of the Department in strengthening intergovernmental relations at all spheres, including local government, to ensure its efficiency, effectiveness, and accessibility of government. We have started to turn around the audit findings by improving from two years of disclaimers to a qualified opinion for the 2019/20 and 2020/21 financial years. We must go further in the pursuit of service excellence and improved accountability, whilst not losing sight of our commitment to transform the lives of our communities. Also, in the local sphere of government, we must strive for viable, capable, and capacitated local governments that can be at the forefront of renewal and recovery, required in a post-COVID-19 environment.

We have introduced some legislative amendments to local government legislation to strengthen municipal governance, this will ensure that we continue with work to intensify our efforts to capacitate the local sphere of government, eradicate interference in the administration and supply chain processes, inappropriate placements, and the lack of consequence management in the municipalities.

We continue in our efforts to fight the deadly coronavirus pandemic, which has emphasised the stark realities which confront the vast majority of our citizens. These realities include hunger, poverty, unemployment, low income, inequality, sexism, unequal access to basic service, and underdevelopment. COVID-19 has also taught us that our government can and has worked in a more coordinated and integrated way, thus showing that the three spheres of government are interdependent and interrelated and can be agile and responsive.

The National COVID-19 Command Council (NCCC), led by President Cyril Ramaphosa, coordinated and guided government's response to the pandemic by all spheres of Government and the society. The Department of Cooperative Governance continues to play a central role in ensuring a seamless coordination of efforts and optimisation of resources across government for the maximum benefit of communities, especially those who are most vulnerable. We take pride in work done by the National Disaster Operations Centre which coordinated the response to COVID-19 for local Government, interfacing with the NATJOINTS and PROVJOINTS structures, and interacting with the provincial and municipal disaster management centres.

The economic hardships exacerbated by COVID-19 has reduced the amounts and potential revenue sources of municipalities. This is partly due to increased unemployment and the declining income levels at household level. We, as

the Ministry responsible for Cooperative Governance and Traditional Affairs, have developed the South Africa Economic Recovery Plan for Municipalities in Response to COVID-19. The plan recognises that municipalities, as engines of our national economy, will be disproportionately exposed to the economic fallout occasioned by COVID-19 and focusses on a set of interventions that can harness the current crisis as an opportunity for change and transformation or restructuring of our urban and rural economies.

The Department has supported the development of Economic Recovery Plans by both Districts and Metro municipalities. There is also a dialectical relationship between Local Economic Development and challenges of revenue collections and underfunded mandates. Therefore, in pursuing the DDM, we intend to simultaneously address these challenges whilst inculcating long-term and coordinated planning and implementation in all spheres of governance. We are working with the National Treasury to consider how we can revise the current funding model, which makes many of our municipalities unviable and vulnerable.

For communities and ordinary citizens to be able to participate in the opportunities and indeed in their own development, we must urgently implement the skills revolution. We will need targeted skills development in agriculture, construction, infrastructure, artisans, and many other skills. To complement this, we are also remodelling the Community Works Programme with a view of fully empowering our communities. We intend to use this programme to promote active citizenry through the employment of cooperatives and community-based organisations.

I would like to thank the leadership and all staff of the Department for contributing to the team effort during the past year, even while we were grappling with the COVID-19 pandemic. We have made great strides in refocusing our governance model, and we will redouble our efforts in the time ahead as we continue to serve our people and build our country, contributing to the development of cohesive, vibrant, sustainable, connected, and climate-smart communities.



DR NKOSAZANA DLAMINI ZUMA, MP

Minister for Cooperative Governance and Traditional Affairs

Date: 28 October 2021



DEPUTY MINISTER'S STATEMENT



Ms. TP Nkadameng
Deputy Minister for Cooperative Governance

The District Development Model (DDM) is an opportunity to mobilise all three spheres of government to plan together, implement jointly, monitor, and account to our citizens in the spirit of cooperative governance towards addressing the challenges facing local government. This cooperation is especially essential in assisting municipalities to recover from the COVID-19 pandemic and continue on the positive trajectory towards the achievement of our developmental agenda.

Our pursuit for a better way of becoming closer to the communities we serve has gained momentum, through the DDM, as we continue to bring various stakeholders towards a common and joint approach of meeting government commitments and delivering on the priorities as expressed in the Medium-Term Strategic Framework and our 5-year Strategic Plan 2020-2025. We will continue to intensify our efforts and involve our communities through our public participation platforms. We will in this regard, work with ward committees as the basic structures that are close to our communities. In preparation for the work ahead, post the 2021 local government election, we will continue working with South African Local Government Association on the capacity building programme to ensure that all newly appointed ward

councillors are ready to hit the ground running in serving our communities.

We are beginning to see real cooperation of various stakeholders in driving our delivery through the DDM, by the development of district profiles that tell us where efforts should be directed to attend to socio-economic challenges experienced by our communities. We are optimistic that this trajectory will lead the country towards the ideal developmental state.

We would like to acknowledge all the stakeholders on the exceptional coordination work done through the National Joint Operational and Intelligence Structure (NTJOINT), which comprised of representatives from the COGTA's National Disaster Management Centre, The Department of Health, scientists; health specialists; engineers; legal advisors; leadership of the country's security and law-enforcement agencies, and teams from departments that make up the economic and social clusters of the Forum of South African Directors-General. Lessons learned from this initiative should be institutionalised and adopted in the spirit of Intergovernmental relations and in realising the goals of the DDM.

All these endeavours must be seen as our commitment to the citizens of this country, which, if properly managed and implemented, can better the lives of our citizens.

Our appreciation also goes to all the Ministers, Deputy Ministers, and Members of the Executive Committee from across government that have heeded to the call to act as DDM champions in each district, in addition to Heads of Departments (HOD) and Deputy-Directors General (DDG) from COGTA being deployed to designated provinces and districts.

Ms. TP Nkadameng

Deputy Minister for Cooperative Governance

Date 28 October 2021



REPORT OF THE ACCOUNTING OFFICER



Ms. AA Williamson
Director-General for the Department of
Cooperative Governance

Overview of the Operations of the Department

The fiscal year 2020/21 has been a difficult one for the government as a whole, and for us at DCoG in particular; as the advancements made have quickly been reversed, from a socio-economic and financial standpoint. While National Treasury's special adjustment budget, implemented in May 2020, forced us to rethink our plans, we remained committed to fulfilling our commitments to contribute to the advancement of ordinary South Africans' lives. We did not amend our Strategic Plan (APP), but we did make certain changes to our Annual Performance Plan 2020/21, primarily to make room for a concentrated effort to combat the pandemic by diverting some of our resources to better support struggling municipalities.

Operationalising our Strategy

Our interventions are informed by government priorities as outlined in the National Development Plan (NDP): Vision 2030, the Medium-Term Strategic Framework for 2019-2024 and our government wide District Development Model (DDM). We ensured that there is a tight alignment and a proper line

of site between these priorities, our principals' performance agreement, our long-term strategy, the APP, our Operational Plans, as well as individual SMS performance agreements. We also ensured that all provinces customise their performance indicators to align with these government priorities.

The outcomes that we set out in our strategic plan 2020-2025 as outlined below, are aligned to government priorities:

1. Effective and Efficient Internal Corporate Governance Systems;
2. Effective Intergovernmental Relations through District Development Model;
3. Integrated Planning and Service Delivery;
4. Improved Municipal Financial Viability; and
5. Sustained Good Municipal Governance.

We have recorded the following progress against each of our outcomes:

Effective and Efficient Internal Corporate Governance Systems

We are pleased to report an improvement of our overall performance from 62% recorded in 2019/20 to 68 % for this financial year. There is some work done on the 21% of targets that were not achieved, for instance, the process of paying all service providers within 30 days of receipt of correct invoices is beginning to bear fruit, as we managed to process 97% of invoices on time.

We sustained a Qualified Audit Opinion for the 2020/21 financial year and endeavour to continue working on our internal control environment such that the Department can move from a qualified to an unqualified audit opinion in the near future. Albeit not all material irregularities (MIs) emanating from the past financial years have been resolved, the Auditor-General of South Africa (AGSA) has closed one MI pertaining to the Project Management Fees and recognised efforts by the Department in four (4) MIs where AGSA noted the Department's efforts and plan to review progress made in the next financial year audit. There is however one (1) MI related to the payment of non qualifying CWP participants (Government employees in 2018/19 Financial Year) where



AGSA has made a recommendation which must be implemented by 31 January 2022. Special efforts will be made to ensure that the AGSA recommendation is implemented to their satisfaction by the set time frame.

Other areas of improvement relate to ensuring that all internal governance structures are constituted and functional; providing operating tools of trade for online platform engagements; and instituting consequence management. We have also initiated a process of remodelling the Community Work Programme (CWP) with the aim of managing administrative issues that have caused unnecessary negative audit outcomes in the past, but mainly to reposition the CWP back to its intended purpose of contributing to poverty alleviation by providing an employment safety net.

Integrated Planning and Service Delivery

As municipalities continue to experience service delivery challenges due to COVID-19, our Intermediate City Municipal (IMC) Support Programme, which is an integral part of the implementation of the Integrated Urban Development Framework (IUDF), worked with Seven Integrated Urban Development Grant (IUDG) recipient intermediate cities which are City of UMhlatuze, Drakenstein, Mogale City, Polokwane, Ray Nkonyeni, Sol Plaatje, and Stellenbosch, to reprioritise the IUDG towards the following services: Water and sanitation projects and services to communities, disinfection of Municipal facilities and public space, Provision of Personal Protective Equipment (PPE), Construction of rural emergency water supply Infrastructure, Waste Management Services, Building of cemetery, and repairs to municipal-owned facilities identified as quarantine sites. Out of a total of R 856, 295 million, an amount of R 189, 671 million was allocated to alleviate the impact of COVID-19. We have also partnered with Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) and established a learning network as a living lab where ICMs can exchange knowledge and share their experiences in implementing our national urban policy.

Since the commissioning of the Small-Town Regeneration (STR) programme in 2013/2014 and the adoption of the IUDF in 2016, there have been varying degrees of success and important lessons learnt. Therefore, we found it necessary to assess and review the success of the programme in the initial 18 towns that formed part of the STR and then thereafter facilitate a coherent, revised, and implementable strategy that is aligned with the IUDF, the District Development Model (DDM), Cities Support Programme (CSP), and Intermediate

Cities Municipalities (ICM) Programme. This fosters the much-needed integrated planning that is applied to cities and small towns equally.

The work continues to ensure that Local Government is professionalised so that the right capacity is available to manage governance, financial management, and improve all service delivery related matters. This aligns with the MTSF's Priority One, "Building a Capable, Ethical, and Developmental State."

Improved Municipal Financial Viability

The struggling economy is having an adverse effect on the financial health of municipalities across the country. This is also exacerbated by the already weak revenue collection ability of most municipalities.

In our Strategic Plan 2020-2025 we committed to support municipalities to improve municipal financial viability, in order to reduce the number of municipalities with unfunded budgets, qualified audit opinions, and to increase their revenue collection rate. We have in this financial year worked with SALGA and NT on a differentiated approach of supporting municipalities to improve their audit outcomes. We also jointly established a formal Multi-Disciplinary Audit Support Committee to address the audit outcomes.

We further worked with key stakeholders on the funding model for local government and made proposals to the budget forum on the revisions to the Municipal Structures Act, 1998: Sections 84(1) and 85 so as to define and clarify core service delivery functions, including the identification and categorisation of 'unfunded' and 'underfunded' functions. We also proposed an amendment to Section 84(3) of the Municipal Structures Act, 1998 Regulations to introduce Ministerial Regulations that will frame the process of how powers and functions are assigned and revoked within the two-tier LG system.

In terms of revenue, we worked with these institutions and other local government stakeholders to form a multi-disciplinary revenue committee to streamline revenue collection, revenue enhancement, and the creation of a Single Integrated Revenue Management Framework (SIRMF).

Changes in the Division of Revenue Amendment Bill

Adjustments were made to the Division of Revenue Amendment Bill to assist the municipal pandemic response, and R11 billion was added to the local government equitable share after President Cyril Ramaphosa announced that

additional funding of R20 billion would be made available to municipalities to provide emergency water supply, increase sanitisation of public transport and facilities, and provide food and shelter for the homeless. These funds allow municipalities to maintain existing services despite a temporary decline in revenue collections.

Sustained Good Municipal Governance

The lessons learnt from the previous term of office in local government pushed us to modify governance structures that had previously generated discord, particularly in contested towns. As part of tightening municipal governance, we have made legal revisions to the Municipal Structures Amendment Bill, which have been finalised by Parliament and submitted to the President for assent. These adjustments were expressly proposed as part of pushing the DDM's first pillar, Governance, and Financial Management. We, in this regard, have managed to develop a regulatory framework in line with Section 47(1) (b) Regulations Framework for the Intergovernmental Relations Framework Act (IGRFA) for discussion. This framework will assist in the coordination and alignment of development priorities and objectives between and across the three spheres of government.

The Effects of COVID-19

COVID-19 came at the time when we were already experiencing an economic downturn with severe economic challenges. The pandemic also revealed some fault lines in the way government cooperates in supporting local government to deliver on its mandate. We were therefore compelled to maximise our coordination role, by directing more focus towards an all of government joint up action to tackle the

pandemic while not losing the baton in our initial commitments set in the DCoG Annual Performance Plan, as municipal services still had to be provided. We were able to put in place the necessary adjustments to work better with Provinces and Municipalities so that delivery is not compromised, hence the improvement in the performance achievements recorded this year.

Expenditure

The Department operated on a budget of R106, 942 billion for the financial year of which the expenditure was R103,306 billion, this translates into 96.6 % of the total budget. With this budget allocation, as it has been the norm, focus was largely on the transfers to Municipalities which takes up 95.5 % of the total budget. Following the special adjustment budget, the voted amount increased by a nett amount of R 10,954 billion. An additional R 50 million was allocated to the Department as part of supporting the Presidents Employment Stimulus initiative through public employment opportunities by creating a bottom-up economic stimulus that gives unemployed people some form of income using labour-intensive jobs to create public value.

We closed the 2020/21 financial year on a spirited note, having had a series of fruitful strategic engagements sessions as the COGTA family supported by the Ministry in adjusting to the new normal and leveraging on the DDM approach. In this regard, we have started a process of mapping out our future intergovernmental relations role by firstly scanning the macro- and micro-environment and then calibrating the internal resources and capabilities required to champion the DDM. We are continuing with this important process into the new financial year to finalise our operating and delivery model.

Overview of the Financial Results of the Department

Departmental receipts

Table 1: Departmental receipts for the 2020/21 financial year

Departmental receipts	2020/2021			2019/2020		
	Estimate	Actual Amount Collected	(Over)/Under Collection	Estimate	Actual Amount Collected	(Over)/Under Collection
	R'000	R'000	R'000	R'000	R'000	R'000
Sale of goods and services other than capital assets	393	207	186	448	210	238
Interest, dividends, and rent on land	1 200	619	581	100	620	380
Sale of capital assets				159	253	(94)
Financial transactions in assets and liabilities	319	11 035	(10 716)	441	494	(53)
Total	1 962	11 861	(9 899)	2 048	1 577	471



The table above depicts actual receipts, projected receipts, and variance. An amount of R11.861 million has been collected by the Department by 31 March 2021 against projections of R1.962 million. The revenue collected was from parking, sale of wastepaper, and commission on insurance deductions, as well as revenue recoverable from previous expenditure. It is not always possible to accurately project revenue as the Department is not a revenue generating organisation, hence the amounts received are far less than the projected amount for 2020/21 financial year.

Programme Expenditure

Programme 1: Administration

Programme 1 spent R285.269 million representing 94.7 percent spending against budget of R301.239 million, deviating by R15.970 million representing an under-spending of 5.3 percent. The deviation is mainly due to funded vacancies and less travelling and catering as a result of COVID-19 restrictions.

Programme 2: Regional and Urban Development and Legislative Support

Programme 2 spend R1.037 billion representing 99.4 percent spending against budget of R1.043 billion, deviating by R6.233 million representing an under-spending of 0.6 percent. The deviation is mainly due to less travelling and catering as a result of COVID-19 restrictions.

Programme 3: Institutional Development

Programme 3 spent R83.222 billion representing 96.9 percent spending against budget of R85.911 billion, deviating by R2.689 billion representing an under-spending of 3.1 percent. The deviation is attributed to the following:

Municipal Systems Infrastructure Grant amounts have been advanced to Development Bank of South Africa (DBSA) that is, R50 million for District Development Model and

R10 million for Urban Development. Invoices with the total amount of R22million have been received to be cleared in 2021/22 financial year.

Programme 4: National Disaster Management Centre

Programme 4 spent R330.818 million representing 52.2 percent spending against budget of R633.952 million, deviating by R303.134 million representing an under-spending of 47.8 percent.

The deviation is mainly due to the disaster relief grants for municipalities, which were paid to assist the municipalities to provide for the COVID-19 disaster requirements related to medical supplies, and this allocation was not spent according to projections, thus contributing to the under-spending.

Programme 5: Local Government Support and Intervention Management

Programme 5 spent R14.948 billion representing 100.0 percent spending against budget of R14.954 billion, when rounded up, with a variance of R6.208 million.

The deviation is mainly due to less travelling and catering as a result of COVID-19 restrictions.

Programme 6: Community Work Programme

Programme 6 spent R3.482 billion representing 85.0 percent spending against budget of R4.098 billion, deviating by R616.231 million representing an under-spending of 15.0 percent. The deviation is attributed to the following.

The deviation is mainly due to the moratorium on the procurement of tools and materials after the closing of the sites as a result of the lockdown on account of COVID-19 regulations, however, the Department continued to pay the wages of the participants and these were reclassified as donations as no work was performed by the participants.

Table 2: Savings trends since 2013/14 financial year.

Year	Budget R'000	Expenditure R '000	Saving R '000	Saving %
2013/14	58 458 907	56 401 572	2 057 335	3,52%
2014/15	63 453 885	59 386 548	4 067 337	6,41%
2015/16	70 815 477	68 097 537	2 717 940	3,84%
2016/17	73 021 906	69 852 230	3 169 676	4,34%
2017/18	78 463 890	76 362 043	2 101 847	2,68%
2018/19	85 037 011	81 814 196	3 222 815	3,79%
2019/20	90 346 554	86 950 349	3 396 205	3,76%
2020/21	106 942 787	103 305 842	3 636 945	3,40%

The main reason for the under-spending in 2020/21 relates to the offsetting/withholding of the local government equitable share grants to some Municipalities in line with the Division of Revenue Act requirements.

The Department of Cooperative Governance (DCoG) baseline allocation for the 2020/21 financial year is R106,943 billion. Of

the baseline allocation and final virements, R371,381 million is allocated for compensation of employees (CoE) representing 0.3 percent, R4.420 billion is for goods and services representing 4.1 percent, R52,392 million for payments of capital assets representing 0.04 percent, and R102,098 billion is allocated for payments of transfers and subsidies representing 95.5 percent of the allocation.

Programme Name	2020/2021			2019/2020		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Prog 1: Administration	301 239	285 269	15 970	304 049	300 729	3 320
Prog 2: Regional and Urban Development and Legislative Support	1 042 711	1 036 478	6 233	966 193	965 408	785
Prog 3: Institutional Development	85 911 588	83 222 419	2 689 169	69 351 690	65 964 690	3 387 000
Prog 4: National Disaster Management Centre	633 952	330 818	303 134	647 880	646 337	1 543
Prog 5: Local Government Support and Intervention Management	14 954 675	14 948 467	6 208	15 242 454	15 241 071	1 383
Prog 6: Community Work Programme	4 098 622	3 482 391	616 231	3 834 288	3 832 115	2 173
Total	106 942 787	103 305 842	3 636 945	90 346 554	86 950 349	3 396 205

The Table above indicates the final appropriated budget for the Department of Cooperative Governance for the 2020/21 financial year to the amount of R96.233 billion. During the 2020/21 Special Adjusted Estimates of National Expenditure and Adjustment of Estimates of National Expenditure, the Department increased the budget with R10.708 million, which increased the appropriation to R106.943 billion. This represents an increase of (18.4%) when compared to the budget allocation for the previous financial year. The increased allocation for the 2020/21 financial year was mainly in respect of the approved funds and other adjustments to the Local Government Equitable Share Grant. The main reasons for under-spending of R3.636 billion was due to the withholding of Local Government Equitable Share funding to some municipalities as a result of the non-compliance with the Dora Act.

Virements/roll-overs

The virements effected in terms of Section 43 of the PFMA (Act No. 1 of 1999) as amended to fund the overruns and increased spending levels of the different programmes of the Department of Cooperative Governance during the 2020/21 financial year, in line with the mandate and priorities of the Department are included in the Annual Financial Statements under part E of this report.

Roll-over

An amount of R140 143 million of the unspent funds at the end of the 2020/21 financial year was requested to be rolled over to the 2021/22 financial year in respect of Disaster evaluations and the District Development Model.



Unauthorised, fruitless, and wasteful expenditure

The Department has not experienced any potential unauthorised expenditure (Note 8), however, the Department has incurred fruitless and wasteful expenditure (Note 23) to the value of R5 092 000 in the 2020/21 financial year. The notes are disclosed to the annual financial statement. Moreover, the Department has enhanced the current internal control measure to prevent and detect possible fruitless and wasteful expenditure in the future and also implement effective, efficient, and transparent processes of risk management.

Public Private Partnerships

The Department has not entered into any Public Private Partnerships for the period under review.

Discontinued activities / activities to be discontinued

There were no activities that were discontinued during the 2020/21 financial year.

New or proposed activities

The Ministry has undertaken a vigorous process of assessing and reviewing the Strategic Plan of the Department in the main to allow for better alignment of the District Development

Model approach to the strategy of the Department, and to craft options for more efficient ways of exercising our constitutional and legislative mandate. This culminated in the process of reviewing the Operating model as well as the organisational structure that will support this new strategy. We are now in a process of finalising this long-term strategy, operating model and structure.

Supply Chain Management

The Department has not concluded any unsolicited bids or proposals for the year under review. The Department has processes and systems in place to detect and prevent irregular expenditure. The Department has functional Bid Committees to ensure transparency, fairness, and compliance with the Preferential Procurement Framework Act, Preferential Procurement Regulations, and the National Treasury Instruction Notes in SCM.

Gifts and Donations received in kind from non-related parties

The Department appreciates the support received from international and local donors pertaining to donations received. Details thereof are indicated in the Annual Financial Statements.

Exemptions and deviations received from the National Treasury

The Department obtained the following exemptions and deviations from the National Treasury.

DESCRIPTION	NAME OF SERVICE PROVIDER	TYPE OF DEVIATION	AMOUNT
Appointment DBSA through the signed MoA to sustain the temporary capacity provided to the NDMC for a period of three years.	Development Bank of South Africa (DBSA)	Preferred supplier	R59 025 610.00
Provision of disaster damage assessments and costs verification for the National Disaster Management Centre.	Aurecon South Africa (Pty) Ltd	Single source procurement	Rate per hour per consultant
Provision of disaster damage assessments and costs verification for the National Disaster Management Centre.	Focus Project Management	Single source procurement	Rate per hour per consultant
Provision of disaster damage assessments and costs verification for the National Disaster Management Centre.	LDM Consulting (Pty) Ltd	Single source procurement	Rate per hour per consultant

Events after the reporting date.

None

Other.

None

Acknowledgement(s)

I would like to take this opportunity to acknowledge the contribution and commitment of the staff and executive management in the attainment of the goals contained in this annual report. I look forward to working with all employees and the executive management in delivering on our mandate.

Approval and sign-off



Ms AA Williamson

Accounting Officer: Department of Cooperative Governance

Date: 28 October 2021



Statement of responsibility and confirmation of accuracy for the annual report

To the best of my knowledge and belief, I confirm the following:

All information and amounts disclosed throughout the annual report are consistent.

The Annual Report is complete, accurate, and free from any omissions.

The Annual Report has been prepared in accordance with the guidelines on the annual report as issued by National Treasury.

The Annual Financial Statements (Part E) have been prepared in accordance with the modified cash standard and the relevant frameworks and guidelines issued by the National Treasury.

The Accounting Officer (AO) is responsible for the preparation of the annual financial statements and for the judgements made in this information.

The AO is responsible for establishing and implementing a system of internal control that has been designed to provide reasonable assurance as to the integrity and reliability of the performance information, the human resources information, and the annual financial statements.

The external auditors are engaged to express an independent opinion on the annual financial statements.

In my opinion, the annual report fairly reflects the operations, the performance information, the human resources information, and the financial affairs of the Department for the financial year ended 31 March 2021.

Yours faithfully

Ms AA Williamson

Accounting Officer

Date: 28 October 2021



STRATEGIC OVERVIEW

Vision

A functional and developmental local government system that delivers its constitutional and legislative mandates within a system of cooperative governance.

Mission

Our Mission is to ensure that all municipalities perform their basic responsibilities and functions without compromise by:

- Putting people and their concerns first;
- Supporting the delivery of municipal services to the right quality and standard;
- Promoting good governance, transparency, and accountability;
- Ensuring sound financial management and accounting; and
- Building institutional resilience and administrative capability.

Values

Guided by the spirit of Batho Pele (People First), our values are:

- Commitment to public service;
- Integrity and dedication to fighting corruption;
- A hands-on approach to dealing with local challenges;
- Public participation and people-centred approach;
- Professionalism and goal orientation;
- Passion to serve; and
- Excellence and accountability.

LEGISLATIVE AND OTHER MANDATES

Constitutional mandate

The mandate of the Department of Cooperative Governance (DCoG) mandate is primarily derived from Chapters 3, 5, 6, 7 and 9 of the Constitution of the Republic of South Africa, 1996, hereafter referred to as the Constitution.

Chapter 3 - This chapter deals with cooperative government and intergovernmental relations. The Department will need to ensure that we observe and adhere to the principles in this chapter and that we conduct our activities within the parameters of this chapter.

Chapter 5 - This chapter deals with national intervention in provincial administration when a province cannot or does not fulfil an executive obligation in terms of the Constitution or legislation.

Chapter 6 - This chapter deals with provincial intervention in local government, in particular, when municipalities are unable to fulfil their executive obligations. Chapter 6 is also relevant when a municipality, as a result of the financial crisis, breaches its obligations to provide basic services to meet its financial requirements.

Chapter 7 - This chapter deals with, among other things, municipalities in cooperative governance. The Department, by legislation, must support and strengthen the capacity of municipalities to manage their own affairs, exercise their powers, and perform their functions.

Chapter 9 - This chapter deals with those institutions whose role involves strengthening the constitutional democracy of the country. The Department has to comply with all legislative frameworks in this chapter in order to conform to rules made under the auspices of institutions such as the Auditor-General and the Public Protector.

Our primary mandate is to:

- Develop and monitor the implementation of the national policy and legislation, seeking to transform and strengthen key institutions and mechanisms of governance to fulfil their developmental role;
- Develop, promote, and monitor mechanisms, systems, and structures to enable integrated service delivery and implementation within government; and
- Promote sustainable development by providing support to and exercising oversight over provincial and local government.

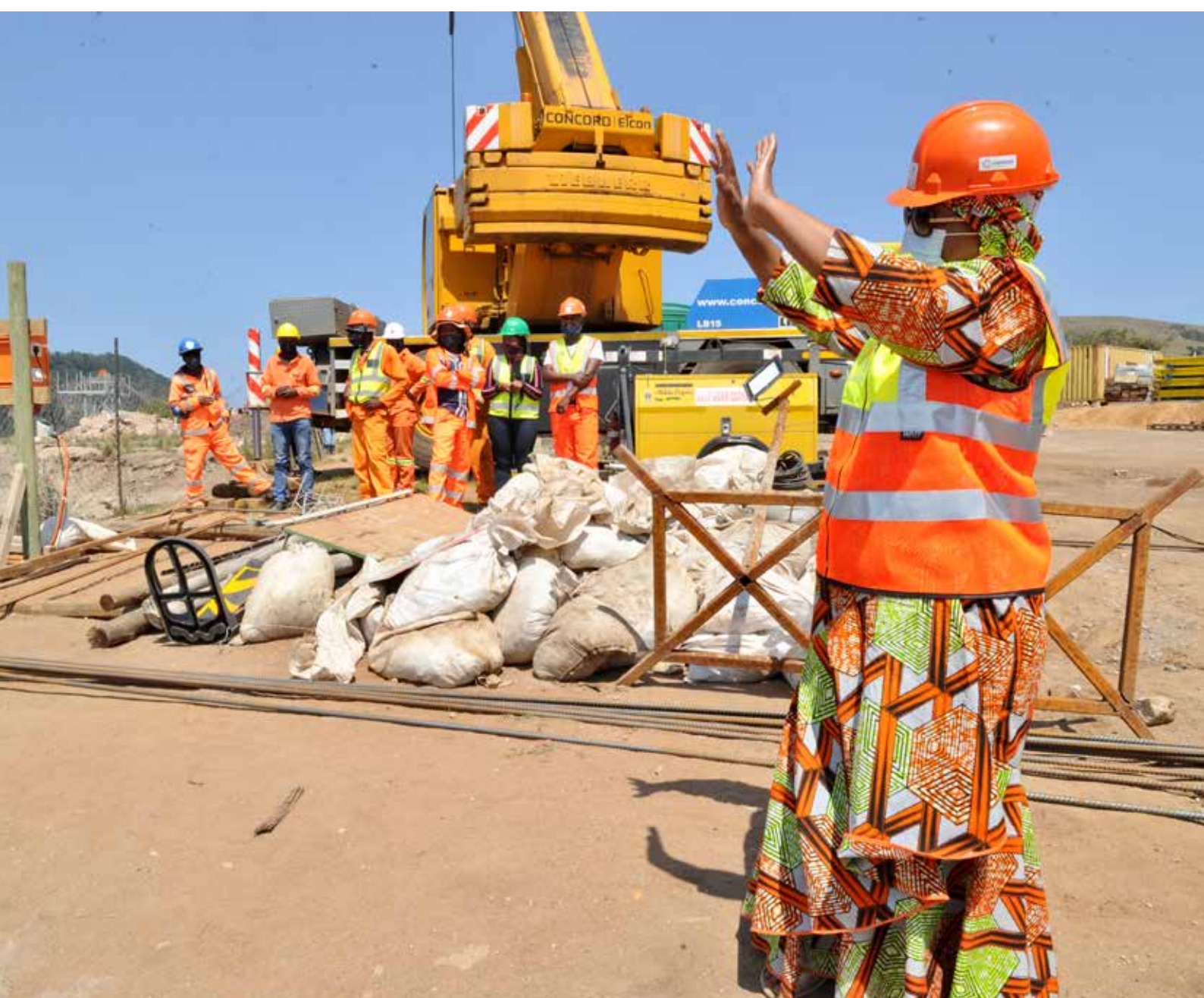
Legislative Mandate

As a national department, our function is to develop national policies and legislation with regard to local government and to monitor, among other things, the implementation of the following:



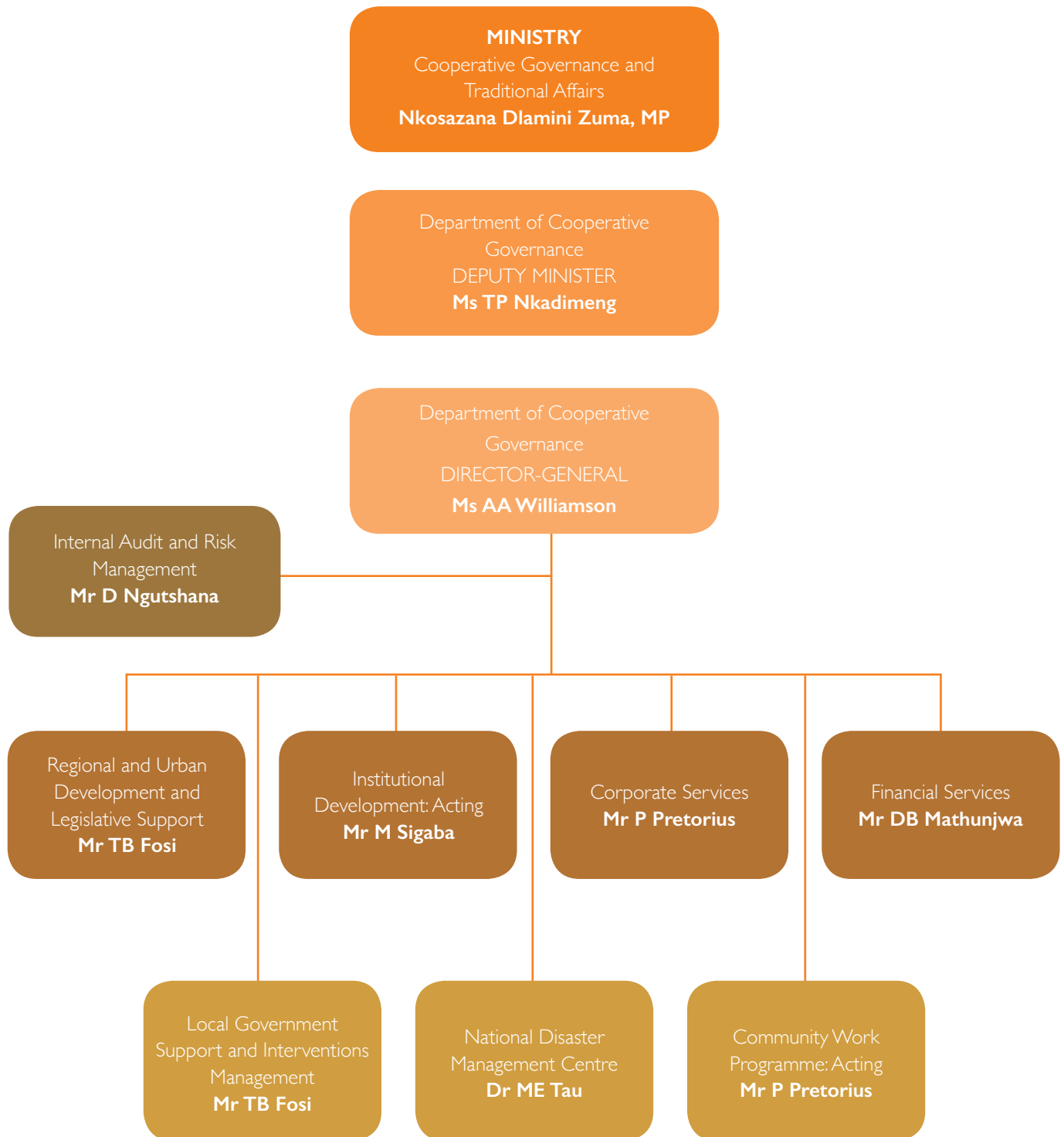
Name of Legislation	Mandate
Municipal Property Rates Act, 2004 (Act No.6 of 2004)	To regulate the power of a municipality to impose rates on property; to exclude certain properties from rating in the national interest; to make provision for municipalities to implement a transparent and fair system of exemptions, reductions and rebates through their rating policies; to make provision for fair and equitable valuation methods of properties; and to make provision for an objections and appeals process.
Local Government: Municipal Finance Management Act, 2003 (Act No. 56 of 2003)	To secure sound and sustainable management of the financial affairs of municipalities and other institutions in the local sphere of government; to establish treasury norms and standards for the local sphere of government; and to provide for matters connected therewith.
Disaster Management Act, 2002 (Act No. 57 of 2002)	To provide for: • An integrated and coordinated disaster management policy, which focuses on preventing or reducing the risk of disasters, mitigating the severity of disasters, emergency preparedness, rapid and effective response to disasters and post-disaster recovery. • The establishment of national, provincial, and municipal disaster management centres. • Disaster management volunteers. • matters incidental thereto.
Local Government: Municipal Systems Act, 2000 (Act No. 32 of 2000)	To provide for the core principles, mechanisms and processes that are necessary to enable municipalities to move progressively towards the social and economic upliftment of local communities; to ensure universal access to essential services that are affordable to all; to define the legal nature of a municipality, including the local community within the municipal area, to provide for municipal powers and functions; to provide for community participation; to establish an enabling framework for the core processes of planning, performance management, resource mobilisation and organisational change; to provide a framework for local public administration and human resource development; to empower the poor and ensure that municipalities establish service tariffs and credit control policies that take their needs into account.
Local Government: Municipal Structures Act, 1998 (Act No. 117 of 1998)	To provide for the establishment of municipalities, in accordance with the requirements relating to categories and types of municipalities; to establish criteria for determining the category of a municipality to be established in an area; to define the types of municipalities that may be established within each category; to provide for an appropriate division of functions and powers between categories of municipality; to regulate the internal systems, structures and office-bearers of municipalities; to provide for appropriate electoral systems.
The Intergovernmental Relations Framework Act (Act No. 13, of 2005)	The objective of this Act is to facilitate coordination by the three spheres of government in the implementation of policy and legislation. It is a Framework Act, which allows for flexibility between the spheres in meeting the challenges within the conduct and practice of cooperative government. It also provides for the basic architecture of intergovernmental structures and for processes to guide the settlement of intergovernmental disputes.
Local Government: Municipal Demarcation Act, 1998 (Act No. 27 of 1998)	To provide for criteria and procedures for the determination of municipal boundaries by an independent authority, and to provide for matters connected thereto.
Organised Local Government Act, (Act No. 52 of 1997)	To provide for the recognition of national and provincial organisations representing the different categories of municipalities; to determine procedures by which local government may designate representatives to participate in the National Council of Provinces; to determine procedures by which local government may consult with the national and provincial government; to determine procedures by which local government may nominate persons to the Financial and Fiscal Commission, and to provide for matters connected therewith.
Fire Brigade Services Act, (Act No. 99 of 1987)	To provide for the establishment, maintenance, employment, coordination, and standardisation of Fire Brigade Services; and for matters connected therewith.

Name of Legislation	Mandate
Remuneration of Public Bearers Act, (Act No. 20 of 1998)	To provide for a framework determining the salaries and allowances of the President, members of the National Assembly, permanent delegates to the National Council of Provinces, Deputy President, ministers, deputy ministers, traditional leaders, members of provincial Houses of Traditional Leaders and members of the Council of Traditional Leaders; to provide for a framework determining the upper limit of salaries and allowances of Premiers.
Local Government: Cross-Boundary Municipal Act, (Act No. 29 of 2000)	To give effect to section 155 (6A) of the Constitution by authorising the provincial executives affected to establish cross-boundary municipalities; to provide for the re-determination of the boundaries of such municipalities under certain circumstances; and to provide for matters connected therewith.





ORGANISATIONAL STRUCTURE





ENTITIES REPORTING TO THE MINISTER

Below is a list of entities reporting to the Minister:

South African Local Government Association (SALGA)

Nature of Operations

- Lobby, advocacy, and representing the interests of municipalities in legislatures and other policymaking and oversight structures and engaging with various stakeholders in public debates and other platforms in the interest of the local government.
- Employer Body that represents its municipalities in collective bargaining as stipulated in the Labour Relations Act.
- Facilitating capacity building initiatives for municipalities and also representing municipalities' interests in the Local Government Sector Education and Training Authority (LGSETA).
- Support and advice services to enable municipalities to understand and interpret trends, policies, and legislation affecting local government and implementation thereof.
- Knowledge and information sharing to build and share a comprehensive hub of local governmental knowledge and intelligence. The knowledge hub is also a useful reference point for all who seek information on local governments.

South African Cities Network (SACN)

Nature of Operations

- Knowledge and information exchange, experiences and best practices on urban development and city management amongst members. Secretariat to oversee governance, administration, and programme functions
- Providing thought leadership by producing and disseminating credible and continual research about cities and key urban themes.
- Enable and support cities to act and speak with one voice.
- Establish strategic relations locally, regionally, and internationally to support the work of the network.

Municipal Demarcation Board

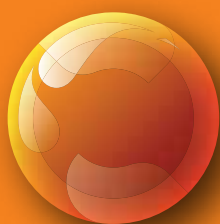
Nature of Operations

- Determine and re-determine municipal outer-boundaries.
- Delimitation of wards for local government elections.
- Conduct capacity assessments of the district and local municipalities to perform their functions and exercise their powers and provide advice to MECs.
- Render advisory service in respect of matters provided for in the Municipal Demarcation Act.
- Assist government departments in aligning their service delivery boundaries to municipal boundaries.

Municipal Infrastructure Support Agent

Nature of Operations

- To provide support and develop technical capacity towards sustained accelerated municipal infrastructure and service delivery;
- To manage the provision of technical support and technical capabilities to enhance the delivery of municipal services; and
- to manage provision of implementation support services on infrastructure projects, operations and maintenance of municipal infrastructure.



PART B

PERFORMANCE INFORMATION



2.1 AUDITOR-GENERAL'S REPORT: PREDETERMINED OBJECTIVES

The Auditor-General has performed procedures to obtain evidence about the usefulness and reliability of performance information reported against pre-determined objectives to provide reasonable quality assurance in the form of audit conclusion the audit outcome is included in the report to management. Refer to page 134 to 135 of the Report of the Auditor-General, published as Part E: Financial Information.

2.2 OVERVIEW OF DEPARTMENTAL PERFORMANCE

2.2.1 SERVICE DELIVERY ENVIRONMENT

Political Environment

Impact of COVID-19 on the role of governments

The COVID-19 pandemic has led to a global reconsideration of the role of governments, not only in the implementation of relief efforts, but also in addressing climate change and economic recovery. In the South African context, the pandemic has underscored the need to address poverty, inequality, and rising unemployment.

The pandemic has shown that building strong health systems and making progress towards the goal of universal health coverage are urgent priorities. The political and strategic importance of expanding the reach of social protection has also increased. It also highlights the need for well-resourced data systems.

The SA Technology Network identified a few key pillars of the South Africa government's COVID-19 response strategy, which show the way for successful governance going forward, including making use of evidence-based policy; establishing effective coordination within government; regular communications to citizens; and partnerships with the private sector, civil society groups, and small businesses.

The CoGTA Minister is designated to administer the Disaster Management Act, 2002 (Act No 57 of 2002). Against this background, Dr Nkosazana Dlamini Zuma has continued to execute her legislative authority since the first declaration of the national state of disaster. Since 15 March 2020, following the declaration of the national state of disaster, the Minister of COGTA issued a number of Regulations and authorised Cabinet members to issue Directions within the areas of their

mandate. These are continuously being reviewed in line with the implementation of the risk adjusted approach.

South Africa's response to COVID-19 requires functional and fit-for-purpose institutional structures across all spheres of government. These structures play a critical role in coordinating the country's response to COVID-19. The President has established a National COVID-19 Command Council (NCCC). The NCCC, led by the President is coordinating and guiding government response to the pandemic by all spheres of government and the society. The NCCC is supported by a technical committee comprising the respective Directors-General of the Ministries serving in the NCCC, known as the National Command Centre.

Local government elections

The fifth local government elections represent an important milestone in the 20 years since democratic local government has been introduced in South Africa.

Cabinet established the Inter-Ministerial Committee on Municipal Elections and the Technical Inter-Ministerial Committee on Municipal Elections to oversee the arrangements for the preparations of the 2021 Local Government Elections. These structures have been meeting since June 2020 in considering progress on the IEC preparations, safety and security matters, and a communication strategy.

The IEC has already indicated that this year's elections would be among the most challenging yet faced by the Electoral Commission, political parties, candidates, and all other stakeholders. Campaigning and mobilising citizens and conducting registration and elections in-person as required by our legal framework presents unique challenges under COVID-19 conditions. However, due to successful municipal by-elections held in South Africa under these conditions and international examples of successful elections, the IEC has expressed confidence in overcoming any challenges.

On 11 November 2020, the IEC successfully conducted by-elections across 55 municipalities in all nine provinces. These elections were postponed previously by the Electoral Court due to the lockdown and were the first elections held under COVID-19 conditions. The average turnout was 37.83 percent compared to an average of 38.73 percent over the past four years. A further 24 by-elections were held across 17 municipalities in all nine provinces on 9 December 2020 with an average turnout of 34.56 percent.



During the past local government term, a number of governance issues have created disruption and discord in especially highly contested municipalities. DCoG has introduced legal amendments to address these issues and the Municipal Structures Amendment Bill has been finalised by Parliament and submitted to the President for assent. Through this Bill, there will be tightening on governance and municipal operations will continue despite attempts to disrupt or stall the functioning of municipalities. These changes include strengthening the Code of Conduct, ensuring the independence of Municipal Public Accounts Committees, and ensuring that meetings can be chaired in the absence of the Speaker.

Economic Environment

Impact of COVID-19 on the economy

The South African economy contracted by an estimated 7.2 percent in 2020 compared with the 7.8 percent contraction projected in the 2020 Medium-Term Budget Policy Statement (MTBPS). The revised estimate is the result of the easing of lockdown restrictions in the third quarter and a faster-than-expected resumption of global growth, especially in China. Although economic recovery is expected to continue, the National Treasury estimates that output and employment will remain well below pre-pandemic levels until 2023, with considerable uncertainty surrounding the outlook.

The South African economy grew by 1,1% in the first quarter of 2021 (January–March), translating into an annualised growth rate of 4,6%. This follows a revised 1,4% (annualised: 5,8%) rise in real gross domestic product (GDP) in the fourth quarter of 2020.

The South African Reserve Bank (SARB) expects the virus will continue in new waves, but that the roll-out of vaccines is expected to boost global growth prospects generally. However, global distribution of vaccines is likely to be slow, creating an uneven pace of global economic recovery in 2021.

The Monetary Policy Committee of the SARB stated on 21 January 2021 that sharply lower public and private investment last year and continued weakness in 2021 will weigh on growth prospects. GDP is now expected to grow by 3.6% in 2021 and by 2.4% in 2022. GDP growth of 2.5% is expected in 2023. Economic and financial conditions are expected to remain volatile for the foreseeable future.

After conclusion of a round of virtual meetings with South Africa during January 2021, the International Monetary Fund

(IMF) found that the COVID-19 pandemic has worsened South Africa's growth and fiscal vulnerabilities and therefore the government is rightly prioritising the response to the pandemic. The IMF recommended that South Africa should reduce large fiscal deficits and debt levels through containment of the wage bill and cautioned on the provision of subsidies and transfers to state-owned enterprises. It was further advised that South Africa should advance its structural reforms that will raise long-term growth.

National Treasury has reiterated South Africa's commitment to structural reforms through Operation Vulindlela, a Unit in the Presidency which focus on priority reforms. South Africa is also implementing the Economic Reconstruction and Recovery Plan in order to accelerate growth, secure fiscal sustainability, and create much needed jobs.

According to the Quarterly employment statistics (QES) survey released by Statistics South Africa (Stats SA), the formal non-agricultural sector shed 648 000 jobs in the second quarter of 2020. This brings the total number of persons employed in the formal non-agricultural sector in South Africa to 9,5 million. According to the report, formal sector jobs decreased by 671 000 in the second quarter, year-on-year. Full-time jobs decreased by 541 000 quarter-on-quarter, whilst 568 000 jobs were lost compared to the same period last year. For those who held part-time jobs during the June 2020 quarter, 107 000 part-time jobs were lost, whilst 103 000 jobs were lost year-on-year. Gross earnings paid for the quarter ended June 2020 decreased by R82 billion (-11,3%) when compared to the previous quarter. The total amount of gross earnings estimated for the quarter was R647 billion. This is down from R729 billion in the previous quarter. Average monthly earnings were measured at R21 455 in the formal non-agricultural sector of the economy in May 2020. This is a 4,2% decrease when compared to February 2020, and an annual decrease of 1,6%.

The Budget Review stated that despite easing lock down restrictions by the third quarter of 2020 there were 1.7 million fewer jobs than in the same period in 2019. Of the employed, 12 percent of workers received no pay in the third quarter, compared to 17.6 percent in the second quarter of 2020. Nearly one of five workers who did receive pay, reported a reduced salary. National Treasury reported that the labour market effects from COVID-19 are particularly severe for low-skilled workers in high-risk occupations, deepening existing inequalities across age, education, gender, and race.



Economic recovery and Local Economic Development

The President said, in his address to the Joint Sitting of Parliament on South Africa's Economic Reconstruction and Recovery Plan in October 2020: "Our recovery will be propelled by swift reforms to unleash the potential of the economy, and supported by an efficient state that is committed to clean governance.

- It will be transformative.
- It will be inclusive.
- It will be digital, green and sustainable, and it will invest in our human capital to lay the foundations for the future."

The President has stated that it is critical to get as many people as possible working again as South Africa has to regain lost ground in the provision of basic services and critical infrastructure, addressing social challenges and transforming townships and rural communities. Public employment programmes will be creating jobs at scale in the short-term while markets recover and create social value in the process. Work that serves the common good include food security, ending gender-based violence, informal settlement upgrading, and also responding to local community needs such as greener spaces, early childhood development centres, and better and more accessible roads.

The envisaged District One Plans and Economic Recovery will be critical instruments to enable the President's vision. As a place-based strategy for economic development, Local Economic Development (LED) in South Africa is an integral element of national spatial development. Bringing together local governments, the private sector, and civil society in a search for local (district) economic development seek the collaborative efforts of local stakeholders to grow the local economies and improve the standard of living of the local people. By crowding in public, private and not for profit investments to a district locality, in an all-inclusive manner as directed by joint district implementation plans.

The Ministry of Cooperative Governance and Traditional Affairs has supported the development of Economic Recovery Plans by both Districts and Metro municipalities in Response to COVID-19. These plans consist of eight (8) pillars and they are: designing and implementing social and solidarity economic reforms in municipalities; building a labour-intensive economy; introducing infrastructure financing that is developmental; creating a developmental agenda for local government; creating competitive economies that benefit local economies, SMME

and cooperatives; creating a productive agrarian reform that is inclusive; building cohesive community through mining; and minimising the effects of COVID-19 on the local construction industry.

The District Development Model plays an important integration role between regional (district) economic development and local economic development. Although a natural overlap is present between these systems, the complexity of the environment, comprising multi-stakeholders and multi-levels, represents a governance challenge. Resolving or bridging this gap requires the adoption of specific, innovative approaches. Innovative partnerships, based on collaboration and collaborative governance between role-players in the Regional and LED arena, should be explored in more detail, as well as being recognised in economic development policy processes.

The purpose of building inclusive economies, namely addressing inequalities, exclusion, and poverty resonates strongly in the South African context and require municipalities focusing more on:

- Good data and analysis to identify the local economy's unique assets and challenges to create better policy;
- Building consensus and understanding among local role-players and clearly defining what inclusive economies mean locally;
- Prioritising partnerships, including anchor institutions in the local economy which are key stakeholders and who should be extensively engaged as part of building more inclusive economies;
- Leveraging social value in commissioning and procurement to promote better work, better wages, better access to skills, and other outcomes linked to more inclusive economies;
- Using infrastructure expenditure and investment funds to ensure councils invest in projects that build a more inclusive economy and strengthen the local economy; and
- Promoting enabling conditions for job creation and the skills required for those jobs, together with improving the opportunities for affordable housing and public transport that must support those participating in the labour market.

Municipal finances

Municipal budgets and expenditure were seriously impacted due to the COVID-19 pandemic. The National Treasury



has given the Department approval for municipalities to reprioritise anticipated underspending from their 2019/20 MIG allocations, to deal with the impact of COVID-19. The reprioritisation of the MIG funds culminated in 343 projects valued at R1,6 billion.

During this crisis, municipalities still need to function and provide municipal services. A survey by the DPME¹ on the impact of COVID-19 on municipalities highlighted the following:

- During the annual Municipal Budget and Benchmark engagement, which National Treasury (NT) held on 15 May 2020, the City of Cape Town brought home the stark reality that with COVID-19, higher levels of unemployment and lower real disposable income is likely to increase levels of indebtedness and defaulting on payments for municipal services. At this stage already, Cape Town estimated a shortfall in the collection of revenue of R800 million.
- Over the May and June 2020 (2 month) period, Gauteng's metros reported that in terms of uncollected revenue, the City of Johannesburg has lost at least R1.5 billion, the City of Tshwane lost approximately R1.2 billion and Ekurhuleni lost about R800 million. This trend may intensify over the peak of the pandemic and will prevail for at least the foreseeable future.
- Several businesses may close, resulting in a shrinking commercial rates base. Some of the largest sectoral impacts are in the manufacturing sector, averaging close to a 30% reduction in non-food related manufacturing. This is likely to result in reduced electricity and water consumption and resulting revenue collection from commercial power and water users. Property rates and services revenue collection is highly vulnerable to defaulting by households because of income losses.
- Additionally, the effect of COVID-19 may have a wide-ranging impact on the property market itself, which in turn will impact on property rates. The real estate industry is likely to be heavily affected (PwC estimate a 20% decline in the industry) which may translate into depressed property prices and lower valuations next year. To this end, the South African Local Government Association (SALGA) is warning that municipal revenue from rates could fall by 5% – an amount of about R14 billion – due to the lockdown.

¹ Department of Planning, Monitoring and Evaluation. 2020. The impact of Covid-19 on South African municipalities. https://www.dpme.gov.za/publications/Reports%20and%20Other%20Information%20Products/DPME_COVID-19%20Municipal%20Survey%20Results_June%202020.pdf

Municipalities which depend largely on their own revenues face significant financial stresses. Metropolitan municipalities reported that their revenue collected in April fell by about 30 percent on average. This decline is due to a combination of lower demand for services such as electricity and water, and significantly higher non-payment rates for municipal bills. The extent to which municipal bills are paid in the months ahead will depend on the duration of restrictions on economic activity, the pace of recovery and the application of revenue collection measures. The poor financial position of many municipalities, a consequence of weak financial management has been further exacerbated by COVID-19.

As part of government's fiscal consolidation policies over the medium-term, transfers to local government were reduced by R20.2 billion, including R15.5 billion from the local government equitable share, R2.7 billion from the general fuel levy and R2 billion in direct conditional grants.

It was also resolved at the Budget Forum in December 2020, that the municipal funding model must be reviewed, through collaboration by National Treasury, SALGA and DCoG.

Social Environment

Population and household trends

According to the Mid-year population estimates of Stats SA, in 2020, the country had a population of 59,62 million people, up from 58,78 million in 2019. Just more than 41% of the total population lived in the eight metros of the country. There were an estimated 17 026 817 households in South Africa in 2019. The 2019 General Household Survey (GHS) found that nuclear households that are comprised of parents and children made up 39,9% of all households. The survey also showed that 41,8% of households were headed by females.

Household growth outstripped population growth over the period 2002–2019 (2,4% per annum compared to 1,3% per annum). Since households are the basic units for service delivery, rapid household growth will arguably have a bigger impact on the delivery of basic services, particularly at local level, than population growth alone. Approximately one-quarter (23,4%) of households consisted of a single person, while three-fifths (60,7%) had fewer than four members.

The percentage of households and persons who benefitted from a social grant have increased notably since 2003, with 30,9% of persons receiving a grant in 2019 and 45,5% of households receiving one or more grants. Grants were the second most important source of income (46,2%) for



households after salaries (62,2%), and the main source of income for about one-fifth (20,4%) of households nationally. Grants were particularly important as a main source of income for households in Eastern Cape (37,5%), Limpopo (30,8%), and Northern Cape (28,6%).

In South Africa, nearly 1.5 million families live in informal settlements, half of which are in the eight metropolitan cities. It is now a national priority to respond systematically to the increasing rate of urbanisation. Thus, the upgrading of 2 200 informal settlements is a central objective of the urban management strategy. The COVID-19 pandemic has also underscored the need to extend and improve services. Upgrading informal settlements and townships is essential.

The GHS 2019 shows that 81,9% of all households resided in formal dwellings in 2019, while 12,7% of households were still living in informal dwellings and 5,1% in traditional dwellings. Approximately one-fifth of households lived in informal dwellings in Gauteng and Western Cape (both 18,7%) and North West (18,4%). This could be attributed to the fact that rapid household growth and population relocation is making it difficult to address existing backlogs in the face of fresh demands. The percentage of households, that have received government subsidy to access housing, has increased from 5,6% in 2002 to 18,7% by 2019.

Access to basic services

The Non-financial census of municipalities (2019) by Stats SA, recorded that local government has made great strides in providing services. For example, municipalities supplied water to just over 9 million consumer units in 2006, expanding to 13,8 million in 2019. This represents an average annual growth rate of 3,2%, outstripping the national population growth rate of 1,5%.

There has been, however, a reduction in the coverage of free basic services. In terms of water, for example, 6,9 million consumer units benefited from free water in 2006, falling to just over 3 million in 2019. In 2006, 76% of consumer units received free water, falling to 22% in 2019. This is mainly due to better targeting of the rightful beneficiaries (i.e., indigent households), instead of providing free basic services to all households regardless of their indigent status.

Due to a growth in population size and the prevalence of drought in South Africa, pressure is mounting on the demand of freshwater resources that are suitable for human consumption. This has in turn put pressure on wastewater treatment infrastructures and sanitation systems. The GHS (2019) found

that the percentage of households with access to an improved source of water increased by less than four percentage points between 2002 and 2019 (growing from 84,4% to 88,2%). The increases were much more notable in Eastern Cape (+17,8 percentage points) and KwaZulu-Natal (+10,0 percentage points). Despite these notable improvements, access to water declined in five provinces between 2002 and 2019. The largest decline was observed in Mpumalanga (-5,3 percentage points), Limpopo (-3,8 percentage points) and Free State (-3,7 percentage points). The declines, however, belie the fact that more households had access to piped water in 2019 than eighteen years earlier. While the number of households with access to water in the dwelling increased by 70,5% (3,2 million households) between 2002 and 2019, growing from 4,5 million to 7,7 million, the percentage of households with access to water in the dwelling only increased by 4,5% percentage points over the same period.

Households in Limpopo (56,6%) and Mpumalanga (54,4%) reported the most water supply interruptions, while Western Cape (4,6%) and Gauteng (9,5%) experienced the least interruptions. Approximately one-fourth (25,8%) of South African households reported some dysfunctional water supply service in 2019. The increase in the percentage of households with access to water coincided with a decline in the percentage of households who paid for the piped water they received. The proportion of households who reported paying for water has been declining steadily over the past decade, dropping from 67,3% in 2008 to only 44,6% in 2019.

The Sustainable Development Goals: Country report 2019, published by Stats SA highlights the need to effectively resolve challenges in the water sector. The development of the first National Water and Sanitation Masterplan is seen as a useful instrument for an improved sectoral approach to water resource management and sanitation services. Some of the issues requiring attention include investment in human capacity and skills in the institutional environment, effective infrastructure asset management, and the implementation of mechanisms that improve revenue collection from water users, water service authorities (WSA's), and water service providers (WSP's), as well as by utilising a range of innovative financing mechanisms.

A significant development was the announcement by the President that the Green Drop and Blue Drop programmes to strengthen water quality monitoring would be revived.

The distribution of water tanks and water carting to mitigate



the risks of COVID-19 made a significant difference in people's lives, since recipient communities were given access to clean drinking water and provided with the opportunity to regularly wash their hands. Supply of water tanks to communities is ongoing. The sustainability and upgrading of this temporary intervention is not guaranteed, since most beneficiary municipalities lack the requisite capacity to continue providing the services. This calls on Government to ensure sustainability of tankering services and upgrading the services during or after COVID-19.

The GHS (2019) noted that through the provision and the efforts of government, support agencies, and existing stakeholders, the percentage of households with access to improved sanitation increased by 20,4 percentage points between 2002 and 2019, growing from 61,7% to 82,1%. Most improvement was noted in Eastern Cape where the percentage of households with access to improved sanitation increased by 54,1 percentage points to 87,6%, and Limpopo in which access increased by 36,5 percentage points to 63,4%. The installation of pit toilets with ventilation pipes played an important part in achieving the large improvements. A range of reasons, including rapid household growth and urbanisation, as well as a preference for flush toilets have all contributed to the slow progress over the reference period. The relative scarcity of water and regular water interruptions experienced in many parts of the country will increasingly lead to the use of alternative sources of sanitation.

The GHS (2019) reported that the percentage of South African households that were connected to the mains electricity supply increased from 76,7% in 2002 to 85,0% in 2019. Households with access to mains electricity were most common in Limpopo (92,7%), Northern Cape (91,7%), and Free State (93,4%), and least common in Gauteng (76,6%) and North West (81,6%). The largest increases between 2002 and 2019 were observed in Eastern Cape (+34,0 percentage points), and Limpopo (+20,8 percentage points) while the percentage of households with access to mains electricity declined in Gauteng (-10,6 percentage points) and Western Cape (-0,1 percentage points). These declines can be associated with the rapid in-migration experienced by these provinces and the associated increase in households. The percentage of households that used electricity for cooking increased from 57,5% in 2002 to 79,9% in 2014, before declining to 75,1% in 2019. Simultaneously, the use of paraffin, coal, and firewood declined notably. The common use of wood for cooking purposes in rural provinces such

as Limpopo (32,1%) and Mpumalanga (16,7%) is, however, an indication that available resources are still very accessible and, most likely, less expensive than using electricity. One quarter (24,9%) of households did not use electricity for cooking in 2019, preferring other sources. More than three-quarters (76,9%) of South African households that had access to electricity obtained electricity through a pre-paid meter, while 14,6% were billed using a conventional meter. It is notable that 2,3% of households used electricity for which they did not pay.

According to the GHS (2019), the percentage of households whose solid waste was removed weekly or less often declined from 66,4% in 2018 to 61,5% in 2019, the lowest this figure has been for more than a decade. There was also an increase in the percentage of households that reported using their own refuse dumps. Although household recycling is extremely important to ameliorate the huge negative impact household waste is having on the environment, the report found that more than four-fifths (80,6%) of metropolitan households did not separate waste for recycling, and that only 6,1% actively recycled household waste.

The Human Rights Commission, released a report on the pollution of the Vaal River by sewage spills from Emfuleni Local Municipality, finding that the municipality violated multiple human rights in its failure to prevent raw sewage from contaminating the Vaal River.

Protests and citizen satisfaction

In 2020 Gauteng, KwaZulu-Natal and the Western Cape provinces were the most protest-prone provinces. They were followed by the Eastern Cape, Mpumalanga, and the Limpopo provinces. The least protest-prone provinces during 2020 were the provinces of the Free State and North West (3% each) and the Northern Cape (1%).

Protests in South Africa remain highly volatile and are typically violent with several communities unable to attend work and schools, and intimidation is often reported. Over the years, some of the protests have been marked by violence and vandalism. Most worryingly, is that the violent nature of protests has increased over time.

The Institute for Security Studies' Protest and Public Violence Monitor has identified the leading cause of demonstrations during 2020 as the policing of lockdown restrictions and crime (14%) with a particular focus on gender-based violence. The second most prevalent issue for those taking to the streets was labour-related concerns (13%), mainly the supply of protective equipment to staff such as healthcare workers. There have also



been various protests during 2020, that was not due to the COVID-19 lockdown or specifically about municipal service delivery. Some of the issues were similar to those raised by protesters elsewhere in the world and include racism, police violence, and gender-based violence and femicide.

The seventh South African Citizen Satisfaction Index (SA-csi) for Municipalities 2020, conducted by Consulta, measured the Citizen Satisfaction and Trust in service delivery in the eight metropolitan municipalities. The survey showed that citizen satisfaction and trust in their local municipality has remained extremely low with none of the major metros managing to meet the service delivery expectations of residents. Municipalities also record the lowest satisfaction scores by a far margin for all industry sectors tracked by the SA-csi. What is notable is that the gap between citizen expectations and perceived quality continues to widen, which means that while citizen expectations are increasing, actual delivery and service quality are declining. Overall, the results show that citizens' expectations of local government delivery of services are very far from being met with a particular concern around the trend in the widening of the gap of expectations to quality. A major contributor to the below par performance is the negative perception of reliability of services. In terms of specific problems with service delivery, citizens highlight water supply and management, electricity supply, garbage/refuse removal, unkempt streets, and rates and accounts as the key things that citizens are unsatisfied with.

Technological Environment

State of Digital Futures and the Fourth Industrial Revolution

The National Planning Commission (NPC) found that to prepare for the global digital developments of the Fourth Industrial Revolution, South Africa must clear the regulatory backlogs that have resulted in a lack of effective competition in the communications sector. Furthermore, the country must devise alternative access and use strategies to get those who remain unconnected online.

The NPC published a Draft Paper on Digital Futures on 6 July 2020, in which it recommended that the digitisation of the public sector should be sped up to improve interdepartmental information flows as well as the efficiency and effectiveness of service provision. The NPC found that within the public sector, intergovernmental communication is poor and that there is no effective data and information sharing between national, provincial, and local government.

In April 2019, the President appointed the Presidential Commission on the Fourth Industrial Revolution (4 IR). In its report issued in 2020, the Commission, concluded that the 4 IR is an opportunity for South Africa to integrate itself into the broader African market as envisaged in the long-term economic recovery plan. By supporting SMMEs to develop technology that will optimise the delivery of services in sectors such as health, education, and transport, South Africa can simultaneously enhance the wellbeing of its citizens and become globally competitive.

The Commission recommended the establishment of a 4IR Strategy Implementation Coordination Council in the Presidency to interface with government departments that will be responsible for the 4IR programmes. An important aspect is to ensure that existing institutions of state are revived and reenergised, where needed, to deliver on the 4IR strategy.

Digital channels during COVID-19

During the COVID-19 lockdown, municipal councils were initially prohibited from convening any council and committee meetings. On 7 May 2020, government instructed all municipalities to conduct virtual meetings using online medium platforms. During the second half of May, municipalities across the country thus held their first-ever virtual meetings. Virtual meetings present both opportunities and challenges for transparency and public participation. The South African Local Government Association assisted by developing generic rules for online meetings and sittings. These draft rules covered issues, such as notice of meetings, decision making and voting, and the facilitation of publication involvement.

Some municipalities used community radio and social media to broadcast their tabled budgets. There were also municipalities that used social media, email or messaging services (WhatsApp) to solicit inputs, or even developed dedicated apps to receive inputs.

Rural municipalities experienced challenges with virtual meetings due to poor connectivity and data costs. The need for digitisation also highlighted the plight of many smaller municipalities with old ICT infrastructure and inadequate ICT funds and lack of ICT staff.

During the outbreak of COVID-19, the citizen engagement platform GovChat, experienced an increase in citizens using the platform for information relating to testing stations, test results, public representatives, public facilities, and information about the social relief grant. According to the CEO of GovChat,



Eldrid Jordaan, the pandemic has fast-tracked the processes within the public sector to effectively digitise the provision of communications.

Smart Cities

The International Finance Corporation reported that the COVID-19 lockdown in South Africa highlighted the need to move more public service activities online where possible and expand broadband bandwidth and reach. In many cities, the need to work remotely and provide transparent, clear information to citizens has also highlighted the role that smart city approaches, through digital solutions and big data analytics, can play in improving efficiency and enhancing quality of municipal services.

In South Africa, the smart city concept has been adopted to manage the urbanisation challenge faced by the country, fast-track development, keep up with technological advancements and address the triple challenges of unemployment, poverty, and inequality. In both the 2020 and 2021 State of the Nation Addresses the President emphasised the role that smart cities and the Fourth Industrial Revolution (4IR) could play in addressing and managing the challenges of rapid urbanisation.

Smart City initiatives can help overcome some limitations of traditional urban development that tends to manage urban infrastructure systems in silos. City planners are increasingly turning toward technology to solve logistical problems and to enhance overall liveability, with high-speed internet connectivity now an essential feature of any smart urban development.

The Department published a Smart Cities Framework (SCF) to support all those involved in the planning and implementation of smart city initiatives. The SCF supports DCoG in its efforts to guide and coordinate smart city initiatives implemented at municipal level throughout the country.

It further emphasises the critical characteristics of South African cities and towns that need to be considered when planning and implementing smart city initiatives. The unique South African context calls for local and tailor-made interventions to develop settlements that are not only smart but also inclusive. Any smart city initiative should contribute to the well-being of ordinary city dwellers and support the broad national vision for human settlements outlined in the National Development Plan (NDP) and the Integrated Urban Development Framework (IUDF), among others.

The South African Cities Network has found that many cities in South Africa have access to many types of data from many

sources but lack a coherent and consistent data culture and strategy across municipalities. To address challenges with city-level data, the SACN has produced a common data framework for cities and an open data almanac. The SACN promotes the idea that if cities are to leverage smart city initiatives, such as metering and automation, and to promote a data culture and data maturity in decision-making, an understanding of data management and improved data management systems is necessary.

Ecological Environment

Disaster Risk Management

The Sendai Framework for Disaster Risk Reduction 2015-2030 (Sendai Framework) advocates for the substantial reduction of disaster risk and losses in lives, livelihoods, and health, as well as for the economic, physical, social, cultural, and environmental assets of persons, businesses, communities, and countries. The Sendai Framework recognises that the State has the primary role to reduce disaster risk, but that responsibility should be shared with other stakeholders including local government, the private sector, and other stakeholders.

The recent tropical storm Eloise, that negatively affected a total of 7 provinces and 31 Districts, provides evidence and continued motivation to improve capacity to promote disaster risk reduction. The impact varied in different provinces but mainly resulted in the following:

- Deaths and missing people (54 and 5 respectively)
- Infrastructural damage to houses, roads, schools, bridges, and water pumps.
- Agricultural damage was also incurred to various crop areas.

Disaster risk reduction (DRR) is already a central focus of the Disaster Management Act and the National Disaster Management Centre, together with those centres established at provincial and municipal level, is assuming the role of coordinating, promoting, and facilitating DRR.

Climate change

As indicated by the International Federation of Red Cross and Red Crescent Societies, South Africa is a water-stressed country and lack of sufficient water is the most significant resource constraint on development. Climate change projections over the next 50 years predict that the western parts of the country will become drier and the eastern parts wetter, with an increase in temperatures in the interior and



increased frequency of extreme weather events. In terms of natural and human-induced hazards, South Africa is mostly affected by floods, droughts, and fire. Droughts are considered the most relevant hazard in terms of economic losses in South Africa and the agricultural sector in South Africa is experiencing severe impacts due to droughts.

Recent research² on the response of small and intermediate cities to climate change found that municipalities of small cities in South Africa generally have the appropriate powers and strategies to address issues of urban sustainability. The challenges are with prioritisation and implementation, mainly caused by, firstly, the general lack of awareness of urban sustainability compared to challenges such as infrastructure provision and economic growth, and secondly, perverse financial incentives (such as income from the sale of electricity and water, and property taxes from new developments) that tend to perpetuate unsustainable urban patterns and practices. The fact that multiple levels of government are involved, and environmental issues cut across municipal boundaries, further complicates matters. The research found that addressing climate change and environmental sustainability in smaller cities is complex, because the smaller economies and small revenue bases of those cities often mean that local governments in small cities have considerably less capacity than is the case with local governments in big cities.

National Security Water Framework

Recent challenges to water supply in the Western Cape, Eastern Cape, and KwaZulu-Natal raise concerns about the robustness of water planning, management, and implementation strategies. The NPC has identified a few incomplete actions concerning water management, including the need to:

- Finalise the implementation plan for institutional establishment and rationalisation for water resource management, with full implementation;
- Initiate a comprehensive financing and investment programme for water resource development, bulk water provision, and wastewater management; and
- Address poor progress with Water Allocation Reform to address equity in water allocations and enhance water resources management.

According to the NPC, water management, has grown in complexity to meet the challenges of climate variability, climate change, population growth, and socio-economic development needs. Although South Africa's water legislation gives guidance on water management, implementation has been weak and a clear understanding of roles and responsibilities in implementation is required.

The NPC has released a National Water Security Framework (NWSF) for South Africa in July 2020. The core purpose of the NWSF is to provide an overall perspective of the scope of the water and sanitation business to provide a comprehensive schedule of actions needed to address present challenges, to estimate the investments required to ensure effective water resources and water and sanitation services delivery, as well as to facilitate effective integrated investment planning, implementation of actions, and evaluation of achievements.

Energy generation by municipalities

A report published by SALGA (*Status of Small-Scale Embedded Generation (SSEG) in South African Municipalities, November 2020*), indicates an increase in municipalities involved with Small-Scale Embedded Generation. This refers to a generator with a maximum of 1 MW production capacity, and is installed by electricity customers on residential, commercial, agricultural, or industrial properties. The number of SSEG installations in South African distribution network areas is increasing. Most of the energy generated through SSEG in South Africa is from solar photovoltaic (PV) systems, which is a low-carbon energy source, and thus supports climate change mitigation.

The number of municipal distributors allowing SSEG onto their networks has been rising steadily since 2016, showing a significant annual growth rate from 10 in 2016 to 56 in 2020. According to SALGA, the growth has been influenced by capacity building efforts to support municipalities, enabling legislative frameworks, as well as an increased willingness of many municipalities to embrace the energy transition. The Western Cape has the highest proportion of municipalities allowing SSEG, largely due to support provided by the provincial government through GreenCape as their implementing agent. Of the 282.1 MW installed by municipalities, most are in Gauteng (129 MW), followed by the Western Cape (64.3 MW).

² Simon, D.; Vora, Y.; Sharma, T. and Smit, W. Responding to Climate Change in Small and Intermediate Cities: Comparative Policy Perspectives from India and South Africa. *Sustainability* 2021, 13, 2382. <https://doi.org/10.3390/su13042382>



Legal Environment

Enforcing service delivery

The most significant court case concerning municipal service delivery during 2020/21 was the Kgetlengrivier Concerned Citizens & Another v Kgetlengrivier Local Municipality & Others (Interim order & agreement between parties).

The High Court of South Africa North West Division granted the Kgetlengrivier Concerned Citizens control of the water and sewage works after an urgent application was launched regarding intermittent water supply and raw sewage running into the Koster and Elands rivers. The High Court found that the Kgetlengrivier Local Municipality was in breach of “its obligations to prevent contamination of the environment whilst allowing raw sewage to spill”. In addition, the Court found that both the local municipality as well as the district municipality, Bojanala Platinum District Municipality, were in breach of their “constitutional obligations for providing potable water sustainably”.

The court issued an interim order that the municipal manager be jailed for 90 days, unless the municipality urgently restored water services and stopped sewage flowing into the local rivers. It also ordered that, unless the municipality acted quickly, a local residents’ association could take control of the water and sewerage systems. The state would then have to pay the residents for doing the municipality’s job.

Shortly afterwards, the residents took over delivery of water and sanitation and managed to restore both systems to working order. The municipality strongly objected to the take-over, but in January the court sided with the residents and endorsed their control of the services. An agreement was reached, and made a court order on 12 January 2021, that an implementing agent must be appointed to run the water and sewage works. In terms of this agreement Magalies Water has been appointed for the “operation and maintenance of water and wastewater treatment plants for a period of three years”.

The judgement could be considered not only a serious indictment on the municipality, but also on the ability of North West Province to provide support or oversight.

Municipal debt to Eskom

In the case of Eskom Holdings SOC Ltd v Resilient Properties (Pty) Ltd and Others and Eskom Holdings SOC Ltd v Sabie Chamber of Commerce and Tourism and Others, the Supreme Court of Appeal found in December 2020 that Eskom did not have the legal authority to cut off the municipalities that owe

money for the electricity they have on-sold to consumers. The court found that Eskom’s decisions to terminate electricity supply to extract payment from defaulting municipalities were irrational. One of the most important aspects of the ruling is the finding of a lack of intervention by the national and provincial governments. The judges pointed out that the law requires national and provincial government intervention in situations like this.

Municipal governance

In the case of The Premier for the Province of Gauteng and Others v Democratic Alliance and Others (Case no 394/2020) [2020] ZASCA 136 (27 October 2020), the Supreme Court of Appeal (the SCA) dismissed the appeal of the appellants, the Premier for the Province of Gauteng (the Premier), the Executive Council for the Province of Gauteng (the Gauteng EC) and the MEC for Co-operative Governance and Traditional Affairs (the MEC), against the decision of the high court to grant an order enforcing the order granted by the full court on 29 April 2020 pending finalisation of the appeal processes.

The case arose from a decision (the dissolution decision) of the Gauteng MEC to dissolve the Council of the City of Tshwane Metropolitan Municipality (the Tshwane Council) and appoint an administrator to run its affairs in terms of s 139(1)(c) of the Constitution. This case highlights the need for provincial governments not to pursue interventions without due consideration to the merits and the impact of the decision.

In another case the Democratic Alliance (DA) took the Nelson Mandela Bay Metro City to Court to force the City to fill the positions of the Municipal Manager (MM) and the Mayor. The Speaker has filed an application for leave to appeal and the application was heard on 16 October 2020. The court ordered the Speaker of the Municipality to convene a council meeting and to ensure that the process of appointing an Executive Mayor was concluded.

The High Court case on the City of Tshwane confirmed the importance of attendance of meetings by councillors and the non-disruption of proceedings. In the 2020 case, Democratic Alliance and Others v Premier for the Province of Gauteng and Others, councillor attendance was at the heart of the dispute. The Municipal Council of the Tshwane Metropolitan Municipality was unable to meet and elect an Executive Mayor. This was because a group of councillors refused to come to meetings, or would walk out of the meeting shortly after it had started. Judge President Mlambo of the Gauteng High Court had this to say about that:



“... the importance of serving in a municipal council is that party political affiliation and agendas are eschewed for the greater good of the communities served by those councils. ... [E]very municipal councillor must comply with the Constitutional injunction to municipalities, to prioritise the basic needs of local communities and to provide the basic minimum services to all members of such local communities. The uncontested evidence in this case is that the overarching injunction was lost to the councillors who instead prioritised their own party-political agendas and brought the council to its knees. Walking out of council meetings and disrupting such meetings in a concerted manner could never have been in the interests of the Tshwane local community.”

Ethical environment

Ethical behaviour and anti-corruption

Section 195(1) of the South African Constitution sets out the basic values and principles governing public administration, including a high standard of professional ethics, accountability, and transparency. The NDP vision for 2030 is a South Africa which has zero tolerance for corruption, and in which an empowered citizenry has the confidence and knowledge to hold public and private officials to account, as well as one in which leaders hold themselves to high ethical standards and act with integrity.

An important initiative of the government in 2020 was the establishment of the fusion centre aimed at collaborating and coordinating the efforts of state law enforcement institutions to prevent, detect, and respond to allegations of corruption or related activities in respect of the COVID-19 pandemic. The fusion centre includes the Financial Intelligence Centre, Independent Police Investigative Directorate, National Prosecuting Authority, South African Police Services – Crime Investigation division and Detective services, Directorate of Priority Crime investigations, South African Revenue Service, Special Investigating Unit, State Security Agency, and National Intelligence Coordinating Committee.

Poor management of funds at local government level

The former Auditor-General Kimi Makwetu said in his final report that, “The safe and clean hands that can be relied upon to look after the public’s finances in local government are few and far between.” According to the AG, not having a grip on financial affairs, not having access to accurate performance information, or having a culture where the rule of law is not respected creates a fertile environment for inappropriate

management of public resources. This can range from not having accurate information available for decision-making to the other extreme –full-scale corruption.

The 2018/2019 Audit Report on Local Government indicated that the lapse in oversight and lack of controls relating to compliance were evident in a number of areas, including supply chain management, adding that compliance with supply chain management legislation had regressed over the past few years, with only 2% of municipalities fully complying. The problem is compounded by a deliberate lack of accountability by the political and administrative municipal leadership in many of these struggling municipalities, where there are no consequences for maladministration.

For the 2018/19 financial year, the following was observed:

- Regression in audit outcomes for a third consecutive year (76 municipalities regressed, while 31 showed improvement).
- 20 municipalities obtained unqualified opinions without findings compared to 18 municipalities in 2017/18.
- Most of the unqualified audit opinions without any emphasis of matter were achieved by municipalities in the Western Cape (13 of the 20).
- No metropolitan municipality (Metro) received unqualified audit opinions without any emphasis of matter.
- There were 14 local municipalities and 6 district municipalities that received unqualified audit opinions without any emphasis of matter.

2.2.2 SERVICE DELIVERY IMPROVEMENT PLAN

The Service Standards, as outlined in the Service Delivery Improvement Plan (SDIP) have been displayed at strategic points within the Department. While the Department is in a process of developing a new service delivery model that is aligned to the District Development Model, we continue to adhere to the service standards.



The following tables highlight achievements against the standards for 2020/21:

Main services	Beneficiaries	Current/actual standard of service	Desired standard of service	Actual achievement
Payment of suppliers	Service Providers	All service providers will be paid within 30 days of receipt of correct invoices	Where invoices do not comply with requirements, this will be communicated to the service provider within 5 working days after the initial submission	This standard is included as part of the Annual Performance Plan targets. there is some improvement, By the end of the financial year 97% (764 of 789) of valid invoices were processed within 30 days by 31 March 2021.
Oversight	Minister and Cabinet	Cabinet memos were submitted 7 days before cabinet committee meetings	Cabinet memos are submitted 7 days before cabinet committee meetings	Achieved
	Ministers and MECs	MINMEC and Inter-ministerial Committees convened	MINMEC and Inter-ministerial Committees convened	Achieved
Performance monitoring	Minister, client sister departments	Signed-off quarterly performance reports are submitted to DPME and NT 30 days after end of each quarter	Signed-off quarterly performance reports are submitted to DPME and NT 30 days after end of each quarter	Achieved
M&E forums	Provincial Departments of COGTA	M&E forums for national and provincial departments convened quarterly	M&E forums for national and provincial departments were convened quarterly	Achieved, Quarterly M&E forum engagements were held with Provincial M&E Counterparts and included Officials from DPME
Compliance	Minister and Office of the Public Service Commission	All employees disclose their financial interest and Submit to the Office of the Public Service Commission	All employees disclose their financial interest and Submit to the Office of the Public Service Commission	Achieved
Governance	Members of the public		Funded vacant posts are filled within 6 months after an advert's closing date	The post of the DDG Corporate Services and Chief Financial Officer were filled however the Post of DDG for Institutional Development and DDG CWP are still not filled pending the alignment of the Organisational Structure to the Departmental Strategy
	Members of the public	Fraud and corruption are investigated within 21 days	Fraud and corruption are investigated within 21 days	Achieved

Batho Pele arrangements with beneficiaries (Information and access)

Current/actual arrangements	Desired arrangements	Actual achievements
Accessible information through the DCoG website	DCoG website updated regularly for access by members of the public and client departments	Achieved regular updates done
Accessible information through the DCoG call-in and walk-in and email enquiry	DCoG information enquiry and interaction through Social Media	DCoG available on social media platform Facebook, Twitter, LinkedIn, GOVCHAT, and Instagram

Service delivery information tool

Current/actual arrangements	Desired arrangements	Actual achievements
Work-in and suggestion boxes for Customer complaints	Electronic Government and Community interactive platform	GOVCHAT rolled-out in identified municipalities - a WHATSAPP community engagement platform for lodging complaints and making follow-ups

Complaint's mechanism

Current/actual arrangements	Desired arrangements	Actual achievements
Members of the public can access us through e-mail services, telephone calls, written correspondence, and the Presidential Hotline administered by the Presidency	Members of the public can access us through e-mail services, telephone calls, written correspondence, and the Presidential Hotline administered by the Presidency	Achieved, queries, enquiries, and complaints are received from the public and attended to

2.2.2 Organisational environment

During the year under review, the post of the DDG Corporate Services and Chief Financial Officer became vacant and were filled, however, the Post of DDG for Institutional Development and DDG Community Work Programme are still not filled pending the alignment of the Organisational Structure to the new departmental Strategy.

2.2.3 KEY POLICY DEVELOPMENTS AND LEGISLATIVE CHANGES

a) District Development Model (DDM)

Cabinet approved the DDM as a government approach to improve integrated planning and delivery across the three spheres of government with district and metropolitan spaces as focal points of government and private sector investment.

The DDM is a government approach to improve integrated planning and delivery across the three spheres of government with district and metropolitan spaces as focal points of government and private sector investment. The envisaged integrated planning and delivery in the district and metropolitan sites will be enabled by joint planning, budgeting, and implementation processes. The DDM is anchored on the development of the "One Plan". The One Plan is an intergovernmental plan setting out a long-term strategic framework to guide investment and delivery in the 52 district and metropolitan space. This plan is meant to be jointly developed and agreed to by all spheres of government.

During the 2020/21 financial year, the Department had led a process of -

- Establishing and resourcing DDM Hubs in the 3 pilot sites. The Hubs will serve as a functional network bringing

together existing capacity building, research and knowledge resources, and enabling innovative practices.

- Developing of DDM implementation framework to guide the implementation of the DDM. The framework also set-out milestones to be implemented in the short, medium and long-term.
- Developing the DDM implementation tools including guidelines for the development of One Plans.
- Facilitating strategic partnerships with several organisations such as the Public-Private Growth Initiative (PPGI), the United Nations (UN), etc. to support the implementation of the DDM.

b) Integrated Urban Development Framework (IUDF) Implementation Plan 2020-2021

The IUDF was approved by Cabinet in the year 2016 together with an Implementation Plan (2016-2019) that assigned responsibilities for the implementation of particular elements to various departments. Subsequently, considerable progress has been made with its implementation and introduced as a policy framework to foster cooperation across the urban landscape, applying a Whole-of-Society approach aimed at co-operation that achieves better urban outcomes, hence reference to offering a different approach (**A New Deal**) to driving urban development through the Cities Support Program, the intermediate Cities Program, and the Small-Town Regeneration Program. The IUDF outlines a vision for South African cities and towns to create liveable, safe, integrated, economically inclusive, and globally competitive cities and towns where residents actively participate in urban and rural life. It also explains challenges and provides a strategic framework for making cities and towns more resilient, liveable, and inclusive.



The IUDF's overall outcome is to support and guide SA cities and towns (including small towns) to achieve spatial transformation – in other words reversing the inefficient spatial patterns in a way that promotes both social and economic development, while protecting the environment.

This framework addresses the unique conditions of the past apartheid spatial system in South Africa, and uniquely looks at Urbanisation and how to plan ahead with specific reference to spatial transformation.

In his first State of the National Address of the 6th Administration, the President announced the introduction of the District Development Model (DDM). The District Development Model is a critical and new approach that enjoins the three spheres of government to work in unison across 52 district spaces. It is in that light that the 6th Administration required a review and an improvement on the implementation of the IUDF.

The Department of Cooperative then undertook to develop The IUDF Implementation Plan 2020-2025. The IUDF 2020-2025 reinforces the alignment to the outcomes and objectives to that of the DDM. The linkages between the DDM and IUDF is of critical importance to ensure that the capacity of municipalities, as well as that of South African municipal fraternity, is up scaled for the COVID-19 environment and dealing decisively with the triple challenge of inequality, informality, and injustice that endures in our country.

The revised implementation Plan ensures buy-in and commitment to the urban agenda across the whole of society, which is a critical component if we are to achieve the stated urban vision to support the alignment of the DDM and the department's commitments to the MSTF and its Strategic Plan.

The IUDF implementation plan 2020-2025 reflects strategic and short-term priorities and aligns with the IUDF 74 Priorities and 9 policy levers, and has been approved by the Minister in March 2021.

The plan focuses on 6 strategic (action areas) (enablers) areas in its Implementation and is driven through the City Support Programme (CSP), Inter-mediate Cities municipalities (ICM), and Small-Town Regeneration (STR) Programmes:

- ✓ District Development Model
- ✓ Championship
- ✓ Partnering Model
- ✓ Seeding Action

✓ Peer-Learning Reviews

- ✓ Monitoring and Evaluation

c) Local Government: Municipal Structures Amendment Bill

The main objects of the Bill are to address the problems that have been experienced in the implementation of the Act since its inception, most notably around the administration and the management of municipal elections; to promote the effective and efficient implementation of the Act; and to strengthen oversight and governance in municipalities.

The amendments are, amongst others, intended to address electoral and governance-related matters as follows:

- (i) Clarify the term "declared elected" to be defined to provide a uniform interpretation of the date of assumption of duty, since there is presently misinterpretation with some councils interpreting it as the date of the election, and some councils as the date when the publication of the results in the government gazette takes place.
- (ii) The abolishment of the plenary-type of municipality and remain with the executive type of municipalities;
- (iii) Ensuring that the IEC will, in future, not be constrained by the seven days' period to call for a by-election as currently specified in the Electoral Commission Act, 1996, but will have recourse to apply to the Electoral Court for an extension to declare the result of the election of a municipal council;
- (iv) Requiring the municipal manager to inform the MEC and the IEC of the vacancy within 14 days of occurrence;
- (v) Authorising the MEC, after consulting the IEC, to call and set a date for by-elections (instead of the municipal manager) by Notice in the Provincial Gazette (instead of a local newspaper), so as to avoid delays;
- (vi) Allowing the MEC to deviate by not more than 20% if the geographical area of a municipality is greater than 20 000 square kilometres if less than 35 councillors are determined for the municipality by formula;
- (vii) Political parties are given two days to supplement their list, when the existing list of the party has fewer candidates that the party is entitled to. The main aim is to avoid delays for the IEC to declare the results of elections;

- (viii) A person removed from office as a councillor may not stand for re-election within 2 years after vacating office. The aim is to ensure that there is a “waiting” period for a person to be eligible to be a councillor again after such a person is removed from office as being a councillor;
- (ix) A person designated by the MEC must call a meeting in instances where the Speaker/ Acting Speaker refuses to do so. The aim is to ensure that the MEC intervenes in instances where a speaker or acting speaker of a municipal council refuses to call a meeting when required to do so;
- (x) Confirming the number of councillors that must be considered when determining the quorum for a meeting of the council;
- (xi) A presiding officer does not have a casting vote in respect of matters listed in Section 160(4) of the Constitution. These matters include passing of by-laws; approval of budgets; Imposition of rates and other taxes, levies and duties; and raising of loans;
- (xii) Strengthening the functions and oversight role of the Speakers. The amendment will clarify that only reports from Section 80 committees may be submitted to the Executive Committee (EXCO);
- (xiii) Creation of the Office of the Whip since presently there is no provision that provides for the appointment / election of a whip in council;
- (xiv) Ensuring that there is a uniform formula that will be implemented across the country for the composition of an EXCO. The formula is similar to the one in Schedule I of the Act. Political parties will be able to identify their own members to occupy seats in EXCO;
- (xv) Strengthening the powers and functions of Executive Mayors;
- (xvi) Ensuring that all municipalities must establish ward committees within 4 months after a “general” election of all municipalities (unless extenuating circumstances permit otherwise); and
- (xvii) Provide for the establishment of the Municipal Public Accounts Committees (MPACs) in terms of Section 79 of the Structures Act. The aim is to strengthen oversight and promote governance in municipalities.

The amendments to the Local Government: Municipal Structures Act are currently at an advanced stage. On 16

March 2021, the National Assembly considered the CoGTA Portfolio Committee report on the Bill and resolved to submit it to the President for assent.

It should be noted that the Local Government: Municipal Structures Amendment Act, 2021 will only come into operation on a date determined by the President by proclamation in the **Government Gazette**.

d) Independent Municipal Demarcation Authority Bill (Previously referred to as the “Local Government: Municipal Demarcation Bill”)

The main objects of the Bill are to address problems that have been experienced by the Municipal Demarcation Board (MDB) in the implementation of the Act since its inception; Strengthening of the role of the MDB; Provide an enabling environment for the Board to effectively perform its Constitutional mandate on the determination and re-determination of municipal boundaries; and ensure that processes are inclusive of all the relevant stakeholders (including general members of the public).

The proposed amendments to the MDA, amongst others, aim to:

- (i) Differentiate between the members of the Board and the organisation itself, and by so doing, now propose that the organisation be referred to as the Independent Municipal Demarcation Authority (hereafter referred to as “the Authority”);
- (ii) Indicate that the Chief Executive Officer (CEO) is the AO, and the Board is the accounting authority, for the purposes of the Public Finance Management Act;
- (iii) Require a Board member to have experience in or knowledge appropriate to Information Technology;
- (iv) Require the selection panel to include a designee each from the National Assembly, the National House of Traditional Leaders, and the Gender Commission;
- (v) Require that vacancies that arise on the Board may be filled by persons that were on the “long-list” that was submitted to the President, at the time of the establishment of the Authority;
- (vi) Clarify that the term of office of members of the Board is five years, calculated from the date of appointment by the President, but must not exceed a period ending six months after the term of the next local government;



- (vii) Ensure that all Board members serve on a part-time basis, except for the Chairperson, who may serve on a full-time basis;
- (viii) Ensure that major boundary redeterminations, which affects the movement of more than one whole ward in a municipality, will only be done after every ten years;
- (ix) Deviate above the present norm of 15%, to 30%, when delimiting wards, but within strict conditions to avoid the splitting of communities;
- (x) Provide for the establishment of the Demarcation Appeals Authority (DAA) to deal with disputes and appeals regarding decisions of the Board;
- (xi) Provide for more extensive public participation and stakeholder consultation for any redetermination;
- (xii) Set timeframes for boundary redeterminations and ward delimitation, taking into account the programme of the Independent Electoral Commission (IEC);
- (xiii) Migrate the functions of the Authority from the Municipal Structures Act to ensure that it's provided in a single piece of legislation; and
- (xiv) Provide for the Authority to make recommendations to Parliament on the alteration of provincial boundaries.

Due to the many amendments to the original Act, it has necessitated its repeal and for it to be replaced with a new Act.

On 17 June 2020, the Department published the Bill for public comments in Government Notice No. 332 of 2020 in Government Gazette No. 43440 with a closing date of 29 July 2020 for the submission of comments. The Department received a number of comments from stakeholders, and these were reviewed during engagements that were held on 9 and 14 December 2020.

In line with the requirement that before Bills can be introduced to Parliament a Socio-Economic Impact Assessment be conducted, the Department has engaged the Presidency in this regard. The Office of the Chief State Law Advisor has also been engaged for the purposes of vetting the Bill and issuing final certification.

e) Intergovernmental Monitoring, Support, and Interventions (IMSI) Bill and Regulations

The Constitution establishes a system of co-operative government in the Republic, constituted as national, provincial

and local spheres of government. In terms of the principles of co-operative government set out in Chapter 3 of the Constitution, each sphere of government must respect the constitutional status, powers, and functions of another sphere. Each sphere of government must exercise its powers and perform its functions in a manner that does not encroach on the geographical, functional, or institutional integrity of government in another sphere. All spheres of government must provide effective, transparent, accountable, and coherent government for the Republic as a whole and co-operate with each other by assisting and supporting one another. The national government and the provincial governments must, furthermore, support and strengthen the capacity of municipalities to manage their own affairs.

In order to ensure effective, transparent, accountable, and coherent governance in the Republic, the Constitution allows for invocation of interventions into the government affairs of one sphere of government by another sphere of government in circumstances where there is a failure to fulfil executive obligations imposed in terms of constitutional or statutory provisions. In this regard, the national executive is enjoined by the Constitution to intervene in the provincial government affairs by taking any appropriate steps to ensure the fulfillment of constitutional and legislative executive obligations. Similarly, the provincial executive is enjoined by the Constitution to intervene in the municipal affairs of government by taking any appropriate steps to ensure the fulfillment of constitutional and legislative executive obligations.

These processes are dealt with in terms of the provision of sections 100 and 139 of the Constitution. Section 100 provides that the national executive may intervene in a province if the province cannot or does not fulfil an executive obligation imposed on the province in terms of the Constitution or legislation. On the other hand, section 139 of the Constitution enables the provincial executive to intervene in a municipality if the municipality cannot or does not fulfil an executive or budgetary obligation imposed on the municipality in terms of the Constitution or legislation.

The Constitution furthermore makes provision for national legislation to regulate these interventions. Section 100(3) of the Constitution provides that national legislation may regulate the process established by section 100, and section 139(8) of the Constitution provides that national legislation may regulate the implementation of section 139 as well as the processes established by it.



Currently there is no national legislation regulating interventions in the provinces in terms of section 100 of the Constitution. In the case of municipalities, Chapter 13 of the Local Government: Municipal Finance Management Act, 2003, regulates section 139 interventions in municipalities, but only where the cause of the intervention is of a financial nature. There is no legislation to regulate interventions in municipalities arising from other causes.

This Bill is therefore intended to fill this void and to regulate interventions in terms of both sections 100 and 139 of the Constitution. However, in order not to encroach on the area already covered by the Municipal Finance Management Act, the Bill will apply to discretionary financial interventions and section 139(4) and (5) interventions only to the extent that the Bill's provisions are not inconsistent with the Municipal Finance Management Act, 2003.

f) Local Government Municipal System Amendment Bill

The Local Government: Municipal Systems Act, 2000 (the principal Act) was amended by the Municipal Systems Amendment Act, 2011 (Act No 7 of 2011) to, inter alia, provide for procedures and competency criteria for appointments of municipal managers and managers directly accountable to municipal managers. The Local Government: Municipal Systems Amendment Act 7 of 2011 ("the Amendment Act") was declared invalid and unconstitutional in the matter between the South African Municipal Workers' Union v Minister of Co-operative Governance and Traditional Affairs (CCT54/16) [2017] ZACC 7; 2017 (5) BCLR 641 (CC), on 9 March 2019 for want of compliance with the procedures as set out in section 76 of the Constitution. The declaration of invalidity was suspended for 24 months (9 March 2017 to 8 March 2019) to allow the Legislature an opportunity to remedy the tagging defect. The suspensive order terminated on 8 March 2019 before Parliament rectifies the defect, and the Amendment Act was declared unconstitutional and invalid on 9 March 2019.

A new Bill (the Amendment Bill) with the corresponding provisions as contained in the invalidated Amendment Act was introduced to Parliament on 6 February 2019 in terms of rule 159(8)(1) (a) and (b) of the Joint Rules of Parliament. The Amendment Bill was properly classified by the Joint Tagging Mechanism as a section 76 Bill which affects the functioning of provinces. The Amendment Bill lapsed on 7 May 2019 following the end of the Sixth Session of the Fifth Parliament

but was revived on 29 October 2019 during the First Session of the Sixth Parliament. After deliberating and consideration of the oral and written submissions from key stakeholders, the Portfolio Committee on Cooperative Governance and Traditional Affairs (CoGTA) unanimously agreed to the proposed amendment, including the insertion of a new clause prohibiting all municipal staff members from holding a political office in a political party. The National Assembly has accepted the Amendment Bill with amendments as effected by the Portfolio Committee on CoGTA and referred it to the National Council of Provinces (NCOP) on 4 December 2020 for concurrence. The enactment of the Amendment Bill is an important milestone in building a capable local government, professionalising local public administration through the setting of uniform standards, and strengthening governance in municipalities ahead of the forthcoming 2021 Local Government Elections.

During the 2020/21 financial year the Department continued with efforts to professionalise local public administration through the development of regulations and guidelines setting minimum competency requirements for staff below management echelon. The development of these regulations and guidelines represents a culmination of protracted and intensive consultations involving key stakeholders, including labour. The regulations have been approved for promulgation into law. The implementation of the regulations will ensure fair, efficient, effective, and transparent human resource management in municipalities. Further to the above, the regulations will form the basis for skills audit as well as training and development programmes while ensuring that all municipal staff members participate in the performance management system to maximise the ability of municipalities to achieve their objectives and improve the quality of life of their residents, especially those previously excluded from the basic municipal services. Generic human resource policies have been developed to ensure a seamless implementation of the regulations. The implementation of the regulations and policies will be trailed by capacity building sessions aimed at empowering councillors and municipal officials.

g) Intergovernmental Relations Framework Act, Section 47(1)(b) Regulations for the implementation of the DDM

The key emphasis of the District Development Model (DDM) and approach is the improvement and strengthening of intergovernmental planning processes within the ambit of existing Constitutional and legislative provisions. Section 47(1)



(b) of the Intergovernmental Relations Framework Act 13 of 2005, that mandates the Minister for COGTA to develop Regulations or Guidelines that will frame the coordination and alignment of development priorities and objectives across the three spheres of government, which will be used as a legal mechanism to ensure improved intergovernmental coordination of planning instruments and the institutionalization of the DDM in general.

In the 2020/21 financial year period, DCoG embarked on an extensive intergovernmental consultation process in engaging various stakeholders on the development of the section 47(1)(b) Regulations. The final product of these engagements was draft Regulations. The draft Regulations will be Gazetted in the 2021/22 financial year.

2.2.4 PROGRESS TOWARDS ACHIEVEMENT OF INSTITUTIONAL IMPACTS AND OUTCOMES

The Department contributes to the achievement of the 2019-2024 MTSF priorities through the following impact indicators and outcomes:

- % Trust in government;
- Clarification of institutional arrangements for the District Development Model;
- % Of districts and metros with joined-up long-term plan (government, business, civil society);
- Monitor implementation of the District Development Model plans through an Integrated Monitoring System for accountability; and
- Number of cities identified for redesign and refurbishment as smart cities.

The following progress has been made by the Department in implementing the outcomes, indicators, and targets set out in the MTSF 2019 – 2024.

Outcome: Improved governance and accountability

The Intergovernmental Monitoring, Support, and Intervention (IMSI) Bill, including accompanying comprehensive Regulations, have been finalised, and stakeholders are consulted on the Bill. After consultation, the Bill will be presented before the State Law Advisor to test their constitutionality certification and will simultaneously be presented to the DPME for a “socio-economic impact assessment” certificate.

Outcome Functional, Effective and Integrated Government

With regards to the implementation of the District Development Model (DDM), the profiles for the forty-four (44) Districts and eight (8) Metropolitan Municipalities have been developed. The draft One Plans for the three pilot sites have been developed. The development of One Plans for the 42 districts and 7 metros is expected to be completed by July 2021. The District Ministerial Champions have been appointed by the President. The district hubs and shared services in the pilot municipalities have been established. Government is accelerating infrastructure investment and service delivery through the DDM.

The DDM processes are bringing all three spheres of government to focus on key priorities and implementation of critical high impact projects. The innovative partnerships are being developed with stakeholders to improve and accelerate implementation of the DDM. The DDM is progressing in the three pilot spaces, which demonstrates intergovernmental coordination in action. It is proving how projects and resources can be unlocked with the appropriate focus and how government can plan and prepare better for the future.

During the period under review, the Department has been focusing more on the National Disaster Management Centre (NDMC) Operational Centre that has been activated and reports on the implementation of Government COVID-19 Response Plans are produced regularly and submitted to the Department of Planning, Monitoring and Evaluation (DPME).

Outcome: Social compact and engagement with key stakeholders

The Department introduced the GovChat, which is a social media community engagement platform that the Department is implementing as part of its public participation mandate. Through GovChat, citizens were able to log requests for municipal services directly with municipalities; know their ward councillor and traditional leader; rate and report over 60,000 government facilities country-wide. In response to the COVID-19 pandemic, the members of the public used GovChat, to access locations for testing facilities, reporting on violations of COVID-19 regulations and applied for SASSA Social Relief of Distress Grant. The platform also feeds COVID-19 related data directly to the National Disaster Management Centre (NDMC), Department of Health and other government entities on request.



Regarding facilitating participatory governance in municipalities, an additional 113 municipalities were supported to have functional ward committees to date, cumulative to the municipalities supported in the previous years. The review of the legislative framework for ward committees has been incorporated into the Local Government: Municipal Structures Amendment Bill. The accredited ward committee skills programme is being implemented in the Mpumalanga and Free State Province. One (1) municipality in the Free State Province and Ten (10) municipalities in the Mpumalanga Province have completed their in-contact training.

Outcome: Coordinated, integrated, and cohesive national spatial development guidance to enable economic growth and spatial transformation.

A Smart Cities Framework (SCF) has been finalised, in line with the commitment made by the Department of Cooperative Governance for the 2020/21 financial year.

Seven Intermediate Cities have been supported to develop Capital Expenditure Framework (CEF) through the Integrated Urban Development Grant (IUDG). The draft Capital Expenditure Frameworks (CEFs) have been developed for 5 more intermediate city municipalities (i.e., George, Newcastle, Ray Nkonyeni, Metsimaholo and Merafong municipalities).

Outcome: Integrated service delivery, settlement transformation, and inclusive growth in urban and rural places

The development of the districts One Plans will be concluded in 2021/22 financial year. Government is accelerating infrastructure investment and service delivery through the DDM. The DDM processes are bringing all three spheres of government to focus on key priorities and implementation of critical high impact projects.

Innovative partnerships are being developed with stakeholders to improve and accelerate Implementation. The DDM is progressing in the 3 Pilot spaces, which demonstrates intergovernmental coordination in action.

It is proving how projects and resources can be unlocked with the appropriate focus and how government can plan and prepare better for the future.

OR Tambo District Municipality

Infrastructure Grant:

The Municipal Infrastructure Grant have allocated R2,9 billion over the 2020 Medium-Term Expenditure Framework

to OR Tambo District and R2 billion to the relevant Local Municipalities totalling R 900 million. This will be funding various water, sanitation, roads, and community amenity projects. As at the end of December 2020, the District Municipal space has spent 22% of the R907 million of the 2020/21 allocation. Progress on the following projects:

- 19 water projects to the value of R 3,4 billion to benefit 291 000 beneficiaries;
- 12 sanitation projects to the Value of R339 million to benefit 21 000 beneficiaries;
- 47 roads projects to the value of R572 million; and
- 12 community facility projects to the value of R173 million.

Waterberg District Municipality

Waterberg District and relevant Local Municipalities. This will be funding various water, sanitation, roads and community amenity projects. As at the end of December 2020, the District Municipal space has spent 45% of the R307 million of the 2020/21 allocation. Progress on the following projects:

- (i) 12 water projects to the value of R 448 billion to benefit 80 000 beneficiaries;
- (ii) 1 (rehabilitation) sanitation project to the value of R2 million to benefit 25 500 beneficiaries;
- (iii) 12 roads projects to the value of R254 million;
- (iv) 5 community facility projects to the value of R64 million;
- (v) 2 solid waste projects to the value of R80 million; and
- (vi) 8 street lighting projects to the value of R18 million.

Partnerships

- (i) PPGI – Assisted with the development of Waterberg Economic Recovery Plan.
- (ii) Engagements with key sector stakeholders to finalise projects for implementation in key sector, namely, tourism, agriculture, and mining.
- (iii) Global Business Services is investigating the possibility of establishing a call centre in Waterberg.
- (iv) NBI – has established a Mentorship and Training programme for senior managers of the Waterberg District Municipality.
- (v) UN – as part of its goal to enhance economic & social development, the UN met with Waterberg District Municipality & Hub on 4th February 2021 to finalize the projects which are grouped into 3 categories:



- Unlocking Economic Value Chains;
- Human Capital Development; and
- Service Delivery Enhancements.

(vi) Hollard Foundation Trust – is finalising an MoU aimed at improving the coordination and delivery of ECD services within the Waterberg District, OR Tambo, and eThekweni.

– COGHSTA Partnership

(i) The Department is managing the Hubs in collaboration with DBSA which has been established by COGTA. The hub is facilitating and managing the development of One Plan and Coordinated Capacity Building Plan for the Waterberg DDM Pilot District;

(ii) PMUs were established in Limpopo Province in partnership with the Limpopo Provincial Department of Cooperative Governance, Human Settlements, and Traditional Affairs (COGHSTA). The PMU will manage all the DBSA projects in the province and also offers technical support to COGHSTA. Nine (9) projects in four municipalities within the Waterberg District region are being implemented and these include:

- Belabela - Energy / Electricity Master Plan, Management Plan to reduce Non-revenue Electricity, Water Service Master Plan, Water Service Development Plan
- Lephalale – Revenue Enhancement Programme
- Modimolle Mookgopong – Township Establishment
- Mogalakwena – Sanitation Master Plan, Water Service Development Plan & Roads and Stormwater Master Plan
- Waterberg - Regional Infrastructure Master Plan, GIS and Integrated Water Development Management.

eThekweni Metro

In the eThekweni Metro, a number of tangible actions have been undertaken.

I. **Operation Good Hope** as a multi-disciplinary Blitz operation is being implemented in priority areas to:

- Improve the pace, frequency, and quality of the response to service delivery faults that have been identified;
- Improve cleanliness of the city by focusing on poor service delivery pressure points in the primary and secondary towns and townships; and

- Mobilise city staff and public at large around taking care of city facilities and improving the culture of civic pride.

Regeneration of the **Inner City and the Isipingo CBD** has started and will roll out further into various **secondary CBDs and townships** addressing sewer spillages, potholes, overgrown grass, crime and grime, uncontrolled informal trade, bad buildings, poor enforcement, and traffic congestion.

Upgrading of 581 Informal Settlements

The city is providing incremental services including water, sanitation, roads and foot paths in 581 informal settlements.

COVID-19 Interventions

A number of COVID-19 measures have been implemented including:

- Disinfection and Sanitisation including across 581 informal settlements and public transport facilities.
- Establishment of **12 Temporary shelters for the Homeless.**
- Reinforcing social grant and national relief measures, a social relief programme was undertaken providing food hampers and vouchers covering all wards.
- Economic recovery actions especially around **re-starting tourism** and protecting tourism jobs. The city managed to keep tourism sector going to a significant extent over the December holiday period.

Reimagining eThekweni as a Smart Port City

Immediate improvements to the Durban Port have been undertaken to increase efficiency and cargo turnaround times. This is being done through the use of Technology and management systems.

More significantly, the DDM is consolidating a shared vision across government and stakeholders to convert the Port endowment into a strategic competitive advantage for the country. Through the DDM, eThekweni is being developed as a dynamic economic space for the country and as a key Export Hub. The development of the eThekweni as a Smart Port City contributes to implementation of our National Economic Recovery Plan by:

- Stimulating Infrastructure Investment -Several high impact Catalytic Projects across the City estimated at R132 Billion providing over 200 000 construction jobs, and 10 000 permanent jobs have already been packaged. The mobilisation of funding and implementation is being



coordinated under the DDM together with a series of massive upgrading projects under the Transnet Port Master Plan.

- Expanding industrialisation, manufacturing, and localisation.
- Improving participation of township enterprises, cooperatives, and SMMEs into the export manufacturing value chains.
- Stimulating manufacturing sector not only within eThekweni but in neighbouring municipalities and beyond. The National Spatial Development Framework which places eThekweni strategically within the Eastern Coastal corridor linking Richards Bay in the north to Nelson Mandela Bay in the south. The OR Tambo pilot lies within this corridor which can be integrated into the manufacturing strategy. Similarly, the freight corridor between eThekweni and Gauteng can integrate numerous places like Steve Tshwete (Middleburg, Witbank) into the manufacturing and export strategy ensuring lower costs and quicker turnaround time.

Projects across the City estimated at R132 Billion providing over 200 000 construction jobs, and 10 000 permanent jobs have already been packaged. The mobilisation of funding and implementation is being coordinated under the DDM together with a series of massive upgrading projects under the Transnet Port Master.

Outcome: Green House Gas reduction (mitigation)

The target on '100% reduction of losses of human life, livestock, crop, houses, and other infrastructure' due to climate change disasters is not achievable due to the inability to have a 100% reduction in disaster losses. The focus is to prevent the occurrence of disasters, but it is also recognised by the Disaster Management Act of 2002 that there is a need for mitigation and preparedness if you cannot prevent a particular occurrence. Accordingly, it is strongly recommended that the target be removed as COVID-19 has also exemplified the difficulty of 100% reduction in mortality in the face of disasters. The Outcome is also not aligned to the indicators as disaster risk reduction work is primarily driven through climate change adaptation not climate change mitigation.

A total of 08 municipalities were supported with the assessment and analysis of disaster management plans to ensure that they are addressing the hazards (disaster risks) that the municipalities are vulnerable and exposed to including COVID-19 pandemic. The supported municipalities are eThekweni, Nelson Mandela, City of Cape Town, Ekurhuleni,

City of Johannesburg, Westrand and John Taolo Gaetsewe District Municipality. The two district municipalities together with the above-mentioned metros were also supported in responding to COVID-19 pandemic through reprioritisation of funding and release of disaster relief grants. The priority areas were sanitation, waste management, decontamination of specific selected public spaces, PPE, and hygiene packs.

The District One Plans will incorporate infrastructure plans readiness for climate change and disasters. MISA, NDMC and other Sector departments will be engaged to mainstream climate change and disaster risk mitigation measures in the planning processes.

2.2.5 INSTITUTIONAL PROGRAMME PERFORMANCE INFORMATION

Programme I: Administration

Purpose: to provide strategic leadership, management, and support services to the Department.

The Programme contributes to the achievement of Outcome: Effective and Efficient governance systems to drive implementation of the DDM through the following sub-programme:

Ministry: During the financial year under review, the Office of the Minister has provided Administrative, Parliamentary, Cabinet support, Communication, and Media Liaison to the Minister and Deputy Minister.

Management: The Office of the DG

During the period under review, the Office of the Director-General has coordinated and convened 51 Intergovernmental Relations forums which included President's Coordinating Council (PCC), COGTA Minister and MEC meetings (MINMEC), as well as 12 Executive Management Committee (EXCO) meetings and 09 Audit Committee meetings.

The Department of Cooperative Governance continue to support the implementation of South Africa's foreign policy priorities in the SADC region, Africa, and the rest of the world. During the period under review, the Department, through the ODG, participated in three major multilateral fora namely SADC, United Nations, and BRICS.

Seven Memorandum of Understandings were implemented between DCOG and the following countries and organisations, namely Palestine, Germany, Commonwealth Local Government Forum, German Co-Operative Raiffeisen



Confederation, National Business Initiative, World Resource Institute and OXFAM.

Internal Audit and Risk Management:

The sub-programme has managed to provide assurance and consulting services to the Department through the full implementation of the approved internal audit plan for the 2020/21 financial year. The progress against the plan was reported to management and the Audit committee on quarterly basis.

The sub-programme has performed follow up audits on prior year audit findings on a monthly and quarterly basis to verify progress on implementation against management action plans.

A total implementation of 68% agreed management action plans as at 31 March 2021 was achieved.

The Risk Management sub programme conducted the strategic and operational risks of the Department. The risk action plans were monitored on a monthly and quarterly basis and reported to Management the Audit Committee through the Accounting Officer's report.

An external Risk Management Committee Chairperson was appointed, and the Risk Champions structure was established and functional. Risk management training, awareness programmes and initiatives to embed risk management were also undertaken continued during this period

Main services	Beneficiaries	Current/actual standard of service	Desired standard of service	Actual achievement
Compliance	Minister and Office of the Public Service Commission	All employees disclose their financial interest and submit to the Office of the Public Service Commission	All employees disclose their financial interest and submit to the Office of the Public Service Commission	A total of 109 (100%) of employees disclosed their financial interests for the 2019/20 FY and submitted to the Office of Public Service Commission.
	Members of the public	Fraud and corruption are investigated within 21 days	Fraud and corruption are investigated within 21 days	There were no fraud and corruption cases received during the 2020-21 financial year.

Corporate Services: The Sub-programme has achieved the following through its components:

Human Capital Management: The Chief Directorate facilitated the filling of 37 job evaluated and funded posts in line with the public service Act and the Public Service Regulations, 21 of which were permanent and 16 were on contract. The workplace skills plan for the 2020/21 year including the 2019/20 Annual training Report, expenditure report was submitted to PSETA. A total of 11 generic programmes emanating from the Workplace Skills Plan to the value of R 238 870.00 were implemented based on which three quarterly reports were submitted to PSETA. A total of 43 bursaries were awarded to staff members and appointed a total of 25 interns for a period of 2 years effective from September 2020 the late start was due to the outbreak of the COVID-19 pandemic.

In the 2020/21 performance cycle, staff members were assessed and subsequently moderated for the 2019/20 cycle. Effective staff members on salary levels 1-12 were paid performance incentive in a form of pay progression. Highly effective staff members could not be paid since the moderation process for highly effective performers could not be finalised. Staff members submitted their 2020/21 performance agreements.

In caring for employees' health and wellness, the sub-programme continued to provide health and wellness support in line with the Employee Health and Wellness framework from DPSA in partnership with health care service providers such as the South African National Blood Services (SANBS) and the Government Employee Medical Scheme (GEMS). Provided support and health care services to address the outbreak of COVID-19 to employees who were kept abreast with information on how to deal with the pandemic. Services such as voluntary health screening (e.g., Blood pressure, cholesterol, stress, and heart disease) were also provided. Counselling and support provided to employees who tested positive for COVID-19.

Information Communication Technology Management:

To improve efficiency in the Department, several business processes were automated, namely:- Information Communications Technologies (ICT) Service request, Network access, and Equipment request. Departmental officials were migrated to Microsoft online services to access departmental documents and emails while working at home.

Performance Management System process was mapped and prepared for automation, however, new changes were implemented on the template



The new Intranet was officially launched, however, it is in a process of further development to make it an official site for knowledge management.

Legal services: During the period under review, Legal Services provided legislative drafting and review support services, management of all litigation matters against and/or by the Department, as well as contract review, drafting, and management support. Legislative drafting support was provided to the ID Branch in the drafting of the amendments to the following legislations administered by the Minister:

- Local Government: Municipal Structures Act;
- Municipal Demarcation Bill (now referred to as the Independent Municipal Demarcation Authority Bill); and
- Local Government: Municipal Systems Act.

Support was also given to the NDMC in the drafting of the Disaster Management Regulations (the Regulations) during the COVID-19 Lock Down. This activity was performed at the Legal Work Stream of the National Joint Operational and Intelligence Structure (NatJoins), where the Regulations were developed. In addition to giving support during the drafting of the Regulations, Legal Services was involved in providing technical support to the drafting and gazetting Directions issued in terms of the Regulations that were administered by the Department which included the following:

Directions issued to Provinces and Municipalities in Government Notice No. 399 of 25 March 2020, which were subsequently amended in Government Notice No. 432 of 30 March 2020, Government Notice No. 510 of 07 MAY 2020 and Government Notice No. 748 of 03 July 2020;

Directions on the Once-off Movement of Persons and the Transportation of Goods for Purposes of Relocation which were issued in Government Notice No. 513 of 07 May 2020 and later repealed by Directions on the Once-off Movement of Persons and the Transportation of Goods for Purposes of Relocation which were issued in Government Notice No 534 of 14 May 2020;

Directions on Religious Gatherings and Persons Entering Places of Worship issued in Government Notice No. 609 of 2020 and amended in Government Notice No. 1052 of 01 October 2020; and

Directions on Weddings, which were not subsequently published in the Government Gazette.

Further legislative drafting support was provided to the LGSIM branch in the conversion of the proposed amendments to the Municipal Planning and Performance Management (MPPM) Regulations into acceptable legal drafting format and style.

During the reporting period, the Department was inundated with several litigation matters emanating from the Regulations issued in terms of the Disaster Management Act 57 of 2002 ("DMA"). As a result, the Directorate: Litigation Support ("Litigation Support") managed a total of 118 litigation matters instituted against / by the Minister / the Department. 89 (out of 118) matters related to COVID-19 applications. 82 (out of 89) matters were finalised and 7 (out of 89) are still pending before Courts.

Litigation Support further attended to the management of 29 non-COVID-19 matters relating to various applications. 10 (out of 29) matters were finalised, and 19 (out of 29) matters are pending before Courts.

In respect of the above litigation matters, 100% of matters were handled within the timeframes as prescribed by the Rules of Court. Figure 1 below is a schematic presentation of all litigation matters managed by Legal Services during 2020/21 financial year.

Corporate Planning Internal Monitoring and Reporting:

Significant progress has been made on the implementation of audit actions plans on performance information. The Chief Directorate worked with Programmes Managers within the Department to achieve a 100% implementation rate of all the audit action plan outlined to address audit for the financial year under review. This marks a significant milestone towards improving the audit outcomes of the Department over the MTEF.

The Chief Directorate facilitated the review of organisational performance plans for the 2020/21 financial year to ensure that the Department reflects its plans its contribution towards government's response to the COVID-19 pandemic. To this end, the revision of the 2020/21 Annual Performance Plan was facilitated by the Chief Directorate and tabled in Parliament on 18 July 2020.

As part of ensuring alignment between individual and organisational performance, the Chief Directorate together with the Human Capital Management Chief Directorate initiated a process of conducting analysis and providing feedback to members of the Senior Management Service on the alignment of SMS performance agreements to organisational Annual Performance Plan and the Annual Operational Plan.



In an effort to maintain the system of performance monitoring and reporting on implementation of the targets set in the APP 2020/21 financial year, the Chief Directorate facilitated three quarterly review meetings to assess the performance of the Department on 22 July and 22 October 2020 and 19 January 2021. The 4th quarter review meeting could not be convened in April 2020 as the country was on a hard lockdown due to the COVID-19 pandemic. However, all quarterly performance reports for the financial year under review were developed, approved by the Director-General, and submitted to the DPME and the Minister as legislatively prescribed.

To institutionalise and improve on planning and managing of performance information processes, the unit initiated a process to review the existing departmental policy for Strategic Planning and Managing Programme Performance Information to ensure that it is aligned to the requirements contained in the Revised Framework for Strategic Plans and Annual Performance Plans. The Policy is being finalised to integrate planning, budgeting, and individual performance management.

Further, the unit embarked on a process of consultation with the provinces on 10 July and 20 to 21 October 2020 to develop and finalise the standardised programme performance indicators for the 2021/22 provincial APPs. The standardised indicators were finalised, signed off by all the provincial Heads of Department of CoGTA and submitted to the DPME. The Chief Directorate has also provided oversight by assessing the performance reports of all provinces on implementation of the standardised programme performance indicators and feedback was provided thereto.

Communications and Marketing: Guided by the Departmental Communication and Approaches for different programmes, an integrated, seamless, coordinated audience targeted communication was facilitated. This ensured that key messages and information about the Department programmes and activities are shared extensively. In addition, seamless intergovernmental communication coordination across the three spheres of government was undertaken for coherence and optimised impact anchored on the convergence of communication platforms. Comprehensive communication support through media engagement, social media and photography services was dedicated to COVID-19 state of national disaster and applicable regulations in line with the risk adjusted strategy.

Communications also supported the Minister's District Development Model (DDM) working visits as per the CoGTA

mandate and the Section 154 of the constitution. The Minister undertook working visits to various districts and provinces. The communication support included engaging Provincial and local government communicators for efficient and effective planning for the event. The planning included the development of comprehensive communication approaches for each provincial visit supported by media engagement activities.

Communications also begun rolling out the Responsible Citizen Campaign. The key messages that seek to both reignite a culture of responsible citizenry and accountable leadership were communicated throughout the country, whilst also engaging all role players to support the campaign. The campaign also aligns to the anti-corruption campaign and the building of a capable and ethical state with greater emphasis on ethical conduct and rebuilding the image of local government. Regular updates are provided to the Political Task Team chaired by the Deputy President.

Communications further provided support to various Ministerial and departmental events/activities and programmes.

Office Accommodation: The Directorate: Facilities Management has in the year under review managed office accommodation for the Department. Four of the five (5) CoGTA building leases expired in March 2017, and the arrangements are on a month-to-month basis and the Department does not intend to renew the same. Monthly expenditure for CoGTA office accommodation in current buildings is approximately R5.1 million, which includes rental, operating costs, and municipal charges. An annualised cost for basic rental is R60.854 million, which may present an opportunity cost in the long-term by reallocating such resources to service delivery programmes.

CoGTA initiated new processes to acquire interim leased offices for the National Disaster Management Centre (NDMC) as well as the consolidated COGTA family of Departments and Entities through the Department of Public Works and Infrastructure.

An estimated monthly rental for the interim NDMC leased building is R 667 134.00. An annualised cost for all-inclusive basic rental is estimated at R8,5 million. A consolidated COGTA family alternative office accommodation monthly rental is estimated at R3.5 million. An annualised cost for all-inclusive basic rental is estimated at R42 million.

Therefore, should the COGTA family of Departments and Entities attempts to acquire alternative office accommodation succeed, there will be a saving estimated at R32 million that includes R3.6 million for Municipal Demarcation Board (MDB) and R21 million for Municipal Infrastructure Support Agent (MISA).

Financial Services:

During the period under review, the sub-programme provided the prescribed financial, supply chain and asset management services to the Department. Continued efforts were made to improve compliance with legislative requirements in support of the service delivery of the Department. Budget Planning expenditure manage and reporting were performed in collaboration with all the line functions. Furthermore, the sub-programme facilitated, to the extent possible, that the Department maintains an effective, efficient and transparent

internal control system, the hosting of the Audit Steering Committee and the Internal Control Committee meetings during the year under review. The main functions performed by the Internal Control Unit covered facilitation of the audit process, management of the Loss Control activities, safeguarding of financial documents, development and monitoring of the Audit Implementation Action Plans (Integrated Management Tool) as well as consequence management on matters relating to non-compliance with policies and prescripts..





Outcomes, outputs, output indicators, targets, and actual achievements table for the APP 2020/21 FY that was re-tabled on 08 July 2020. The original APP tabled on 12 March consisted of 5 output indicators and targets. These were supplemented by two additional output indicators 1.6 and 1.7 in response to COVID-19 pandemic.

Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/2020	Planned Annual Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement for 2020/21	Comment on deviations
Effective and efficient corporate governance systems to drive the implementation of the DDM	Positive Audit Outcome	1.1 Improved audit outcomes	Disclaimer Audit Opinion	85% not implemented, and only 15% that have been implemented by 31 March 2020.	Qualified Audit Opinion with no material findings for 2019/20 financial year by 31 March 2021	Achieved The Department received the qualified audit opinion for 2019/20 financial year by 31 March 2021.	Not Applicable	Not Applicable
	Payment of suppliers within 30 days	1.2 Percentage of uncontested invoices paid within 30 days of receipt date	% of suppliers not paid within 30 days	% of suppliers not paid within 30 days	100 % of uncontested invoices paid within 30 days by 31 March 2021	Not Achieved 97% (764 of 789) of valid invoices were processed within 30 days by 31 March 2021.	Delays in the validation of the goods & services invoices.	Letters will be issued to officials who have contributed to the delay in paying suppliers within 30 days to provide explanations as part of initiating consequence management for non compliance going forward.
	Improvement in individual and organisational performance	1.3 Percentage alignment of Senior Management Services (SMS)	New Indicator	New Indicator	80% alignment of SMS performance agreement to annual targets for 2020/21 Annual Performance Plan by 30 September 2020	Achieved All submitted SMS Performance agreements were analysed and 80% alignment achieved.	Not Applicable	Not Applicable
	Improvement in individual and organisational performance	1.4 Percentage improvement of organisational performance	New indicator	New indicator	Organizational performance against annual targets improved from 83% to 85% by 30 November 2020	Not achieved The organisational performance achieved by the Department and reported to Parliament in the 2019/20 FY Annual Report is 62% 30 November 2020.	The achievement of 62% was attributed to the targets that were not achieved in-year due to dependencies on external stakeholders.	The outputs and targets in the 2021/22 APP have been re-defined to outline the role of Department to achieve the Outcome

Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/2020	Planned Annual Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement for 2020/21	Comment on deviations
	Aligned organisational structure to the strategy	1.5 Percentage Alignment of organisational structure to the strategic plan	New indicator	New Indicator	80% alignment of organisational structure to the 2020-2025 Strategic Plan by 31 December 2020	Not achieved Various consultations held and revisions are still underway past the target date of 31 December 2020.	There was a need to conceptualise and develop the operating model that will maximise the Department's efforts in driving the implementation of the DDM.	The Strategy /Operating model will be re-conceptualised and will be finalised before the structure is submitted to the Minister during 2021/22 FY.
	Digitisation of ICT business processes/ services	1.6 Number of business processes digitised/ automated	New Indicator	New Indicator	Three business processes digitised/ automated by 31 March 2021	Achieved Three Business processes completed and automated, namely: ICT Service, Equipment request, and Network access.	Not applicable	Not applicable
	DCoG Business Continuity Plan on COVID-19 implemented	1.7 Percentage spend on the COVID-19 Business Continuity Plan Allocation	Operational Plan indicator	Operational Plan indicator	100% spend on the COVID-19 Business Continuity Plan Allocation by 31 March 2021	Achieved 100% is spent each quarter on ICT, internal communication awareness products, and PPE	Not applicable	Not applicable



Strategy to overcome areas of under-performance.

Target: 100 % of uncontested invoices paid within 30 days by 31 March 2021. The Department has achieved 97% towards ensuring payment of valid invoices within 30 days. There is only a difference of 3% of invoice that could not be paid within the timeframe. This was owing to delays in the validation of the goods & services invoiced. The Office of the CFO will be writing and sending out letters to the affected officials to provide explanations of the delays. Furthermore, the Finance Practice note on certification of invoices was approved and circulated to all staff for implementation.

Target 80% alignment of organisational structure to the 2020-2025 Strategic Plan by 31 December 2020.

This target was not achieved as there was a need for the Department to conceptualise and develop the operating model that will maximise the Department's efforts in driving the implementation of the DDM. In the 2021/22 financial year, the Department will be conceptualising the Strategy / Operating model and will finalise it prior the structure is submitted to the Minister.

Changes to planned targets:

None.



Budget Programme	Intervention	Geographic location (Province/District/ Local Municipality Where Possible)	No. of beneficiaries (Where Possible)																																												
Programme 1	- Personal Protective Equipment (PPEs) and hygiene consumables - COVID-19 internal communication awareness products. - ICT equipment and Data for remote working	DCoG Offices (87 Hamilton, Pematunda 1 and 2, Cape Town and Centurion)	DCoG officials																																												
Programme 4	DCoG allocated R150.9 million in May 2020 to support response interventions by municipalities. Priority areas were (i) Sanitation, (ii) Waste Management, (iii) Decontamination of specific selected public spaces, (iv) PPE, and (v) Hygiene packs.	<table><tr><th>Provinces</th><th>Number of funded municipalities</th><th>Transferred amounts '000</th><th>Expenditure R'000</th></tr><tr><td>Eastern Cape</td><td>37</td><td>R 42 787</td><td>R26 447</td></tr><tr><td>Free State</td><td>22</td><td>R 8 610</td><td>R4 041</td></tr><tr><td>Gauteng</td><td>8</td><td>R 5 276</td><td>R8 580</td></tr><tr><td>KwaZulu-Natal</td><td>53</td><td>R 47 499</td><td>R33 017</td></tr><tr><td>Limpopo</td><td>27</td><td>R 14 579</td><td>R13 412</td></tr><tr><td>Mpumalanga</td><td>17</td><td>R 9 596</td><td>R9 480</td></tr><tr><td>Northern Cape</td><td>31</td><td>R 3 137</td><td>R742</td></tr><tr><td>North West</td><td>22</td><td>R 11 559</td><td>R9 847</td></tr><tr><td>Western Cape</td><td>29</td><td>R 7 927</td><td>R7 927</td></tr><tr><td>Total</td><td>246</td><td>R 150 970</td><td>R113 493</td></tr></table>	Provinces	Number of funded municipalities	Transferred amounts '000	Expenditure R'000	Eastern Cape	37	R 42 787	R26 447	Free State	22	R 8 610	R4 041	Gauteng	8	R 5 276	R8 580	KwaZulu-Natal	53	R 47 499	R33 017	Limpopo	27	R 14 579	R13 412	Mpumalanga	17	R 9 596	R9 480	Northern Cape	31	R 3 137	R742	North West	22	R 11 559	R9 847	Western Cape	29	R 7 927	R7 927	Total	246	R 150 970	R113 493	246 Municipalities.
Provinces	Number of funded municipalities	Transferred amounts '000	Expenditure R'000																																												
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Total	246	R 150 970	R113 493																																												
	DCoG has at the beginning of 2020/21 financial year allocated an amount of R466 million to the Departments of Health in all provinces for response measures to the effects of COVID-19 pandemic. The funds were meant for the procurement of Personal Protective Equipment and ventilators	<table><tr><th>Province</th><th>Allocation R'000</th><th>Expenditure (Cumulative) R'000</th></tr><tr><td>Eastern Cape</td><td>R44 551</td><td>R44 551</td></tr><tr><td>Gauteng</td><td>R115 996</td><td>R115 996</td></tr><tr><td>Free State</td><td>R12 429</td><td>R7 337</td></tr><tr><td>KwaZulu-Natal</td><td>R138 918</td><td>R138 918</td></tr><tr><td>Limpopo</td><td>R42 449</td><td>R42 449</td></tr><tr><td>Mpumalanga</td><td>R33 993</td><td>R33 391</td></tr><tr><td>Northern Cape</td><td>R6 224</td><td>R5 951</td></tr><tr><td>North West</td><td>R18 540</td><td>R18 540</td></tr><tr><td>Western Cape</td><td>R53 292</td><td>R 53 292</td></tr><tr><td>Total</td><td>R466 392</td><td>R461 027</td></tr></table>	Province	Allocation R'000	Expenditure (Cumulative) R'000	Eastern Cape	R44 551	R44 551	Gauteng	R115 996	R115 996	Free State	R12 429	R7 337	KwaZulu-Natal	R138 918	R138 918	Limpopo	R42 449	R42 449	Mpumalanga	R33 993	R33 391	Northern Cape	R6 224	R5 951	North West	R18 540	R18 540	Western Cape	R53 292	R 53 292	Total	R466 392	R461 027	All provinces											
Province	Allocation R'000	Expenditure (Cumulative) R'000																																													
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Free State	R12 429	R7 337																																													
KwaZulu-Natal	R138 918	R138 918																																													
Limpopo	R42 449	R42 449																																													
Mpumalanga	R33 993	R33 391																																													
Northern Cape	R6 224	R5 951																																													
North West	R18 540	R18 540																																													
Western Cape	R53 292	R 53 292																																													
Total	R466 392	R461 027																																													



Budget Programme	Intervention	Geographic location (Province/District/ Local Municipality Where Possible)	No. of beneficiaries (Where Possible)
Programme 5	The National Treasury has granted approval for DCoG to review the 2022/21 FY MIG Framework, to allow municipalities to utilise up to 10% of their MIG allocations for urgent repairs to water and sanitation infrastructure, to improve functionality and provide relief to communities in dealing with COVID-19. This resulted in a total of 97 projects prioritised by municipalities from 6 of the 9 provinces, with the exclusion of Gauteng, Limpopo, and Western Cape. The total reprioritised amount is R467,769,080, and the total expenditure as at 31 March 2021 amounted to R62,738,919.	The municipalities that made use of the opportunity to reprioritise for COVID-19 response projects are from Northern Cape, Eastern Cape, North West, KwaZulu-Natal, Mpumalanga, and Free State.	Communities that do not have reliable water supply, and inadequate sanitation infrastructure.
Programme 6	Manufacturing of cloth masks through a partnership with Dzunisani Consultants (PTY) LTD	17 CWP sewing centres established	Communities

Linking performance with budgets

Sub-programme expenditure

Sub- Programme Name	2020/2021			2019/2020		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000		R'000	R'000	
Ministry	31 026	30 482	544	43,874	41 036	2,838
Management	19 294	17 399	1 895	17,361	17,361	0
Corporate Services	141 119	133 739	7 380	136,762	136,462	300
Financial Services	45 433	41 515	3 918	37,318	37,137	181
Internal Audit and Risk Management	13 497	11 264	2 233	13 878	13,878	0
Office Accommodation	50 870	50 870		54,856	54,855	1
Total	301 239	285 269	15 970	304,049	300,729	3 320



Programme 2: Regional and Urban Development and Legislation Support

Purpose: Provide policy analysis and development to transform local government and improve cooperative governance.

The Programme contributes to the achievement of Outcome: Integrated Planning and Service Delivery through the following sub-programmes:

Management: Regional and Urban Development and Legislative Support provides strategic leadership to the programme to ensure compliance with, and the achievement of targets in line with, the department's mandate.

Local Government Legislative Support and Institutional Establishment drafts and amends primary and secondary legislation administered by the Department. This sub-programme provides legal opinions to the department's stakeholders on the interpretation of legislation and matters affecting the mandate of the Department, and technical support and advice on the determination and redetermination of municipal boundaries, provincial boundaries, and local government elections.

Urban Development Planning facilitates and monitors the implementation of the integrated urban development framework policy and local economic development plans. This sub-programme also supports municipalities in implementing various tools to facilitate integrated urban development and spatial contracts in key restructuring zones.

Spatial Planning Districts and Regions facilitates the implementation of planning frameworks to promote integrated development across government and build the capacity of geographic information systems in district and local municipalities to enhance evidence-based decision-making. It

also facilitates sustainable economic activities in the districts and lagging regions to stimulate local economies and improve planning capability at the municipal level. This sub-programme also implements the district-metro development model.

Intergovernmental Policy and Practice facilitates the review, clarification, and strengthening of the policy and institutional environment for the assignment and management of municipal powers and functions. This sub-programme is also responsible for implementing the district-metro development model to strengthen collaborative intergovernmental management and practice between sectors, provinces, and local government.

Municipal Demarcation Transition Grant: made transfers to assist municipalities in building in-house capacity to perform their functions and stabilise institutional and governance systems. This grant ended in 2017/18.

Municipal Demarcation Board makes transfers to the Municipal Demarcation Board to fund operational activities such as capacity assessments, ward delimitation, and boundary redetermination. This sub-programme's budget is transferred in full to the board.

South African Cities Network makes transfers to the South African Cities Network to fund operational activities, including enabling cooperation between South African cities. This sub-programme's budget is transferred in full to the network.

Integrated Urban Development Grant makes transfers to intermediate city municipalities to supplement their capital budgets to implement the objectives of the integrated urban development framework.



Outcomes, outputs, output indicators, targets, and actual achievements table for the original APP 2020/21 FY

The table below provides a detailed report of the achievement against the targets set in the original APP that was tabled on 12 March 2020 and implemented until 30 June 2020 prior the revised and re-tabled APP.

Outcome	Output	Output Indicator	Audited Actual Performance 2018/19	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement for 2020/21	Reason for deviations	Reason for revisions to the Outputs/ Output Indicators/ Annual Targets
Outcome: Integrated Planning and service delivery	Integrated Planning	2.1 Number of Municipal IDPs aligned to the District, and Metro One plans	New indicator	New indicator	257 municipal IDPs for 2021/22 FY are aligned to the One plan of the Districts and Metros by 31 March 2021	No target planned in 1st quarter of 2020/21 FY	Not applicable	Not applicable	The adjusted budget allocations.
	Revised UIDF implementation plan implemented	2.2 Number of Districts and Metros where the revised UIDF implementation Plan is rolled-out	New indicator	New indicator	Revised UIDF implementation plan rolled-out in 44 Districts and 8 Metros by 31 March 2021	The Revised UIDF Implementation Plan was developed 30 June 2020.	Not applicable	Not applicable	There was a need to adjust the UIDF Implementation Plan 2020-2025 to align it to the DDM.
	Local Government Economic Recovery	2.5 Number of District sites implementing Economic Recovery Plans	New Indicator	New Indicator	Economic Recovery Plans implemented in 52 District sites	34 of 52 (65%) District/Metro Economic Recovery Plans collected and analysed. A report on the implementation of Economic Recovery Plans in 34 District/Metro sites developed.	18 District/Metro Economic Recovery Plans outstanding	Some municipalities were struggling with the development of the Economic Recovery Plans.	The Department will assess the economic recovery plans of municipalities before the monitoring and implementation thereof. Support will thus be provided to these municipalities to ensure that the plans are responsive to the conditions at a local level.
	Regulations of the Intergovernmental Relations Framework Act, 2005	2.6 Section 47 (1) (b) Regulations of the Intergovernmental Relations Framework Act, 2005 developed	New Indicator	New Indicator	Section 47 (1) (b) Regulation of the Intergovernmental Relations Framework Act, 2005 developed by March 2021	The draft discussion document developed on the Regulation of the Intergovernmental Relations Framework Act	Not applicable	Not applicable	Management decision to implement the project through the Operational Plan.

The table below presents the outcomes, outputs, output indicators, targets, and actual achievements for the APP 2020/21 FY that was re-tabled on 08 July 2020 and implemented till 31 March 2021.

Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/2020	Planned Annual Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement 2020/21	Comment on deviations
Integrated Planning and service delivery	Integrated Planning	2.1 Number of draft municipal IDPs for 2021/22 FY are aligned to the Draft One Plans in the 2 pilot district municipalities and 1 Metro by 31 March 2021	New Indicator	New Indicator	Draft Municipal IDPs for 2021/22 FY are aligned to the Draft One Plans in the 2 pilot district municipalities and 1 pilot Metro by 31 March 2021	Achieved An alignment framework on the alignment of IDPs and One Plans was developed and circulated to all municipalities and provinces through a Circular (Circular No.11 of 2020). The assessment report on the processes and activities to facilitate alignment of the draft 2021/22 IDPs and Draft One Plans has been developed.	Not applicable	Not applicable
	Revised UIDF implementation plan implemented	2.2 Revised UIDF implementation plan finalised	New Indicator	New Indicator	Revised IUDF implementation plan finalised by 31 March 2021	Achieved IUDF Implementation Plan 2020-2025 aligned to the DDM finalised.	Not applicable	Not applicable
	Capital Expenditure frameworks developed in identified intermediate cities	2.3 Capital Expenditure Framework (CEF) for the number of intermediate cities implemented	New Indicator	Capital Expenditure Frameworks implemented in 7 intermediate cities"	Capital Expenditure frameworks implemented in 4 intermediate cities by 31 March 2021	Achieved Final Capital Expenditure frameworks developed for George, Metimaholo, New Castle and Ray Nkanyeni intermediate cities.	Not applicable	Not applicable



Outcome	Output	Output Indicator	Audited Actual Performance 2018/2019	Audited Actual Performance 2019/2020	Planned Annual Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement 2020/21	Comment on deviations
	District and Metro One Plans	2.4 Number of Draft District and Metro One Plans developed	New Indicator	New Indicator	44 draft District and eight Metro One Plans developed by 31 March 2021	Not achieved The final draft One Plans for the three pilot sites namely, eThekweni and OR Tambo Metro as well as Waterberg District Municipality have been developed.	The Hubs are working on the One Plan process plan in facilitating processes towards meeting the target.	The following critical stages towards the development of draft One Plans have been completed and these are the diagnostic reports, development of the district vision, strategies and implementation commitment have been identified.
	District/Metro hubs	2.5 Number of hubs established for districts and metros	New Indicator	New Indicator	Hubs established for 2 pilot districts and 1 Metro by 31 March 2021	Achieved Hubs established in the 2 pilot district municipalities and 1 pilot metro by 31 March 2021	Not applicable	Not applicable

Strategy to overcome areas of under-performance

Target: 44 draft District and eight Metro One Plans developed by 31 March 2021: The Department, in the 2021/22 financial year has committed in the Annual Performance Plan to develop all 44 District and 8 Metro One Plans. This work will be facilitated the 21 hubs that will be established during the 2021/22 financial year.

The Department revised the Annual Performance Plan 2020/21 FY, which the Minister approved and tabled in Parliament on 08 July 2020. Further amendments were made in December 2020 through an erratum that was approved by the Minister and communicated to Parliament. The revisions were affected to align the APP with the Minister's performance agreement and as a result of the budget adjustments.

The adjusted budget allocations had implications to the establishment of Six Districts and One Metro that were initially planned. This had an impact on the target of developing 44 districts and 8 Metro One Plans as it is depended on the

establishment of hubs. This situation thus necessitated to the adjustment in the annual targets and in year targets.

Furthermore, the alignment of the of municipal IDPs to the One Plans was also dependent on the existence of the One Plans the targeted districts and metros.

With regard to the removal of indicator on District sites implementing the Economic Recovery Plans from the APP 2020/21, the 2020 Medium-Term Budget Policy Statement highlighted the South African Economic Reconstruction and Recovery Plan in October 2020 mostly targeting infrastructure development and job creation. In aligning to these goals, the Department need to first support municipalities to develop Economic Recovery Plans before monitoring implementation thereof. Support will be provided to these municipalities to ensure the plans are responsive to the conditions at local level.

The target on Rolling out the IUDP implementation in the 44 districts and 8 metros was also revised to align it with the District Development Model prior its approval by Cabinet and roll-out to targeted districts and metros.

Linking performance with budgets

Sub-programme expenditure

The under-expenditure that reflects 789 thousand which is less than 2% which immaterial.

Sub- Programme Name	2020/21			2019/2020		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure
	R'000	R'000		R'000	R'000	
Management Regional and Urban Development	2	2		71	4	67
Local Government Legislative Support and Institutional Establishment	5 282	3 641	1 641	5 183	4 691	492
Spatial Planning Districts and Regions	9 514	9 441	73	14 253	14 252	1
Intergovernmental Policy and Practice	10 820	10 525	295	12 874	12 808	66
Intergovernmental Policy and Practice	10 196	5 972	4 224	4 884	4 725	159
Municipal Demarcation Board	63 017	63 017		64 268	64 268	0
South African Cities Network	7 512	7 512		7 765	7 765	0
Integrated Urban Development Grants	936 368	936 368		856 895	856 895	0
Total	1 042 711	1 036 478	6 233	966 193	965 408	785



Programme 3: Institutional Development

Purpose: Build institutional resilience in local government by supporting system development, governance, and capacity building.

The Programme contributes to the achievement of Outcome: Sustained Good Municipal Governance through the following sub-programmes:

Management: Institutional Development provides strategic leadership to the programme to ensure compliance with, and the achievement of targets in line with, the department's mandate.

Municipal Human Resource Management Systems strengthens the governance of human resources, and builds institutional and employee capacity through legislative review, implementation, monitoring, and enforcement.

Municipal Finances provides support and technical capacity to municipalities on municipal revenue management and enhancement strategies, tariff policies and bylaws, credit control and debt collection, audit outcomes, and the implementation of policy for free basic services. This sub-programme also provides for indirect transfers to municipalities so that they can stabilise institutional and governance systems, as required by the Municipal Systems Act (2000), the Municipal Property Rates Act (2004) and related regulations.

Citizen Engagement develops frameworks that lay the basis for engagement among government, organised civil society, and citizens. Provinces were provided with online access to the GovChat Management Portal to assist them to prepare for 2021 local government elections and ward committee elections in all metropolitan and local municipalities. Furthermore, provinces were supported to develop draft Activity Plans in preparation for the establishment of ward committees post 2021 local government elections. An LGSETA accredited training programme on ward committee governance was implemented in Free State and Mpumalanga provinces.

Supported the Legislative Review process on the development and processing of the Local Government: Municipal Structures Amendment Bill, 2018 [B19-2018]" (MSAB) with regard to section 73"(1A) (a) wherein it is proposed that municipalities should establish ward committees within 120 days after the election of the municipal council, in accordance with section 22.

Supported the Department of Women, Youth, and Persons with Disabilities in increasing awareness in provinces and municipalities on the existence of the Gender-Based Violence & Femicide National Strategic Plan.

Anti-Corruption and Good Governance conducts campaigns to instil ethical conduct at the local government level

and ensures that municipalities implement local government's anti-corruption strategy and the integrity management framework. This sub-programme also collaborates with law-enforcement agencies, provinces, and municipalities to ensure the prompt resolution of fraud and corruption cases.

Municipal Property Rates guides municipalities to comply with critical rating and valuation aspects of the Municipal Property Rates Act (2004) and its regulations.

Municipal Governance provides technical support in relation to the redetermination of municipal boundaries redetermination and the alteration of provincial boundaries, as well as on electoral-related matters. The sub-programme is also responsible for processing amendments to the Municipal Demarcation Act and the Municipal Structures Act monitors the functionality of Council Committees.

For the 2020/21 financial year, the sub-programme developed a Smart Cities Framework that provides the principles, enablers, and pre-conditions that must be considered when municipalities intend implementing any smart city intervention. Parliament was also supported with the processing of the Municipal Structures Amendment Bill, and on 16 March 2021 the National Assembly submitted the Bill to the President for assent. Various stakeholders (including the Electoral Commission and the Municipal Demarcation Board) were also supported through the Inter-Ministerial Committee on Municipal Elections to ensure that preparations are in place for the upcoming elections. In collaboration with National Treasury and SALGA, the Unit also engaged provinces with the view to supporting and monitoring the functionality of Municipal Public Accounts Committees (MPACs).

Local Government Equitable Share is the share of nationally raised revenue payable to local government in terms of section 214 of the Constitution. This transfer supplements municipal revenue for the provision of free basic services to poor households, and for the funding of institutional capacity and support to weaker municipalities.

South African Local Government Association makes transfers to the South African Local Government Association to fund operational activities, including participation in intergovernmental structures and legislatures.

Municipal Systems Improvement Grant makes transfers to assist municipalities in building in-house capacity to perform functions and stabilise institutional and governmental systems.

United Cities and Local Government of Africa makes transfers to fund operational activities of the institution to enhance cooperation and knowledge sharing amongst local governments in Africa.

Outcomes, outputs, output indicators, targets, and actual achievements table:

The table below provides a detailed report of the achievement against the targets set in the original APP that was tabled on 12 March 2020 and implemented until 30 June 2020 prior the revised and re-tabled APP.

Outcome	Output	Output Indicator	Actual Achievement 2018/19	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement for 2020/21	Reason for deviations	Reason for revisions to the Outputs/ Output /Indicators/ Annual Targets
Sustained Good Municipal Governance	Finalise amendments to the Municipal Structures Act, 1998	3.1 Municipal Structures Bill finalised	Municipal Structures Bill	Municipal Structures Bill	Municipal Structures Bill finalised	Briefing to Provincial Legislatures took place as follows: Western Cape - 5 May 2020; KZN – 8 May 2020 Northern Cape - 28 May 2020. Provincial Legislatures commenced with the process of taking the Bill for public consultations.	Not applicable	Not applicable	The Management of the Department took a decision on 20 May to co-sign indicator 'Municipal Structures Bill finalised' to the Operational Plan owing to the physical engagement limitation and options to engage the NCOP virtually. The bill was amended by the NCOP and returned to the national assembly (NA) for concurrence in December 2020. The portfolio committee on cooperative governance and traditional affairs adopted the NCOP's proposed amendments in February 2021.
	Municipal Staff Regulations implemented	3.2 Number of district training session on the implementation of Municipal Staff Regulations held for district and their locals	New indicator	New indicator	Eleven of district training session on the implementation of Municipal Staff Regulations held for district and their locals	No target planned in 1st quarter of 2020/21 FY	Not applicable	Not applicable	An application to obtain National Treasury approval to appoint the National School of Government as a sole provider was declined. The project is put on the 2021/22 procurement plan of the Department.



Outcome	Output	Output Indicator	Actual Achievement 2018/19	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement for 2020/21	Reason for deviations	Reason for revisions to the Outputs/ Output /Indicators/ Annual Targets
		3.3 % of identified district municipalities with its locals assessed on compliance with the Appointment Regulations	New indicator	New indicator	25% of identified district municipalities with its locals assessed on compliance with the Appointment Regulations	Three (6%) District municipalities and their locals were assessed namely; Waterberg from Limpopo, Fezile Dabi from the Free State, and Central Karoo from the Western Cape provinces.	Not applicable	Not applicable	The Cape Town High Court (Case Number 22662/2019) handed down on 13 May 2020 removed the obligation from municipalities to submit appointment reports of senior managers to the MECs/ Minister, which would form the basis for assessing compliance with minimum prescribed competency requirements.
		3.6 Percentage of identified district municipalities with its locals assessed on compliance with Municipal Performance Regulations	New indicator	New indicator	25% of identified district municipalities with its locals assessed on compliance with the Municipal Performance Regulations	Three (6%) District municipalities and their locals were assessed namely; Waterberg from Limpopo, Fezile Dabi from the Free State, and Central Karoo from the Western Cape provinces.	Not applicable	Not applicable	Confusion and lack of cooperation by municipalities arising from the interpretation of the implications of the Constitutional Court judgment (CCT 54-16) handed down on 9 March 2019 declaring the Local Government: Municipal Systems Amendment Act, 2011 (Act No. 7 of 2011) unconstitutional and invalid and the status of the regulations made by the Minister in terms of section 72 read together with 120 of the Systems Act.

Outcome	Output	Output Indicator	Actual Achievement 2018/19	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement for 2020/21	Reason for deviations	Reason for revisions to the Outputs/ Output /Indicators/ Annual Targets
		3.7 % of identified district municipalities with its locals assessed on compliance with Disciplinary Regulations for senior managers	New indicator	New indicator	25% of identified district municipalities with its locals assessed on compliance with the Disciplinary Regulations.	Three (6%) District municipalities and their locals were assessed namely; Waterberg from Limpopo, Fezile Dabi from the Free State, and Central Karoo from the Western Cape provinces.	Not applicable	Not applicable	Confusion and lack of cooperation by municipalities arising from the interpretation of the implications of the Constitutional Court judgment (CCT 54-16) handed down on 9 March 2019 declaring the Local Government: Municipal Systems Amendment Act, 2011 (Act No. 7 of 2011) unconstitutional and invalid and the status of the regulations made by the Minister in terms of section 72 read together with 120 of the Systems Act.
		3.8 % of identified district municipalities with its locals assessed on compliance with the Notice on upper limits for remuneration of senior managers	New indicator	New indicator	30% of identified district municipalities with its locals assessed on compliance with the Notice on upper limits for remuneration of senior managers by 31 March 2021	86.36% of District municipalities and their locals were assessed.	Unprecedented submission of municipal data	Management during the first quarter assessed thirty-eight (38) district municipalities. The reason for achievement is that municipalities submitted information timeously to enable the Department to benchmark the remuneration of senior managers in the national market.	Performance was exceeded.



Outcome	Output	Output Indicator	Actual Achievement 2018/19	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement for 2020/21	Reason for deviations	Reason for revisions to the Outputs/ Output /Indicators/ Annual Targets
		3.9 % of identified district municipal-ities with its locals assessed on compliance with the Notice on upper limits for remuneration of municipal councils	New indicator	New indicator	30% of identified district municipalities with its locals assessed on compliance with the Notice on upper limits for remuneration of municipal councils by 31 March 2021	100% of district municipalities with their locals assessed.	Unprecedented submission of municipal data	Management during the first quarter assessed all district municipalities. The reason for achievement is that municipalities submitted information timeously in the interest of aligning the remuneration of national, provincial, and local public office bearers.	Performance was exceeded.

The table below presents the outcomes, outputs, output indicators, targets, and actual achievements for the APP 2020/21 FY that was re-tabled on 08 July 2020 and implemented till 31 March 2021. The Department revised the APP in line with Circular 2 of 2020 that was issued by the Department of Planning, Monitoring and Evaluation, which requested that plans be revised where necessary to respond to the COVID-19 Pandemic and the special adjustment budget.

Outcome	Output	Output Indicator	Audited Actual Performance 2018/19	Audited Actual Performance 2019/20	Planned Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement 2020/21	Comment on deviations
Sustained Good Municipal Governance	Local government elections	3.1 Support provided to stakeholders on preparations for the local government elections	New Indicator	New Indicator	Report on the support provided for the 2021 local government elections developed by 31 March 2021	Achieved Report on support provided on preparations for the 2021 local government elections developed by 31 March 2021	Not applicable	Not applicable
Sustained Good Municipal Governance	Integrated local government capacity building strategy	3.2 Draft Integrated local government capacity building strategy developed	National Capacity Building Framework for Local Government, 2008	National Capacity Building Framework for Local Government, 2008	Draft Integrated local government capacity building strategy developed by 31 March 2021	Not Achieved Draft Integrated local government capacity building strategy was not developed by 31 March 2021 as planned.	The appointment of a service provider to implement this target was not finalised by the end of the financial year due to unforeseen circumstances.	The integrated local government capacity building strategy will be finalised in 2022/23.
Integrated Planning and Service delivery	Funding Model for Local Government (Rethinking Municipal Financing)	3.3 Funding Model for Local Government developed and approved	New indicator	New indicator	Draft Funding Model for Local Government developed and approved by the Minister by 31 March 2021	Not Achieved Draft Funding Model for LG Fiscal Framework and recommendations timelines developed and approved by the Minister by 31 March 2021	The Draft Funding Model for Local Government. The integrated local government capacity building strategy will be finalised in 2022/23	The Funding Model will be finalised during the 2020/21 financial year as the target forms part of the 2021/22 APP.



Outcome	Output	Output Indicator	Audited Actual Performance 2018/19	Audited Actual Performance 2019/20	Planned Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement 2020/21	Comment on deviations
	Improved Audit Outcomes	3.4 Report on the implementation of actions to address issues raised by the AGSA in line with section 134 of the MFMA	New Indicator	New Indicator	Report on the implementation of actions to address issues raised by the AGSA in line with section 134 of the MFMA by 30 September 2020	Achieved Developed consolidated report on issues raised by AGSA in accordance with Section 134 of the MFMA by 31 March 2021	Not applicable	Not applicable
	Build financially viable municipalities	3.5 Number of Municipal Public Accounts Committees (MPACs) functionality assessment reports developed	New Indicator	New Indicator	Three quarterly MPAC functionality assessment reports developed by 31 March 2021	Achieved Three quarterly MPAC functionality assessment reports developed by 31 March 2021	Not applicable	Not applicable
	Implementation of the Smart City Framework	3.6 Smart Cities Framework Developed	New Indicator	New Indicator	SMART cities framework developed and approved by the Minister by 31 March 2021	Achieved SMART Cities Framework finalised and approved by the Minister by 30 March 2021.	Not applicable	Not applicable

Strategy to overcome areas of under-performance.

Target: Draft Integrated local government capacity building strategy developed by 31 March 2021. The Programme has not achieved the annual target pertaining to the development of the draft Integrated local government capacity building strategy by the end of the financial year. This target was to be implemented through the appointment of a service provider. All due procurement processes were followed but were not concluded before the end of the financial year. The service provider has been appointed and the project has been carried over for implementation in the 2021/22 APP.

Target: Draft Funding Model for Local Government developed and approved by the Minister by 31 March 2021. A significant amount of work towards the development of the Draft Funding Model for Local Government has been done. The process map and timelines on the implementation of the recommendations to finalise the Model have been identified. The Funding Model will be finalised during the 2020/21 financial year as the target forms part of the 2021/22 APP.

Linking performance with budgets

Sub-programme expenditure

Sub- Programme Name	2020/2021			2019/2020		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000		R'000	R'000	
Management: Institutional Development	3 891	1 065	2 826	1 925	1 121	804
Municipal Human Resources Management Systems	13 820	13 820		11 275	10 634	641
Municipal Finance	27 347	19 712	7 635	14 693	13 137	1 556
Citizen Engagement	8 636	6 279	2 357	9 511	7 839	1 672
Anti-Corruption and Good Governance	7 396	5 650	1 746	8 815	6 847	1 968
Municipal Property Rates	14 206	12 278	1 928	11 552	10 184	1 368
Local Government Equitable Share Transfers	85 683 326	83 102 374	2 580 952	68 973 465	65 627 263	3 346 202
South African Local Government Association	33 192	33 192	0	33 879	33 879	0
Municipal Systems Improvement Grant Transfers	119 774	28 049	91 725	111 062	85 435	25 627
Department of Traditional Affairs Transfers				168 351	168 351	0
United Cities and Local Government of Africa				7 162	0	7 162
Total	85 911 588	83 222 419	2 689 169	69 351 690	65 964 690	3 387 000



Programme 4: National Disaster Management Centre

Purpose: Promote an integrated and coordinated system of disaster prevention, mitigation, and risk management.

The Programme contributes to the achievement of Outcome: Integrated Planning and service delivery through the following sub-programmes:

Management: Head of the National Disaster Management Centre provides strategic leadership to the programme based on four (4) Key Performance Areas (KPA's) and Enablers (ENs) of the National Disaster Management Framework as reported hereunder by the various sub-programmes notably:

Key Performance Area 1 – integrated Institutional Capacity for Disaster Risk Management;

Key Performance Area 2 – Disaster Risk Assessment;

Key Performance Area 3 – Disaster Risk Reduction;

Key Performance Area 4 – Disaster Risk Reduction;

Enabler 1 – Information Management and Communication;

Enabler 2 – Education, Training, Public Awareness, and Research; and

Enabler 3 – Funding Arrangements for Disaster Risk Management.

The below sections outline performance of the NDMC in terms of its sub-programmes listed below.

Disaster Risk Reduction, Capacity Building, and Intervention develops and implements operational systems for disaster management; coordinates disaster management capacity building and strategic research across all spheres of government; and provides for the allocation of disaster response, relief, and rehabilitation funding when a disaster has occurred. The sub-programme achieved the following during the year under review:

Ten (10) municipalities in priority disaster areas were supported to prevent, prepare for, and mitigate disaster risks through the implementation of the applicable disaster management plans in the following municipalities: City of Cape Town; City of Ekurhuleni; eThekweni Metro; Nelson Mandela Bay Metro; City of Johannesburg Metropolitan; West Rand; John Taolo Gaetsewe; Central Karoo; Lejweleputswa; and Sedibeng District Municipalities.

The NDMC continued to monitor the drought and flood conditions through the NJFCC and NJDCC. A national disaster was reclassified due to drought and subsequent to that a state of a national disaster as a result of drought was extended to provide intervention measures to the agricultural sector as the drought persisted in the seven (7) provinces.

Further to that, a national disaster was classified due to the flooding situation as a result of the Tropical Storm Eloise and the summer rains on 14 February 2021. Due to the magnitude of the disaster and inability to cope with the severe damages by affected 31 district municipalities within the seven (7) provinces, a national state of disaster was then declared on 24 February 2021. The NDMC facilitated the coordination of resources within organs of state through the implementation of Section 2 (1) (b) of the Disaster Management Act, 2002.

Ten (10) district municipalities mentioned below were provided with support in collaboration with the Provincial Disaster Management Centres to develop COVID-19 pandemic responsive risk reduction strategies. The following municipalities are Amathole DM; Thabo Mofutsanyane DM; Zululand DM; Francis Baard DM; Pixley-Ka-Seme; Ngaka Modiri Molema DM; Dr RS Mompoti DM; City of Joburg; West Rand DM; and Capricorn DM.

Legislation and Policy Management develops disaster management policies and legislative frameworks derived from the Disaster Management Act (2002) and the national disaster management framework and manages the improvement of legislative compliance across sectors and spheres of government. The sub-programme, during the period under review attained the following:

- Support provided to various sector departments with policy-related inputs on disaster management planning, climate change, decertification, disaster classification as well as declaring national, provincial, or local states of disaster and monitored various legislative databases for legislation and policies impacting on disaster management and provided input where required.
- Provided secretariat services to the National Disaster Management Advisory Forum (NDMAF), which met quarterly as required, to discuss cross-cutting disaster management issues. The NDMAF is a technical forum in which national, provincial, and local government and other disaster management role-players consult and coordinate their actions on matters relating to disaster management.
- Supported the establishment and functionality of disaster management centres across the spheres of government by monitoring and reporting on compliance with the disaster management legislation. Similarly, provided the National Joint Drought Coordination Committee (NJDCC), the National Joint Flood Coordination Committee (NJFCC), the NATJOINTS, Cabinet, the Inter-Ministerial Committee on Disaster Management, MINMEC, the Inter-Ministerial Task Team and other stakeholders across the spheres



of government with technical advice and administrative support on a number of issues which included support to classify and declare a national state of disaster for the floods.

- Contributed to Legislation and Regulatory Matters Work Stream of the NATJOC set up to respond to the COVID-19 pandemic and facilitated the preparation and publication of legislative instruments in the Government Gazette. In addition, the sub-programme provided information to inform litigation taken against the Department concerning Regulations issued in terms of the COVID-19 Pandemic.
- Provided input and responded to correspondence on the interpretation of the Regulations and Directions issued in terms of the COVID-19 Pandemic and where applicable, on policy proposals made to the Minister.

Integrated Provincial Disaster Management Support, Monitoring, and Evaluation Systems develops and implements integrated support to provinces and monitoring and evaluation systems for disaster management and fire services. This sub-programme promotes a culture of risk avoidance among stakeholders by creating capacity for role players through integrated education, training and public awareness programmes informed by scientific research.

The Sub-Programme currently operates as Chief Directorate: Disaster Risk Management Education, Training, Awareness, and Research. The sub-programme facilitates education, training, public awareness, and research to build capacity among role-players and the communities. The aim is to promote disaster risk avoidance and built community resilience. The sub-programme offered bursaries to 27 students who were studying towards a disaster risk management qualification. Despite the COVID-19 challenges within the education fraternity, 22 out of the 27 bursary recipients managed to complete their studies while five (5) were unsuccessful in one or two of their modules.

Efforts were made in ensuring that the Department of Basic Education incorporated disaster risk management in the curriculum of the Life Orientation Textbooks for grades four (4) to 12. These efforts have resulted in the Life Orientation textbooks for the identified grades being reviewed to incorporate disaster risk management.

Promoted the integration of disaster risk management through implementation of risk assessment training for School Safety Committees in twenty-five Education districts. The theoretical training was followed by the actual risk assessment exercises. Corrective measures were identified, and mitigation measures applied.

Fire Services develops policies and legislative frameworks for fire services and coordinates programmes related to the support and administration of fire services. This sub-programme also ensures that municipalities have the capacity to render fire safety and prevention services. During the financial year under review, the White Paper on Fire Services was approved by Cabinet on 27 May 2020. The White Paper will provide a policy basis for the revision of the Fire Brigade Services Act, 1987. Furthermore, ten municipalities were assessed on the capacity to implement the national fire safety and prevention strategy.

Information Technology, Intelligence and Information Management Systems guides the development of a comprehensive information management and communications system and establishes integrated communication links with all role players in disaster management. The sub-programme undertook to complete a series of workshops in collaboration with the South Africa Weather Service on the new Impact Based Early Warnings System. The workshops targeted nine (9) districts and two (2) metropolitan towns.

The sub-programme supported disaster risk reduction by compiling four (4) seasonal profiles that combined a forward-looking weather prediction from the South Africa Weather Service with scientifically prepared hazard profiles. Monthly spatial analysis was also provided to monitor the drought hazard across all nine provinces.

Disaster Relief Grant is a conditional allocation that aims to provide immediate relief for legally declared disasters. Transfers are made only when a disaster has been declared. Due to COVID-19 pandemic, funds were allocated to 246 local and district municipalities to reduce impact and spread of COVID-19 pandemic. An amount of R150 970 000 was allocated from the Municipal Disaster Relief Grant to specifically procure the following: Temporary Sanitation, Waste management, Decontamination of specific selected public spaces, PPE & hygiene packs. A further amount of R138 million was accessed from the Provincial Disaster Relief Grant to provide drought intervention measures in 7 provinces i.e., EC, KZN, LP, MP, NC, NW, and WC.

Municipal Disaster Recovery Grant is a conditional allocation that aims to repair municipal infrastructure damaged by disasters. Transfers are made only when a disaster has been declared.

Provincial Disaster Recovery Grant is a conditional allocation to rehabilitate and reconstruct the provincial infrastructure damaged by disasters. Transfers are made only when a disaster has been declared.

The table below provides a detailed report of the achievement against the targets set in the original APP that was tabled on 12 March 2020 and implemented until 30 June 2020 prior the revised and re-tabled APP:

Outcome	Output	Output Indicator	Actual Achievement 2018/2019	Planned Target 2019/2020	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement for 2020/21	Reasons for deviations	Reason for revisions to the Outputs/Output Indicators/ Annual Targets
Integrated planning and service delivery	Strengthen early warning systems by implementing impact-based early warning system to enable disaster management contingency arrangements	4.3 Number of districts and metros with increased access to impact based early warning services for identified weather hazards	New indicator	9 Districts and 2 Metros with increased access to impact based early warning services for identified hazards	Two planning and advisory meetings were held with SA Weather Services. The three provinces and all respective districts to be workshopped with Impact-Based Training excluding traditional leaders and other community stakeholders initially targeted.	No Districts and Metros were assessed as at 30 June 2020	The planned target was not achieved due to the large dependency on the SAMS and the pre-workshop activities that were planned to take place through physical meetings.	Focus shifted to measuring the number of Disaster Management Centres with COVID-19 responsive risk reduction strategies from quarter two.
	Review of Fire Service legislation	4.5 Improve firefighting capacity in Districts	New Indicator	New Indicator	Draft White Paper on Fire Services finalised	No target planned in the 1st of 2020/21 FY	Not applicable	Not applicable



The table below presents the outcomes, outputs, output indicators, targets, and actual achievements for the APP 2020/21 FY that was re-tabled on 08 July 2020 and implemented till 31 March 2021.

Outcome	Output	Output Indicator	Audited Actual Performance 2018/19	Audited Actual Performance 2019/20	Planned Annual Target 2020/2021	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement 2020/21	Comment on deviations
Integrated planning and service delivery	Municipalities in priority disaster areas supported to prevent, prepare for, and mitigate disaster risks through the implementation of the applicable disaster management plans	4.1 Number of municipalities in priority disaster areas supported to prevent, prepare for, and mitigate disaster risks through the implementation of the applicable disaster management plans	3 Disaster Grant Frameworks Annual Division of Revenue Act	3 Disaster Grant Frameworks Annual Division of Revenue Act	Ten municipalities in priority disaster areas supported to prevent, prepare for, and mitigate disaster risks through implementation of the applicable disaster management plans with a focus on all hazards inclusive of COVID-19 by 31 March 2021	Achieved Ten municipalities in priority disaster areas supported to prevent, prepare for, and mitigate disaster risks through implementation of the applicable Disaster Management Plans (City of Cape Town; City of Ekurhuleni; e-Thekwini Metro; Nelson Mandela Bay Metro; City of Johannesburg Metropolitan; West Rand; John Taolo Gaetsewe; Central Karoo; Lejweleputswa; and Sedibeng District Municipalities).	Not applicable	Not applicable
	Disaster funding arrangements reviewed	4.2 Disaster funding arrangements reviewed and implemented	New indicator	3 Disaster Grant Frameworks Annual Division of Revenue Act	A model for disaster funding arrangements developed and approved by 31 March 2021	Achieved A model for disaster funding arrangements has been finalised, presented to the stakeholders in the NDMAF meeting of 11 March 2021, which included the Heads of Centres and approved by the NDMC Head of the Centre by 31 March 2021	Not applicable	Not applicable



Outcome	Output	Output Indicator	Audited Actual Performance 2018/19	Audited Actual Performance 2019/20	Planned Annual Target 2020/2021	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement 2020/21	Comment on deviations
	Disaster grants performance and expenditure monitored and reported to ensure value for money	4.3. Disaster grant funding and expenditure and performance monitored and reported	Disaster Grants	Disaster Grants	Annual report on the performance and expenditure of the disaster grants	Achieved Annual report on the performance and expenditure of the disaster grants developed by 31 March 2021.	Not applicable	Not applicable
	Priority, national sector departments assessed and supported to implement the disaster management function	4.4 Number of priority national sector departments assessed and supported to implement the disaster management function	New Indicator	New Indicator	One priority national sector department, assessed and supported to implement disaster management function by 31 March 2021	Achieved The DEFF was assessed and supported to implement the disaster management function. The report on the assessment and support provided was approved by the Head: NDMC.	Not applicable	Not applicable
	Disaster risk reduction strategies in line with the Sandai Framework 2030	4.5 Number of District Disaster Management Centres with COVID-19 responsive risk reduction strategies	New Indicator	New Indicator	Ten District Disaster Management Centres with COVID-19 responsive risk reduction strategies by 31 March 2021	Achieved. Support was provided to districts regarding the development of COVID-19 responsive risk reduction strategies. Ten Districts (Amathole, Thabo Mofutsanyane, Zululand, Francis Baard, Pixley-Ka-Seme, Ngaka Modiri Molema, Dr RS Mompoti, West Rand, Capricorn, City of Joburg) with Disaster Management Centres have COVID-19 responsive risk reduction strategies by 31 March 2021.	Not applicable	Not applicable

Strategy to overcome areas of under-performance.

None. The Programme achieved all the set targets.

Linking performance with budgets

Sub-programme expenditure

Sub-Programme Name	2020/2021			2019/2020		
	Final Appropriation	Actual Expenditure	(Over) Under Expenditure	Final Appropriation	Actual Expenditure	(Over) Under Expenditure
	R'000	R'000		R'000	R'000	
Management: Head of Disaster	5 148	3 890	1 258	4 764	4 218	546
Disaster Risk Reduction, Capacity Building, and Intervention	99 221	9 424	89 797	14 817	14 590	227
Legislation and Policy Management	5 263	4 837	426	6 823	6 728	95
Integrated Provincial Disaster Management Support, Monitoring, and Evaluation Systems	5 066	3 041	2 025	3 426	3 302	124
Fire Services	4 880	2 116	2 764	3 651	3 651	0
Information Technology, Intelligence and Information Management Systems	21 945	18 051	3 894	14 787	14 237	550
Disaster Relief Grant	492 429	289 459	202 970	466 392	466 392	0
Municipal Disaster Recovery Grant				133 220	133 220	0
Total	633 952	330 818	303 134	647 880	646 337	1 543



Programme 5: Local Government Support and Interventions Management

Purpose: Conduct performance monitoring support and interventions in municipalities and provincial departments of cooperative governance that will drive Back to Basics activities.

The Programme contributes to the achievement of Outcome: Integrated Planning and service delivery through the following sub-programmes:

Management: Local Government Support and Interventions provides strategic leadership to the programme.

Municipal Performance Monitoring monitors and reports on local government performance information by institutionalising monthly performance reporting to the Department, analysing Back-to-Basics performance information for each municipality on a biannual basis, and identifying emerging aspects and key trends from Back-to-Basics performance reporting.

Local Government Improvement Programme monitors and reports diagnostic assessment to support municipalities with the development and implementation of remedial action plans.

Litigations and Interventions monitors compliance with intervention policies and regulations.

Municipal Infrastructure Administration administers municipal infrastructure grant payments and reviews spending related to **transferred funds**.

Municipal Infrastructure Grant makes specific transfers to supplement municipal capital budgets to address the infrastructure investment priorities of the poor.

Municipal Infrastructure Support Agent make transfers to assist municipalities in building in-house capacity to perform functions and stabilise institutional and governmental systems.



The table below provides a detailed report of the achievement against the targets set in the original APP that was tabled on 12 March 2020 and implemented until 30 June 2020 prior the revised and re-tabled APP:

Outcome	Output	Output Indicator	Audited Actual Performance Achievement 2018/2019	Audited Actual Performance 2019/2020	Planned Annual Target 2020/2021	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement for 2020/21	Reasons for deviations	Reason for revisions to the Outputs/ Output /Indicators/Annual Targets
Integrated Planning and service delivery	MIG Spending	5.1 % of MIG receiving municipalities spending 90% of MIG allocation	New indicator	66% of MIG receiving municipalities spending 90% of MIG allocation	75% of MIG receiving municipalities spending 90% of MIG allocation	44% of the MIG receiving municipalities spending at 90% of the MIG allocation	31% of the MIG receiving municipalities	COVID-19 had an effect on slow expenditure in the last quarter	Suspension of construction work on project imposed by the COVID-19 lockdown
	Section 154 support plans developed and implemented	5.4 Number of reports on implementation of section 154 support plans in distressed municipalities	New indicator	New indicator	All Section 154 support plans developed and implemented	Report on the implementation of Section 154 support plans developed.	Not applicable	Not applicable	

The table below presents the outcomes, outputs, output indicators, targets, and actual achievements for the APP 2020/21 FY that was re-tabled on 08 July 2020 and implemented till 31 March 2021. The adjustments were made on the indicator relating to MIG spending by municipalities. However, the indicator was not discontinued.

Outcome	Output	Output Indicator	Actual Achievement 2018/2019	Actual Achievement 2019/2020	Planned Target 2020/2021	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement 2020/21	Comment on deviations
Integrated planning and service delivery	COVID-19 related MIG spending	5.1 Percentage of MIG spend on sanitisation and urgent repairs and refurbishment of water and sanitation infrastructure, as part of COVID-19 interventions	New indicator	New indicator	20% spend by municipalities on sanitisation and urgent repairs and maintenance of water and sanitation infrastructure by 31 March 2021	Not achieved 0,4% spend on sanitization and urgent repairs and refurbishment of water and sanitation infrastructure (reduction due to projects being retained under 2019/20)	• Delayed registration of projects due to late approval of the 2020/21 MIG Framework • Delayed procurement and implementation of projects • Delayed decisions by councils on reprioritisation, and its impact on existing commitments • Closing of offices due to COVID-19 infections	Closing of offices due to COVID-19 infections had an impact on spending. The delayed registration of projects due to late approval of the 2020/21 MIG Framework and delayed procurement and implementation of projects. Delayed decisions by councils on reprioritisation, and its impact on existing commitments.
	MIG spending	5.2 Percentage of MIG receiving municipalities spending 90% of MIG allocation			75% of MIG receiving municipalities spending 90% of MIG allocation by 30 June 2020	Not achieved 56% of MIG receiving municipalities have spent 60% of MIG allocation by 31 March 2020	19% MIG receiving municipalities have not spent 60% of MIG allocation due to poor planning and project preparations, delayed procurement processes resulting in late start of project implementation. The impact of COVID-19 lockdown has also impacted on timeous preparations of MIG projects.	Poor planning and project preparations, delayed procurement processes resulting in late start of projects' implementation. The impact of COVID-19 lockdown has also impacted on timeous preparations of MIG projects, and availability of building materials from suppliers.



Outcome	Output	Output Indicator	Actual Achievement 2018/2019	Actual Achievement 2019/2020	Planned Target 2020/2021	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement 2020/21	Comment on deviations
	Reports on Section 100 improvement plans	5.3 Number of reports on the Section 100 Improvement plans implementation developed	New indicator	New indicator	3 quarterly reports on all Section 100 improvement plans implementation developed by 31 March 2021	Achieved Report on section 100 developed	Not applicable	Not applicable
	Report on Section 139 improvement Plans	5.4 Number of reports on the implementation of improvement plans for section 139 interventions	New indicator	New indicator	3 quarterly reports on all Section 139 improvement plans implementation developed by 31 March 2021	Achieved 3 reports on all section 139 improvement plans implementation developed by 31 March 2021	Not applicable	Not applicable



Strategy to overcome areas of under-performance

Target: 20% spend by municipalities on sanitation and urgent repairs and maintenance of water and sanitation infrastructure by 31 March 2021 and Target: 75% of MIG receiving municipalities spending 90% of MIG allocation by 30 June 2020 were largely impacted by the delayed registration of projects due to late approval of the MIG Framework 2020/21, delayed procurement and implementation of projects and delayed decisions by councils on reprioritisation and its impact on existing commitments. Also, the closing of offices due to COVID-19 infections added to poor spending by municipalities.

Concerning the 20% spending by municipalities on sanitation and urgent repairs and maintenance of water and sanitation infrastructure, the Department will continue to convene intervention meetings with municipalities (MISA, Provinces and sector departments) in the 2021/22 financial year.

Furthermore, the technical support plans will be developed by MISA, coordination of support on governance, administrative and financial issues will be provided as well as mobilisation of municipalities by NDMC to improve COVID-19 response.

The MIG unit in DCOG, MISA, and provinces have developed an MIG recovery plan to address and unblock technical issues such as poor planning, projects preparations and registrations of MIG projects. Municipalities have also provided their procurement plans, to ensure that procurement of consultants and contractors for projects is accelerated. The impact of this can only be determined at the end of the MIG spending year, 30 June 2021. These efforts are geared towards improving spending on municipal infrastructure in the 2021/22 financial year.

Linking performance with budgets

The Programme spent 100% of its budget which is same as previous financial year, 2019/20.

Sub- Programme Name	2020/21			2019/20		
	Final Appropriation	Actual Expenditure	(Over) Under Expenditure	Final Appropriation	Actual Expenditure	(Over) Under Expenditure
	R'000	R'000		R'000	R'000	
Management: Local Government Support and Interventions	3 173	2 764	409	3 408	3 367	41
Municipal Performance Monitoring	13 411	13 406	5	14 355	13 852	503
Local Government Improvement Programme	22 198	21 329	869	29 777	29 774	3
Litigations and Interventions	280	0	280	235	0	235
Municipal Infrastructure Administration	34 699	30 154	4 545	34 600	33 999	601
Municipal Infrastructure Grant	14 491 065	14 491 065	0	14 816 103	14 816 103	0
Municipal Infrastructure Support Agency	389 849	389 749	100	343 976	343 976	0
Total	14 954 675	14 948 467	6 208	15 242 454	15 241 071	1 383

Programme 6: Community Work Programme

Purpose: Provide a social safety net and work experience for participants and promote social and economic inclusion by targeting areas of high unemployment.

The Programme contributes to the achievement of Outcome: Integrated Planning and service delivery through the following sub-programmes:

Management: Community Work Programme provides strategic management and leadership to the programme.

Programme Coordination develops frameworks and standard operating procedures, facilitates their implementation, oversees the functionality of programme sites, and monitors the performance of implementing agents.

Partnerships, Norms, Standards, and Innovation ensures the effective management and coordination of partnerships and special projects for the Community Work Programme.



The table below provides a detailed report of the achievement against the targets set in the original APP that was tabled on 12 March 2020 and implemented until 30 December 2020 prior to the revised and re-tabled APP. The only output indicator that was removed from the APP is the redesigning of the CWP Model. The Model, in its current form is not making an impact for community development, local economic development, and service delivery. Consensus was reached between the Minister and DCOG management that the Model still needs to be thoroughly conceptualised into one that would change the lives of the people of South Africa and manageable in a manner that would not create governance challenges for the Department.

Outcome	Output	Output Indicator	Audited actual Performance 2018/19	Actual Achievement 2019/20	Planned Target 2020/2021	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement for 2020/21	Reasons for deviations	Reasons for the revisions to the Outputs/ Output Indicators/ Annual targets
Integrated Planning and service delivery	Redesigned CWP model	6.1 Redesigned CWP Model approved	New Indicator	New Indicator	Redesigned CWP Model approved by the Minister and adopted by Cabinet by 31 March 2021	Alignment of institutional arrangements to the redesigned model has not been achieved.	The recommended options were approved by the Minister with modifications to consider the District Development Model.	The CWP is conceptualising the new/revised Model prior to submitting it for consideration and approval by Cabinet.	The process of revising the CWP Model will include extensive consultation with various key stakeholders, and it was unlikely to be concluded by the end of 2020/21 financial year.



The table below presents the outcomes, outputs, output indicators, targets, and actual achievements for the APP 2020/21 FY that was re-tabled on 08 July 2020 and implemented till 31 March 2021.

Outcome	Output	Output Indicator	Audited Actual Performance 2018/19	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement 2020/21	Comment on deviations
Integrated planning and service delivery	CPW participants enrolled	6.1 Number of people participating in the programme	247 466	250 000	250 000 people participating in the programme by 31 March 2021	Achieved 265 971 people participated in the CWP as at 28 February 2021	Not applicable	Not applicable
	CWP participants trained	6.2 Number of participants trained annually	24 746 CWP participants trained	23 236 CWP participants trained	25 000 CWP participants trained by 31 March 2021	Not Achieved 25 000 CWP participants trained by 31 March 2021	Not applicable	The CWP has not been able to provide the attendant's POE to attest to the over achievement.



Outcome	Output	Output Indicator	Audited Actual Performance 2018/19	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement 2020/21	Comment on deviations
	CWP partnership	6.3 Number of CWP partnerships established	Five partnerships established	Six partnerships established	Six CWP partnerships established by 31 March 2021	Achieved Six partnerships established with: • Dzunisani Consultant who donated material for the sewing of masks and provided training on how to sew masks. Masks and skirts were donated. • Department of Public Works to provide Enterprise development support and donated R30 000 for injection and feed for goats. • National Youth Development Agency who has donated an amount of R100 000 to purchase more goats in Lepelle Nkumpi Agrarian Revolution project. • Department of Environment, Forestry and Fisheries to provide youth support and training on waste management and recycling. • Department of Basic Education to provide training and COVID-9 protective clothing for CWP at public schools. • Afrika Tikkun to provide training on computer and provide bursaries for youth.	Not applicable	Not applicable

Outcome	Output	Output Indicator	Audited Actual Performance 2018/19	Audited Actual Performance 2019/20	Planned Annual Target 2020/21	Actual Achievement 2020/21	Deviation from planned target to Actual Achievement 2020/21	Comment on deviations
	Agrarian projects	6.4 Number of functional cooperatives established per Agrarian Revolution project through CWP	New Indicator	New Indicator	Ten functional cooperatives established per Agrarian Revolution project through CWP by 31 March 2021	Not Achieved 18 functional Cooperatives established per Agrarian Revolution project through CWP by 31 March 2021	This target was achieved and POE for registered Cooperatives in the form of registration certificates and other Companies and Intellectual Property Commission documents were submitted. However, the business plans were not finalised for some established Cooperatives.	Not applicable



Strategy to overcome areas of under-performance

Target: 25 000 CWP participants trained by 31 March 2021. CWP Management is engaging with NPO's to solicit the verification and validation of submitted POE. Going forward, a proactive mechanism of collecting POE and its related verification and validation is planned. Provincial Program Managers are enjoined to ensure that expenditure on training is immediately links expenditure and related attendance registers including the Accredited and Non-accredited training credentials.

Target: Ten functional cooperatives established per Agrarian Revolution project through CWP by 31 March 2021. A total of 18 Cooperatives were established per Agrarian Revolution project. However, the business plans to confirm the establishment could not be finalised. Management will continue to support the established Cooperatives during 2021/22 financial year and going forward all the Cooperatives will be linked to individual project business plans.

Linking performance with budget

Sub-programme expenditure

Sub- Programme Name	2020/2021			2019/2020		
	Final Appropriation	Actual Expenditure	(Over) Under Expenditure	Final Appropriation	Actual Expenditure	(Over) Under Expenditure
	R'000	R'000		R'000	R'000	
Management: Community Work Programme	4 042 080	3 481 528	560 552	3 830 022	3 827 849	2 173
Programme Coordination	41 723	399	41 324	1 972	1 972	0
Partnerships, Norms, Standards, and Innovation	14 819	464	14 355	2 294	2 294	0
Total	4 098 622	3 482 391	616 231	3 834 288	3 832 115	2 173

2.2.6 TRANSFER PAYMENTS

Transfer payments to public entities

The table below reflects transfers made to Public entities by the Department during the period under review.

Name of Public Entity	Services rendered by the public entity	Amount transferred to the public entity	Amount spent by the public entity	Achievements of the public entity
South African Local Government Association	Building leadership and technical capacity of local government (programmes for councillors) to play a developmental role, facilitate labour stability and peace, local government research, and knowledge sharing initiatives.	33 192	33 192	Dedicated support provided to municipalities on, inter alia, governance, councillor training, human resource, labour relations, and performance-related matters.
Municipal Demarcation Board	Determine and redetermine Municipal outer boundaries, delimitation of wards for local government elections, capacity of local government to perform their functions and exercise their powers and advisory services.	63 017	63 017	The period under review was characterised by efforts to develop systems and processes not only for the improvement of performance but to ensure that the MDB becomes more efficient.

Transfer payments to all organisations other than public entities

The table below reflects the transfer payments which were budgeted for in the period 1 April 2020 to 31 March 2021, but no transfer payments were made.

Name of transferee	The purpose for which the funds were to be used	Amount budgeted for (R'000)	Amount transferred (R'000)	Reasons why funds were not transferred
United Cities and Local Government of Africa	Operational and membership fees	R7 162	0	Possible demolition of entity.

2.2.7 CONDITIONAL GRANTS

Conditional grants and earmarked funds paid

The tables below describe each of the conditional grants and earmarked funds paid by the Department.

Conditional Grant 1: Municipal Infrastructure Grant

Department/ Municipality to whom the grant has been transferred	Municipal Infrastructure Grant
Purpose of the grant	To provide specific capital finance for eradicating basic municipal infrastructure backlogs for poor households, micro-enterprises and social institutions servicing poor communities.
Expected outputs of the grant	The MIG is a schedule 5 (direct grant) to municipalities. Infrastructure includes the construction of new infrastructure and the upgrading and renewal of existing infrastructure that includes: - basic water and sanitation services - central collection points for refuse, transfer stations, recycling facilities and solid waste disposal sites - Sport and recreation facilities - street and community lighting - public facilities - municipal roads (also maintenance) Work opportunities and Full-Time Equivalents (FTEs) created using the Expanded Public Works Programme (EPWP) guidelines for the above outputs. Municipalities have until 30 June 2020 to achieve outputs against their implementation plans.
Actual outputs achieved	The MIG is a municipal grant, which implies funds are spent between 1 July and 30 June the next year. Municipalities have until 30 June 2021 to achieve outputs against their implementation plans. The Annual Report in Section 10 of the Division of Revenue Act express the outputs achieved in 2020/21 which is due to National Treasury on 30 October 2021.
Amount per amended DORA (R'000)	R14 491 065
Amount transferred (R'000)	R14 491 065
Reasons if amount as per DORA not transferred	All funds were transferred municipalities.
Amount spent by the Department/ municipality (R'000)	As at the end of March 2020, R8 694 427 (61,86%) of the MIG 2020/21 of allocation was spent.
Reasons for the funds unspent by the entity	The MIG is a municipal grant, which implies funds are spent between 1 July and 30 June each year. Municipalities have until 30 June 2019 to spend the MIG funds transferred.
Monitoring mechanism by the transferring department	Project monitoring is undertaken by DCoG, provinces, and sector Departments through spot checks on projects and expenditure monitoring that includes the submission of proof of payments by the municipalities on projects, verified by provinces, and confirmed nationally through the MIG-MIS.



Conditional Grant 2: Integrated Urban Development Grant

Department/ Municipality to whom the grant has been transferred	Department of Cooperative Governance
Purpose of the grant	To provide funding for public investment in infrastructure for the poor and to promote increased access to municipal own sources of capital finance in order to increase funding for public investment in economic infrastructure; to ensure that these public investments are spatially aligned and to promote the sound management of the assets delivered.
Expected outputs of the grant	Number of new water connections meeting minimum standards Number of new sewer connections meeting minimum standards Number of dwellings provided with connections to the mains electricity supply by the municipality Percentage of known informal settlements receiving integrated waste handling services during the year Additional square meters of parks provided during the year Additional square meters of outdoor sports facilities provided during the financial year Additional square meters of public open space provided during the financial year Number of additional community halls provided during the financial year Number of additional libraries provided during the financial year Percentage of unsurfaced roads graded within the financial year Percentage of surfaced municipal road lanes which has been resurfaced and resealed Length of NMT paths built over financial year Number of work opportunities and Full-Time Equivalents (FTEs) created using the Expanded Public Works Programme (EPWP) guidelines for the above outputs
Actual outputs achieved	These will be updated at the end of the financial year. There are inconsistencies in terms of how municipalities are reporting on the outputs. This will be rectified and reconciled at the end of the financial year.
Amount per amended DORA (R'000)	R936 368
Amount transferred (R'000)	R936 368
Reasons if amount as per DORA not transferred	Not applicable
Amount spent by the Department/ municipality (R'000)	R579 710 as at 31 March 2021
Reasons for the funds unspent by the entity	The municipal financial year has not yet ended.
Monitoring mechanism by the transferring department	Monthly and quarterly expenditure reports submitted by the participating municipalities to DCOG.

Conditional Grant 3: Municipal Disaster Recovery Grant

Department/ Municipality to whom the grant has been transferred	An amount of R150 970 000 was transferred to 246 municipalities in nine 9 provinces for COVID-19 response measures.		
	Provinces	Number of funded municipalities	Transferred amounts '000
	Eastern Cape	37	R 42 787
	Free State	22	R 8 610
	Gauteng	8	R 5 276
	KwaZulu-Natal	53	R 47 499
	Limpopo	27	R 14 579
	Mpumalanga	17	R 9 596
	Northern Cape	31	R 3 137
	North West	22	R 11 559
	Western Cape	29	R 7 927
	TOTALS	246	R 150 970
Purpose of the grant	To provide for the immediate release of funds for disaster response		
Expected outputs of the grant	Emergency repair of critical infrastructure Emergency provision of goods and services		
Actual outputs achieved	The municipalities reported the following as achieved in addressing the effects of the COVID-19 pandemic: Provision of Temporary Sanitation; Waste management; and Decontamination of specific selected public spaces, PPE & hygiene packs.		
Amount per amended DORA	N/A		
Amount transferred (R'000)	R150 970		
Reasons if amount as per DORA not transferred	N/A		
Amount spent by the Department/ municipality (R'000)	R113 367		
Reasons for the funds unspent by the entity	The main reported reason is challenges regarding the Supply Chain Management processes.		
Monitoring mechanism by the transferring department	The transferring department is monitoring through the following: Analysis of the submitted reports and documentation; Engagements on progress reported by organs of state in relevant structures; Site visits and stakeholder engagements during implementation of the projects; and Site visits and stakeholder engagements by Provincial Disaster Management Centres (PDMCs) during implementation of the projects.		



Conditional Grant 4: Provincial Disaster Relief Grant

Department/ Municipality to whom the grant has been transferred	An amount of R138 489 000 was transferred to Departments of Agriculture, Land Reform and Rural Development in seven (7) provinces for drought intervention measures.	
	Provinces	Transferred amounts R'000
	Eastern Cape	R 35 000
	KwaZulu-Natal	R 4 000
	Limpopo	R 18 640
	Mpumalanga	R 12 160
	Northern Cape	R35 689
	North West	R8 000
	Western Cape	R 25 000
	TOTALS	R138 489
Purpose of the grant	To provide for the immediate release of funds for disaster response	
Expected outputs of the grant	- Emergency repair of critical infrastructure - Emergency provision of goods and services	
Actual outputs achieved	The provincial departments reported the following as achieved in addressing drought conditions: - Water infrastructure projects implemented - boreholes drilled, resuscitated and equipped. - Livestock feed provided to affected farming communities	
Amount per amended DORA	N/A	
Amount transferred (R'000)	R138 489	
Reasons if amount as per DORA not transferred	N/A	
Amount spent by the Department/ municipality (R'000)	R93 030	
Reasons for the funds unspent by the entity	The main reported reason is challenges regarding Supply Chain Management processes.	
Monitoring mechanism by the transferring department	The transferring department is monitoring through - Analysis of the submitted reports and documentation; - Engagements on progress reported by organs of state in relevant structures; - Site visits and stakeholder engagements during implementation of the projects; and - Site visits and stakeholder engagements by Provincial Disaster Management Centres (PDMCs) during implementation of the projects.	

1.1.1 Conditional grants and earmarked funds received

The Department has not received any conditional grants.

1.1.2 DONOR FUNDS

The following donor funding assistance was received by the Department during the financial year under review and the amounts presented hereunder agreed to the amounts disclosed in the annual financial statements.

Donor 1	Subinite (Pty) Ltd
Name of donor	
Full amount of the funding	R125 000 for 5000 masks and R150 000 for 5000 hand sanitizers R275 000
Period of the commitment	23 April 2020
Purpose of the funding	COVID-19 support
Expected outputs	Personal Protective Equipment (PEE) developed by Subinite (Pty) Ltd utilised in the Disaster Operations Centre established at the National Disaster Management Centre.
Actual outputs achieved	PPE utilised in the Disaster Operations and distributed to all 9 Provincial Disaster Management Centres.
Amount received (R'000)	N/A
Amount spent by the Department (R'000)	N/A
Reasons for the funds unspent	N/A
Monitoring mechanism by the donor	N/A

Donor 2	Santam
Name of donor	
Full amount of the funding	R192 912.50
Period of the commitment	06 April 2020
Purpose of the funding	COVID-19 support
Expected outputs	Personal Protective Equipment (PEE) procured by SANTAM and supplied to the Disaster Operations Centre established at the National Disaster Management Centre in support of the functions of the Centre.
Actual outputs achieved	PPE distributed to all personnel at the Disaster Operations Centre
Amount received (R'000)	N/A
Amount spent by the Department (R'000)	N/A
Reasons for the funds unspent	N/A
Monitoring mechanism by the donor	Report on utilisation of PPE submitted to the Donor.



Donor 3	Commonwealth Local Government Forum (CLGF)
Name of donor	R800 000 Catalytic Projects in OR Tambo DM and Waterberg
Full amount of the funding	R800 000 Catalytic Projects in OR Tambo DM and Waterberg
Period of the commitment	01 April 2020 – 31 March 2021
Purpose of the funding	The main objective of this project is to facilitate and package catalytic projects in OR Tambo District Municipality and Waterberg District Municipality, which are pilot municipalities for the DDM.
Expected outputs	Enhance cooperation and collaboration between municipalities, sector departments, government agencies, and the private sector in undertaking regional economic development initiatives.
Actual outputs achieved	Implementation of the bankable business plans; Bankable Business plans developed
Amount received (R'000)	N/A
Amount spent by the Department (R'000)	N/A
Reasons for the funds unspent	N/A
Monitoring mechanism by the donor	The CLGF monitors the project through a Steering Committee comprising of DCOG, SALGA, OR Tambo and Waterberg districts.

Donor 4	Commonwealth Local Government Forum (CLGF)
Name of donor	
Full amount of the funding	R155 000 00
Period of the commitment	01 April 2020 – 31 March 2021
Purpose of the funding	Editing and printing of the National Framework for Local Economic Development Framework 2018-2028
Expected outputs	Final National Framework for Local Economic Development
Actual outputs achieved	Editing and printing of 400 hard copies
Amount received (R'000)	N/A
Amount spent by the Department (R'000)	N/A
Reasons for the funds unspent	N/A
Monitoring mechanism by the donor	Sign-off report from DCOG

2.1.3 CAPITAL INVESTMENT

The Department does not have any capital investments.





PART C

GOVERNANCE



INTRODUCTION

Commitment by the Department to maintain the highest standards of governance is fundamental to the management of public finances and resources. The Department has put measures in place to ensure that the good governance structures are effectively, efficiently, and economically utilising state resources.

3.1 RISK MANAGEMENT

The Department has an approved risk management policy and strategy. Risk assessments were conducted at strategic and operational levels to identify new and emerging risks. The external Risk Management Committee Chairperson was appointed, and quarterly meetings were convened as per its approved terms of reference, to review the effectiveness of the risk management in the Department and recommended areas of improvement to the Accounting Officer. The Audit Committee monitored the system of risk management and provided recommendations to the Accounting Officer. The management of risks was partially effective and did not translate into improved departmental performance.

Some of the efforts of improving the risk culture and maturity included reviewing the Risk Management Committee charter, regular monitoring of the strategic and operational risks, and continued training of the Risk Champions on their roles and responsibilities.

3.2 FRAUD AND CORRUPTION

The Department implemented anti-corruption and whistleblowing policies and strategy. Fraud risks were identified and managed. Regular awareness campaigns were conducted through available mediums to all employees, with emphasis on whistleblowing reporting procedures as well as on prevention and detection of fraud and corruption.

The reporting procedures outlines and emphasises the process of reporting allegations of fraud and corruption, investigation process and feedback mechanisms.

3.3 MINIMISING CONFLICT OF INTEREST

The Treasury Regulations 16A, 2005, provide operating guidelines on how to manage conflict of interest and ensure proper declarations are made by all Supply Chain

Management (SCM) practitioners, as well as by other role-players involved with its processes. All SCM practitioners and Bid Committee members are required to disclose their business interests every financial year also sign the Code of Conduct in accordance with the National Treasury Practice Note 4 of 2003 and 7 of 2009/10. For every bid dealt with, the Bid Committee members are obliged to disclose their interest and a record is kept for audit purposes.

3.4 CODE OF CONDUCT

The Public Service Code is a guiding document to promote the good conduct of employees in the Department. The Code of Conduct for the Public Service and the collective agreement (PSCBC Resolution 1 of 2003) are important prescripts in the Department in so far as discipline is concerned. It is endorsed by the PSCBC Resolution 1 of 2003. Annexure A of the resolution contains numerous acts of misconduct, which the Department complies with. It prescribes that an employee will be guilty of misconduct if he or she “contravenes any prescribed code of conduct in the Public Service”.

The Department complies with the Code by ensuring that staff members are informed of the consequences in the case of non-compliance. Any employee who contravenes or breaches the Code, as indicated in the Collective Agreement (PSCB Resolution 1 of 2003), is guilty of misconduct. A disciplinary process is followed, as indicated in the Collective Agreement, against any employee who breaches or contravenes the code of conduct in the Department.

3.5 HEALTH, SAFETY, AND ENVIRONMENTAL ISSUES

The Department has put in place an Occupational Health and Safety (OHS) Policy to regulate and safeguard the health and safety of employees, visitors, and contractors/ service providers in its buildings. In addition to that, the Department has a Contingency Plan to guide its response to emergencies that might occur within and around its buildings.

To ensure compliance with the Act, the Department has appointed a Health and Safety Committee, Health and Safety Representatives, Fire Marshals and Fire Fighters from March 2021 to February 2023 (Two years). The Committee ensures cooperation between the employer and employees in developing and implementing the health and safety measures; keep under review the operation of OHS principles and practices; and promote the work environment that is safe



and free from risk that may cause ill health and/or injuries to employees, visitors and contractors/service providers.

The following compliance matters are implemented to enhance a hazard free, safe and secure work environment for employees and visitors:

- OHS Committee appointed and quarterly meetings convened in line with Occupational Health and Safety Act.
- Section 16.2 officer appointed.
- Health and Safety Representatives, First Aiders, and Fire Marshalls appointed and trained.
- Contingency Plan approved and implemented.
- Evacuation drills conducted in various buildings.
- Health and safety inspections conducted monthly.
- Safety Health Environmental Risk Quality Plan submitted to DPSA annually.

How Department complied with COVID-19 Regulations?

A Departmental COVID-19 Steering Committee has been established. The Steering Committee reports directly to the Accounting Officer and is constituted as follows:

- DDG: Corporate Services (Chairperson)
- DDGs
- CFO
- Head of the Office of the DG
- Chief Director: Internal Audit and Risk Management
- Health and Safety Compliance Officer
- Deputy Health and Safety Compliance Officer
- Representatives from Organised Labour

The committee performs functions associated with the Health and Safety Committee as envisaged in section 19 of the Occupational Health and Safety Act.

The Department has an approved COVID-19 Response Plan outlining guidelines for:

- Workplace critical staff and building occupancy plan according to lockdown levels
- Managing potential and confirmed cases
- PPE, cleaning and sanitization protocols

3.6 PORTFOLIO COMMITTEES

The Department had engagements with the Portfolio Committee as per the date listed below. The Portfolio Committee also had meetings with several municipalities, especially municipalities that are not doing well, during the financial year 2020/21 to discuss state of these municipalities. The table below summarises the resolutions made by the Portfolio Committee during its engagements with the Department.

Committee Dates	Subject	Matters raised by the Portfolio Committee
30 April 2020	Stimulus package to municipalities	The Committee resolved that the Department should return with a comprehensive plan, clearly indicating how it will allocate the R20 billion to municipalities.
08 May 2020	Presentation on the Annual Performance Plan 2021/22	• The DCOG must submit 3rd and 4th quarter Performance reports to the Committee; • The DCOG will make a presentation to the Committee on the redesign of the Community Work Programme (CWP) as well as a status report on the arrest of the MISA CEO on allegations of corruption. • Committee will correspond with the Leader of Government Business to facilitate participation of Committee members in COVID-19 Command Council meetings.

Committee Dates	Subject	Matters raised by the Portfolio Committee
29 May 2020	State of municipal governance and operations on moving to Alert level 3	a. The Portfolio Committee should be consulted on the Alert Levels Regulations before they could be published by the Ministry of COGTA. b. The National Disaster Management Centre (NDMC) should engage the Western Cape Provincial Disaster Management Centre on the site for homeless people that has been closed in this province. c. The Department should consider issuing the Directions or Circular to state that since municipal councils are able to meet through video conference and other means regularly, the municipal managers should now report in every council meeting about the procurement activities. d. There were over 2 000 comments that supported the ban of tobacco. The Department will check if there are no duplication in some of these comments. e. How will the National Disaster Management Centre access the Solidary Fund to fund measures to combat the spread of COVID-19 pandemic. f. The Minister will engage the Minister of Social Development to find out if indeed they are no longer providing food parcels and if so, what are the other means of supporting households that are in hunger. g. The Minister will also engage the Minister of Basic Education about the reopening of grades 1 and 2 classes. h. COGTA should engage the Department of Water and Sanitation on the provision of water tanks and tankering in Ward 26-31 of the JS Moroka Municipality. i. COGTA should establish whether municipalities have technology required to hold municipal council meetings via video conference or teleconference. j. The Committee will identify a date to discuss the report on Section 139 of the Constitution.
08 June 2020	Engagement on the Local Government: Municipal Systems Amendment Bill	The Department must immediately respond to the FORSA's queries, where it had not done so. In general, the Department must cultivate the habit of responsiveness, even if it is just to acknowledge receipt of correspondence.
25 June 2020	Deliberations on the Local Government: Municipal Systems Amendment Bill	a. The Committee will go through the Local Government: Municipal Systems Amendment Bill clause by clause in its meeting of tomorrow, 26 June 2020. b. The Committee supported the extension of the limitation of the political rights to all officials in municipalities.
14 July 2020	Cooperative Governance adjustments budget; Municipal Systems Amendment Bill	a. A report on the District Development Model pilot sites should be shared with the Portfolio Committee. b. The CWP should be ready to report to the Portfolio Committee on the implementation of the Programme including the redesign of the CWP Programme.



Committee Dates	Subject	Matters raised by the Portfolio Committee
28 July 2020	CoGTA on SI 39 interventions in municipalities	a. The DCOG's Anti-Corruption should share with the Portfolio Committee reports on corruption cases and progress on these cases. The Portfolio Committee will on the day they consider this report also invite the MECs of the municipalities in question. b. DCOG should provide a report on the role it played to support each municipality under section 139 intervention. This should be done before the Committee can invite the MECs of these municipalities to account to the Committee. c. DCOG should share with the Portfolio Committee the lessons learnt in the Limpopo and North West Provinces on Section 100 interventions. The Department should also provide progress report on the North West Province Section 100 intervention. d. SALGA should also provide its comments on Section 139 intervention in the municipalities listed in the presentation by DCOG.
July 2020	Local Government: Municipal Systems Amendment Bill: deliberations	• The Department should provide evidence of the stakeholders they consulted on the Local Government: Municipal Systems Amendment Bill. This document should be provided on Tuesday, 04 August 2020. The Department should also provide historical experiences on the current situation of the MSA. It should provide scientific evidence of the gap of the current provision of the existing Act. A legal opinion should also be sought on the constitutionality of the following provision that are being proposed by the Department: ✓ Managers directly reporting to municipal manager to be appointed on permanent basis. ✓ Senior managers in municipalities should not hold a position in the political party. • These proposals should be discussed further in the next meeting. In the meantime, further consultation should be made on this matter to get other views.
20 October 2020	DCoG, DTA & MISA Quarterly Reports	• The Department must brief the Committee on 27 November 2020, on the revised CWP model, progress report on the implementation on the recommendations of the five forensic investigations reports, and the cost of commissioning these investigations. • Provide the Committee with a report on the functionality of Ward Committees and the assistance provided by the Department in this regard. • Analysis of all local government interventions from Project Consolidate up to the District Development Model; • Brief the Committee on the Departments' role of in terms of SI 39 (7) of the Constitution.

Committee Dates	Subject	Matters raised by the Portfolio Committee
27 November 2020	CWP: Remodeling & implementation of forensic report's recommendations	- The DCoG must furnish the Committee with a breakdown of all irregular, unauthorised, fruitless and wasteful expenditure for the CWP, what consequence management invoked against those found guilty and name of the officials involved; - The DCoG must furnish the Committee with copies of the five forensic reports, as well as the cost of investigation for each report. - DCoG must submit a spread sheet on all the service providers appointed to the CWP since its inception. - DCoG should provide the Committee with cost benefit analysis of the CWP since its inception.
01 December 2020	COGTA Audit outcomes; 2019/20 Annual Reports of DCOG & MISA	- The Department should provide progress report on the Sekhukhune matter. The report should indicate the processes followed to recover the money. - The Department is dealing with the Seriti matter and other NPOs. Some of these NPOs are owing the Department and they cannot get their retention fees. The Department is engaging these NPOs to resolve the issues relating to retention fees and the money owed by the NPOs to the Department. The Department should provide a report on this matter.
10 February 2021	Municipal Structures Amendment Bill	The Portfolio Committee on Cooperative Governance and Traditional Affairs having deliberated on and considered the NCOP's amendments to the Local Government: Municipal Structures Amendment Bill [B19D-2018] (National Assembly – Section 76), reports that it has agreed to the amendments as proposed. Committee members also agreed that the Department of Cooperative Governance should address all other matters of concern via a Circular or other appropriate mechanism.

3.7 SCOPA RESOLUTIONS

During the 2020/21 financial year the Department participated in the following engagements with Provinces and SCOPA. There were no recommendation or resolutions that required implementation by the DCoG.

Date	Details	Response by the Department	Resolved (Yes/No)
14 August 2020	The SCOPA invited the Ministerial Committee on COVID-19 to discuss the procurement of goods and services to combat the spread of COVID-19 and the alleged corruption relating to procurement of these goods and services. This Ministerial Committee is chaired by the Minister of Justice and Correctional Services and the Minister of COGTA is a member.	Not applicable	There were no recommendations that required the Department to implement.



Date	Details	Response by the Department	Resolved (Yes/No)
18 November 2020	SCOPA also had a meeting with the Eastern Cape Provincial Department of COGTA to engage with municipalities on fraud and corruption investigations. The issues raised are were mainly directed to the Eastern Cape Province. Issues raised: - Does DCoG have the database of all municipal investigations? - Does DCOG have the database of all officials who were dismissed because of criminal offences, misconduct, fraud and corruption? - SCOPA had requested the EC CoGTA to provide detailed presentation indicating the status of the investigations, where reports have been concluded, they must indicate when were the outcomes of the reports tabled to municipalities and what was the response?	- The Department established a register of municipal employees dismissed for misconduct and those who have resigned prior to finalisation of disciplinary proceedings. This register was established in terms of Section 57A (9) of the Local Government: Municipal Systems Amendment Act, 2011 before its invalidation on 9 March 2019, empowered the Minister to maintain a register of municipal staff dismissed for misconduct or those that have resigned prior to the finalisation of the disciplinary proceedings, which record must be made available to municipalities. - This register has no legal basis as a result of the invalidation of the Amendment Act on 9 March 2019. This register had only disciplinary cases that were reported to the Minister of municipal employees dismissed for serious misconduct such as financial misconduct, fraud, or corruption. - Nevertheless, regulation 19 of the Local Government: Disciplinary Regulations for Senior Managers, 2011 require municipalities to provide quarterly reports on the status of the disciplinary cases to the MEC responsible for local government in the province and the MEC must submit reports to the Minister within 14 days of receipt of disciplinary reports. - Out of the 39 municipalities in the Province, 21 have been under investigation by various authorities (Provincial Cogta, National Treasury, NPA, SIU, Hawks, etc.).	

Date	Details	Response by the Department	Resolved (Yes/No)
26-29 November 2020	The SCOPA also embarked on the oversight visit in the KwaZulu-Natal Province to engage with the KZN Provincial Department of COGTA and the municipalities in the province to discuss the status of municipalities in the KZN Province. The recommendations made were directed to the Province and not National COGTA. The matters discussed and the recommendations made related to the following: • Auditor-General Findings. • Investigations into fraud and corruption. • Consequence management. • Sound financial management (ensure sound financial management and accounting).	Not applicable	

PRIOR MODIFICATIONS TO AUDIT REPORTS

During the 2020/21, the Department put the following mechanisms in place in an effort to resolve matters reported by the AGSA in the previous financial year/s:

Nature of qualification on and matters of non-compliance	Financial year in which it first arose	Progress made in clearing/resolving the matter
Payments were not made within 30 days or an agreed period after receipt of an invoice, as required by treasury regulation 8.2.3.	2013/14	A practice note detailing the steps for receiving, certification and processing of invoices was developed to assist relevant officials in ensure that they play their part in paying service providers within 30 days. As part of the Finance practice note, receipt of invoices is centralised at Finance and consequence management are implemented for violation of this practice note where such leads to delay of paying invoices within 30 days to service providers. The process is monitored closely, and reports are provided to Exco on a monthly basis.
Insufficient appropriate audit evidence that disciplinary steps were taken against officials who had incurred irregular expenditure as required by section 38(1)(h)(iii) of the PFMA were not provided	2016/17	Investigations were conducted pertaining to irregular expenditure incurred some dating back to 2014/15 financial years and disciplinary proceedings commenced. Disciplinary actions commenced on officials that were involved in the irregular appointment of CWP implementing agents; however, some affected employees cited in the investigation reports were no longer in the service of the Department. Completed cases will serve in the reconstituted Loss Control and Asset Disposal Committee where final recommendations for are made to the accounting officer.



Nature of qualification on and matters of non-compliance	Financial year in which it first arose	Progress made in clearing/resolving the matter
Leadership was not always effective in positively influencing good governance as there was insufficient oversight to ensure compliance with key laws and regulations, effective action plans to address prior year finding and reliable financial and performance reporting supported by appropriate audit evidence.	2016/17	Leadership and management monitored the implementation of the identified action plans to address the audit findings including findings related to non-compliance with key laws and regulation through weekly audit teams meetings chaired by the Director-General where all branches report progress made in addressing root causes of the findings. Audit related issues serve at Exco level where progress is reported on a monthly basis. It is expected that this leadership commitment to influence good governance will yield the desired outcome in the near future.
Management did not implement proper record keeping in a timely manner to ensure that complete, relevant and accurate information is accessible and available to support financial and performance reporting. Management did not implement controls over daily and monthly processing and reconciling of transactions. Management did not prepare regular, accurate and complete financial and performance report that are supported and evidenced by reliable information	2015/16	The Department commenced with the process of strengthening controls over the CWP source documents which appeared to be the major area where documents went missing. Whilst not much has been accomplished in this space as at 31 March 2021, the Department managed to receive a number of the required source documents to clear the CWP suspense accounts which had a huge balance due to unaccounted amounts advanced to CWP implementing agents in the past financial years. As at 31 March 2021, there was still big room for improvement in the area of regular reconciliation of financial transactions.
Management did not appropriately review and monitor compliance with applicable laws and regulations.	2016/17	The Department commenced strengthening the capacity by appointing new officials to monitor and enforce compliance to legislation, policies and delegations of authority. Leadership also commenced implementing consequence management where material non-compliance was identified through the investigations conducted.
Transfer payment to incorrect receipt for Municipality Infrastructure Grant (MIG)	2018/19	The matter was investigated internally, and disciplinary actions were taken. This matter was further referred to external law enforcement and investigation agencies such as the Hawks. The Department is now dependent on the external processes to see if any outstanding amounts can be recovered. Reconciliations are conducted for all processed payments, grants, and transfers to prevent and timeously detect erroneous payment. Bank account details are double checked prior to processing payments as a prevention control.

Nature of qualification on and matters of non-compliance	Financial year in which it first arose	Progress made in clearing/resolving the matter
Payments for services not received – Deceased participants on CWP	2018/19	Investigations were performed and it was determined that some of the participants were captured on the CWP MIS with the incorrect ID numbers and some were indeed deceased. The financial losses resulting from the deceased participants was quantified and the monies will be recovered from the NPOs after the conclusion of the reconciliation for the entire contract which is expected to be finalised in 2021/22 financial year. Identified deceased participants were removed from the CWP MIS and participants captured with wrong ID numbers were removed from the system and recaptured with the correct ID numbers This problem is persisting as evident from 2020/21 audit although not on a big scale as it was in the past.
Payments to non-qualifying government employees on CWP	2018/19	The Department is working on improving the CWP MIS system where DPSA and SASSA databases could be utilised to identify non qualifying participants prior to appointment and payments. This is still work in progress as the idea is to automate these integration processes. During the 2020/21 financial year, non-qualifying participants were being manually identified on a monthly basis and removed from the payment file. There are however still cases that were incorrectly paid and recovery process will be initiated on such cases
Prepayment for goods and services that were not received at CWP sites.	2018/19	Concerted efforts were implemented by the Department to clear the CWP advance suspense account with the resultant balance of R62 million as at the end of 2020/21 financial year and the AGSA also noted the progress made and indicated that this matter will be followed up in 2021/22 financial year audit. The department decided to perform a reconciliation for the entire contract period as it considered this to be the best approach given the matter in which transactions were processed on the systems in the past where details were not systematically captured to aid reconciliation in the future. Any amounts unaccounted for at the end of the contract will be recovered from the NPOs where applicable.
Project management fees paid to implementing agents for services not received	2018/19	The invoices for the Project management fees were and we processed adequately in the year 2020/21



Nature of qualification on and matters of non-compliance	Financial year in which it first arose	Progress made in clearing/resolving the matter
The Department did not have adequate systems and controls in place to ensure that all invoices received from implementing agents relating to the current year are cleared timeously.	2018/19	Towards the end of the financial year, the Department started withholding payments to NPOs that have not adequately accounted for previous advances. A number of meetings were held with the NPOs where they were requested to assist in providing invoices required to clear as much as possible the old advances. This process resulted in the reduced balance of R62 million in the CWP suspense account as at 31 March 2021. These efforts continued into the 2021/22 financial year, and it is anticipated that all old balances will be cleared either through recovery from NPOs where applicable or by submission of all outstanding invoices at the contract close out stage.
Insufficient appropriate audit evidence for Movable tangible assets could not be provided	2018/19	While some actions including desktop exercise to get confirmation of assets held by the CWP agents were undertaken to perform assets reconciliation during the year, a number of gaps were still found which lead the Department not being able to furnish a reliable asset register for audit 2020/21 financial year audit purposes. A service provider was appointed to perform asset verification across all CWP sites as this is the main source of challenges with the asset register. A Project team was put together for such a purpose, however not much could be achieved before the finalization of 2020/21 Annual Financial Statements; hence this project will be concluded in 2021/22 financial year.



3.8 INTERNAL CONTROL UNIT

The Internal Control Unit facilitated, to the extent possible, that the Department maintains an effective, efficient, and transparent internal control system, the hosting of the Audit Steering Committee and the Internal Control Committee meetings during the year under review. The main functions performed by the Internal Control Unit covered facilitation of the audit process, management of the Loss Control activities, safeguarding of financial documents, development and monitoring of the Audit Implementation Action Plans (Integrated Management Tool) as well as consequence management on matters relating to non-compliance with policies and prescripts. Work performed by internal control unit during the year.

3.9 INTERNAL AUDIT AND AUDIT COMMITTEES

3.9.1 Internal Audit

The Internal Audit Activity (IAA) is responsible for evaluating the control environment and assisting the Audit Committee (AC) to fulfil its responsibilities. During the financial year under review, the IAA provided the AC and the Director-General (DG) with assurance on whether the Department's internal controls, risk management, and governance processes are adequate and effective. The purpose, authority, and responsibility of IAA is stated in the Internal Audit Charter, which was endorsed by the DG and approved by the AC. The Chief Audit Executive reports administratively to the DG and functionally to the AC.

a) Internal Audit Objective

The IAA objective is to render internal audit services of the highest standard by providing an independent and objective assurance, as well as consulting services designed to add value and improve the Departments operations. It assists the Department achieve its objective by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of the risk management, control, and governance processes.

b) Internal Audit Key Activities

The IAA, through engagement with internal stakeholders and Audit Committee, developed a comprehensive three-year rolling plan, incorporating an annual plan that was approved by the AC.

IAA executed the risk-based audits assignment as per the annual plan. Included in areas audited are Performance Information, Financial Statements, Community Work Programme, Financial Management, Occupational Health & Safety, Integrated Management Tool and Follow-up audits on previously reported findings. The results of those audits indicated some control weaknesses and management undertook to address those identified weaknesses, through a comprehensive action plan.

IAA provides recommendations to management with regard to internal controls, risk management, and governance processes. IAA also provides management advisory services when requested by management as and when necessary. IAA reports quarterly to the AC progress against the approved annual plan.

3.9.2 AUDIT COMMITTEE

Objectives and Key activities of the audit committee

(a) Objectives of the audit committee

The primary objective of the Audit Committee is to advise the Executive Authority, the DG, and the management staff of the Department in the effective discharge of their responsibilities with regard to risk management, control, and governance.

(b) Key activities of the audit committee

The Audit Committee is responsible for the following key activities:

- Evaluating and recommending approval of the draft Annual Financial Statements for submission to the External Auditors for audit purposes, under guidance of the DG and CFO.
- Review the report of significant changes to the Institution's risk register and provide regular feedback to the DG and Executive Authority on the adequacy and effectiveness of risk management, including recommendations for improvement.
- Review the adequacy of processes to monitor the Department system of internal controls including information technology security and control. Review the Department compliance with the performance management and reporting systems.
- Review whether the Management of the Department have considered legal and compliance risks as part of risk assessment. Review the effectiveness of the system



for monitoring compliance with laws, regulations, ethics, policies, and rules concerning conflict of interest and follow up on instances of non-compliance.

- Review and approve the Internal Audit Charter after it is submitted to the DG for recommendation or acceptance. Review and approve Internal Audit plans, its scope and any major changes to it, ensuring that the audit plan sufficiently address the critical risk areas of the Department and there is appropriate co-ordination with the External Auditor.

- Review quarterly reports from Internal Audit in line with the approved plans. Review significant findings and recommendations by Internal Audit as well as Management responses and action plans. Review implementation of Internal Audit recommendations by Management.
- Review the findings and recommendations by External Audit and Management responses thereof. Review implementation of External Auditor's recommendations by Management.

Name	Qualifications	Internal or external	If internal, position in the Department	Date appointed	Date Resigned	No. of Meetings attended
Mr F Sinthumule	DIP: Financial Accounting BCom Accounting MBA (with special project on PFMA)	External	N/A	Chairperson appointed on 1 August 2016	26 May 2020	3/10
Ms P Mzizi	CA (SA) BBusSci Finance (UCT) BCompt (Honours) CTA (UNISA) BCom Hons in Transport Economics (UNISA)	External	N/A	Member appointed on 1 February 2018 Appointed Chairperson on 1 July 2020	31 January 2021	9/10
Ms D Dondur	CA (SA) BCompt (Honours) Certificate in Theory of Accounting (CTA) MBA Executive Development Programme.	External	N/A	Member appointed on 9 September 2017	8 September 2020	7/10
Dr P Dala	Bachelor of Information Technology, Bachelor of Science (Honours), Masters of Information Technology, Doctorate Information Technology (PHD)	External	N/A	Member appointed on 1 July 2020 Appointed Acting Chairperson on 08 March 2021	N/A	7/10
Mr L Makibinyane	Degree in Bachelor of Engineering with Honours (Chemical Engineering) – B.ENG(Honours), Post Graduate Certificate Management Development, Master's in Business Leadership	External	N/A	Member appointed on 1 July 2020	N/A	7/10
Ms. N Lubanga	National Diploma: Internal Audit, BCom: Internal Auditing	External	N/A	Member appointed on 1 July 2020	N/A	7/10

At year-end the Audit committee consisted of three members, as the contracts of the other three members came to an end during the financial year.



3.10 AUDIT COMMITTEE REPORT

We are pleased, as the Audit Committee (“the Committee”), to present our report for the financial year ended 31 March 2021.

Audit Committee Responsibility

The Committee is established as an independent statutory committee in terms of the PFMA. The Committee reports that it has complied with its responsibilities arising from sections 38 (1) (a)(ii), 76 (4)(d) and 77 of the PFMA and section 3.1 of the Treasury Regulations. Furthermore, the Committee also reports that it has adopted a formal terms of reference as its Charter and has reviewed as well as discharged all its responsibilities as contained therein.

Effectiveness of Internal Control

In line with the PFMA, Internal Audit provides the Committee and management with reasonable assurance that the internal controls are appropriate and effective. This is achieved by evaluating the system of internal controls, to determine the adequacy and effectiveness thereof, as well as to develop recommendations for enhancement or improvement. The Accounting Officer retains the responsibility for implementing such recommendations as per Treasury Regulation 3.1.12.

The Committee is satisfied that Internal Audit provided assurance in relation to the system of internal controls as per the approved risk-based audit plan, which included reviews relating to:

- Interim and annual financial statements;
- Quarterly and annual performance information;
- Consolidated follow-up audits including the integrated management tool;
- Financial (expenditure) management;
- Departmental planning (strategic plan and annual performance plan);
- Occupational health and safety;
- Community work programme (CWP) - Data analytics;
- CWP prepayments;
- CWP management information system (MIS); and
- CWP performance audit.

An assessment of the findings identified by the Internal Audit as well as the audit and management reports presented to the Committee by the Auditor-General of South Africa (AGSA), reveals that the control environment requires improvement. Accordingly, the Committee can report that the system of internal controls for the period under review was generally inadequate and ineffective, and has identified the following key areas of concern that should be addressed:

- Quality of the annual financial statements;
- CWP;
- Supply chain and contract management;
- Asset management;
- Record keeping;
- ICT and cyber security;
- Compliance with laws and regulations; and
- Rate of implementation of audit action plans as well as risk mitigation measures.

Furthermore, the Committee remains concerned with the slow progress in the finalisation of unauthorised, irregular, fruitless, and wasteful expenditure and the related material irregularities reported. Therefore, the Committee has recommended the development and implementation of an action plan to prevent as well as prioritise and substantially reduce the accumulated irregular, fruitless, and wasteful expenditure. Any instances of non-compliance must be timeously addressed and accompanied by the relevant consequence management.

In-Year Management and Monthly/Quarterly Reports

The Department has reported to the National Treasury and the Executive Authority in accordance with the requirements of the PFMA. The Committee as well as assurance providers provided management with recommendations to improve the quality of performance information and financial reporting.



Evaluation of Annual Financial Statements and Performance Information

The Committee has reviewed:

- The unaudited financial statements with due consideration of the independent assurance provided by Internal Audit, as well as the assurance provided by Management. During the review, the Committee raised specific concerns in relation to the high risk of recurring audit findings, CWP, asset management ultimately impacting on the quality of the unaudited annual financial statements.
- Changes in accounting policies and practices;
- Compliance with legal and regulatory provisions;
- The basis for the going concern assumption, including any financial sustainability risks and issues;
- The unaudited information on predetermined objectives with due consideration of the independent assurance provided by Internal Audit as well as the assurance provided by Management;
- The AGSA audit and management reports, with due consideration of the responses provided by Management; and
- The audited financial statements as well as the information on predetermined objectives to be included in the annual report for any significant adjustments resulting from the audit.

Auditor-General of South Africa Report

The Committee notes with concern the qualified audit opinion and concurs to as well as accepts the conclusions of the AGSA on the annual financial statements, and is of the opinion that the audited annual financial statements be accepted and read together with the report of the AGSA.

General

We would like to express our appreciation to the Executive Authority, Accounting Officer, and Management, as well as all the assurance providers for their continued support. In addition, the Committee implores the Department to leverage off the continued improvement in the leadership culture, as a result of the appointment of key personnel at executive management

level. A specific focus area should be the development of an audit action plan that addresses the root causes and is continuously monitored, to ensure the prioritisation and timely implementation of the recommendations by various assurance providers in order to improve the overall internal control environment with the objective of achieving an improved audit outcome.

P. Dala

Dr. P Dala

Chairperson of the Audit Committee

3.1 | B-BBEE Compliance Performance Information

The following table should be completed in accordance with the compliance to the BBBEE requirements as required by the BBBEE Act and as determined by the Department of Trade, Industry and Competition. Where there has been no or only partial compliance with the criteria, the entity should provide a discussion and also indicate the measures taken to comply.

Has the Department / Public Entity applied any relevant Code of Good Practice (B-BBEE Certificate Levels 1 – 8) with regards to the following:		
Criteria	Response Yes / No	Discussion
Determining qualification criteria for the issuing of licences, concessions or other authorisations in respect of economic activity in terms of any law?	No	Not Applicable
Developing and implementing a preferential procurement policy?	Yes	The Department has developed and is implementing a procurement policy which is aligned with Preferential Procurement Policy Framework Act and Preferential Procurement Regulations 2017 as issued by National Treasury.
Determining qualification criteria for the sale of state-owned enterprises?	No	Not Applicable
Developing criteria for entering into partnerships with the private sector?	No	Not Applicable
Determining criteria for the awarding of incentives, grants and investment schemes in support of Broad Based Black Economic Empowerment?	No	Not Applicable



PART D

HUMAN RESOURCE MANAGEMENT



INTRODUCTION

The information contained in this part of the annual report has been prescribed by the Minister for the Public Service and Administration for all departments in the public service.

The status of human resources in the Department during the financial year under review is as follows:

(a) Human resource priorities for the year under review and the impact of these:

- **Alignment of the organisational structure to the strategic plan**

The Chief Directorate: Human Capital Management facilitated consultation sessions on the alignment of the structure with the strategy with respective Branches. Based on the inputs provided by branches, a draft proposed organisational structure was designed. The process of aligning the organisational structure to the Strategic Plan is currently incomplete till related processes are completed.

- **Training and development and conduct skills audit**

The Department trained three hundred and sixty-five (365) staff members on eleven (11) identified training programmes at a cost of R 238 870.00 during the 2020/21 financial year. The ability of the Department to do so was as a result of the consolidated Personal Development Plans which were subsequently consolidated into the 2020/21 Workplace Skills Plan (WSP). The plan was implemented during quarters 3 and 4 of the financial year due to COVID-19 challenges ranging from the delay in getting quotations from the National School of Government. In addition, the success can also be attributed to the hybrid model that was implored in ensuring that staff members are trained.

- **Conduct Skills Audit**

The Department did not conduct skills audit during the year under review because no guided framework was available to guide on skills audit and at the time the Department resolved to do it in-house. Considering the approved framework on skills audit issued by the DPSA, the Department has opted to outsource the skills audit process and that has been included in the 2021/22 procurement plan.

(b) Employee performance management:

In the 2020/21 financial year, staff members were assessed and subsequently moderated for the 2019/20 financial year. Effective staff members on salary levels 1-12 were paid performance

incentives in the form of pay progression. Highly effective staff members could not be paid since the moderation process for highly effective performers could not be finalised. Staff members submitted their 2020/21 performance agreements.

(c) Training and Development:

Staff members are annually required to submit their personal development plans emanating from the identified training gaps during the review of the mid-term and annual assessments, amongst others. The identified gaps are addressed through training programmes with a view to constantly and consistently equip staff with requisite skills in order to achieve the Annual Performance Plan targets as well as Operational Plan targets.

As of 1 April 2020, the Directorate: Human Resource Development facilitated 11 Training programmes/courses and spent R 238 870.00 excluding cost for technical training programs organised by different Branches. As of 31 March 2020, 364 employees were trained on 11 identified training programmes at a cost of R 238 870.00. It should be noted that the Department has decentralised technical training to the respective branches while the Directorate: Human Resource Development is predominantly responsible for the budget of the generic training programmes. It should further be noted that the decentralised budget and training interventions are currently not monitored by the Directorate: Human Resource Development and this should be corrected with full capacity. The amount mentioned above excludes the cost associated with bursaries and Internship.

Challenges faced in the implementation of the WSP

The challenges with the implementation of the Workplace Skills Plan are primarily the decentralised training budget, amongst others. There is however the proposal to centralise the training budget as that will allow the Directorate: Human Resource Development to manage the legislated 1% as per the Skills Development Levies Act. In addition, training is not prioritised and followed through by the supervisors. In other words, staff members submit personal development plans and end up not attending the identified programmes. The Department will therefore inevitably have a variance of what was initially planned and submitted Vis a vis. It is important to note that staff members do not have control over decisions such as being withdrawn from the training programmes. The process of procuring training as a commodity is necessary, however, it also poses a challenge as it is lengthy and delays the urgency with which the training must take place.



(d) Workforce planning and key strategies to attract and recruit a skilled and capable workforce:

The Directorate: Human Resource Management works in collaboration with the Directorate: Organisational Development to ensure that the Department complies with the legislative requirements in the recruitment of skilled workforce by ensuring that the job profiles address the necessary skills and competencies required by the Department. The Line Managers are also advised on the content of the profiles that will enable the Department to attract a skilled and capable workforce.

(e) Employee wellness programmes:

The Department, through the Employee Health and Wellness Unit, provide Wellness and Occupational Health and Safety services to its employees. The services offered are aligned with the following four pillars of the framework:

- a. HIV and AIDS Management programme
- b. Wellness management Programme
- c. Health and productivity management programme
- d. Safety, health, and hygiene management programme

The Department hosted the following health and wellness interventions for employees:

- Wellness sessions were held onsite in December 2020 to allow employees to do voluntary health screening. Services such as blood pressure, weight, height, circumference, blood sugar, alcohol, cholesterol, and heart diseases were offered.
- A Blood Donation Drive was held at DCoG on 11 December 2020. The Blood Donation Drive was held as an outreach project, contributing towards saving the lives of those that need blood. The session provides an alternative opportunity for employees to know their blood type and to do health screening.
- Facilitated one HIV and AIDS awareness session, counselling, and testing sessions.
- Provided counselling and support to employees with more focus on those who had tested positive for COVID-19.

Challenges

The challenges for implementation remain the same and are as follows:

- Unavailability of wellness facility for other wellness interventions (e.g., Gym, Canteen, aerobic space, etc.) remains a challenge.
- Lack of interest in wellness activities.
- Unavailability of in-house professional service to provide psychosocial counselling, support, and therapy.



HUMAN RESOURCES OVERSIGHT STATISTICS

4.1 Personnel related expenditure

The following tables summarise the final audited personnel related expenditure by programme and by salary bands. It provides an indication of the amount spent on personnel, salaries, overtime, homeowners' allowance, and medical aid.

Table 4.1.1 Personnel expenditure by programme for the period 1 April 2020 and 31 March 2021

Programme	Total expenditure (R'000)	Personnel expenditure (R'000)	Training expenditure (R'000)	Professional and special services expenditure (R'000)	Personnel expenditure as a % of total expenditure	Average personnel cost per employee (R'000)
Administration	283 520	149 419			52.70	603
Regional And Urban Development and Rural Support	1 036 675	23 165			2.23	751
Institutional Development	83 197 485	37 029			0.00	741
National Disaster Management Centre	330 813	24 395			7.40	813
Local Government Support and Interventions Management	14 948 466	61 694			0.41	841
Community Work Programme	3 400 181	30 942			0.90	603
Total	103 197 140	326 644			0.30	480

Table 4.1.2 Personnel costs by salary band for the period 1 April 2020 and 31 March 2021

Salary band	Personnel expenditure (R'000)	% of total personnel cost	No. of employees	Average personnel cost per employee (R'000)
Lower-skilled (Levels 1-2)	-	-	-	-
Skilled (level 3-5)	17 068	5.20	65	262 585
Highly-skilled production (levels 6-8)	48 855	14.80	121	403 760
Highly-skilled supervision (levels 9-12)	101 354	30.7	136	745 250
Senior and Top management (levels 13-16)	130 994	39.60	101	1 296 970
Contract (levels 1-2)	306	0.10	1	306 000
Contract (levels 3-5)	1 101	0.30	3	367 000
Contract (levels 6-8)	3 603	1.10	9	400 333
Contract (levels 9-12)	6 965	2.10	5	1 393 000
Contract (levels >=13)	16 927	5.10	10	1 692 700
Periodical Remuneration	1 065	0.30	24	44 375
Contract Other	802	0.20	5	160 400
Total	329 038	99.60	480	685 496



Table 4.1.3 Salaries, Overtime, Home Owners Allowance, and Medical Aid by programme for the period 1 April 2020 and 31 March 2021

Programme	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount (R'000)	Salaries as a % of personnel costs	Amount (R'000)	Overtime as a % of personnel costs	Amount (R'000)	HOA as a % of personnel costs	Amount (R'000)	Medical aid as a % of personnel costs
Administration	127 807	84.90	2 902	1.90	3 121	2.10	4 430	2.90
Regional And Urban Development and Rural Support	20 371	86.80	118	0.50	334	1.40	390	1.60
Institutional Development	33 179	86.80	175	0.50	659	1.70	669	1.70
National Disaster Management Centre	21 423	86.80	118	0.50	334	1.40	390	1.60
Local Government Support and Interventions Management	54 094	86.70	67	0.10	724	1.20	1 250	2.00
Community Work Programme	25 562	84.40	939	3.10	823	2.70	500	1.70
Total	282 436	85.50	4 270	1.30	6 023	1.80	7 760	2.30

Table 4.1.4 Salaries, Overtime, Home Owners Allowance, and Medical Aid by salary band for the period 1 April 2020 and 31 March 2021

Salary band	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount (R'000)	Salaries as a % of personnel costs	Amount (R'000)	Overtime as a % of personnel costs	Amount (R'000)	HOA as a % of personnel costs	Amount (R'000)	Medical aid as a % of personnel costs
Lower-Skilled (level 1-2)								
Skilled (level 3-5)	11 898	69.70	1 013	5.90	1 107	6.50	1 571	9.20
Highly-skilled production (levels 6-8)	36 542	74.70	2 079	4.20	1 971	4.00	3 549	7.30
Highly-skilled supervision (levels 9-12)	87 044	85.60	1 034	1.00	1 273	1.30	1 978	1.90
Senior management (level 13-16)	117 495	89.00	0	0	1 671	1.30	663	0.50
Contract (levels 1-2)	303	99.00	2	0.70	0	0	0	0
Contract (levels 3-5)	1 064	96.60	23	2.10	0	0	0	0
Contract (levels 6-8)	3 473	96.30	91	2.50	0	0	0	0
Contract (levels 9-12)	6 824	98.00	0	0	0	0	0	0
Contract (levels >=13)	16 757	98.50	0	0	0	0	0	0
Periodical Remuneration	1 035	96.90	29	2.70	0	0	0	0
Total	282 436	85.50	4 270	1.30	6 023	1.80	7 760	2.30

4.2 Employment and Vacancies

The tables in this section provide information on employment and vacancies as it relates to members of the Senior Management Service by salary level. It also provides information on advertising and filling of SMS posts, reasons for not complying with prescribed timeframes, and disciplinary steps taken.

Table 4.2.1 Employment and vacancies by programme as on 31 March 2021

Programme	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
Administration	265	238	10%	14
Community Work Programme	17	15	12%	1
Institutional Development	55	52	5%	1
Local Government Support and Interventions	108	101	6%	0
National Disaster Management Centre	32	28	13%	0
Total	477	434	9%	16

Table 4.2.2 Employment and vacancies by salary band as on 31 March 2021

Salary band	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
Lower-skilled (1-2)	2	1	50%	0
Skilled (3-5)	78	69	12%	2
Highly-skilled production (6-8)	124	123	1%	7
Highly-skilled supervision (9-12)	155	138	11%	2
Senior management (13-16)	118	103	13%	5
Total	477	434	9%	16

Table 4.2.3 Employment and vacancies by critical occupations as on 31 March 2021

Critical occupation	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
Senior Management Service	118	103	13%	5
Total	118	103	13%	5

4.3 Filling of SMS Posts

The tables in this section provide information on employment and vacancies as it relates to members of the Senior Management Service by salary level. It also provides information on advertising and filling of SMS posts, reasons for not complying with prescribed timeframes, and disciplinary steps taken.

Table 4.3.1 SMS post information as on 31 March 2021

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Director-General/ Head of Department	1	1	100	0	0
Salary Level 15	5	3	60%	2	40%
Salary Level 14	32	24	75%	8	25%
Salary Level 13	80	75	94%	5	6%
Total	118	103	87%	15	13%



Table 4.3.2 SMS post information as on 30 September 2020

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Director-General/ Head of Department	1	1	100%	0	0
Salary Level 15	5	2	40%	3	60%
Salary Level 14	31	26	84%	5	16%
Salary Level 13	76	72	95%	4	5%
Total	113	101	89%	12	11%

Table 4.3.3 Advertising and filling of SMS posts for the period 1 April 2020 and 31 March 2021

SMS Level	Advertising	Filling of Posts	
	Number of vacancies per level advertised in 6 months of becoming vacant	Number of vacancies per level filled in 6 months of becoming vacant	Number of vacancies per level not filled in 6 months but filled in 12 months
Director-General/ Head of Department	0	0	0
Salary Level 15	1	0	1
Salary Level 14	2	1	0
Salary Level 13	2	0	1
Total	5	1	2

Table 4.3.4 Reasons for not having complied with the filling of funded vacant SMS - Advertised within 6 months and filled within 12 months after becoming vacant for the period 1 April 2020 to 31 March 2021

Reasons for vacancies not advertised within six months

The post of Chief Director: Performance Management was vacated in July 2020. The filling of the post has been suspended since the post was not identified as a priority for filling.

Reasons for vacancies not filled within twelve months

The interviews for the post of Chief Information Officer were held on 24 March 2021. The post is in the final stages of filling. The post of Director: Media Engagements is in the final stages for filling.

Table 4.3.5 Disciplinary steps taken for not complying with the prescribed timeframes for filling SMS posts within 12 months for the period 1 April 2020 and 31 March 2021

Reasons for vacancies not advertised within six months

Not applicable

Reasons for vacancies not filled within twelve months

The interviews for the post of Chief Information Officer were held on 24 March 2021. The post is in the final stages of filling. The post of Director: Media Engagements is in the final stages for filling.

Job Evaluation

Within a nationally determined framework, executing authorities may evaluate or re-evaluate any job in his or her organisation. In terms of the Regulations all vacancies on salary levels 9 and higher must be evaluated before they are filled. The following table summarises the number of jobs that were evaluated during the year under review. The table also provides statistics on the number of posts that were upgraded or downgraded.

Table 4.4.1 Job Evaluation by Salary band for the period 1 April 2020 and 31 March 2021

Salary band	Number of posts on approved establishment	Number of Jobs Evaluated	% of posts evaluated by salary bands	Posts Upgraded		Posts downgraded	
				Number	% of posts evaluated	Number	% of posts evaluated
Lower-Skilled (Levels 1-2)	2	0	0%	0	0%	0	0%
Skilled (Levels 3-5)	78	0	0%	0	0%	0	0%
Highly-skilled production (Levels 6-8)	124	1	80%	0	0%	0	0%
Highly-skilled supervision (Levels 9-12)	155	2	1.2%	0	0%	0	0%
Senior Management Service Band A	80	1	1.25%	0	0%	0	0%
Senior Management Service Band B	32	0	0	0	0%	0	0%
Senior Management Service Band C	5	0	0	0	0%	0	0%
Senior Management Service Band D	1	0	0	0	0%	0	0%
Total	477	4	0.83%	0	0%	0	0%

The following table provides a summary of the number of employees whose positions were upgraded due to their post being upgraded. The number of employees might differ from the number of posts upgraded since not all employees are automatically absorbed into the new posts and some of the posts upgraded could also be vacant.

Table 4.4.2 Profile of employees whose positions were upgraded due to their posts being upgraded for the period 1 April 2020 and 31 March 2021

Gender	African	Asian	Coloured	White	Total
Female	0	0	0	0	0
Male	0	0	0	0	0
Total	0	0	0	0	0
Employees with a disability					

The following table summarises the number of cases where remuneration bands exceeded the grade determined by job evaluation. Reasons for the deviation are provided in each case.

Table 4.4.3 Employees with salary levels higher than those determined by job evaluation by occupation for the period 1 April 2020 to 31 March 2021

Occupation	Number of employees	Job evaluation level	Remuneration level	Reason for deviation
None				
Total number of employees whose salaries exceeded the level determined by job evaluation	0	0	0	0
Percentage of total employed	0	0	0	0



The following table summarises the beneficiaries of the above in terms of race, gender, and disability.

Table 4.4.4 Profile of employees who have salary levels higher than those determined by job evaluation for the period 1 April 2020 and 31 March 2021

Gender	African	Asian	Coloured	White	Total
Female	0	0	0	0	0
Male	0	0	0	0	0
Total	0	0	0	0	0
Employees with a disability	0	0	0	0	0

4.5 Employment Changes

This section provides information on changes in employment over the financial year. Turnover rates provide an indication of trends in the employment profile of the Department. The following tables provide a summary of turnover rates by salary band and critical occupations (see definition in notes below).

Table 4.5.1 Annual turnover rates by salary band for the period 1 April 2020 and 31 March 2021

Salary band	Number of employees at beginning of period-1 April 2020	Appointments and transfers into the department	Terminations and transfers out of the department	Turnover rate
Lower-skilled (Levels 1-2)	0	0	0	0
Skilled (Levels 3-5)	69	2	4	6
Highly-skilled production (Levels 6-8)	119	5	2	2
Highly-skilled supervision (Levels 9-12)	141	9	13	9
Senior Management Service Bands A	73	2	3	4
Senior Management Service Bands B	28	2	6	21
Senior Management Service Bands C	3	1	1	33
Senior Management Service Bands D	0	1	0	0
Contracts	33	14	29	88
Total	466	46	58	12

Table 4.5.2 Annual turnover rates by critical occupation for the period 1 April 2020 and 31 March 2021

Occupation	Employees 1 April 20YY	Appointment and transfers into the Department	Terminations and transfers out of the Department	Turnover rate
Senior Management Service	104	10	24	23
TOTAL	104	10	24	23

The table below identifies the major reasons why staff left the Department.

Table 4.5.3 Reasons why staff left the Department for the period 1 April 2020 and 31 March 2021

Termination Type	Number	% of Total Resignations
Death	3	5
Resignation	13	22
Expiry of contract	29	50
Dismissal – operational changes	1	2
Dismissal – misconduct	3	5
Dismissal – inefficiency	0	0
Discharged due to ill-health	0	0
Retirement	4	7

Termination Type	Number	% of Total Resignations
Transfer to other Public Service Departments	5	9
Other	0	0
Total	58	100
Total number of employees who left as a % of total employment	58	100

Table 4.5.4 Promotions by critical occupation for the period 1 April 2020 to 31 March 2021

Occupation	Employees 1 April 2019	Promotions to another salary level	Salary level promotions as a % of employees by occupation	Progressions to another notch within a salary level	Notch progression as a % of employees by occupation
Senior Management Service	104	2	2	HRD	HRD
Total	104	2	2	HRD	HRD

Table 4.5.5 Promotions by salary band for the period 1 April 2020 to 31 March 2021

Salary Band	Employees 1 April 2019	Promotions to another salary level	Salary bands promotions as a % of employees by salary level	Progressions to another notch within a salary level	Notch progression as a % of employees by salary bands
Lower-skilled (Levels 1-2)	0	0	0	HRD	HRD
Skilled (Levels3-5)	69	0	0	HRD	HRD
Highly-skilled production (Levels 6-8)	119	1	1	HRD	HRD
Highly-skilled supervision (Levels 9-12)	141	2	1	HRD	HRD
Senior Management (Level 13-16)	104	2	2	HRD	HRD
Total	433	5	1	HRD	HRD

4.6 Employment Equity

Table 4.6.1 Total number of employees (including employees with disabilities) in each of the following occupational categories as on 31 March 2021

Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Legislators, senior officials and managers	114	2	4	8	109	2	2	8	249
Professionals	0	0	0	0	0	0	0	0	0
Technicians and associate professionals	59	2	0	1	100	2	1	11	176
Clerks	0	0	0	0	0	0	0	0	0
Service and sales workers	0	0	0	0	0	0	0	0	0
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0	0
Craft and related trades workers	0	0	0	0	0	0	0	0	0



Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Plant and machine operators and assemblers	0	0	0	0	0	0	0	0	0
Elementary occupations	15	0	0	0	10	0	0	0	25
Total	188	4	4	9	219	4	3	19	450
Employees with disabilities	2	0	0	1	2	0	0	1	6

Table 4.6.2 Total number of employees (including employees with disabilities) in each of the following occupational bands as on 31 March 2021

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	2	0	0	1	0	1	0	0	4
Senior Management	44	2	3	4	44	0	1	6	104
Professionally qualified and experienced specialists and mid-management	68	0	1	3	65	1	1	2	141
Skilled technical and academically qualified workers, junior management, supervisors, foreman, and superintendents	35	2	0	1	77	2	1	11	129
Semi-skilled and discretionary decision making	24	0	0	0	23	0	0	0	47
Unskilled and defined decision making	15	0	0	0	10	0	0	0	25
Total	188	4	4	9	219	4	3	19	450

Table 4.6.3 Recruitment for the period 1 April 2020 to 31 March 2021

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	0	0	0	1	0	1	0	0	2
Senior Management	2	0	0	0	2	0	0	0	4
Professionally qualified and experienced specialists and mid-management	7	0	0	0	1	0	0	0	8
Skilled technical and academically qualified workers, junior management, supervisors, foreman, and superintendents	1	0	0	0	0	0	0	0	1
Semi-skilled and discretionary decision making	1	0	0	0	4	1	0	0	6
Unskilled and defined decision making	0	0	0	0	0	0	0	0	0
Total	11	0	0	1	7	2	0	0	21
Employees with disabilities	0	0	0	0	0	0	0	0	0

Table 4.6.4 Promotions for the period 1 April 2020 and 31 March 2021

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	0	0	0	1	0	0	0	0	1
Senior Management	0	0	0	0	1	0	0	0	1
Professionally qualified and experienced specialists and mid-management	1	0	0	0	1	0	0	0	2
Skilled technical and academically qualified workers, junior management, supervisors, foreman, and superintendents	0	0	0	0	1	0	0	0	1
Semi-skilled and discretionary decision making	0	0	0	0	0	0	0	0	0
Unskilled and defined decision making	0	0	0	0	0	0	0	0	0
Total	1	0	0	1	3	0	0	0	5
Employees with disabilities	0	0	0	0	0	0	0	0	0

Table 4.6.5 Terminations for the period 1 April 2020 to 31 March 2021

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	4	0	0	0	0	0	0	0	4
Senior Management	9	1	2	0	7	0	0	1	20
Professionally qualified and experienced specialists and mid-management	5	1	0	0	9	1	0	1	17
Skilled technical and academically qualified workers, junior management, supervisors, foreman, and superintendents	1	0	0	0	1	0	0	0	2
Semi-skilled and discretionary decision making	8	0	0	0	4	0	0	0	12
Unskilled and defined decision making	0	0	0	0	3	0	0	0	3
Total	27	2	2	0	24	1	0	2	58
Employees with Disabilities	0	0	0	0	0	0	0	0	0

Table 4.6.6 Disciplinary action for the period 1 April 2020 and 31 March 2021

Disciplinary action	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Negligence	1	0	0	0	2	0	0	1	4
Insubordination	0	0	1	0	1	0	0	0	2
Sexual harassment	0	0	0	0	0	0	0	0	0



Table 4.6.7 Skills development for the period 1 April 2020 and 31 March 2021

Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Legislators, senior officials, and managers	57	1	1	3	60	0	2	3	127
Professionals	3	0	0	0	15	0	0	0	18
Technicians and associate professionals	37	0	0	0	35	0	0	0	72
Clerks	25	0	0	0	41	2	2	0	70
Service and sales workers	0	0	0	0	0	0	0	0	0
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0	0
Craft and related trades workers	0	0	0	0	0	0	0	0	0
Plant and machine operators and assemblers	0	0	0	0	0	0	0	0	0
Elementary occupations	20	0	0	0	17	0	0	0	37
Total	142	1	1	3	168	2	4	3	324
Employees with disabilities	0	0	0	0	0	0	0	0	0

4.7 Signing of Performance Agreements by SMS Members

All members of the SMS must conclude and sign performance agreements within specific timeframes. Information regarding the signing of performance agreements by SMS members, the reasons for not complying within the prescribed timeframes and disciplinary steps taken is presented here.

Table 4.7.1 Signing of Performance Agreements by SMS members as on 31 May 2020

SMS Level	Total number of funded SMS posts	Total number of SMS members	Total number of signed performance agreements	Signed performance agreements as % of total number of SMS members
Director-General/ Head of Department	1	1	1	100%
Salary Level 16	0	0	0	0%
Salary Level 15	5	4	4	100%
Salary Level 14	31	28	26	93%
Salary Level 13	76	79	77	97%
Total	113	112	108	96%

Table 4.7.2 Reasons for not having concluded Performance agreements for all SMS members as on 31 March 2020

Reasons
2 SMS members were appointed on contracts which were less than the stipulated 6 months.
1 SMS member was appointed on 19 August 2020; therefore, he was expected to enter in performance agreement with his supervisor on or before 19 November 2020; and
1 SMS member was appointed on 8 September 2020; therefore, she was expected to enter in performance agreement with her supervisor on or before 8 December 2020.

Table 4.7.3 Disciplinary steps taken against SMS members for not having concluded Performance agreements as on 31 March 2020

Reasons
Not applicable

4.8 Performance Rewards

To encourage good performance, the Department has granted the following performance rewards during the year under review. The information is presented in terms of race, gender, disability, salary bands, and critical occupations (see definition in notes below).

Table 4.8.1 Performance Rewards by race, gender, and disability for the period 1 April 2020 and 31 March 2021

Race and Gender	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within group	Cost (R'000)	Average cost per employee
African					
Male	0	166	0%	0	0
Female	0	196	0%	0	0
Asian					
Male	0	5	0%	0	0
Female	0	2	0%	0	0
Coloured					
Male	0	5	0%	0	0
Female	0	2	0%	0	0
White					
Male	0	8	0%	0	0
Female	0	20	0%	0	0
Total	0	404	0%	0	0

Table 4.8.2 Performance Rewards by salary band for personnel below Senior Management Service for the period 1 April 2020 and 31 March 2021

Salary band	Beneficiary Profile			Cost		Total cost as a % of the total personnel expenditure
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost (R'000)	Average cost per employee	
Lower-Skilled (Levels 1-2)	0	0	0	0	0	0
Skilled (level 3-5)	0	61	0%	0	0	0%
Highly-skilled production (level 6-8)	0	115	0%	0	0	0%
Highly-skilled supervision (level 9-12)	0	132	0%	0	0	0%
Total	0	308	0%	0	0	0%

Table 4.8.3 Performance Rewards by critical occupation for the period 1 April 2020 to 31 March 2021

Critical occupation	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within occupation	Total Cost (R'000)	Average cost per employee
Senior Manager *	0	96	0%	0	0
Total	0	96	0%	0	0



Table 4.8.4 Performance related rewards (cash bonus), by salary band for Senior Management Service for the period 1 April 2020 to 31 March 2021

Salary band	Beneficiary Profile			Cost		Total cost as a % of the total personnel expenditure
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost (R'000)	Average cost per employee	
Band A	0	69	0%	0	0	0%
Band B	0	24	0%	0	0	0%
Band C	0	3	0%	0	0	0%
Band D	0	0	0%	0	0	0%
Total	0	96	0%	0	0	0%

4.9 Foreign Workers

The tables below summarise the employment of foreign nationals in the Department in terms of salary band and major occupation.

Table 4.9.1 foreign workers by salary band for the period 1 April 2020 to 31 March 2021

Major occupation	01 April 2020		31 March 2021		Change	
	Number	% of total	Number	% of total	Number	% Change
Professionals and Managers	1	100	1	100	0	0
Total	1	100	1	100	0	0

Table 4.9.2 foreign workers by major occupation for the period 1 April 2020 to 31 March 2021

Major occupation	01 April 2020		31 March 2021		Change	
	Number	% of total	Number	% of total	Number	% Change
Professionals and Managers	1	100	1	100	0	0
Total	1	100	1	100	0	0

4.10 Leave utilisation

The Public Service Commission identified the need for careful monitoring of sick leave within the public service. The following tables provide an indication of the use of sick leave and disability leave. In both cases, the estimated cost of the leave is also provided.

Table 4.10.1 Sick leave for the period 1 January 2020 to 31 December 2020

Salary band	Total days	% Days with Medical certification	Number of Employees using sick leave	% of total employees using sick leave	Average days per employee	Estimated Cost (R'000)
Lower-Skilled (Level 1-2)	0	0	0	0	0	0
Skilled (levels 3-5)	223	69.50	46	19	5	190
Highly-skilled production (levels 6-8)	397	11.34	94	32	7	597
Highly-skilled supervision (levels 9 -12)	566	84.3	85	29	12	1 583
Top and Senior management (levels 13-16)	378	94	59	20.1	8	1 798
Total	1 564	64.78	284	100	6	4 168

Table 4.10.2 Disability leave (temporary and permanent) for the period 1 January 2020 to 31 December 2020

Salary band	Total days	% Days with Medical certification	Number of Employees using disability leave	% of total employees using disability leave	Average days per employee	Estimated Cost (R'000)
Lower-skilled (Levels 1-2)	0	0	0	0	0	0
Skilled (Levels 3-5)	2	100	1	10	2	2
Highly-skilled production (Levels 6-8)	173	100	2	20	87	337
Highly-skilled supervision (Levels 9-12)	39	100	5	50	8	127
Senior management (Levels 13-16)	40	100	2	20	20	215
Total	254	100	10	100	25	681

The table below summarises the utilisation of annual leave. The wage agreement concluded with trade unions in the PSCBC in 2000 requires management of annual leave to prevent high levels of accrued leave being paid at the time of termination of service.

Table 4.10.3 Annual Leave for the period 1 January 2019 to 31 December 2020

Salary band	Total days taken	Number of Employees using annual leave	Average per employee
Lower-skilled (Levels 1-2)	21	2	11
Skilled Levels 3-5)	1 513	77	14
Highly-skilled production (Levels 6-8)	2 578.25	132	16
Highly-skilled supervision (Levels 9-12)	2 904	156	15
Senior management (Levels 13-16)	2 271	121	15
Total	9 287.25	488	19

Table 4.10.4 Capped leave for the period 1 January 2019 to 31 December 2020

Salary band	Total days of capped leave taken	Number of Employees using capped leave	Average number of days taken per employee	Average capped leave per employee as on 31 March 2021
Lower-skilled (Levels 1-2)	0	0	0	0
Skilled Levels 3-5)	0	0	0	33
Highly-skilled production (Levels 6-8)	0	0	0	10
Highly-skilled supervision (Levels 9-12)	0	0	0	46
Senior management (Levels 13-16)	0	0	0	42
Total	0	0	0	131

The following table summarise payments made to employees as a result of leave that was not taken.

Table 4.10.5 Leave pay-outs for the period 1 April 2020 and 31 March 2021

Reason	Total amount (R'000)	Number of employees	Average per employee (R'000)
Leave pay-out for 2020/21 due to non-utilisation of leave for the previous cycle	0	0	0
Capped leave pay-outs on termination of service for 2020/21	161	2	80 500
Current leave pay-out on termination of service for 2020/21	602	14	90 583
Total	763	16	171 083



1.2I HIV/AIDS & Health Promotion Programmes

Table 4.II.1 Steps taken to reduce the risk of occupational exposure

Units/categories of employees identified to be at high risk of contracting HIV & related diseases (if any)	Key steps taken to reduce the risk
All employees	HIV and AIDS awareness raising session, HIV voluntary counselling and testing.

Table 4.II.2 Details of Health Promotion and HIV/AIDS Programmes (tick the applicable boxes and provide the required information)

Question	Yes	No	Details, if yes
1. Has the Department designated a member of the SMS to implement the provisions contained in Part VI E of Chapter I of the Public Service Regulations, 2001? If so, provide her/his name and position.	Yes		Mr Sonwabo Shibane Director: Organisational Development and Employee Relations
2. Does the Department have a dedicated unit, or has it designated specific staff members to promote the health and well-being of your employees? If so, indicate the number of employees who are involved in this task and the annual budget that is available for this purpose.	Yes		A total of three employees at the level of Director, Deputy Director, and Assistant Director. The Budget is provided in the Directorate: Organisational Development and Employee Relations
3. Has the Department introduced an Employee Assistance or Health Promotion Programme for your employees? If so, indicate the key elements/services of this Programme.	Yes		The proactive services that the Department is availing to its employees are as follow: • Health education session (stress management and healthy balanced nutrition education) • Health screening (blood pressure, TB, Cancer; glucose, eye testing, audiologist service, physiotherapist service, and weight management) • HIV and AIDS awareness and testing • Health assessment and blood donation Financial wellness
4. Has the Department established (a) committee(s) as contemplated in Part VI E.5 (e) of Chapter I of the Public Service Regulations, 2001? If so, please provide the names of the members of the committee and the stakeholder(s) that they represent.	Yes		The Department has established the Human Resource committee that addresses all Human Capital Management matters. Members of the committee are: The Chief Director: Human Capital Management as a Chairperson, Representatives from other Branches of the Department, Representatives from PSA and NEHAU.

Question	Yes	No	Details, if yes
5. Has the Department reviewed its employment policies and practices to ensure that these do not unfairly discriminate against employees on the basis of their HIV status? If so, list the employment policies/practices so reviewed.	Yes		The following policies were approved for inclusivity: • Bursary policy • Training Education and Development policy • Occupational Health and Safety policy • Special Leave policy • Working Hours policy • HIV and AIDS policy • Job Evaluation policy • Sports and Recreation policy • Funeral and Bereavement policy • Employment Equity policy • Sexual Harassment policy • Health and Productivity policy • Wellness Management policy
6. Has the Department introduced measures to protect HIV-positive employees or those perceived to be HIV-positive from discrimination? If so, list the key elements of these measures.	Yes		The measures in place to protect employees are: • HIV and AIDS policy which prohibits discrimination based on HIV status. • Grievance and disciplinary procedure processes that afford employees opportunity to lodge a complaint. Awareness sessions addressing HIV and AIDS stigma
7. Does the Department encourage its employees to undergo Voluntary Counselling and Testing? If so, list the results that you have achieved.	Yes		HIV Voluntary Counselling and Testing is conducted annually to provide employees opportunity for HIV and AIDS test
8. Has the Department developed measures/indicators to monitor & evaluate the impact of its health promotion programme? If so, list these measures/indicators.	Yes		The measures in place are: • Employee Health and Wellness operational plan with annual indicators System Monitoring Tool



4.12 Labour Relations

Table 4.12.1 Collective agreements for the period 1 April 2020 to 31 March 2021

Subject matter	Date
None	
Total number of Collective agreements	None

The following table summarises the outcome of disciplinary hearings conducted within the Department for the year under review.

Table 4.12.2 Misconduct and disciplinary hearings finalised for the period 1 April 2020 to 31 March 2021

Outcomes of disciplinary hearings	Number	% of total
Correctional counselling	0	0%
Verbal warning	0	0%
Written warning	1	16.6%
Final written warning	0	0%
Suspended without pay	0	0%
Fine	0	0%
Demotion	0	0%
Dismissal	3	50%
Not guilty	1	16.6%
Case withdrawn	0	0%
Total	1	16.6%
	6	100%

Table 4.12.3 Types of misconduct addressed at disciplinary hearings for the period 1 April 2020 to 31 March 2021

Type of misconduct	Number	% of total
Negligence	4	67%
Insubordination	2	33%
Sexual Harassment	0	0%
Total	6	100%

Table 4.12.4 Grievances lodged for the period between 1 April 2020 to 31 March 2021

Grievances	Number	% of Total
Number of grievances resolved	4	100%
Number of grievances not resolved	0	0%
Total number of grievances lodged	4	100%

Table 4.12.5 Disputes lodged with Councils for the period 1 April 2020 to 31 March 2021

Disputes	Number	% of Total
Number of disputes upheld	0	0
Number of disputes dismissed	4	50%
Number of disputes in process	3	37%
Number of disputes settled	1	13%
Total number of disputes lodged	8	100%

Table 4.12.6 Strike actions for the period 1 April 2020 to 31 March 2021

Total number of persons working days lost	0
Total costs working days lost	0
Amount recovered as a result of no work no pay (R'000)	0

Table 4.12.7 Precautionary suspensions for the period 1 April 2020 and 31 March 2021

Number of people suspended	6
Number of people whose suspension exceeded 30 days	6
Average number of days suspended	865 days suspended
Cost of suspension (R'000)	R1 825 632 41

4.13 Skills development

This section highlights the efforts of the Department with regard to skills development.

Table 4.13.1 Training needs identified for the period 1 April 2020 and 31 March 2021

Occupational category	Gender	Number of employees as at 1 April 2020	Training needs identified at start of the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials, and managers	Female	133	0	170	0	170
	Male	132	0	183	0	183
Professionals	Female	0	0	0	0	0
	Male	0	0	0	0	0
Technicians and associate professionals	Female	0	0	0	0	0
	Male	0	0	0	0	0
Clerks	Female	126	0	172	13	185
	Male	41	0	74	12	86
Service and sales workers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Skilled agriculture and fishery workers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Craft and related trades workers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Plant and machine operators and assemblers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Elementary occupations	Female	9	0	64	0	64
	Male	16	0	46	0	46
Sub Total	Female	268	0	406	13	419
	Male	189	0	303	12	315
Total		457	0	709	25	734

Table 4.13.2 Training provided for the period 1 April 2020 and 31 March 2021

Occupational category	Gender	Number of employees as at 1 April 2020	Training provided within the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials, and managers	Female	133	0	65	0	65
	Male	132	0	62	0	62
Professionals	Female	0	0	15	0	15
	Male	0	0	3	0	3
Technicians and associate professionals	Female	0	0	35	0	35
	Male	0	0	37	0	37



Occupational category	Gender	Number of employees as at 1 April 2020	Training provided within the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Clerks	Female	126	0	45	13	58
	Male	41	0	25	12	37
Service and sales workers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Skilled agriculture and fishery workers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Craft and related trades workers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Plant and machine operators and assemblers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Elementary occupations	Female	9	0	17	0	17
	Male	16	0	20	0	20
Sub Total	Female	268	0	177	13	190
	Male	189	0	147	12	159
Total		457	0	324	25	349

4.14 Injury on duty

The following tables provide basic information on injury on duty.

Table 4.14.1 Injury on duty for the period 1 April 2020 and 31 March 2021

Nature of injury on duty	Number	% of total
Required basic medical attention only	1	100
Temporary Total Disablement	0	0
Permanent Disablement	0	0
Fatal	0	0
Total	1	100

4.15 Utilisation of Consultants

The following tables present information on the utilisation of consultants in the Department. In terms of the Public Service Regulations, "consultant" means a natural or juristic person or a partnership who or which provides any of the following professional services on a specific contract or on an ad hoc basis to a department against remuneration received from any source:

- The rendering of expert advice;
- The drafting of proposals for the execution of specific tasks; and
- The execution of a specific task which is of a technical or intellectual nature but excludes an employee of a department.

Table 4.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2020 and 31 March 2021

Project title	Total number of consultants that worked on project	Duration (work days)	Contract value in Rand
Appointment of a service provider for a period of three years to conduct an efficiency and gap analysis of the national disaster management framework, 2005 (first phase in 2019/20; second phase in 2020/21, and third phase in 2021/22)	7	756	R1 485 550,00
Appointment of a service provider to enhance, maintain, and support the current performance monitoring and reporting system for the Department of cooperative governance (DCoG) for a period of 36 months	6	756	R4 420 703,94
Terms of reference for appointment of a service provider to develop local government: human resource policies, systems, and procedures to support the implementation of municipal staff regulations and guidelines for a period of eight (8) months	10	160	R2 917 200,00
Appointment of a service provider to further develop, provide technical application support, and maintenance to the national disaster management centre (NDMC) for a duration of twenty-four (24) months.	10	480	R3 918 900,00
Appointment of a service provider for the configuration, development, support, and maintenance of SharePoint services for the Department of cooperative governance for a period of 24 months.	4	480	R2 455 200,00
Appointment of a service provider to conduct research on mainstream disaster risk management courses and integration of disaster risk management in related disciplines within the south African higher education institutions.	6	180	R419 349,80
Appointment of a service provider to develop a strategy for the implementation of the small-town regeneration programme for a period of 9 months.	6	180	R1 108 945,00
Appointment of a service provider to examine and identify national legislation in terms of the application of section 2(1)(b) of the disaster management act, 2002 for a period of 12 months.	6	240	R685 193,00
Appointment of a service provider to verify and confirm assets, inventory, and consumables administered by the community work programme (CWP) non-profit organisations (NPO's) at national, provincial, and at all CWP sites and sub-sites over a period of twelve (12) months.	10	240	R8 116 470,50

Total number of projects	Total individual consultants	Total duration Work days	Total contract value in Rand
09	65	3 292	R 25 527 462,24

Table 4.15.2 Analysis of consultant appointments using appropriated funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2020 and 31 March 2021

Potential bidders are no longer required to submit this information, they only submit the BBBEE Contributor Certificates or Sworn Affidavit in terms of Preferential Procurement Regulations, 2017 and Codes of Good Practice on Black Economic Empowerment.

Project title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the project
Not applicable	0	0	0



Table 4.15.3 Report on consultant appointments using Donor funds for the period 1 April 2020 and 31 March 2021

No tenders were awarded using donor funding.

Project title	Total Number of consultants that worked on project	Duration (Work days)	Donor and contract value in Rand
Not applicable	0	0	0

Total number of projects	Total individual consultants	Total duration Work days	Total contract value in Rand
Not applicable	0	0	0

Table 4.15.4 Analysis of consultant appointments using Donor funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2020 and 31 March 2021

No tenders were awarded using donor funding.

Project title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the project
Not applicable	0	0	0

4.16 Severance Packages

Table 4.16.1 Granting of employee-initiated severance packages for the period 1 April 2020 and 31 March 2021

Salary band	Number of applications received	Number of applications referred to the MPSA	Number of applications supported by MPSA	Number of packages approved by Department
Lower-skilled (Levels 1-2)				
Skilled Levels 3-5)				
Highly-skilled production (Levels 6-8)				
Highly-skilled supervision (Levels 9-12)				
Senior management (Levels 13-16)				
Total				





PART E

FINANCIAL INFORMATION

Report of the Auditor-General

Report of the auditor-general to Parliament on vote no.3 Department of Cooperative Governance

Report on the audit of the financial statements

Qualified opinion

1. I have audited the financial statements of the Department of Cooperative Governance set out on pages 141 to 326, which comprise the appropriation statement, statement of financial position as at 31 March 2021, statement of financial performance, statement of changes in net assets and cash flow statement for the year then ended, as well as notes to the financial statements, including a summary of significant accounting policies.
2. In my opinion, except for the effects of the matters described in the basis for qualified opinion section of this auditor's report, the financial statements present fairly, in all material respects, the financial position of the Department of Cooperative Governance as at 31 March 2021, and its financial performance and cash flows for the year then ended in accordance with Modified Cash Standard (MCS) prescribed by National Treasury (NT) and the requirements of the Public Finance Management Act No. 1 of 1999 (PFMA) and the Division of Revenue Act 16 of 2019 (Dora).

Basis for qualified opinion

Goods and Services

3. I was unable to obtain sufficient appropriate audit evidence for payments made to Community Work Programme (CWP) implementing agents as the Department could not provide accurate and complete substantiating records for payments made for project management fees, as required by the contracts signed with implementing agents. I was unable to confirm the amount for Consultants: Business and advisory services by alternative means. Consequently, I was unable to determine whether any adjustment to Consultants: Business and advisory services stated at R321 506 000 (2020: R372 365 000) in note 6 to the financial statements was necessary.
4. I was unable to obtain sufficient appropriate audit evidence for payments made to the participants on the

CWP as the Department could not provide accurate and complete attendance registers of the participants for the month ended 31 January 2021 and some participants did not have contracts in place for payments made during the period January 2021 to March 2021. I was unable to confirm the amount for contractors by alternative means. Consequently, I was unable to determine whether any adjustment to Contractors stated at R696 638 000; (2020: R2 931 682 000) in note 6 to the financial statements was necessary.

5. The Department did not record expenditure for capital assets in accordance with the Modified Cash Standards (MCS), Chapter 11. The Department incorrectly classified expenditure for capital assets as goods and services: consumables, while it met the definition of tangible capital assets. Consequently, the goods and services: consumables are overstated by R77 167 920, while expenditure for capital assets are understated by R77 167 920. Additionally, the Department did not record expenditure from goods and services in accordance with the Modified Cash Standards (MCS). The Department has recorded expenditure that was incurred in the prior year in the current year. Consequently, goods and services: consumables are overstated by R52 664 805, while the comparative amount is understated by R52 664 805. This also has an impact on the prior and current year's surplus and voted funds to be surrendered to the revenue funds.

Movable tangible capital assets

6. I was unable to obtain sufficient appropriate audit evidence for movable tangible capital assets for CWP as I was not provided with an accurate asset register. I was unable to obtain sufficient appropriate audit evidence about the assets by alternative means. Consequently, I was unable to determine whether any adjustments were necessary to movable tangible capital assets stated at R336 005 000 (2020: R287 217 000) and minor assets stated at R68 729 000 (2020: R59 420 000) in note 39 of the annual financial statements.



Context for the opinion

7. I conducted my audit in accordance with the International Standards on Auditing (ISAs). My responsibilities under those standards are further described in the auditor-general's responsibilities for the audit of the financial statements section of my report.
8. I am independent of the Department in accordance with the International Ethics Standards Board for Accountants' International code of ethics for professional accountants (including International Independence Standards) (IESBA code) as well as other ethical requirements that are relevant to my audit in South Africa. I have fulfilled my other ethical responsibilities in accordance with these requirements and the IESBA code.
9. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my qualified opinion.

Other matters

10. I draw attention to the matter below. My opinion is not modified in respect of this matter:

Unaudited supplementary schedule

11. The supplementary information not form part of the financial statements and is presented as additional information. I have not audited these schedules and, accordingly, I do not express an opinion on them.

Responsibilities of the accounting officer for the financial statements

12. The accounting officer is responsible for the preparation and fair presentation of the financial statements in accordance with MCS prescribed by NT and the requirements of the PFMA and the DORA, and for such internal control as the accounting officer determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.
13. In preparing the financial statements, the accounting officer is responsible for assessing the department's ability to continue as a going concern, disclosing, as applicable, matters relating to going concern and using the going concern basis of accounting unless the appropriate governance structure either intends to liquidate the Department or to cease operations, or has no realistic

alternative but to do so.

Auditor-general's responsibilities for the audit of the financial statements

14. My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error; and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with the ISAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.
15. A further description of my responsibilities for the audit of the financial statements is included in the annexure to this auditor's report.

Report on the audit of the annual performance report

Introduction and scope

16. In accordance with the Public Audit Act 25 of 2004 (PAA) and the general notice issued in terms thereof, I have a responsibility to report on the usefulness and reliability of the reported performance information against predetermined objectives for selected programmes presented in the annual performance report. I performed procedures to identify material findings but not to gather evidence to express assurance.
17. My procedures address the usefulness and reliability of the reported performance information, which must be based on the department's approved performance planning documents. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures do not examine whether the actions taken by the Department enabled service delivery. My procedures do not extend to any disclosures or assertions relating to the extent of achievements in the current year or planned performance strategies and information in respect of future periods that may be included as part of the reported performance

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for the year ended 31 March 2021

information. Accordingly, my findings do not extend to these matters.

18. I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programmes presented in the department's annual performance report for the year ended 31 March 2021:

Programmes	Pages in the annual performance report
Programme 3 – Institutional development	62 – 68

19. I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.

20. I did not identify any material findings on the usefulness and reliability of the reported performance information for this programme:

- Programme 3 – Institutional development

Other matters

21. I draw attention to the matters below.

Achievement of planned targets

22. Refer to the annual performance report on pages 51 to 68 for information on the achievement of planned targets for the year and management's explanations provided for the under/over achievement of targets. This information should be considered in the context of the material findings on the usefulness and reliability of the reported performance information in paragraphs 18 to 20 of this report.

Adjustment of material misstatements

23. I identified material misstatements in the annual performance report submitted for auditing. These material misstatements were in the reported performance

information of Programme 3 – Institutional development. As management subsequently corrected the misstatements, I did not raise any material findings on the usefulness and reliability of the reported performance information.

Report on the audit of compliance with legislation

Introduction and scope

24. In accordance with the PAA and the general notice issued in terms thereof, I have a responsibility to report material findings on the department's compliance with specific matters in key legislation. I performed procedures to identify findings but not to gather evidence to express assurance.

25. The material findings on compliance with specific matters in key legislation are as follows:

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26. The financial statements submitted for auditing were not prepared in accordance with the prescribed financial reporting framework and supported by full and proper records as required by section 40(1) (a) and (b) of the PFMA. Material misstatements identified by the auditors in the submitted financial statements were not adequately corrected and supporting records that could not be provided subsequently, which resulted in the financial statements receiving a qualified opinion.

Expenditure management

27. Effective and appropriate steps were not taken to prevent irregular expenditure amounting to R673 621 000, as disclosed in note 22 to the annual financial statements, as required by section 38(1)(c)(ii) of the PFMA and treasury regulation 9.1.1. The majority of the irregular expenditure was caused by the irregular appointment of some of the CWP NPO's.
28. Effective steps were not taken to prevent fruitless and wasteful expenditure amounting to R2 314 000, as disclosed in note 23 to the annual financial statements, as required by section 38(1)(c)(ii) of the PFMA and treasury regulation 9.1.1. The majority of the fruitless and wasteful expenditure was caused by payments made to



deceased and employees employed by government CWP participants.

29. Effective internal controls were not in place for approval and processing of payments, as required by treasury regulation 8.1.1
30. Payments were not made within 30 days or an agreed period after receipt of an invoice, as required by treasury regulation 8.2.3

Asset management

31. I was unable to obtain sufficient appropriate audit evidence that proper control systems were in place at the Department to ensure the safeguarding / maintenance of assets, as required by treasury regulation 10.1.1(a).

Consequence management

32. I was unable to obtain sufficient appropriate audit evidence that disciplinary steps were taken against officials who had incurred irregular expenditure as required by section 38(1)(h)(iii) of the PFMA. This was because investigations into irregular expenditure were not performed.
33. I was unable to obtain sufficient appropriate audit evidence that disciplinary steps were taken against officials who had incurred fruitless and wasteful expenditure as required by section 38(1)(h)(iii) of the PFMA. This was due to proper and complete records that were not maintained as evidence to support the investigations into fruitless and wasteful expenditure.

Procurement and contract management

34. All of the competitive bids were adjudicated by a bid adjudication committee that was not composed in accordance with the policies of the Department, as required by Treasury Regulation 16A6.2 (a) and (b).
35. A contract was awarded to a supplier whose tax matters had not been declared by the South African Revenue Services to be in order as required by Treasury Regulation 16A9.1 (d).
36. The prices of COVID 19 Personal Protective Equipment items procured under existing facilities management contracts that include COVID 19 Personal Protective Equipment items were in excess of price prescribed on Annexure A of National Treasury instruction note in

contravention of paragraph 6.3 of the same instruction note.

37. The COVID 19 Personal Protective Equipment items procured through quotations did not comply with local content requirements as required by paragraph 4.6 of National Treasury instruction note 5 of 2020/21.

Other information

38. The accounting officer is responsible for the other information. The other information comprises the information included in the annual report. The other information does not include the financial statements, the auditor's report and the selected programme presented in the annual performance report that have been specifically reported in this auditor's report.
39. My opinion on the financial statements and findings on the reported performance information and compliance with legislation do not cover the other information and I do not express an audit opinion or any form of assurance conclusion on it.
40. In connection with my audit, my responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements and the selected programme presented in the annual performance report, or my knowledge obtained in the audit, or otherwise appears to be materially misstated.
41. I did not receive the other information prior to the date of this auditor's report. When I do receive and read this information, if I conclude that there is a material misstatement therein, I am required to communicate the matter to those charged with governance and request that the other information be corrected. If the other information is not corrected, I may have to retract this auditor's report and re-issue an amended report as appropriate. However, if it is corrected this will not be necessary.

Internal control deficiencies

42. I considered internal control relevant to my audit of the financial statements, reported performance information and compliance with applicable legislation; however, my objective was not to express any form of assurance on it. The matters reported below are limited to the significant

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internal control deficiencies that resulted in the basis for the qualified opinion and the findings on compliance with legislation included in this report.

43. Leadership did not establish and communicate policies and procedures to enable and support understanding and execution of internal control objectives, processes and responsibilities.
44. Leadership did not exercise oversight responsibility regarding financial and performance reporting and compliance and related internal controls.
45. Leadership did not develop and monitor the implementation of action plans to address internal control deficiencies.
46. Management did not implement proper record keeping in a timely manner to ensure that complete, relevant and accurate information is accessible and available to support financial reporting.
47. Management did not implement controls over daily and monthly processing and reconciling of transactions.
48. Management did not prepare regular, accurate and complete financial reports that are supported and evidenced by reliable information.
49. Management did not appropriately review and monitor compliance with applicable laws and regulations.

Material irregularities

50. In accordance with the PAA and the Material Irregularity Regulations, I have a responsibility to report on material irregularities identified during the audit and on the status of the material irregularities reported in the previous year's auditor's report.

Status of previously reported material irregularities

Payments to non-qualifying government employees on the CWP

51. Payments were made in 2018-19 through the CWP to non-qualifying government employees who were further paid by their relevant departments where they are employed, as effective internal controls were not in place for the approval and processing of payments, as required

by treasury regulations 8.1.1. The non-compliance is likely to result in a material financial loss, if not recovered.

52. The accounting officer was notified of the suspected material irregularity on 13 August 2019. The accounting officer has not taken appropriate actions committed to in his written submission in response to the notification which resulted in further losses due to payments again being made to some of the officials in both 2019/20 and 2020/21. In the notification issued on 29 September 2021, I recommend that the accounting officer should take the following actions to address the material irregularity, which should be implemented by 31 January 2022.

- The financial loss relating to non-qualifying participants should be appropriately and accurately quantified.
- Appropriate actions should be taken to recover the financial losses suffered by the Department.
- If it is determined that the Department suffered the financial losses through criminal acts or possible criminal acts or omission, this should be reported to the South African Police Service as required by TR 12.5.1.
- Implement appropriate and proactive internal controls that prevents payments to non-qualifying government employees through validation of participants and removal of non-qualifying government employees from the database.
- Report government employees that are confirmed to have unduly benefited from the CWP project to their respective employers and DPSA.
- Effective and appropriate disciplinary steps should be taken against any official (s) that the investigation found to be responsible, as required by section 38 (1)(h) of the PFMA and in accordance with TR 9.1.3. I will follow up on the implementation of the recommendations during my next audit.

Transfer payment to incorrect recipient for Municipality Infrastructure Grant (MIG)

53. The Department incorrectly made a Municipal Infrastructure Grant payment total of R183 450 000.00 to a supplier on the central payment system with a similar name in July and August 2018 as effective internal controls



were not in place for the approval and processing of payments as required by treasury regulations 8.1.1. The Department is likely to incur a material financial loss of R102 991 000 as the amount was still not recovered at year end, as disclosed in note 11.4 of the financial statements.

54. The accounting officer was notified of the material irregularity on 24 July 2019. The following actions have been taken to resolve the material irregularity:

- On request of the accounting officer, the internal audit units conducted an investigation to identify officials who approved the payments and initiate consequence management. The investigation was completed during the 2020-21 financial year; disciplinary hearings were conducted and consequence management has been effected, where relevant.
- The Special Commercial Crimes Unit (HAWKS), State Attorney and the Special Investigating Unit have taken the incorrectly paid service provider to court from 2019/20 financial year. The process of recovering the financial loss is dependent on the outcome of the court processes.
- The accounting officer committed to strengthen controls and I have not noted any similar incorrect payment in the current year.

55. I will follow up on the progress made on the recovery of the financial loss on the criminal cases arising from the outcome of the court ruling during my next audit.

Payments for services not received – Deceased participants on CWP

56. Payments were made in 2018-19 through the CWP to deceased participants, as effective internal controls were not in place for the approval and processing of payments, as required by treasury regulations 8.1.1. The non-compliance is likely to result in a material financial loss, if not recovered.

57. The accounting officer was notified of the suspected material irregularity on 13 August 2019. The following actions have been taken to resolve the material irregularity:

- The accounting officer appointed a service provider on 31 October 2019 to perform an investigation and quantify the financial loss incurred.
- The current year's follow up revealed that most of the

participants who were captured with incorrect ID numbers were removed from the CWP Management Information System (MIS) and recaptured with correct ID numbers. CAATs procedures performed showed that only three participants from the initial MI were still appearing in the current year:

- The financial losses for deceased participants was quantified and it will be recovered from the NPOs at the end of the current calendar year as their current contracts come to an end on 30 September 2021.
- The external investigations were completed in September 2020 and resulted in disciplinary actions against officials which has not been finalised.

58. I will follow up on the progress and the implementation of the planned actions taken including the finalisation of disciplinary steps and recovery of the financial losses from implementing agents during my next audit.

Prepayment for goods and services that were not received at CWP sites

59. The Department made quarterly advance payments to implementing agents on the CWP without the required evidence that previous advance payments were spent correctly resulting in payments being made for goods and services that were not received at the CWP sites, as effective internal controls were not in place for the approval and processing of payments as required by treasury regulations 8.1.1. The non-compliance is likely to result in a material financial loss, if not recovered.

60. The accounting officer was notified of the suspected material irregularity on 13 August 2019. As committed by the accounting officer, the evidence that goods and services were provided pertaining to prior year advance payments was provided by Implementing Agents. The amount of the prepayment has significantly reduced in the current financial year. The remaining outstanding invoices are currently being followed up to determine if any amount should be recovered from Implementing Agents. The management indicated that it is not possible to accurately disaggregate the suspense account balance into the three different financial years. The suspense account for the duration of the Implementing Agents three year contracts are reconciled and cleared. The total uncleared

amount will be determined at the end of the contract of Implementing Agents on 30 September 2021.

61. The external investigations were completed in September 2020 and resulted in disciplinary actions against officials which has not been finalised
62. I will follow up on the progress made in clearing the amounts which will results in recovering the financial loss will be followed up in the next audit cycle.

Project management fees paid to implementing agents for services not received – 2019

63. The Department made a project management payment to the implementing agents for the CWP whose contract started in 1 April 2018 and will end in March 2021 with inadequate evidence to justify such payments and resulted in payments for services not received, as effective internal controls were not in place for the approval and processing of payments as required by treasury regulations 8.1.1. The non-compliance is likely to result in a material financial loss, if not recovered.
64. The accounting officer was notified of the suspected material irregularity on 26 August 2019. The following actions have been taken to resolve the material irregularity:
- The accounting officer informed the Implementing Agents that project management fees will be recalculated using actual expenditure and not allocated budget as a basis.
 - Work performed by the Department on the overall project management fees from 2018/19 until 2020/21 paid has resulted in an alternative calculation of the actual project management fees paid to Implementing Agents taking into account submitted information to clear the prepayment. As a result, a significant portion of the project management fee not supported was reduced.
 - The contract with the Implementing Agents will end on 30 of September 2021. The Department will then be in a position to determine the actual project management fees paid versus the amounts payable to the Implementing Agents, taking into account the retention fees and any project management fees not supported in this determination.

- The external investigations were completed in September 2020 and resulted in disciplinary actions against officials which has not been finalised.

65. I will follow up on the final reconciliation and the recovery of the financial loss during my next audit. The design and implementation of internal controls to prevent a recurrence of similar matters will be followed up in the next audit cycle with the commencement of the new contracts.

Project management fees paid to implementing agents for services not received - 2018

66. The accounting officer was notified of the suspected material irregularity on 26 August 2019. After further consultation with the appropriate structures and persons and additional information obtained it was decided not to pursue the matter further.

Auditor - General

Place of signing: Pretoria

Date: 5 October 2021



AUDITOR - GENERAL
SOUTH AFRICA

Auditing to build public confidence



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DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
 for the year ended 31 March 2021

Appropriation per programme									
	2020/21						2019/20		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Programme									
1. ADMINISTRATION	296 939	-	4 300	301 239	285 269	15 970	94,7%	304 049	300 729
2. REGIONAL AND URBAN DEVELOPMENT AND LEGISLATIVE SUPPORT	1 049 411	-	(6 700)	1 042 711	1 036 478	6 233	99,4%	966 193	965 408
3. INSTITUTIONAL DEVELOPMENT	85 927 188	-	(15 600)	85 911 588	83 222 419	2 689 169	96,9%	69 351 690	65 964 690
4. NATIONAL DISASTER MANAGEMENT CENTRE	587 835	-	46 117	633 952	330 818	303 134	52,2%	647 880	646 337
5. LOCAL GOVERNMENT SUPPORT AND INTERVENTION MANAGEMENT	14 964 675	-	(10 000)	14 954 675	14 948 467	6 208	100,0%	15 242 454	15 241 071
6. COMMUNITY WORK PROGRAMME	4 116 739	-	(18 117)	4 098 622	3 482 391	616 231	85,0%	3 834 288	3 832 115
Subtotal	106 942 787	-	-	106 942 787	103 305 842	3 636 945	96,6%	90 346 554	86 950 349
TOTAL									

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

	2020/21		2019/20	
	Final Appropriation	Actual Expenditure	Final Appropriation	Actual Expenditure
TOTAL (brought forward)				
Reconciliation with statement of financial performance				
ADD				
Departmental receipts	11 861		1 577	
NRF Receipts	-		-	
Aid assistance	-		-	
Actual amounts per statement of financial performance (total revenue)	106 954 648		90 348 131	
ADD				
Aid assistance		-		-
Prior year unauthorised expenditure approved without funding				
Actual amounts per statement of financial performance (total expenditure)		103 305 842		86 950 349



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Appropriation per economic classification									
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	2019/20	
	R'000	R'000	R'000	R'000	R'000	R'000	%	Final Appropriation	Actual expenditure
Economic classification									
Current payments	4 824 854	(9 240)	(23 801)	4 791 813	1 803 958	2 987 855	37,6%	4 397 338	4 358 707
Compensation of employees	371 381	-	-	371 381	326 644	44 737	88,0%	326 354	321 511
Salaries and wages	332 494	(3 156)	-	329 338	292 166	37 172	88,7%	291 750	287 771
Social contributions	38 887	3 156	-	42 043	34 478	7 565	82,0%	34 604	33 740
Goods and services	4 453 473	(9 240)	(23 801)	4 420 424	1 477 314	2 943 118	33,4%	4 070 984	4 037 196
Administrative fees	11 719	2 924	(200)	14 443	14 342	101	99,3%	17 020	17 020
Advertising	5 960	8 444	-	14 404	12 027	2 377	83,5%	2 174	1 966
Minor assets	2 259	7 468	-	9 727	9 312	415	95,7%	10 627	10 348
Audit costs: External	15 488	6 398	1 000	22 886	22 886	-	100,0%	17 891	17 891
Bursaries: Employees	2 243	(688)	-	1 555	1 554	1	99,9%	1 102	1 102
Catering: Departmental activities	13 576	6 017	11 300	30 893	10 869	20 024	35,2%	15 230	14 893
Communication	12 281	(1 649)	(2 800)	7 832	5 509	2 323	70,3%	4 059	3 400
Computer services	46 750	(19 024)	1 300	29 026	27 929	1 097	96,2%	29 363	29 321
Consultants: Business and advisory services	1 478 987	(998 168)	9 000	489 819	321 507	168 312	65,6%	399 761	372 367
Infrastructure and planning services	-	-	-	-	-	-	-	-	-
Laboratory services	-	-	-	-	-	-	-	11	11
Scientific and technological services	4 618	(1 618)	(3 000)	-	-	-	-	-	-
Legal services	-	9 008	-	9 008	3 671	5 337	40,8%	5 689	5 689
Contractors	1 833 086	1 059 398	(15 600)	2 876 884	696 640	2 180 244	24,2%	2 932 259	2 931 685
Agency and support / outsourced services	757	(644)	-	113	113	-	100,0%	114	100
Entertainment	3	(2)	-	1	-	1	-	-	-
Fleet services	4 735	1 428	-	6 163	5 242	921	85,1%	7 271	7 240
Housing	-	-	-	-	-	-	-	-	-
Inventory: Clothing material and supplies	-	-	-	-	-	-	-	-	-
Inventory: Farming supplies	-	-	-	-	-	-	-	-	-
Inventory: Food and food supplies	14	-	-	14	-	14	-	-	-

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Appropriation per economic classification										
	2020/21						2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure	
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	
Inventory: Fuel, oil and gas	105	(105)	-	-	-	-	-	33	36	
Inventory: Learner and teacher support material	641	(641)	-	-	-	-	-	-	-	
Inventory: Materials and supplies	619 907	(105 849)	-	514 058	-	514 058	-	-	-	
Inventory: Medical supplies	19 861	(19 861)	-	-	-	-	-	-	-	
Inventory: Medicine	-	-	-	-	-	-	-	-	-	
Medasas Inventory Interface	-	-	-	-	-	-	-	-	-	
Inventory: Other supplies	915	(603)	-	312	-	312	-	41	3	
Consumable supplies	154 936	33 097	-	188 033	183 553	4 480	97,6%	337 753	337 746	
Consumable: Stationery, printing and office supplies	21 183	(5 952)	(1 217)	14 014	5 556	8 458	39,6%	6 741	5 365	
Operating leases	3 458	46 841	(53)	50 246	46 467	3 779	92,5%	45 432	46 185	
Property payments	51 911	(28 420)	-	23 491	23 491	-	100,0%	25 368	25 334	
Transport provided: Departmental activity	1 002	(978)	-	24	-	24	-	-	-	
Travel and subsistence	35 675	(1 794)	(415)	33 466	24 125	9 341	72,1%	56 893	55 086	
Training and development	105 050	(5 157)	(23 086)	76 807	58 413	18 394	76,1%	149 211	149 029	
Operating payments	2 048	1 944	(30)	3 962	3 585	377	90,5%	4 074	3 959	
Venues and facilities	4 140	(1 021)	-	3 119	523	2 596	16,8%	2 806	2 366	
Rental and hiring	165	(33)	-	132	-	132	-	90	90	
Interest and rent on land	-	-	-	-	-	-	-	-	-	
Interest	-	-	-	-	-	-	-	-	-	
Rent on land	-	-	-	-	-	-	-	-	-	
Transfers and subsidies	102 097 615	(108)	801	102 098 308	101 449 218	649 090	99,4%	85 878 451	82 521 208	
Provinces and municipalities	101 603 306	(108)	7	101 603 205	98 819 283	2 783 922	97,3%	85 246 104	81 899 900	
Provinces	138 489	10	7	138 506	138 506	-	100,0%	466 401	466 401	
Provincial Revenue Funds	138 489	10	7	138 506	138 506	-	100,0%	466 398	466 398	



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Appropriation per economic classification									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Provincial agencies and funds	-	-	-	-	-	-	-	3	3
Municipalities	101 464 817	(118)	-	101 464 699	98 680 777	2 783 922	97,3%	84 779 703	81 433 499
Municipal bank accounts	101 464 817	(118)	-	101 464 699	98 680 777	2 783 922	97,3%	84 779 703	81 433 499
Municipal agencies and funds	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	486 058	-	-	486 058	485 958	100	100,0%	610 474	610 474
Social security funds	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	486 058	-	-	486 058	485 958	100	100,0%	610 474	610 474
Higher education institutions	-	-	-	-	-	-	-	-	-
Foreign governments and international organisations	640	-	-	640	330	310	51,6%	2 032	314
Public corporations and private enterprises	-	-	-	-	-	-	-	-	-
Public corporations	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to public corporations	-	-	-	-	-	-	-	-	-
Private enterprises	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to private enterprises	-	-	-	-	-	-	-	-	-
Non-profit institutions	7 611	-	-	7 611	7 512	99	98,7%	13 594	7 864
Households	-	-	794	794	2 136 135	(2 135 341)	269034,6%	6 247	2 656
Social benefits	-	-	764	764	2 136 105	(2 135 341)	279594,9%	5 752	2 461
Other transfers to households	-	-	30	30	30	-	100,0%	495	195
Payments for capital assets	20 318	9 074	23 000	52 392	52 392	-	100,0%	70 328	70 301
Buildings and other fixed structures	-	-	1 366	1 366	1 366	-	100,0%	4 571	4 571
Buildings	-	-	200	200	200	-	100,0%	-	-
Other fixed structures	-	-	1 166	1 166	1 166	-	100,0%	4 571	4 571
Machinery and equipment	20 318	7 805	21 634	49 757	49 757	-	100,0%	65 757	65 730
Transport equipment	117	1 706	517	2 340	2 340	-	100,0%	3 603	3 603

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Appropriation per economic classification										
	2020/21						2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure	
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	
Other machinery and equipment	20 201	6 099	21 117	47 417	47 417	-	100,0%	59 463	59 441	
Heritage assets	-	-	-	-	-	-	-	-	-	-
Specialised military assets	-	-	-	-	-	-	-	-	-	-
Biological assets	-	1 245	-	1 245	1 245	-	100,0%	-	-	-
Land and subsoil assets	-	-	-	-	-	-	-	-	-	-
Intangible assets	-	24	-	24	24	-	100,0%	-	-	-
Payments for financial assets	-	274	-	274	274	-	100,0%	437	135	
TOTAL	106 942 787	-	-	106 942 787	103 305 842	3 636 945	96,6%	90 346 554	86 950 349	



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 1: Administration									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Sub programme									
1. MINISTRY	29 785	1 241	-	31 026	30 482	544	98,2%	43 874	41 036
2. MANAGEMENT	20 535	(1 241)	-	19 294	17 399	1 895	90,2%	17 361	17 361
3. CORPORATE SERVICES	140 595	(2 776)	3 300	141 119	133 739	7 380	94,8%	136 762	136 462
4. FINANCIAL SERVICES	43 654	779	1 000	45 433	41 515	3 918	91,4%	37 318	37 137
5. INTERNAL AUDIT AND RISK MANAGEMENT	14 440	(943)	-	13 497	11 264	2 233	83,5%	13 878	13 878
6. OFFICE ACCOMMODATION	47 930	2 940	-	50 870	50 870	-	100,0%	54 856	54 855
Total for sub programmes	296 939	-	4 300	301 239	285 269	15 970	94,7%	304 049	300 729
Economic classification									
Current payments	294 287	(3 372)	3 866	294 781	278 811	15 970	94,6%	289 556	289 373
Compensation of employees	159 610	-	-	159 610	149 419	10 191	93,6%	145 705	145 705
Salaries and wages	143 927	(792)	-	143 135	133 500	9 635	93,3%	130 264	130 264
Social contributions	15 683	792	-	16 475	15 919	556	96,6%	15 469	15 441
Goods and services	134 677	(3 372)	3 866	135 171	129 392	5 779	95,7%	143 851	143 668
Administrative fees	1 082	(504)	-	578	561	17	97,1%	2 019	2 019
Advertising	1 057	351	-	1 408	1 408	-	100,0%	1 815	1 750
Minor assets	1 210	(958)	-	252	252	-	100,0%	437	436
Audit costs: External	9 476	2 785	1 000	13 261	13 261	-	100,0%	10 234	10 234
Bursaries: Employees	2 243	(688)	-	1 555	1 554	1	99,9%	1 102	1 102
Catering: Departmental activities	4 381	(3 609)	-	772	771	1	99,9%	1 139	1 139
Communication	5 628	(2 226)	-	3 402	3 283	119	96,5%	1 776	1 776
Computer services	5 790	6 678	3 300	15 768	15 768	-	100,0%	16 066	16 066
Consultants: Business and advisory services	5 284	(794)	-	4 490	4 490	-	100,0%	5 604	5 604
Infrastructure and planning services	-	-	-	-	-	-	-	-	-
Laboratory services	-	-	-	-	-	-	-	-	-

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 1: Administration									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Scientific and technological services	3 451	(3 451)	-	-	-	-	-	-	-
Legal services	-	8 776	-	8 776	3 439	5 337	39,2%	4 930	4 930
Contractors	555	5 250	-	5 805	5 805	-	100,0%	3 831	3 831
Agency and support / outsourced services	757	(757)	-	-	-	-	-	15	1
Entertainment	-	-	-	-	-	-	-	-	-
Fleet services	3 763	244	-	4 007	4 005	2	100,0%	4 779	4 779
Housing	-	-	-	-	-	-	-	-	-
Inventory: Clothing material and supplies	-	-	-	-	-	-	-	-	-
Inventory: Farming supplies	-	-	-	-	-	-	-	-	-
Inventory: Food and food supplies	-	-	-	-	-	-	-	-	-
Inventory: Fuel, oil and gas	105	(105)	-	-	-	-	-	-	-
Inventory: Learner and teacher support material	641	(641)	-	-	-	-	-	-	-
Inventory: Materials and supplies	23	(22)	-	1	-	1	-	-	-
Inventory: Medical supplies	19 861	(19 861)	-	-	-	-	-	-	-
Inventory: Medicine	-	-	-	-	-	-	-	-	-
Medsas Inventory Interface	-	-	-	-	-	-	-	-	-
Inventory: Other supplies	42	(28)	-	14	-	14	-	-	-
Consumable supplies	458	330	-	788	781	7	99,1%	1 017	1 017
Consumable: Stationery, printing and office supplies	4 489	(2 535)	(217)	1 737	1 660	77	95,6%	2 414	2 400
Operating leases	491	39 448	-	39 939	39 939	-	100,0%	42 481	42 480
Property payments	51 911	(29 178)	-	22 733	22 733	-	100,0%	24 310	24 310
Transport provided: Departmental activity	363	(363)	-	-	-	-	-	-	-
Travel and subsistence	7 897	(1 588)	(108)	6 201	6 165	36	99,4%	16 232	16 232
Training and development	1 619	(510)	(79)	1 030	1 025	5	99,5%	922	922



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 1: Administration									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Operating payments	1 465	750	(30)	2 185	2 131	54	97,5%	2 026	1 995
Venues and facilities	602	(241)	-	361	361	-	100,0%	674	617
Rental and hiring	33	(33)	-	-	-	-	-	28	28
Interest and rent on land	-	-	-	-	-	-	-	-	-
Interest	-	-	-	-	-	-	-	-	-
Rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	118	(108)	434	444	444	-	100,0%	5 086	2 246
Provinces and municipalities	118	(108)	-	10	10	-	100,0%	20	18
Provinces	-	10	-	10	10	-	100,0%	-	-
Provincial Revenue Funds	-	10	-	10	10	-	100,0%	-	-
Provincial agencies and funds	-	-	-	-	-	-	-	-	-
Municipalities	118	118	-	108	-	108	-	20	18
Municipal bank accounts	118	118	-	108	-	108	-	20	18
Municipal agencies and funds	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Social security funds	-	-	-	-	-	-	-	-	-
Departmental agencies	-	-	-	-	-	-	-	-	-
Higher education institutions	-	-	-	-	-	-	-	-	-
Foreign governments and international organisations	-	-	-	-	-	-	-	-	-
Public corporations and private enterprises	-	-	-	-	-	-	-	-	-
Public corporations	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to public corporations	-	-	-	-	-	-	-	-	-
Private enterprises	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to private enterprises	-	-	-	-	-	-	-	-	-

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 1: Administration									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Non-profit institutions	-	-	-	-	-	-	-	-	-
Households	-	-	434	434	434	-	100,0%	5 066	2 228
Social benefits	-	-	404	404	404	-	100,0%	5 066	2 228
Other transfers to households	-	-	30	30	30	-	100,0%	-	-
Payments for capital assets	2 534	3 216	-	5 750	5 750	-	100,0%	8 992	8 992
Buildings and other fixed structures	-	-	-	-	-	-	-	-	-
Buildings	-	-	-	-	-	-	-	-	-
Other fixed structures	-	-	-	-	-	-	-	-	-
Machinery and equipment	2 534	3 216	-	5 750	5 750	-	100,0%	8 992	8 992
Transport equipment	117	(99)	-	18	18	-	100,0%	807	807
Other machinery and equipment	2 417	3 315	-	5 732	5 732	-	100,0%	8 185	8 185
Heritage assets	-	-	-	-	-	-	-	-	-
Specialised military assets	-	-	-	-	-	-	-	-	-
Biological assets	-	-	-	-	-	-	-	-	-
Land and subsoil assets	-	-	-	-	-	-	-	-	-
Intangible assets	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	264	-	264	264	-	100,0%	415	118
TOTAL	296 939	-	4 300	301 239	285 269	15 970	94,7%	304 049	300 729



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 2: Regional and Urban Development and Legislative Support									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Sub programme									
1. MANAGEMENT: REGIONAL AND URBAN DEVELOPMENT AND LEGISLATIVE SUPPORT	226	776	(1 000)	2	2	-	100,0%	71	4
2. LOCAL GOVERNMENT LEGISLATIVE SUPPORT AND INSTITUTIONAL ESTABLISHMENT	6 253	29	(1 000)	5 282	3 641	1 641	68,9%	5 183	4 691
3. URBAN DEVELOPMENT PLANNING	11 320	(1 806)	-	9 514	9 441	73	99,2%	14 253	14 252
4. SPATIAL PLANNING DISTRICTS AND REGIONS	13 450	1 070	(3 700)	10 820	10 525	295	97,3%	12 874	12 808
5. INTERGOVERNMENTAL POLICY AND PRACTICE	11 265	(69)	(1 000)	10 196	5 972	4 224	58,6%	4 884	4 725
6. MUNICIPAL DEMARCATION BOARD	63 017	-	-	63 017	63 017	-	100,0%	64 268	64 268
7. SOUTH AFRICAN CITIES NETWORK	7 512	-	-	7 512	7 512	-	100,0%	7 765	7 765
8. INTEGRATED URBAN DEVELOPMENT GRANT	936 368	-	-	936 368	936 368	-	100,0%	856 895	856 895
Total for sub programmes	1 049 411	-	(6 700)	1 042 711	1 036 478	6 233	99,4%	966 193	965 408
Economic classification									
Current payments	42 514	(23)	(6 760)	35 731	29 499	6 232	82,6%	37 206	36 421
Compensation of employees	29 029	-	-	29 029	23 165	5 864	79,8%	23 882	23 232
Salaries and wages	26 365	(214)	-	26 151	20 521	5 630	78,5%	21 116	20 626
Social contributions	2 664	214	-	2 878	2 644	234	91,9%	2 766	2 606
Goods and services	13 485	(23)	(6 760)	6 702	6 334	368	94,5%	13 324	13 189
Administrative fees	87	(36)	-	51	40	11	78,4%	239	239
Advertising	123	42	-	165	139	26	84,2%	72	58
Minor assets	187	(150)	-	37	19	18	51,4%	3	3
Audit costs: External	-	-	-	-	-	-	-	-	-

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 2: Regional and Urban Development and Legislative Support									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Bursaries: Employees	-	-	-	-	-	-	-	-	-
Catering: Departmental activities	721	1 982	(2 700)	3	3	-	100,0%	438	438
Communication	1 512	(204)	(1 000)	308	307	1	99,7%	213	213
Computer services	883	4 414	(2 000)	3 297	3 297	-	100,0%	2 997	2 997
Consultants: Business and advisory services	3 232	(1 709)	-	1 523	1 523	-	100,0%	4 984	4 984
Infrastructure and planning services	-	-	-	-	-	-	-	-	-
Laboratory services	-	-	-	-	-	-	-	-	-
Scientific and technological services	-	-	-	-	-	-	-	-	-
Legal services	-	-	-	-	-	-	-	-	-
Contractors	7	(7)	-	-	-	-	-	7	-
Agency and support / outsourced services	-	-	-	-	-	-	-	-	-
Entertainment	3	(2)	-	1	-	1	-	-	-
Fleet services	38	(8)	-	30	12	18	40,0%	66	55
Housing	-	-	-	-	-	-	-	-	-
Inventory: Clothing material and supplies	-	-	-	-	-	-	-	-	-
Inventory: Farming supplies	-	-	-	-	-	-	-	-	-
Inventory: Food and food supplies	-	-	-	-	-	-	-	-	-
Inventory: Fuel, oil and gas	-	-	-	-	-	-	-	-	-
Inventory: Learner and teacher support material	-	-	-	-	-	-	-	-	-
Inventory: Materials and supplies	-	-	-	-	-	-	-	-	-
Inventory: Medical supplies	-	-	-	-	-	-	-	-	-
Inventory: Medicine	-	-	-	-	-	-	-	-	-
Medsas Inventory Interface	-	-	-	-	-	-	-	-	-
Inventory: Other supplies	15	(15)	-	-	-	-	-	-	-
Consumable supplies	323	(320)	-	3	3	-	100,0%	15	12



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 2: Regional and Urban Development and Legislative Support									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Consumable: Stationery, printing and office supplies	1 536	(450)	(1 000)	86	86	-	100,0%	195	195
Operating leases	87	(37)	-	50	-	50	-	66	-
Property payments	-	-	-	-	-	-	-	-	-
Transport provided: Departmental activity	-	-	-	-	-	-	-	-	-
Travel and subsistence	4 132	(3 016)	(60)	1 056	905	151	85,7%	3 599	3 599
Training and development	301	(301)	-	-	-	-	-	-	-
Operating payments	183	(120)	-	63	-	63	-	193	193
Venues and facilities	115	(86)	-	29	-	29	-	237	203
Rental and hiring	-	-	-	-	-	-	-	-	-
Interest and rent on land	-	-	-	-	-	-	-	-	-
Interest	-	-	-	-	-	-	-	-	-
Rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	1 006 897	-	60	1 006 957	1 006 956	1	100,0%	928 986	928 986
Provinces and municipalities	936 368	-	-	936 368	936 368	-	100,0%	856 895	856 895
Provinces	-	-	-	-	-	-	-	-	-
Provincial Revenue Funds	-	-	-	-	-	-	-	-	-
Provincial agencies and funds	-	-	-	-	-	-	-	-	-
Municipalities	936 368	-	-	936 368	936 368	-	100,0%	856 895	856 895
Municipal bank accounts	936 368	-	-	936 368	936 368	-	100,0%	856 895	856 895
Municipal agencies and funds	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	63 017	-	-	63 017	63 017	-	100,0%	64 268	64 268
Social security funds	-	-	-	-	-	-	-	-	-
Departmental agencies	63 017	-	-	63 017	63 017	-	100,0%	64 268	64 268
Higher education institutions	-	-	-	-	-	-	-	-	-
Foreign governments and international organisations	-	-	-	-	-	-	-	-	-

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 2: Regional and Urban Development and Legislative Support									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Public corporations and private enterprises	-	-	-	-	-	-	-	-	-
Public corporations	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to public corporations	-	-	-	-	-	-	-	-	-
Private enterprises	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to private enterprises	-	-	-	-	-	-	-	-	-
Non-profit institutions	7 512	-	-	7 512	7 512	-	100,0%	7 765	7 765
Households	-	-	60	60	59	1	98,3%	58	58
Social benefits	-	-	60	60	59	1	98,3%	4	4
Other transfers to households	-	-	-	-	-	-	-	54	54
Payments for capital assets	-	23	-	23	23	-	100,0%	-	-
Buildings and other fixed structures	-	-	-	-	-	-	-	-	-
Buildings	-	-	-	-	-	-	-	-	-
Other fixed structures	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	23	-	23	23	-	100,0%	-	-
Transport equipment	-	-	-	-	-	-	-	-	-
Other machinery and equipment	-	23	-	23	23	-	100,0%	-	-
Heritage assets	-	-	-	-	-	-	-	-	-
Specialised military assets	-	-	-	-	-	-	-	-	-
Biological assets	-	-	-	-	-	-	-	-	-
Land and subsoil assets	-	-	-	-	-	-	-	-	-
Intangible assets	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	-	-	-	-	-	-	-	-
Total	1 049 411	-	(6 700)	1 042 711	1 036 478	6 233	99,4%	966 193	965 408



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 3: Institutional Development									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Sub programme									
1. MANAGEMENT: INSTITUTIONAL DEVELOPMENT	3 891	-	-	3 891	1 065	2 826	27,4%	1 925	1 121
2. MUNICIPAL HUMAN RESOURCES MANAGEMENT SYSTEMS	10 392	3 428	-	13 820	13 820	-	100,0%	11 275	10 634
3. MUNICIPAL FINANCE	50 179	(7 232)	(15 600)	27 347	19 712	7 635	72,1%	14 693	13 137
4. CITIZEN ENGAGEMENT	7 347	1 289	-	8 636	6 279	2 357	72,7%	9 511	7 839
5. ANTI-CORRUPTION AND GOOD GOVERNANCE	6 550	846	-	7 396	5 650	1 746	76,4%	8 815	6 847
6. MUNICIPAL PROPERTY RATES	12 537	1 669	-	14 206	12 278	1 928	86,4%	11 552	10 184
7. LOCAL GOVERNMENT EQUITABLE SHARE	85 683 326	-	-	85 683 326	83 102 374	2 580 952	97,0%	68 973 465	65 627 263
8. SOUTH AFRICAN LOCAL GOVERNMENT ASSOCIATION	33 192	-	-	33 192	33 192	-	100,0%	33 879	33 879
9. MUNICIPAL SYSTEMS IMPROVEMENT GRANT	119 774	-	-	119 774	28 049	91 725	23,4%	111 062	85 435
10. DEPARTMENT OF TRADITIONAL AFFAIRS	-	-	-	-	-	-	-	168 351	168 351
11. UNITED CITIES AND LOCAL GOVERNMENT OF AFRICA	-	-	-	-	-	-	-	7 162	-
Total for sub programmes	85 927 188	-	(15 600)	85 911 588	83 222 419	2 689 169	96,9%	69 351 690	65 964 690
Economic classification									
Current payments	210 030	(33)	(15 721)	194 276	86 369	107 907	44,5%	168 094	134 766
Compensation of employees	42 695	-	-	42 695	37 029	5 666	86,7%	38 912	37 179
Salaries and wages	37 773	122	-	37 895	33 088	4 807	87,3%	35 012	33 327
Social contributions	4 922	(122)	-	4 800	3 941	859	82,1%	3 900	3 852
Goods and services	167 335	(33)	(15 721)	151 581	49 340	102 241	32,6%	129 182	97 587
Administrative fees	109	(25)	-	84	28	56	33,3%	297	297
Advertising	177	10 290	-	10 467	10 386	81	99,2%	87	-

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 3: Institutional Development										
	2020/21					2019/20				
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure	
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	
Minor assets	206	(122)	-	84	10	74	11,9%	165	9	
Audit costs: External	-	-	-	-	-	-	-	-	-	
Bursaries: Employees	-	-	-	-	-	-	-	-	-	
Catering: Departmental activities	1 272	(581)	-	691	217	474	31,4%	816	502	
Communication	1 981	(236)	-	1 745	438	1 307	25,1%	719	298	
Computer services	-	-	-	-	-	-	-	-	-	
Consultants: Business and advisory services	122 901	6 133	-	129 034	36 278	92 756	28,1%	116 166	88 773	
Infrastructure and planning services	-	-	-	-	-	-	-	-	-	
Laboratory services	-	-	-	-	-	-	-	-	-	
Scientific and technological services	-	-	-	-	-	-	-	-	-	
Legal services	-	-	-	-	-	-	-	-	-	
Contractors	30 622	(13 376)	(15 600)	1 646	-	1 646	-	260	5	
Agency and support / outsourced services	-	-	-	-	-	-	-	-	-	
Entertainment	-	-	-	-	-	-	-	-	-	
Fleet services	915	(10)	-	905	10	895	1,1%	54	38	
Housing	-	-	-	-	-	-	-	-	-	
Inventory: Clothing material and supplies	-	-	-	-	-	-	-	-	-	
Inventory: Farming supplies	-	-	-	-	-	-	-	-	-	
Inventory: Food and food supplies	-	-	-	-	-	-	-	-	-	
Inventory: Fuel, oil and gas	-	-	-	-	-	-	-	-	-	
Inventory: Learner and teacher support material	-	-	-	-	-	-	-	-	-	
Inventory: Materials and supplies	2	-	-	2	-	2	-	-	-	
Inventory: Medical supplies	-	-	-	-	-	-	-	-	-	
Inventory: Medicine	-	-	-	-	-	-	-	-	-	
Medsas Inventory Interface	-	-	-	-	-	-	-	-	-	
Inventory: Other supplies	40	(40)	-	-	-	-	-	28	-	
Consumable supplies	-	9	-	9	9	-	100,0%	15	15	



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 3: Institutional Development									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Consumable: Stationery, printing and office supplies	2 670	(883)	-	1 787	208	1 579	11,6%	1 385	315
Operating leases	69	(41)	-	28	-	28	-	66	-
Property payments	-	-	-	-	-	-	-	34	-
Transport provided: Departmental activity	-	-	-	-	-	-	-	-	-
Travel and subsistence	4 651	(989)	(121)	3 541	1 400	2 141	39,5%	6 901	5 585
Training and development	353	(126)	-	227	38	189	16,7%	1 834	1 736
Operating payments	132	200	-	332	305	27	91,9%	89	21
Venues and facilities	1 199	(236)	-	963	13	950	1,3%	266	-
Rental and hiring	36	-	-	36	-	36	-	-	-
Interest and rent on land	-	-	-	-	-	-	-	-	-
Interest	-	-	-	-	-	-	-	-	-
Rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	85 717 158	-	121	85 717 279	83 136 017	2 581 262	97,0%	69 183 548	65 829 898
Provinces and municipalities	85 683 326	-	-	85 683 326	83 102 374	2 580 952	97,0%	68 973 465	65 627 263
Provinces	-	-	-	-	-	-	-	-	-
Provincial Revenue Funds	-	-	-	-	-	-	-	-	-
Provincial agencies and funds	-	-	-	-	-	-	-	-	-
Municipalities	85 683 326	-	-	85 683 326	83 102 374	2 580 952	97,0%	68 973 465	65 627 263
Municipal bank accounts	85 683 326	-	-	85 683 326	83 102 374	2 580 952	97,0%	68 973 465	65 627 263
Municipal agencies and funds	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	33 192	-	-	33 192	33 192	-	100,0%	202 230	202 230
Social security funds	-	-	-	-	-	-	-	-	-
Departmental agencies	33 192	-	-	33 192	33 192	-	100,0%	202 230	202 230
Higher education institutions	-	-	-	-	-	-	-	-	-
Foreign governments and international organisations	640	-	-	640	330	310	51,6%	2 032	314

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 3: Institutional Development									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Public corporations and private enterprises	-	-	-	-	-	-	-	-	-
Public corporations	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to public corporations	-	-	-	-	-	-	-	-	-
Private enterprises	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to private enterprises	-	-	-	-	-	-	-	-	-
Non-profit institutions	-	-	-	-	-	-	-	-	-
Households	-	-	121	121	121	-	-	5 730	91
Social benefits	-	-	121	121	121	-	100,0%	91	91
Other transfers to households	-	-	-	-	-	-	-	-	-
Payments for capital assets	33	33	-	33	33	-	100,0%	41	14
Buildings and other fixed structures	-	-	-	-	-	-	-	-	-
Buildings	-	-	-	-	-	-	-	-	-
Other fixed structures	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	33	-	33	33	-	100,0%	41	14
Transport equipment	-	-	-	-	-	-	-	-	-
Other machinery and equipment	-	33	-	33	33	-	100,0%	41	19
Heritage assets	-	-	-	-	-	-	-	-	-
Specialised military assets	-	-	-	-	-	-	-	-	-
Biological assets	-	-	-	-	-	-	-	-	-
Land and subsoil assets	-	-	-	-	-	-	-	-	-
Intangible assets	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	-	-	-	-	-	-	7	7
Total	85 927 188	-	(15 600)	85 911 588	83 222 419	2 689 169	96,9%	69 351 690	65 964 690



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 4: National Disaster Management Centre									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Sub programme									
1. MANAGEMENT: HEAD OF THE NATIONAL DISASTER MANAGEMENT CENTRE	4 054	1 094	-	5 148	3 890	1 258	75,6%	4 764	4 218
2. DISASTER RISK REDUCTION, CAPACITY BUILDING AND INTERVENTION	51 221	-	48 000	99 221	9 424	89 797	9,5%	14 813	14 590
3. LEGISLATION AND POLICY MANAGEMENT	6 241	(978)	-	5 263	4 837	426	91,9%	6 823	6 728
4. INTEGRATED PROVINCIAL DISASTER MANAGEMENT SUPPORT, MONITORING AND EVALUATION SYSTEMS	5 182	(116)	-	5 066	3 041	2 025	60,0%	3 426	3 302
5. FIRE SERVICES	4 880	-	-	4 880	2 116	2 764	43,4%	3 651	3 651
6. INFORMATION TECHNOLOGY, INTELLIGENCE AND INFORMATION MANAGEMENT SYSTEMS	23 828	-	(1 883)	21 945	18 051	3 894	82,3%	14 787	14 237
7. DISASTER RELIEF GRANT	492 429	-	-	492 429	289 459	202 970	58,8%	466 392	466 392
8. MUNICIPAL DISASTER RECOVERY GRANT	-	-	-	-	-	-	-	133 220	133 220
Total for sub programmes	587 835	-	46 117	633 952	330 818	303 134	52,2%	647 880	646 337
Economic classification									
Current payments	92 523	(5)	47 940	140 458	40 393	100 065	28,8%	46 021	44 783
Compensation of employees	29 901	-	-	29 901	24 395	5 506	81,6%	24 184	23 938
Salaries and wages	25 627	161	-	25 788	21 856	3 932	84,8%	21 528	21 500
Social contributions	4 274	(161)	-	4 113	2 539	1 574	61,7%	2 656	2 438
Goods and services	62 622	(5)	47 940	110 557	15 998	94 559	14,5%	21 841	20 845
Administrative fees	45	11	-	56	39	17	69,6%	227	227
Advertising	72	29	-	101	94	7	93,1%	115	92

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 4: National Disaster Management Centre										
	2020/21					2019/20				
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure	
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	
Minor assets	217	(179)	-	38	-	38	-	124	4	
Audit costs: External	-	-	-	-	-	-	-	-	-	
Bursaries: Employees	-	-	-	-	-	-	-	-	-	
Catering: Departmental activities	523	91	14 000	14 614	744	13 870	5,1%	621	598	
Communication	646	(37)	-	609	534	75	87,7%	554	401	
Computer services	8 627	(4 250)	-	4 377	3 999	378	91,4%	4 362	4 316	
Consultants: Business and advisory services	31 604	(3 414)	34 000	62 190	859	61 331	1,4%	5 224	5 223	
Infrastructure and planning services	-	-	-	-	-	-	-	-	-	
Laboratory services	-	-	-	-	-	-	-	-	-	
Scientific and technological services	-	-	-	-	-	-	-	-	-	
Legal services	-	-	-	-	-	-	-	-	-	
Contractors	329	-	-	329	-	329	-	342	30	
Agency and support / outsourced services	-	-	-	-	-	-	-	-	-	
Entertainment	-	-	-	-	-	-	-	-	-	
Fleet services	7	100	-	107	101	6	94,4%	92	88	
Housing	-	-	-	-	-	-	-	-	-	
Inventory: Clothing material and supplies	-	-	-	-	-	-	-	-	-	
Inventory: Farming supplies	-	-	-	-	-	-	-	-	-	
Inventory: Food and food supplies	-	-	-	-	-	-	-	-	-	
Inventory: Fuel, oil and gas	-	-	-	-	-	-	-	-	-	
Inventory: Learner and teacher support material	-	-	-	-	-	-	-	-	-	
Inventory: Materials and supplies	16 000	(2)	-	15 998	-	15 998	-	-	-	
Inventory: Medical supplies	-	-	-	-	-	-	-	-	-	
Inventory: Medicine	-	-	-	-	-	-	-	-	-	
Medasas Inventory Interface	-	-	-	-	-	-	-	-	-	
Inventory: Other supplies	576	(444)	-	132	-	132	-	-	-	
Consumable supplies	5	517	-	522	519	3	99,4%	92	88	



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 4: National Disaster Management Centre									
2020/21									
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Consumable: Stationery, printing and office supplies	481	122	-	603	314	289	52,1%	768	669
Operating leases	478	7 516	(53)	7 941	6 381	1 560	80,4%	2 543	2 431
Property payments	-	-	-	-	-	-	-	-	-
Transport provided: Departmental activity	-	-	-	-	-	-	-	-	-
Travel and subsistence	2 390	(1 088)	-	1 302	970	332	74,5%	3 769	3 769
Training and development	364	1 083	(7)	1 440	1 358	82	94,3%	2 289	2 289
Operating payments	78	51	-	129	86	43	66,7%	417	401
Venues and facilities	180	(111)	-	69	-	69	-	302	219
Rental and hiring	-	-	-	-	-	-	-	-	-
Interest and rent on land	-	-	-	-	-	-	-	-	-
Interest	-	-	-	-	-	-	-	-	-
Rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	492 528	-	60	492 588	289 519	203 069	58,8%	600 232	599 932
Provinces and municipalities	492 429	-	7	492 436	289 466	202 970	58,8%	599 618	599 618
Provinces	138 489	-	7	138 496	138 496	-	100,0%	466 398	466 398
Provincial Revenue Funds	138 489	-	7	138 496	138 496	-	100,0%	466 398	466 398
Provincial agencies and funds	-	-	-	-	-	-	-	-	-
Municipalities	353 940	-	-	353 940	150 970	202 970	42,7%	133 220	133 220
Municipal bank accounts	353 940	-	-	353 940	150 970	202 970	42,7%	133 220	133 220
Municipal agencies and funds	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Social security funds	-	-	-	-	-	-	-	-	-
Departmental agencies	-	-	-	-	-	-	-	-	-
Higher education institutions	-	-	-	-	-	-	-	-	-
Foreign governments and international organisations	-	-	-	-	-	-	-	-	-

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 4: National Disaster Management Centre									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Public corporations and private enterprises	-	-	-	-	-	-	-	-	-
Public corporations	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to public corporations	-	-	-	-	-	-	-	-	-
Private enterprises	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to private enterprises	-	-	-	-	-	-	-	-	-
Non-profit institutions	99	-	-	99	-	99	-	99	99
Households	-	-	53	53	53	-	100,0%	515	215
Social benefits	-	-	53	53	53	-	100,0%	74	74
Other transfers to households	-	-	-	-	-	-	-	441	141
Payments for capital assets	2 784	-	(1 883)	901	901	-	100,0%	1 621	1 621
Buildings and other fixed structures	-	-	-	-	-	-	-	-	-
Buildings	-	-	-	-	-	-	-	-	-
Other fixed structures	-	-	-	-	-	-	-	-	-
Machinery and equipment	2 784	-	(1 883)	901	901	-	100,0%	1 621	1 621
Transport equipment	-	-	-	-	-	-	-	-	-
Other machinery and equipment	2 784	-	(1 883)	901	901	-	100,0%	1 621	1 621
Heritage assets	-	-	-	-	-	-	-	-	-
Specialised military assets	-	-	-	-	-	-	-	-	-
Biological assets	-	-	-	-	-	-	-	-	-
Land and subsoil assets	-	-	-	-	-	-	-	-	-
Intangible assets	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	5	-	5	5	-	100,0%	2	1
Total	587 835	-	46 117	633 952	330 818	303 134	52,2%	647 880	646 337



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 5: Local Government Support and Intervention Management									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Sub programme									
1. MANAGEMENT: LOCAL GOVERNMENT SUPPORT AND INTERVENTIONS	3 973	-	(800)	3 173	2 764	409	87,1%	3 408	3 367
2. MUNICIPAL PERFORMANCE MONITORING	14 420	991	(2 000)	13 411	13 406	5	100,0%	14 355	13 852
3. LOCAL GOVERNMENT IMPROVEMENT PROGRAMME	26 389	(991)	(3 200)	22 198	21 329	869	96,1%	29 777	29 774
4. LITIGATIONS AND INTERVENTIONS	3 280	-	(3 000)	280	-	280	-	235	-
5. MUNICIPAL INFRASTRUCTURE ADMINISTRATION	35 699	-	(1 000)	34 699	30 154	4 545	86,9%	34 600	33 999
6. MUNICIPAL INFRASTRUCTURE GRANT	14 491 065	-	-	14 491 065	14 491 065	-	100,0%	14 816 103	14 816 103
7. MUNICIPAL INFRASTRUCTURE SUPPORT AGENT	389 849	-	-	389 849	389 749	100	100,0%	343 976	343 976
Total for sub programmes	14 964 675	-	(10 000)	14 954 675	14 948 467	6 208	100,0%	15 242 454	15 241 071
Economic classification									
Current payments	83 761	(16)	(10 126)	73 619	67 511	6 108	91,7%	81 864	80 806
Compensation of employees	66 061	-	-	66 061	61 694	4 367	93,4%	62 518	62 346
Salaries and wages	62 092	(2 977)	-	59 115	55 064	4 051	93,1%	55 792	55 791
Social contributions	3 969	2 977	-	6 946	6 630	316	95,5%	6 726	6 555
Goods and services	17 700	(16)	(10 126)	7 558	5 817	1 741	77,0%	19 346	18 460
Administrative fees	900	(637)	(200)	63	63	-	100,0%	475	475
Advertising	58	(40)	-	18	-	18	-	19	-
Minor assets	109	(18)	-	91	23	68	25,3%	67	65
Audit costs: External	-	-	-	-	-	-	-	-	-
Bursaries: Employees	-	-	-	-	-	-	-	-	-

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 5: Local Government Support and Intervention Management									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Catering: Departmental activities	631	(386)	-	245	182	63	74,3%	1 127	1 127
Communication	1 434	1 054	(1 800)	688	622	66	90,4%	565	480
Computer services	-	555	-	555	555	-	100,0%	1 058	1 058
Consultants: Business and advisory services	5 688	1 008	(5 000)	1 696	1 696	-	100,0%	7 016	7 016
Infrastructure and planning services	-	-	-	-	-	-	-	-	-
Laboratory services	-	-	-	-	-	-	-	-	-
Scientific and technological services	1 167	1 833	(3 000)	-	-	-	-	-	-
Legal services	-	-	-	-	-	-	-	-	-
Contractors	88	(88)	-	-	-	-	-	-	-
Agency and support / outsourced services	-	-	-	-	-	-	-	-	-
Entertainment	-	-	-	-	-	-	-	-	-
Fleet services	-	32	-	32	32	-	100,0%	127	127
Housing	-	-	-	-	-	-	-	-	-
Inventory: Clothing material and supplies	-	-	-	-	-	-	-	-	-
Inventory: Farming supplies	-	-	-	-	-	-	-	-	-
Inventory: Food and food supplies	14	-	-	14	-	14	-	-	-
Inventory: Fuel, oil and gas	-	-	-	-	-	-	-	-	-
Inventory: Learner and teacher support material	-	-	-	-	-	-	-	-	-
Inventory: Materials and supplies	-	-	-	-	-	-	-	-	-
Inventory: Medical supplies	-	-	-	-	-	-	-	-	-
Inventory: Medicine	-	-	-	-	-	-	-	-	-
Medsas Inventory Interface	-	-	-	-	-	-	-	-	-
Inventory: Other supplies	84	(76)	-	8	-	8	-	13	3
Consumable supplies	-	3	-	3	3	-	100,0%	25	25
Consumable: Stationery, printing and office supplies	1 033	(642)	-	391	55	336	14,1%	395	202



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 5: Local Government Support and Intervention Management									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Operating leases	117	(45)	-	72	49	23	68,1%	56	54
Property payments	-	-	-	-	-	-	-	-	-
Transport provided: Departmental activity	639	(615)	-	24	-	24	-	-	-
Travel and subsistence	3 724	(748)	(126)	2 850	2 208	642	77,5%	7 774	7 283
Training and development	1 484	(859)	-	625	329	296	52,6%	114	30
Operating payments	76	-	-	76	-	76	-	335	335
Venues and facilities	358	(347)	-	11	-	11	-	180	180
Rental and hiring	96	-	-	96	-	96	-	-	-
Interest and rent on land	-	-	-	-	-	-	-	-	-
Interest	-	-	-	-	-	-	-	-	-
Rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	14 880 914	-	126	14 881 040	14 880 940	100	100,0%	15 160 439	15 160 114
Provinces and municipalities	14 491 065	-	-	14 491 065	14 491 065	-	100,0%	14 816 103	14 816 103
Provinces	-	-	-	-	-	-	-	-	-
Provincial Revenue Funds	-	-	-	-	-	-	-	-	-
Provincial agencies and funds	-	-	-	-	-	-	-	-	-
Municipalities	14 491 065	-	-	14 491 065	14 491 065	-	100,0%	14 816 103	14 816 103
Municipal bank accounts	14 491 065	-	-	14 491 065	14 491 065	-	100,0%	14 816 103	14 816 103
Municipal agencies and funds	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	389 849	-	-	389 849	389 749	100	100,0%	343 976	343 976
Social security funds	-	-	-	-	-	-	-	-	-
Departmental agencies	389 849	-	-	389 849	389 749	100	100,0%	343 976	343 976
Higher education institutions	-	-	-	-	-	-	-	-	-
Foreign governments and international organisations	-	-	-	-	-	-	-	-	-

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 5: Local Government Support and Intervention Management									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Public corporations and private enterprises	-	-	-	-	-	-	-	-	-
Public corporations	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to public corporations	-	-	-	-	-	-	-	-	-
Private enterprises	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to private enterprises	-	-	-	-	-	-	-	-	-
Non-profit institutions	-	-	-	-	-	-	-	-	-
Households	-	-	126	126	126	-	100,0%	360	35
Social benefits	-	-	126	126	126	-	100,0%	360	35
Other transfers to households	-	-	-	-	-	-	-	-	-
Payments for capital assets	-	14	-	14	14	-	100,0%	144	144
Buildings and other fixed structures	-	-	-	-	-	-	-	-	-
Buildings	-	-	-	-	-	-	-	-	-
Other fixed structures	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	14	-	14	14	-	100,0%	144	144
Transport equipment	-	-	-	-	-	-	-	-	-
Other machinery and equipment	-	14	-	14	14	-	100,0%	144	144
Heritage assets	-	-	-	-	-	-	-	-	-
Specialised military assets	-	-	-	-	-	-	-	-	-
Biological assets	-	-	-	-	-	-	-	-	-
Land and subsoil assets	-	-	-	-	-	-	-	-	-
Intangible assets	-	-	-	-	-	-	-	-	-
Payments for financial assets	-	2	-	2	2	-	100,0%	7	7
Total	14 964 675	-	(10 000)	14 954 675	14 948 467	6 208	100,0%	15 242 454	15 241 071



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

APPROPRIATION STATEMENT

for the year ended 31 March 2021

Programme 6: Community Work Programme									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Sub programme									
1. MANAGEMENT: COMMUNITY WORK PROGRAMME	4 053 891	6 306	(18 117)	4 042 080	3 481 528	560 552	86,1%	3 830 026	3 827 849
2. PROGRAMME COORDINATION	48 029	(6 306)	-	41 723	399	41 324	1,0%	1 972	1 972
3. PARTNERSHIPS, NORMS, STANDARDS AND INNOVATION	14 819	-	-	14 819	464	14 355	3,1%	2 294	2 294
Total for sub programmes	4 116 739	-	(18 117)	4 098 622	3 482 391	616 231	85,0%	3 834 292	3 832 115
Economic classification									
Current payments	4 101 739	(5 791)	(43 000)	4 052 948	1 301 375	2 751 573	32,1%	3 774 598	3 772 552
Compensation of employees	44 085	-	-	44 085	30 942	13 143	70,2%	31 153	29 112
Salaries and wages	36 710	544	-	37 254	28 137	9 117	75,5%	28 038	26 265
Social contributions	7 375	(544)	-	6 831	2 805	4 026	41,1%	3 115	2 847
Goods and services	4 057 654	(5 791)	(43 000)	4 008 863	1 270 433	2 738 430	31,7%	3 743 445	3 743 440
Administrative fees	9 496	4 115	-	13 611	13 611	-	100,0%	13 763	13 763
Advertising	4 473	(2 228)	-	2 245	-	2 245	-	66	66
Minor assets	330	8 895	-	9 225	9 008	217	97,6%	9 831	9 831
Audit costs: External	6 012	3 613	-	9 625	9 625	-	100,0%	7 657	7 657
Bursaries: Employees	-	-	-	-	-	-	-	-	-
Catering: Departmental activities	6 048	8 520	-	14 568	8 952	5 616	61,4%	11 089	11 089
Communication	1 080	-	-	1 080	325	755	30,1%	232	232
Computer services	31 450	(26 421)	-	5 029	4 310	719	85,7%	4 884	4 884
Consultants: Business and advisory services	1 310 278	(999 392)	(20 000)	290 886	276 661	14 225	95,1%	260 768	260 767
Infrastructure and planning services	-	-	-	-	-	-	-	-	-
Laboratory services	-	-	-	-	-	-	-	11	11
Scientific and technological services	-	-	-	-	-	-	-	-	-
Legal services	-	232	-	232	232	-	100,0%	759	759
Contractors	1 801 485	1 067 619	-	2 869 104	690 835	2 178 269	24,1%	2 927 819	2 927 819

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 6: Community Work Programme										
	2020/21					2019/20				
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure	
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	
Agency and support / outsourced services	-	113	-	113	113	-	100,0%	99	99	
Entertainment	-	-	-	-	-	-	-	-	-	
Fleet services	12	1 070	-	1 082	1 082	-	100,0%	2 153	2 153	
Housing	-	-	-	-	-	-	-	-	-	
Inventory: Clothing material and supplies	-	-	-	-	-	-	-	-	-	
Inventory: Farming supplies	-	-	-	-	-	-	-	-	-	
Inventory: Food and food supplies	-	-	-	-	-	-	-	-	-	
Inventory: Fuel, oil and gas	-	-	-	-	-	-	-	-	-	
Inventory: Learner and teacher support material	-	-	-	-	-	-	-	-	-	
Inventory: Materials and supplies	603 882	(105 825)	-	498 057	-	498 057	-	-	-	
Inventory: Medical supplies	-	-	-	-	-	-	-	-	-	
Inventory: Medicine	-	-	-	-	-	-	-	-	-	
Medsas Inventory Interface	-	-	-	-	-	-	-	-	-	
Inventory: Other supplies	158	-	-	158	-	158	-	-	-	
Consumable supplies	154 150	32 558	-	186 708	182 238	4 470	97,6%	336 589	336 589	
Consumable: Stationery, printing and office supplies	10 974	(1 564)	-	9 410	3 233	6 177	34,4%	1 584	1 584	
Operating leases	2 216	-	-	2 216	98	2 118	4,4%	220	220	
Property payments	-	758	-	758	758	-	100,0%	1 024	1 024	
Transport provided: Departmental activity	-	-	-	-	-	-	-	-	-	
Travel and subsistence	12 881	5 635	-	18 516	12 477	6 039	67,4%	18 618	18 618	
Training and development	100 929	(4 552)	(23 000)	73 377	55 663	17 714	75,9%	144 056	144 056	
Operating payments	114	1 063	-	1 177	1 063	114	90,3%	1 014	1 014	
Venues and facilities	1 686	-	-	1 686	149	1 537	8,8%	1 147	1 147	
Rental and hiring	-	-	-	-	-	-	-	62	62	
Interest and rent on land	-	-	-	-	-	-	-	-	-	



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 6: Community Work Programme									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Interest	-	-	-	-	-	-	-	-	-
Rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	-	-	-	-	2 135 342	-2 135 342	-	160	32
Provinces and municipalities	-	-	-	-	-	-	-	3	3
Provinces	-	-	-	-	-	-	-	3	3
Provincial Revenue Funds	-	-	-	-	-	-	-	-	-
Provincial agencies and funds	-	-	-	-	-	-	-	3	3
Municipalities	-	-	-	-	-	-	-	-	-
Municipal bank accounts	-	-	-	-	-	-	-	-	-
Municipal agencies and funds	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Social security funds	-	-	-	-	-	-	-	-	-
Departmental agencies	-	-	-	-	-	-	-	-	-
Higher education institutions	-	-	-	-	-	-	-	-	-
Foreign governments and international organisations	-	-	-	-	-	-	-	-	-
Public corporations and private enterprises	-	-	-	-	-	-	-	-	-
Public corporations	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to public corporations	-	-	-	-	-	-	-	-	-
Private enterprises	-	-	-	-	-	-	-	-	-
Subsidies on products and production	-	-	-	-	-	-	-	-	-
Other transfers to private enterprises	-	-	-	-	-	-	-	-	-
Non-profit institutions	-	-	-	-	-	-	-	-	-
Households	-	-	-	-	2 135 242	-2 135 242	-	157	29
Social benefits	-	-	-	-	2 135 242	-2 135 242	-	157	29
Other transfers to households	-	-	-	-	-	-	-	-	-

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
APPROPRIATION STATEMENT
for the year ended 31 March 2021

Programme 6: Community Work Programme									
	2020/21					2019/20			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Payments for capital assets	15 000	5 788	24 883	45 671	45 671	-	100,0%	59 530	59 530
Buildings and other fixed structures	-	-	1 366	1 366	1 366	-	100,0%	4 571	4 571
Buildings	-	-	200	200	200	-	100,0%	-	-
Other fixed structures	-	-	1 166	1 166	1 166	-	100,0%	4 571	4 571
Machinery and equipment	15 000	4 519	23 517	43 036	43 036	-	100,0%	54 959	54 959
Transport equipment	-	1 805	517	2 322	2 322	-	100,0%	2 796	2 796
Other machinery and equipment	15 000	2 714	23 000	40 714	40 714	-	100,0%	52 163	52 163
Heritage assets	-	-	-	-	-	-	-	-	-
Specialised military assets	-	-	-	-	-	-	-	-	-
Biological assets	-	1 245	-	1 245	1 245	-	100,0%	-	-
Land and subsoil assets	-	-	-	-	-	-	-	-	-
Intangible assets	-	24	-	24	24	-	100,0%	-	-
Payments for financial assets	-	3	-	3	3	-	100,0%	1	1
Total	4 116 739	-	(18 117)	4 098 622	3 482 391	616 231	85,0%	3 834 292	3 832 115



1. Detail of transfers and subsidies as per Appropriation Act (after Virement):

Detail of these transactions can be viewed in the note on Transfers and subsidies, disclosure notes and Annexure I (A-H) to the Annual Financial Statements.

2. Detail of specifically and exclusively appropriated amounts voted (after Virement):

Detail of these transactions can be viewed in note I (Annual Appropriation) to the Annual Financial Statements.

3. Detail on payments for financial assets

Detail of these transactions per programme can be viewed in the note on Payments for financial assets to the Annual Financial Statements.

4. Explanations of material variances from Amounts Voted (after Virement):

4.1 Per programme

	Final Appropriation	Actual Expenditure	Variance R'000	Variance as a % of Final Appropriation
Administration	301 239	285 269	15 970	5,3%
REGIONAL AND URBAN DEVELOPMENT AND LEGISLATIVE SUPPORT	1 042 711	1 036 478	6 233	0,6%
INSTITUTIONAL DEVELOPMENT	85 911 588	83 222 419	2 689 169	3,1%
NATIONAL DISASTER MANAGEMENT CENTRE	633 952	330 818	303 134	47,8%
LOCAL GOVERNMENT SUPPORT AND INTERVENTION MANAGEMENT	14 954 675	14 948 467	6 208	0,0%
COMMUNITY WORK PROGRAMME	4 098 622	3 482 391	616 231	15,0%



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

NOTES TO THE APPROPRIATION STATEMENT

for the year ended 31 March 2021

4.2 Per economic classification

	Final Appropriation	Actual Expenditure	Variance	Variance as a % of Final Appropriation
	R'000	R'000	R'000	R'000
Current payments				
Compensation of employees	371 381	326 644	44 737	12,0%
Goods and services	4 420 324	1 477 314	2 943 118	66,6%
Interest and rent on land				
Transfers and subsidies				
Provinces and municipalities	101 603 313	98 819 283	2 784 030	2,7%
Departmental agencies and accounts	486 058	485 958	100	0,0%
Higher education institutions				
Public corporations and private enterprises				
Foreign governments and international organisations	640	330	310	48,4%
Non-profit institutions	7 611	7 512	99	1,3%
Households	794	2 136 135	(2 135 341)	-268934,6%
Payments for capital assets				
Buildings and other fixed structures	1 366	1 366	-	
Machinery and equipment	49 757	49 757	-	0,0%
Heritage assets				
Specialised military assets				
Biological assets				
Land and subsoil assets				
Intangible assets				
Payments for financial assets	274	274	-	0,0%

Payments of financial assets relate to debts that were written off the all the due processes were adhered to, and savings were identified in the budget for the financial year ended 31 March 2021

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
STATEMENT OF FINANCIAL PERFORMANCE
for the year ended 31 March 2021

	Note	2020/21 R'000	2019/20 R'000
REVENUE			
Annual appropriation	1	106 942 787	90 346 554
Statutory appropriation		-	-
Departmental revenue	2	11 861	1 577
NRF Receipts		-	-
Aid assistance		-	-
TOTAL REVENUE		106 954 648	90 348 131
EXPENDITURE			
Current expenditure			
Compensation of employees	3	326 644	321 511
Goods and services	4	1 477 315	4 037 196
Interest and rent on land		-	-
Aid assistance		-	-
Total current expenditure		1 803 959	4 358 707
Transfers and subsidies			
Transfers and subsidies	6	101 449 218	82 521 206
Aid assistance		-	-
Total transfers and subsidies		101 449 218	82 521 206
Expenditure for capital assets			
Tangible assets	7	52 367	70 301
Intangible assets	7	24	-
Total expenditure for capital assets		52 391	70 301
Unauthorised expenditure approved without funding		-	-
Payments for financial assets	5	274	135
TOTAL EXPENDITURE		103 305 842	86 950 349
SURPLUS FOR THE YEAR		3 648 806	3 397 782

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
STATEMENT OF FINANCIAL PERFORMANCE
for the year ended 31 March 2021



	Note	2020/21 R'000	2019/20 R'000
Reconciliation of Net Surplus for the year			
Voted funds		3 636 945	3 396 205
Annual appropriation		3 342 250	3 370 578
Conditional grants		294 695	25 627
Departmental revenue and NRF Receipts	13	11 861	1 577
Aid assistance		-	-
SURPLUS FOR THE YEAR		3 648 806	3 397 782

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
STATEMENT OF FINANCIAL POSITION
for the year ended 31 March 2021

	Note	2020/21 R'000	2019/20 R'000
ASSETS			
Current assets		3 673 040	3 399 671
Unauthorised expenditure	8	1 123	1 123
Cash and cash equivalents	9	3 438 278	2 996 188
Other financial assets		-	-
Prepayments and advances	10	121 306	290 872
Receivables	11	112 333	111 488
Loans		-	-
Aid assistance prepayments		-	-
Aid assistance receivable		-	-
Non-current assets		7	78
Investments		-	-
Receivables	11	7	78
Loans		-	-
Other financial assets		-	-
TOTAL ASSETS		3 673 047	3 399 749
LIABILITIES			
Current liabilities		3 673 047	3 399 749
Voted funds to be surrendered to the Revenue Fund	12	3 636 945	3 374 925
Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund	13	32 353	21 666
Bank overdraft		-	-
Payables	14	3 749	3 158
Aid assistance repayable		-	-
Aid assistance unutilised		-	-
Non-current liabilities			
Payables		-	-
TOTAL LIABILITIES		3 673 047	3 399 749
NET ASSETS		-	-



CASH FLOW STATEMENT

for the year ended 31 March 2021

	Note	2020/21 R'000	2019/20 R'000
CASH FLOWS FROM OPERATING ACTIVITIES			
Receipts		106 954 648	90 347 878
Annual appropriated funds received	1.1	106 942 787	90 346 554
Statutory appropriated funds received		-	-
Departmental revenue received	2	11 242	704
Interest received	2.2	619	620
NRF Receipts		-	-
Aid assistance received		-	-
Net (increase)/ decrease in working capital		169 312	-103 653
Surrendered to Revenue Fund		-3 376 099	-3 162 468
Surrendered to RDP Fund/Donor		-	-
Current payments		-1 803 959	-4 358 707
Interest paid		-	-
Payments for financial assets		-274	-135
Transfers and subsidies paid		-101 449 218	-82 521 206
Net cash flow available from operating activities	15	494 410	201 709
CASH FLOWS FROM INVESTING ACTIVITIES			
Distribution/dividend received		-	-
Payments for capital assets	7	-52 391	-70 301
Proceeds from sale of capital assets		-	253
(Increase)/decrease in loans		-	-
(Increase)/decrease in investments		-	-
(Increase)/decrease in other financial assets		-	-
(Increase)/decrease in non-current receivables	11	71	-50
Net cash flows from investing activities		-52 320	-70 098
CASH FLOWS FROM FINANCING ACTIVITIES			
Increase/(decrease) in net assets		-	-
Increase/(decrease) in non-current payables		-	-
Net cash flows from financing activities		-	-
Net increase/(decrease) in cash and cash equivalents		442 090	131 611
Cash and cash equivalents at beginning of period		2 996 188	2 864 577
Unrealised gains and losses within cash and cash equivalents		-	-
Cash and cash equivalents at end of period	16	3 438 278	2 996 188

PART A: ACCOUNTING POLICIES

Summary of significant accounting policies

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. Management has concluded that the financial statements present fairly the department's primary and secondary information.

The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.

Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.

1 Basis of preparation

The financial statements have been prepared in accordance with the Modified Cash Standard.

2 Going concern

The financial statements have been prepared on a going concern basis.

3 Presentation currency

Amounts have been presented in the currency of the South African Rand (R) which is also the functional currency of the department.

4 Rounding

Unless otherwise stated financial figures have been rounded to the nearest one thousand Rand (R'000).

5 Foreign currency translation

Cash flows arising from foreign currency transactions are translated into South African Rands using the spot exchange rates prevailing at the date of payment / receipt.

6 Comparative information

6.1 Prior period comparative information

Prior period comparative information has been presented in the current year's financial statements. Where necessary figures included in the prior period financial statements have been reclassified to ensure that the format in which the information is presented is consistent with the format of the current year's financial statements.

6.2 Current year comparison with budget

A comparison between the approved, final budget and actual amounts for each programme and economic classification is included in the appropriation statement.

7 Revenue

7.1 Appropriated funds

Appropriated funds comprises of departmental allocations as well as direct charges against the revenue fund (i.e. statutory appropriation).

Appropriated funds are recognised in the statement of financial performance on the date the appropriation becomes effective. Adjustments made in terms of the adjustments budget process are recognised in the statement of financial performance on the date the adjustments become effective.

The net amount of any appropriated funds due to / from the relevant revenue fund at the reporting date is recognised as a payable / receivable in the statement of financial position.

7.2 Departmental revenue

Departmental revenue is recognised in the statement of financial performance when received and is subsequently paid into the relevant revenue fund, unless stated otherwise.

Any amount owing to the relevant revenue fund at the reporting date is recognised as a payable in the statement of financial position.



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

7.3 Accrued departmental revenue

Accruals in respect of departmental revenue (excluding tax revenue) are recorded in the notes to the financial statements when:

- it is probable that the economic benefits or service potential associated with the transaction will flow to the Department; and
- the amount of revenue can be measured reliably.

The accrued revenue is measured at the fair value of the consideration receivable.

Accrued tax revenue (and related interest and / penalties) is measured at amounts receivable from collecting agents.

Write-offs are made according to the department's debt write-off Policy

8 Expenditure

8.1 Compensation of employees

8.1.1 Salaries and wages

Salaries and wages are recognised in the statement of financial performance on the date of payment.

8.1.2 Social contributions

Social contributions made by the Department in respect of current employees are recognised in the statement of financial performance on the date of payment.

Social contributions made by the Department in respect of ex-employees are classified as transfers to households in the statement of financial performance on the date of payment.

8.2 Other expenditure

Other expenditure (such as goods and services, transfers and subsidies and payments for capital assets) is recognised in the statement of financial performance on the date of payment. The expense is classified as a capital expense if the total consideration paid is more than the capitalisation threshold.

8.3 Accruals and payables not recognised

Accruals and payables not recognised are recorded in the notes to the financial statements at cost at the reporting date.

8.4 Leases

8.4.1 Operating leases

Operating lease payments made during the reporting period are recognised as current expenditure in the statement of financial performance on the date of payment. Operating lease payments received are recognised as departmental revenue.

The operating lease commitments are recorded in the notes to the financial statements.

Operating lease payments received are recognised as departmental revenue.

8.4.2 Finance leases

Finance lease payments made during the reporting period are recognised as capital expenditure in the statement of financial performance on the date of payment. Finance lease payments received are recognised as departmental revenue.

The finance lease commitments are recorded in the notes to the financial statements and are not apportioned between the capital and interest portions.

Finance lease assets acquired at the end of the lease term are recorded and measured at the lower of:

- cost, being the fair value of the asset; or
- the sum of the minimum lease payments made, including any payments made to acquire ownership at the end of the lease term, excluding interest.

Finance lease payments received are recognised as departmental revenue.

9 Aid Assistance

9.1 Aid assistance received

Aid assistance received in cash is recognised in the statement of financial performance when received. In-kind aid assistance is recorded in the notes to the financial statements on the date of receipt and is measured at fair value.

Aid assistance not spent for the intended purpose and any unutilised funds from aid assistance that are required to be refunded to the donor are recognised as a payable in the statement of financial position.



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

9.2 Aid assistance paid

Aid assistance paid is recognised in the statement of financial performance on the date of payment. Aid assistance payments made prior to the receipt of funds are recognised as a receivable in the statement of financial position.

10 Cash and cash equivalents

Cash and cash equivalents are stated at cost in the statement of financial position.

Bank overdrafts are shown separately on the face of the statement of financial position as a current liability.

For the purposes of the cash flow statement, cash and cash equivalents comprise cash on hand, deposits held, other short-term highly liquid investments and bank overdrafts.

11 Prepayments and advances

Prepayments and advances are recognised in the statement of financial position when the Department receives or disburses the cash.

Prepayments and advances are initially and subsequently measured at cost.

12 Loans and receivables

Loans and receivables are recognised in the statement of financial position at cost plus accrued interest, where interest is charged, less amounts already settled or written-off. Write-offs are made according to the department's write-off policy.

13 Investments

Investments are recognised in the statement of financial position at cost.

14 Financial assets

14.1 Financial assets (not covered elsewhere)

A financial asset is recognised initially at its cost plus transaction costs that are directly attributable to the acquisition or issue of the financial asset.

At the reporting date, a department shall measure its financial assets at cost, less amounts already settled or written-off, except for recognised loans and receivables, which are

measured at cost plus accrued interest, where interest is charged, less amounts already settled or written-off.

14.2 Impairment of financial assets

Where there is an indication of impairment of a financial asset, an estimation of the reduction in the recorded carrying value, to reflect the best estimate of the amount of the future economic benefits expected to be received from that asset, is recorded in the notes to the financial statements.

15 Payables

Payables recognised in the statement of financial position are recognised at cost.

16 Capital Assets

16.1 Immovable capital assets

Immovable assets reflected in the asset register of the Department are recorded in the notes to the financial statements at cost or fair value where the cost cannot be determined reliably. Immovable assets acquired in a non-exchange transaction are recorded at fair value at the date of acquisition. Immovable assets are subsequently carried in the asset register at cost and are not currently subject to depreciation or impairment.

Subsequent expenditure of a capital nature forms part of the cost of the existing asset when ready for use.

Additional information on immovable assets not reflected in the assets register is provided in the notes to financial statements.

16.2 Movable capital assets

Movable capital assets are initially recorded in the notes to the financial statements at cost. Movable capital assets acquired through a non-exchange transaction is measured at fair value as at the date of acquisition.

Where the cost of movable capital assets cannot be determined reliably, the movable capital assets are measured at fair value and where fair value cannot be determined; the movable assets are measured at R1.

All assets acquired prior to 1 April 2002 (or a later date as approved by the Office of Accountant-General (OAG)) may be recorded at R1.



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

Movable capital assets are subsequently carried at cost and are not subject to depreciation or impairment.

Biological assets are subsequently carried at fair value. Subsequent expenditure that is of a capital nature forms part of the cost of the existing asset when ready for use.

16.3 Intangible assets

Intangible assets are initially recorded in the notes to the financial statements at cost. Intangible assets acquired through a non-exchange transaction are measured at fair value as at the date of acquisition.

Internally generated intangible assets are recorded in the notes to the financial statements when the Department commences the development phase of the project.

Where the cost of intangible assets cannot be determined reliably, the intangible capital assets are measured at fair value and where fair value cannot be determined; the intangible assets are measured at R1.

All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1.

Intangible assets are subsequently carried at cost and are not subject to depreciation or impairment.

Subsequent expenditure of a capital nature forms part of the cost of the existing asset when ready for use.

16.4 Project Costs: Work-in-progress

Expenditure of a capital nature is initially recognised in the statement of financial performance at cost when paid.

Amounts paid towards capital projects are separated from the amounts recognised and accumulated in work-in-progress until the underlying asset is ready for use. Once ready for use, the total accumulated payments are recorded in an asset register. Subsequent payments to complete the project are added to the capital asset in the asset register.

Where the Department is not the custodian of the completed project asset, the asset is transferred to the custodian subsequent to completion.

17 Provisions and Contingents

17.1 Provisions

Provisions are recorded in the notes to the financial statements when there is a present legal or constructive obligation to forfeit economic benefits as a result of events in the past and it is probable that an outflow of resources embodying economic benefits or service potential will be required to settle the obligation and a reliable estimate of the obligation can be made. The provision is measured as the best estimate of the funds required to settle the present obligation at the reporting date.

17.2 Contingent liabilities

Contingent liabilities are recorded in the notes to the financial statements when there is a possible obligation that arises from past events, and whose existence will be confirmed only by the occurrence or non-occurrence of one or more uncertain future events not within the control of the Department or when there is a present obligation that is not recognised because it is not probable that an outflow of resources will be required to settle the obligation or the amount of the obligation cannot be measured reliably.

17.3 Contingent assets

Contingent assets are recorded in the notes to the financial statements when a possible asset arises from past events, and whose existence will be confirmed by the occurrence or non-occurrence of one or more uncertain future events not within the control of the Department.

17.4 Capital commitments

Capital commitments are recorded at cost in the notes to the financial statements.

18 Unauthorised expenditure

Unauthorised expenditure is recognised in the statement of financial position until such time as the expenditure is either:

- approved by Parliament or the Provincial Legislature with funding and the related funds are received; or
- approved by Parliament or the Provincial Legislature without funding and is written off against the appropriation in the statement of financial performance; or



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

- transferred to receivables for recovery.

Unauthorised expenditure is measured at the amount of the confirmed unauthorised expenditure.

19 Fruitless and wasteful expenditure

Fruitless and wasteful expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the total value of the fruitless and or wasteful expenditure incurred.

Fruitless and wasteful expenditure is removed from the notes to the financial statements when it is resolved or transferred to receivables or written off.

Fruitless and wasteful expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.

20 Irregular expenditure

Irregular expenditure is recorded in the notes to the financial statements when confirmed after its assessment. The amount recorded is equal to the value of the irregular expenditure incurred unless it is impracticable to determine, in which case reasons therefor are provided in the note.

Irregular expenditure is reduced from the note when it is either condoned by the relevant authority, transferred to receivables for recovery, not condoned and removed or written-off.

Irregular expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.

21 Changes in accounting estimates and errors

Changes in accounting estimates are applied prospectively in accordance with MCS requirements.

Correction of errors is applied retrospectively in the period in which the error has occurred in accordance with MCS requirements, except to the extent that it is impracticable to determine the period-specific effects or the cumulative effect of the error. In such cases the Department shall restate the opening balances of assets, liabilities and net assets for the earliest period for which retrospective restatement is practicable.

22 Events after the reporting date

Events after the reporting date that are classified as adjusting events have been accounted for in the financial statements. The events after the reporting date that are classified as non-adjusting events after the reporting date have been disclosed in the notes to the financial statements.

23 Principal-Agent arrangements

The Department is party to a principal-agent arrangement for [include details here]. In terms of the arrangement the Department is the [principal / agent] and is responsible for [include details here]. All related revenues, expenditures, assets and liabilities have been recognised or recorded in terms of the relevant policies listed herein. Additional disclosures have been provided in the notes to the financial statements where appropriate.

24 Departures from the MCS requirements

[Insert information on the following: that management has concluded that the financial statements present fairly the Department's primary and secondary information; that the department complied with the Standard except that it has departed from a particular requirement to achieve fair presentation; and the requirement from which the Department has departed, the nature of the departure and the reason for departure.]

25 Capitalisation reserve

The capitalisation reserve comprises of financial assets and/or liabilities originating in a prior reporting period but which are recognised in the statement of financial position for the first time in the current reporting period. Amounts are recognised in the capitalisation reserves when identified in the current period and are transferred to the National/Provincial Revenue Fund when the underlying asset is disposed and the related funds are received.

26 Recoverable revenue

Amounts are recognised as recoverable revenue when a payment made in a previous financial year becomes recoverable from a debtor in the current financial year. Amounts are either transferred to the National/Provincial Revenue Fund when recovered or are transferred to the statement of financial performance when written-off.



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

27 Related party transactions

Related party transactions within the Minister/MEC's portfolio are recorded in the notes to the financial statements when the transaction is not at arm's length.

The number of individuals and the full compensation of key management personnel is recorded in the notes to the financial statements.

28 Inventories

At the date of acquisition, inventories are recognised at cost in the statement of financial performance.

Where inventories are acquired as part of a non-exchange transaction, the inventories are measured at fair value as at the date of acquisition.

Inventories are subsequently measured at the lower of cost and net realisable value or where intended for distribution (or consumed in the production of goods for distribution) at no or a nominal charge, the lower of cost and current replacement value.

The cost of inventories is assigned by using the weighted average cost basis.

29 Public-Private Partnerships

Public Private Partnerships are accounted for based on the nature and or the substance of the partnership. The transaction is accounted for in accordance with the relevant accounting policies.

A summary of the significant terms of the PPP agreement, the parties to the agreement, and the date of commencement thereof together with the description and nature of the concession fees received, the unitary fees paid, rights and obligations of the Department are recorded in the notes to the financial statements.

30 Employee benefits

The value of each major class of employee benefit obligation (accruals, payables not recognised and provisions) is disclosed in the Employee benefits note.

31 Transfers of functions

Transfers of functions are accounted for by the acquirer by recognising or recording assets acquired and liabilities assumed at their carrying amounts at the date of transfer.

Transfers of functions are accounted for by the transferor by derecognising or removing assets and liabilities at their carrying amounts at the date of transfer.

32 Mergers

Mergers are accounted for by the combined department by recognising or recording assets acquired and liabilities assumed at their carrying amounts at the date of the merger.

Mergers are accounted for by the combining departments by derecognising or removing assets and liabilities at their carrying amounts at the date of the merger.

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

I. Annual Appropriation

I.1 Annual Appropriation

Included are funds appropriated in terms of the Appropriation Act (and the Adjustments Appropriation Act) for National Departments (Voted funds) and Provincial Departments:

	2020/21			2019/20		
	Final Appropriation	Actual Funds Received	Funds not requested/not received	Final Appropriation	Appropriation received	Funds not requested /not received
	R'000	R'000	R'000	R'000	R'000	
ADMINISTRATION	301 239	301 239	-	304 049	304 049	-
REGIONAL AND URBAN DEVELOPMENT AND LEGISLATIVE SUPPORT	1 042 711	1 042 711	-	966 193	966 193	-
INSTITUTIONAL DEVELOPMENT	85 911 588	85 911 588	-	69 351 690	69 351 690	-
NATIONAL DISASTER MANAGEMENT CENTRE	633 952	633 952	-	647 880	647 880	-
LOCAL GOVERNMENT SUPPORT AND INTERVENTION MANAGEMENT	14 954 675	14 954 675	-	15 242 454	15 242 454	-
COMMUNITY WORK PROGRAMME	4 098 622	4 098 622	-	3 834 288	3 834 288	-
Total	106 942 787	106 942 787	-	90 346 554	90 346 554	-

The funds for the 2020/21 financial year were requested according to the cash flow projections

I.2 Conditional grants

	Note	2020/21 R'000	2019/20 R'000
Total grants received	47	16 039 636	16 358 045
Provincial grants included in Total Grants received		138 489	466 392

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
NOTES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2021



2. Departmental revenue

	Note	2020/21 R'000	2019/20 R'000
Tax revenue			
Sales of goods and services other than capital assets	2.1	207	210
Interest, dividends and rent on land	2.3	619	620
Sales of capital assets	2.4	-	253
Transactions in financial assets and liabilities	2.5	11 035	494
Transfer received	2.6	-	-
Total revenue collected		11 861	1 577
Less: Own revenue included in appropriation	1.9	-	-
Departmental revenue collected		11 861	1 577

Revenue includes a substantial amount for the recoverable revenue representing amounts previously expensed in prior years and the rest from the sales of wastepaper, commission on insurance and interest on bank accounts

2.1 Sales of goods and services other than capital assets

	Note	2020/21 R'000	2019/20 R'000
Sales of goods and services produced by the Department	2	207	210
Sales by market establishment		207	210
Administrative fees		-	-
Other sales		-	-
Sales of scrap, waste and other used current goods		-	-
Total		207	210

This includes revenue from parking

2.2 Interest, dividends and rent on land

	Note	2020/21 R'000	2019/20 R'000
Interest	2	619	620
Dividends		-	-
Rent on land		-	-
Total		619	620

2.3 Sale of capital assets

	Note	2020/21 R'000	2019/20 R'000
Tangible assets		-	253
Buildings and other fixed structures	4.1	-	-
Machinery and equipment	3.9	-	253
Heritage assets	3.9, 4.1	-	-
Specialised military assets	3.9	-	-
Land and subsoil assets	4.1	-	-
Biological assets	3.9	-	-

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	Note	2020/21 R'000	2019/20 R'000
	2		
Intangible assets		-	-
Software	40	-	-
Mastheads and publishing titles	40	-	-
Patents, licences, copyright, brand names, trademarks	40	-	-
Recipes, formulae, prototypes, designs, models	40	-	-
Services and operating rights	40	-	-
Total		-	253

2.4 Transactions in financial assets and liabilities

	Note	2020/21 R'000	2019/20 R'000
	2		
Loans and advances		-	-
Receivables		-	-
Forex gain		-	-
Stale cheques written back		-	-
Other Receipts including Recoverable Revenue		11 035	494
Gains on GFECRA		-	-
Total		11 035	494

The amount includes the revenue recoverable from previous expenditure

2.5 Donations received in kind (not included in the main note)

	Note	2020/21 R'000	2019/20 R'000
Masks and Sanitizers		467	-
Municipal Finances & Planning Study Tour, GERMANY		-	120
BIGM Project on Inclusive Green Municipalities, CANADA.		-	55
Commonwealth Local Government Forum (CLGF)		-	43
Local Government Short Programme, FRANCE.		-	226
Sendai Framework Monitor Peer Review Learning Workshop on Data Collection for reporting and policy making, KENYA.		-	29
EU-LA Mining Programme International Study, NETHERLANDS.		-	22
Working Session of the Core Development team to develop two DM guidelines, Centurion		-	5
Water Governance and Service Delivery Learning Journey", Kenya		-	28
Development of a Comprehensive Municipal Powers & Functions Database for the Eastern Cape & Mpumalanga Provinces		-	1 300
Expert Group meeting on the UN system wide Guidelines on Safer Cities and Human Settlements: The Guadalajara "Cal and Orderly Implementation Model", Mexico		-	30
Learning Journey on Water Governance		-	29
Printing of the National LED framework publication		-	66
Development and Piloting of Municipal Guidelines for Regional Economic Development Planning		-	90

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	Note	2020/21 R'000	2019/20 R'000
Completion of a focused Bojanala District Municipality Regional Implementation Plan. 2. Updating of the Guidelines based on lessons from the Bojanala Plan		-	180
Total		467	2 223

3. Compensation of employees

3.1 Salaries and Wages

	Note	2020/21 R'000	2019/20 R'000
Basic salary		220 915	215 489
Performance award		28	1 921
Service Based		154	206
Compensative/circumstantial		5 966	6 999
Other non-pensionable allowances		65 103	63 156
Total		292 166	287 771

Performance rewards lower as performance bonuses were not paid by 31 March 2021

3.2 Social contributions

	Note	2020/21 R'000	2019/20 R'000
Employer contributions			
Pension		26 501	26 616
Medical		7 930	7 080
Bargaining council		47	44
Total		34 478	33 740
Total compensation of employees		326 644	321 511
Average number of employees		464	455

4. Goods and services

	Note	2020/21 R'000	2019/20 R'000
Administrative fees		14 342	17 023
Advertising		12 028	1 966
Minor assets	4.1	9 314	10 351
Bursaries (employees)		1 554	1 102
Catering		10 869	14 891
Communication		5 509	3 400
Computer services	4.2	27 928	29 321
Consultants: Business and advisory services		321 506	372 365
Laboratory services		-	11
Legal services		3 671	5 689
Contractors		696 638	2 931 682

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	Note	2020/21 R'000	2019/20 R'000
Agency and support / outsourced services		113	100
Audit cost – external	4.3	22 887	17 891
Fleet services		5 242	7 238
Consumables	4.4	189 109	343 119
Housing		-	-
Operating leases		46 468	45 185
Property payments	4.5	23 492	25 334
Rental and hiring		-	89
Travel and subsistence	4.6	24 125	55 083
Venues and facilities		522	2 366
Training and development		58 413	149 029
Other operating expenditure	4.7	3 585	3 961
Total		1 477 315	4 037 196

4.1 Minor assets

	Note	2020/21 R'000	2019/20 R'000
Tangible assets	4	9 299	10 283
Buildings and other fixed structures		-	-
Biological assets		2 408	871
Heritage assets		-	-
Machinery and equipment		6 891	9 226
Transport assets		-	186
Specialised military assets		-	-
Intangible assets		15	68
Software		15	68
Total		9 314	10 351

4.2 Computer services

	Note	2020/21 R'000	2019/20 R'000
SITA computer services	4	15 254	13 351
External computer service providers		12 674	15 970
Total		27 928	29 321

4.3 Audit cost – External

	Note	2020/21 R'000	2019/20 R'000
Regularity audits	4	13 794	17 891
Performance audits		507	-
Investigations		8 586	-
Total		22 887	17 891

Investigation audits includes investigative audit done by external auditors other than Auditor General.

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4.4 Consumables

	Note 4	2020/21 R'000	2019/20 R'000
Consumable supplies		183 553	337 753
Uniform and clothing		54 565	247 000
Household supplies		27 409	14 861
Building material and supplies		23 110	-
Communication accessories		60	-
IT consumables		109	76
Other consumables		78 300	75 816
Stationery, printing and office supplies		5 556	5 366
Total		189 109	343 119

4.5 Property payments

	Note 4	2020/21 R'000	2019/20 R'000
Municipal services		11 941	13 726
Other		11 551	11 608
Total		23 492	25 334

4.6 Travel and subsistence

	Note 4	2020/21 R'000	2019/20 R'000
Local		23 515	51 004
Foreign		610	4 079
Total		24 125	55 083

Travel and subsistence reduced due to travel restrictions as a result the lock down

4.7 Other operating expenditure

	Note 4	2020/21 R'000	2019/20 R'000
Professional bodies, membership and subscription fees		1 547	1 535
Resettlement costs		-	27
Other		2 038	2 399
Total		3 585	3 961

5. Payments for financial assets

	Note	2020/21 R'000	2019/20 R'000
Material losses through criminal conduct		-	-
Theft		-	-
Other material losses		-	-
Other material losses written off	5.1	20	39
Debts written off	5.1	254	96
Total		274	135

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5.1 Other material losses written off

	Note	2020/21 R'000	2019/20 R'000
Nature of losses	5		
(Group major categories, but list material items)			
Air Tickets Cancellations		-	39
Car Damages		20	-
Total		20	39

Expenditure for the for hired car damages

Other debt written off			
Staff Debts Written off		254	96
Total		254	96
Total debt written off		254	96

6. Transfers and subsidies

	Note	2020/21 R'000	2019/20 R'000
Provinces and municipalities	48, 49	98 819 283	81 899 899
Departmental agencies and accounts	Annexure 1B	485 958	610 474
Foreign governments and international organisations	Annexure 1E	330	314
Non-profit institutions	Annexure 1F	7 512	7 864
Households	Annexure 1G	2 136 135	2 655
Total		101 449 218	82 521 206

Transfers to Municipalities mostly relate to Municipal infrastructure and Equitable share Grants

7. Expenditure for capital assets

	Note	2020/21 R'000	2019/20 R'000
Tangible assets		52 367	70 301
Buildings and other fixed structures	27	1 366	4 571
Heritage assets		-	-
Machinery and equipment	39	49 756	65 730
Specialised military assets	39	-	-
Land and subsoil assets	41	-	-
Biological assets	39	1 245	-
Intangible assets		24	-

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	Note	2020/21 R'000	2019/20 R'000
Software	40	24	-
Mastheads and publishing titles	40	-	-
Patents, licences, copyright, brand names, trademarks	40	-	-
Recipes, formulae, prototypes, designs, models	40	-	-
Services and operating rights	40	-	-
Total		52 391	70 301

7.1 Analysis of funds utilised to acquire capital assets – 2020/21

	Voted funds R'000	Aid assistance R'000	Total R'000
Tangible assets	52 367	-	52 367
Buildings and other fixed structures	1 366	-	1 366
Heritage assets	-	-	-
Machinery and equipment	49 756	-	49 756
Specialised military assets	-	-	-
Land and subsoil assets	-	-	-
Biological assets	1 245	-	1 245
Intangible assets	24	-	24
Software	24	-	24
Mastheads and publishing titles	-	-	-
Patents, licences, copyright, brand names, trademarks	-	-	-
Recipes, formulae, prototypes, designs, models	-	-	-
Services and operating rights	-	-	-
Total	52 391	-	52 391

7.2 Analysis of funds utilised to acquire capital assets – 2019/20

	Voted funds R'000	Aid assistance R'000	Total R'000
Tangible assets	70 301	-	70 301
Buildings and other fixed structures	4 571	-	4 571
Heritage assets	-	-	-
Machinery and equipment	65 730	-	65 730
Specialised military assets	-	-	-
Land and subsoil assets	-	-	-
Biological assets	-	-	-
Intangible assets	-	-	-
Software	-	-	-
Mastheads and publishing titles	-	-	-
Patents, licences, copyright, brand names, trademarks	-	-	-
Recipes, formulae, prototypes, designs, models	-	-	-
Services and operating rights	-	-	-
Total	70 301	-	70 301

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7.3 Finance lease expenditure included in Expenditure for capital assets

Note	2020/21 R'000	2019/20 R'000
Tangible assets		
Buildings and other fixed structures	-	-
Heritage assets	-	-
Machinery and equipment	1 933	2 686
Specialised military assets	-	-
Land and subsoil assets	-	-
Biological assets	-	-
Total	1 933	2 686

Include discussion here where deemed relevant

8. Unauthorised expenditure

8.1 Reconciliation of unauthorised expenditure

Note	2020/21 R'000	2019/20 R'000
Opening balance	1 123	1 123
Prior period error		
As restated	1 123	1 123
Unauthorised expenditure – discovered in current year (as restated)	-	-
Less: Amounts approved by Parliament/Legislature with funding	-	-
Less: Amounts approved by Parliament/Legislature without funding and derecognised	-	-
Capital	-	-
Current	-	-
Transfers and subsidies	-	-
Less: Amounts recoverable	-	-
Less: Amounts written off	-	-
Closing balance	1 123	1 123
Analysis of closing balance		
Unauthorised expenditure awaiting authorisation	1 123	1 123
Unauthorised expenditure approved without funding and not derecognised	-	-
Total	1 123	1 123

Unauthorised expenditure amounting to R1,123 million relates to prior years and it is not yet resolved. The matter has been referred to National Treasury and Parliament. The Department is still making follow ups with the National Treasury



8.2 Analysis of unauthorised expenditure awaiting authorisation per economic classification

	2020/21 R'000	2019/20 R'000
Capital	1 123	1 123
Current	-	-
Transfers and subsidies	-	-
Total	1 123	1 123

8.3 Analysis of unauthorised expenditure awaiting authorisation per type

	2020/21 R'000	2019/20 R'000
Unauthorised expenditure relating to overspending of the vote or a main division within a vote	1 123	1 123
Unauthorised expenditure incurred not in accordance with the purpose of the vote or main division	-	-
Total	1 123	1 123

9. Cash and cash equivalents

	Note	2020/21 R'000	2019/20 R'000
Consolidated Paymaster General Account		3 438 246	2 996 157
Cash on hand		32	31
Total		3 438 278	2 996 188

An amount of R61 million is held in a separate Standard bank account. The amount is solely for the payment of CWP participants which did not disburse of at 31 March 2021. The amount will be cleared in the subsequent months.

10. Prepayments and advances

	Note	2020/21 R'000	2019/20 R'000
Staff advances		5	-
Travel and subsistence		77	57
Prepayments (Not expensed)	10.2	61 829	237 985
Advances paid (Not expensed)	10.1	59 395	52 830
SOCPEN advances		-	-
Total		121 306	290 872

Portion of prepayments and advances relates to advance payments that were made to the CWP Implementing Agents and they will be cleared upon receipt and confirmation of proof of expenditure.

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10.1 Advances paid (Not expensed)

Note	Balance as at 1 April 2020	Less: Amount expensed in current year	Add or Less: Other	Add: Current Year advances	Balance as at 31 March 2021
10	R'000	R'000	R'000	R'000	R'000
National departments	1 087	-10 621	-	29 970	20 436
Provincial departments	-	-	-	-	-
Public entities	51 743	-22 784	-	10 000	38 959
Other entities	-	-	-	-	-
Total	52 830	-33 405	-	39 970	59 395

Note	Balance as at 1 April 2019	Less: Amount expensed in current year	Add or Less: Other	Add: Current Year advances	Balance as at 31 March 2020
10	R'000	R'000	R'000	R'000	R'000
National departments	84	-710	-	1 713	1 087
Provincial departments	-	-	-	-	-
Public entities	5 927	-14 184	-	60 000	51 743
Other entities	-	-	-	-	-
Total	6 011	-14 894	-	61 713	52 830

10.2 Prepayments (Not expensed)

Note	Balance as at 1 April 2020	Less: Amount expensed in current year	Add or Less: Other	Add: Current Year prepayments	Balance as at 31 March 2021
10	R'000	R'000	R'000	R'000	R'000
Goods and services	237 985	-616 680	-	440 524	61 829
Interest and rent on land	-	-	-	-	-
Transfers and subsidies	-	-	-	-	-
Capital assets	-	-	-	-	-
Other	-	-	-	-	-
Total	237 985	-616 680	-	440 524	61 829

Prepayments relates to payments that were made to the CWP Implementing Agents and they will be cleared upon receipt and confirmation of proof of expenditure.

Note	Balance as at 1 April 2019	Less: Amount expensed in current year	Add or Less: Other	Add: Current Year prepayments	Balance as at 31 March 2020
10	R'000	R'000	R'000	R'000	R'000
Goods and services	182 688	-855 154	-	910 451	237 985
Interest and rent on land	-	-	-	-	-
Transfers and subsidies	-	-	-	-	-
Capital assets	-	-	-	-	-
Other	-	-	-	-	-
Total	182 688	-855 154	-	910 451	237 985



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11. Receivables

	Note	2020/21			2019/20		
		Current	Non-current	Total	Current	Non-current	Total
		R'000	R'000	R'000	R'000	R'000	R'000
Claims recoverable	11.1	3 434	-	3 434	3 002	-	3 002
Trade receivables	11.2	-	-	-	-	-	-
Recoverable expenditure	11.3	2 769	-	2 769	2 179	-	2 179
Staff debt	11.4	735	7	742	920	-	920
Fruitless and wasteful expenditure	11.6	-	-	-	-	-	-
Other receivables	11.5	105 395	-	105 395	105 387	78	105 465
Total		112 333	7	112 340	111 488	78	111 566

11.1 Claims recoverable

	Note	2020/21	2019/20
	11 and Annex 4	R'000	R'000
National departments		2 761	2 670
Provincial departments		383	42
Foreign governments		-	-
Public entities		290	290
Total		3 434	3 002

11.2 Recoverable expenditure (disallowance accounts)

	Note	2020/21	2019/20
	11	R'000	R'000
Disallowance: Damages and Losses		2 769	2 166
Sal:Reversal		-	-
Sal:Tax Debt		-	13
Total		2 769	2 179

Larger portion of Disallowance: Damages and Losses relates to car accidents that are still under investigation to determine liability

11.3 Staff debt

	Note	2020/21	2019/20
	11	R'000	R'000
Debt Account		742	920
Sal:GEHS Refund Control Account		-	-
Total		742	920

Staff debts include bursaries, cell-phone debts, salary tax debts from previous years

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11.4 Other receivables

	Note	2020/21 R'000	2019/20 R'000
Vat Input Account	11	2 399	2 399
Sal: Medical Aid		5	5
Sal: Pension Fund		-	38
Sal: Income Tax		-	32
Wrongful Payment		102 991	102 991
Total		105 395	105 465

Other receivables relate to wrongful payment made to the Sekhukhune Cooperative. The Department is currently instituting processes to recover the money.

11.5 Impairment of receivables

	Note	2020/21 R'000	2019/20 R'000
Estimate of impairment of receivables		6 713	6 278
Total		6 713	6 278

Included in the impairment of receivables are Debtors that are older than three years

12. Voted funds to be surrendered to the Revenue Fund

	Note	2020/21 R'000	2019/20 R'000
Opening balance		3 374 925	3 097 347
Prior period error	12.2	-	-
As restated		3 374 925	3 097 347
Transfer from statement of financial performance (as restated)		3 636 945	3 396 205
Add: Unauthorised expenditure for current year	12	-	-
Voted funds not requested/not received	1.1	-	-
Transferred to retained revenue to defray excess expenditure (PARLIAMENT/LEGISLATURES ONLY)	1.1	-	-
Paid during the year		-3 374 925	-3 118 627
Closing balance		3 636 945	3 374 925

13. Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund

	Note	2020/21 R'000	2019/20 R'000
Opening balance		21 666	63 930
Prior period error	13.1	-	-
As restated		21 666	63 930
Transfer from Statement of Financial Performance (as restated)		11 861	1 577
Paid during the year		-1 174	-43 841
Closing balance		32 353	21 666



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14. Payables – current

	Note	2020/21 R'000	2019/20 R'000
Amounts owing to other entities		-	-
Clearing accounts	14.2	3 281	2 504
Other payables	14.3	468	654
Total		3 749	3 158

14.1 Clearing accounts

	Note	2020/21 R'000	2019/20 R'000
Sal:GEHS Refund Control Account	14	80	15
Sal: Income Tax		242	-
Disallowance Miscellaneous		2 850	2 472
Sal:Reversal		11	17
Sal: Pension Fund		98	-
Total		3 281	2 504

14.2 Other payables

	Note	2020/21 R'000	2019/20 R'000
Debt Receivable Interest	14	30	26
Debt Receivable Income		438	628
Description (Identify major categories, but list material amounts)			
Total		468	654

15. Net cash flow available from operating activities

	Note	2020/21 R'000	2019/20 R'000
Net surplus/(deficit) as per Statement of Financial Performance		3 648 806	3 397 782
Add back non cash/cash movements not deemed operating activities		-3 154 396	-3 196 073
(Increase)/decrease in receivables		-845	587
(Increase)/decrease in prepayments and advances		169 566	-102 152
(Increase)/decrease in other current assets		-	-
Increase/(decrease) in payables – current		591	-2 088
Proceeds from sale of capital assets		-	-253
Proceeds from sale of investments		-	-
(Increase)/decrease in other financial assets		-	-
Expenditure on capital assets		52 391	70 301
Surrenders to Revenue Fund		-3 376 099	-3 162 468
Surrenders to RDP Fund/Donor		-	-
Voted funds not requested/not received		-	-
Own revenue included in appropriation		-	-
Other non-cash items		-	-
Net cash flow generated by operating activities		494 410	201 709

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16. Reconciliation of cash and cash equivalents for cash flow purposes

	Note	2020/21 R'000	2019/20 R'000
Consolidated Paymaster General account		3 438 246	2 996 157
Cash on hand		32	31
Total		3 438 278	2 996 188

An amount of R61 million is held in a separate Standard bank account. The amount is solely for the payment of CWP participants which did not disburse of at 31 March 2021. The amount will be cleared in the subsequent months.

17. Contingent liabilities and contingent assets

17.1 Contingent liabilities

		Note	2020/21 R'000	2019/20 R'000
Liable to	Nature			
Motor vehicle guarantees	Employees	Annex 3A	-	-
Housing loan guarantees	Employees	Annex 3A	-	-
Other guarantees		Annex 3A	-	-
Claims against the Department		Annex 3B	2 598	2 357
Intergovernmental payables (unconfirmed balances)		Annex 5	122	67
Environmental rehabilitation liability		Annex 3B	-	-
Other		Annex 3B	-	-
Total			2 720	2 424

Seriti Institute NPC issued summons to the Department for the payment of retention fee amounting R2,352,926.15 plus interest of 10.25%.

18. Capital commitments

	Note	2020/21 R'000	2019/20 R'000
Computer Equipment		-	23
Furniture and Office Equipment		-	14
Other Machinery and Equipment		-	53
Total		-	90

The Department had no commitments of a capital in nature at year end



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19. Accruals and payables not recognised

19.1 Accruals

			2020/21 R'000	2019/20 R'000
Listed by economic classification				
	30 Days	30+ Days	Total	Total
Goods and services	7 111	244 585	251 696	41 688
Interest and rent on land	-	-	-	-
Transfers and subsidies	-	-	-	-
Capital assets	-	-	-	-
Other	-	-	-	-
Total	7 111	244 585	251 696	41 688
			Note	
Listed by programme level			2020/21 R'000	2019/20 R'000
Programme 1: Administration			4 439	2 460
Programme 2: Regional and Urban Development			63	603
Programme 3: Institutional Development			2 416	387
Programme 4: National Disaster Management Centre			2	1 141
Programme 5: Local Government Support and Intervention			154	678
Programme 6: Community Work Programme			244 622	36 419
Total			251 696	41 688

Larger portion of accruals relates to Participants' wages that was not yet paid as at year end

19.2 Payables not recognised

			2020/21 R'000	2019/20 R'000
Listed by economic classification				
	30 Days	30+ Days	Total	Total
Goods and services	59 334	516	59 850	10 065
Interest and rent on land	-	-	-	-
Transfers and subsidies	-	-	-	-
Capital assets	-	-	-	-
Other	-	-	-	-
Total	59 334	516	59 850	10 065
			Note	
Listed by programme level			2020/21 R'000	2019/20 R'000
Programme 1: Administration			6 319	3 375
Programme 2: Regional and Urban Development			8	4

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	2020/21 R'000	2019/20 R'000
Programme 3: Institutional Development	6	6 479
Programme 4: National Disaster Management Centre	53 032	189
Programme 5: Local Government Support and Intervention	37	5
Programme 6: Community Work Programme	448	13
Total	59 850	10 065

20. Employee benefits

	Note	2020/21 R'000	2019/20 R'000
Leave entitlement		20 451	13 718
Service bonus		7 432	7 645
Performance awards		1 762	2 448
Capped leave		5 399	6 447
Other		349	149
Total		35 393	30 407

Others relate to long service awards, and it amounts to R349 000. included in the leave entitlement balance is a negative leave amount of R70 000 as a result of leave days used before they were accrued.

21. Lease commitments

22.1 Operating leases

2020/21	Specialised military equipment R'000	Land R'000	Buildings and other fixed structures R'000	Machinery and equipment R'000	Total R'000
Not later than 1 year	-	-	19 488	1 119	20 607
Later than 1 year and not later than 5 years	-	-	2 863	-	2 863
Later than five years	-	-	-	-	-
Total lease commitments	-	-	22 351	1 119	23 470

2019/20	Specialised military equipment R'000	Land R'000	Buildings and other fixed structures R'000	Machinery and equipment R'000	Total R'000
Not later than 1 year	-	-	18 007	2 165	20 172
Later than 1 year and not later than 5 years	-	-	19 879	1 119	20 998
Later than five years	-	-	-	-	-
Total lease commitments	-	-	37 886	3 284	41 170

Departmental Operating leases relates to rental of Office Building and Parking Space. The Operating lease contract for Office Buildings has expired and is now on a month-to-month basis



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2020/21	Specialised military equipment R'000	Land R'000	Buildings and other fixed structures R'000	Machinery and equipment R'000	Total R'000
Not later than 1 year				1 074	1 074
Later than 1 year and not later than 5 years				467	467
Later than five years					-
Total lease commitments	-	-	-	1 541	1 541

2019/20	Specialised military equipment R'000	Land R'000	Buildings and other fixed structures R'000	Machinery and equipment R'000	Total R'000
Not later than 1 year	-	-	-	1 557	1 557
Later than 1 year and not later than 5 years	-	-	-	437	437
Later than five years	-	-	-	-	-
Total lease commitments	-	-	-	1 994	1 994

Finance Leases relates to rented photocopier machines and Contract Pool Phones

22. Irregular expenditure

22.1 Reconciliation of irregular expenditure

Note	2020/21 R'000	2019/20 R'000
Opening balance	2 681 525	1 318 241
Prior period error		480 475
As restated	2 681 525	1 798 716
Add: Irregular expenditure – relating to prior year		-
Add: Irregular expenditure – relating to current year	673 621	882 809
Less: Prior year amounts condoned	-	-
Less: Current year amounts condoned	-	-
Less: Prior year amounts not condoned and removed	-	-
Less: Current year amounts not condoned and removed	-	-
Less: Amounts recoverable (current and prior year)	-	-
Less: Amounts written off	-	-
Closing balance	3 355 146	2 681 525
Analysis of closing balance		
Current year	673 621	882 809
Prior years	2 681 525	1 798 716
Total	3 355 146	2 681 525

Larger Portion of the Irregular Expenditure relates to the expenditure incurred due to the irregular appointment of some of the CWP NPOS

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22.2 Details of current and prior year irregular expenditure – added current year (under determination and investigation)

Incident	Disciplinary steps taken/criminal proceedings	2020/21 R'000
Payments made on expired contracts	under Investigation	6 363
SCM processes not followed	under Investigation	53
CWP Procurement	under Investigation	405 744
CWP project Management Fee	under Investigation	261 461
Total		673 621

The Irregular Expenditure relates payments made on expired office lease contract for NDMC and the CWP contracts for NGOs that were rendered invalid by the High Court

22.3 Prior period error

	Note	2019/20 R'000
Nature of prior period error		
Relating to 2019/20 <i>[affecting the opening balance]</i>		480 475
The prior period error was due to the high court judgement that rendered the CWP contracts to be invalid		480 475
Relating to 2019/20		870 816
		870 816
Total prior period errors		1 351 291

The appointment CWP NPOs were regarded irregular

23. Fruitless and wasteful expenditure

23.1 Reconciliation of fruitless and wasteful expenditure

	Note	2020/21 R'000	2019/20 R'000
Opening balance		9 635	2 167
Prior period error		-	-
As restated		9 635	2 167
Fruitless and wasteful expenditure – relating to prior year		-	-
Fruitless and wasteful expenditure – relating to current year		5 092	7 537
Less: Amounts recoverable		(15)	(9)
Less: Amounts written off		(44)	(60)
Closing balance		14 668	9 635



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23.2 Details of current and prior year fruitless and wasteful expenditure – added current year (under determination and investigation)

Incident	Disciplinary steps taken/criminal proceedings	2020/21 R'000
No show on a booked accommodation	Under determination process	10
Interest charged on late payment	Under determination process	16
Traffic Infringement	Under recovery process	14
Late Check out for an accommodation booking	Written off	1
Deceased and Participants with PERSAL numbers	Under Determination	5 051
Total		5 092

23.3 Details of fruitless and wasteful expenditure recoverable

Incident	2020/21 R'000
No show on booked accommodation	1
Traffic fine infringement	14
Total	15

23.4 Details of fruitless and wasteful expenditure written off

Incident	2020/21 R'000
No show on booked accommodation	2
Interest on overdue Account	2
Airline cancellation fees	40
Total	44

23.5 Prior period error

	Note	2020/21 R'000
Nature of prior period error		
Relating to 2019/20		69
Approved Recoveries		9
Approved for write off		60
Total		69

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24. Related party transactions

Entity	Mandate	Relationship
CRL Rights Commission	The Commission is a Chapter 9 Constitutional institution. Promote and protect cultural, religious and linguistic rights. The Commission focuses on conflict resolution, research, advocacy and community engagement on conflicts pertaining cultural, religious and linguistic rights within communities.	The Commission is an Entity of the Department and receives its funding through a transfer payment.
Department of Traditional Affairs	Develop and monitor the implementation of national policy and legislation seeking to transform and strengthen key institutions and mechanism of governance to fulfil their developmental role.	The relationship is that we report to one Minister. Due to inadequate funding and limited human capacity, DCoG performs some of the corporate functions through a signed MoU by the two Accounting Officers.
Municipal Infrastructure Support Agency	Provides immediate support to the Municipalities that are struggling with infrastructure delivery by facilitating the deployment of engineers, scientists and technicians to Municipalities and oversees them.	There were no related party transactions with MISA, however, it suffices to state that we share a Minister.
Municipal Demarcation Board	Is responsible for determining municipal boundaries, declare district management areas, delimit wards for local elections and assess the capacity of municipalities to perform their functions.	The MDB is an Entity of the Department and receives its funding through a transfer payment.
SALGA (South African Local Government Association)	Transforms local government to enable it to fulfil its developmental mandate. SALGA essentially protects and represents the interest of local government and acts as an employer body for its municipal members.	SALGA is an Entity of the Department and receives its funding through a transfer payment.

The DCoG incurred cost on behalf of the DTA for shared services in terms of the Memorandum of Understanding. The nature of the transactions resulted in difficulties in reliably determining the value of the costs paid by DCoG on behalf of the DTA due to the operational structure and functions between the two Departments. The shared services include the following areas:

- Risk Management
- Communication and Marketing Services
- ICT services
- Legal services
- Security management
- Facilities Management
- Labour relations

25. Key management personnel

	No. of Individuals	2020/21 R'000	2019/20 R'000
Political office bearers (provide detail below)	2	4 050	4 182
Officials:			
Level 15 to 16	8	12 033	9 941
Level 14 (including CFO if at a lower level)	37	42 910	39 791
Family members of key management personnel			
Total		58 993	53 914

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26. Provisions

	Note	2020/21 R'000	2019/20 R'000
Please specify			
Retention Fee		52 189	40 266
Total		52 189	40 266

The Department agreed with the Implementing Agents to retain 5% of their Project Management Fees as security until the end of their contracts. The retention fee will be paid at the end of the contract provided all the deliverables of the contract are satisfactorily met

26.1 Reconciliation of movement in provisions – 2020/21

	Provision 1	Provision 2	Provision 3	Total provisions
	R'000	R'000	R'000	R'000
Opening balance	40 266	-	-	40 266
Increase in provision	11 923	-	-	11 923
Settlement of provision	-	-	-	-
Unused amount reversed	-	-	-	-
Reimbursement expected from third party	-	-	-	-
Change in provision due to change in estimation of inputs	-	-	-	-
Closing balance	52 189	-	-	52 189

The Department agreed with the Implementing Agents to retain 5% of their Project Management Fees as security until the end of their contracts. The retention fee will be paid at the end of the contract provided all the deliverables of the contract are satisfactorily met

Reconciliation of movement in provisions – 2019/20

	Provision 1	Provision 2	Provision 3	Total provisions
	R'000	R'000	R'000	R'000
Opening balance	43 961	-	-	43 961
Increase in provision	11 241	229	-	11 470
Settlement of provision	-	-	-	-
Unused amount reversed	-15 165	-	-	-15 165
Reimbursement expected from third party	-	-	-	-
Change in provision due to change in estimation of inputs	-	-	-	-
Closing balance	40 037	229	-	40 266

The Department agreed with the Implementing Agents to retain 5% of their Project Management Fees as security until the end of their contracts. The retention fee will be paid at the end of the contract provided all the deliverables of the contract are satisfactorily met. An amount of R15 165 000 was used to recover the losses relating to deceased and PERSAL participants.

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27. Movable Tangible Capital Assets

MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021

	Opening balance	Value adjustments	Additions	Disposals	Closing Balance
	R'000	R'000	R'000	R'000	R'000
HERITAGE ASSETS	137	-	-	-	137
Heritage assets	137	-	-	-	137
MACHINERY AND EQUIPMENT	287 080	-	47 823	(280)	334 623
Transport assets	21 565	-	2 340	(197)	23 708
Computer equipment	80 411	-	4 923	(83)	85 251
Furniture and office equipment	20 005	-	2 224	-	22 229
Other machinery and equipment	165 099	-	38 336	-	203 435
SPECIALISED MILITARY ASSETS	-	-	-	-	-
Specialised military assets	-	-	-	-	-
BIOLOGICAL ASSETS	-	-	1 245	-	1 245
Biological assets	-	-	1 245	-	1 245
TOTAL MOVABLE TANGIBLE CAPITAL ASSETS	2 87 217	-	49 068	280	336 005

27.1 Additions

ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2022

	Cash*	Non-cash**	(Capital Work in Progress current costs and finance lease payments)	Received current, not paid (Paid current year, received prior year)	Total
	R'000	R'000	R'000	R'000	R'000
HERITAGE ASSETS	-	-	-	-	-
Heritage assets	-	-	-	-	-
MACHINERY AND EQUIPMENT	49 756	-	(1 933)	-	47 823
Transport assets	2 340	-	-	-	2 340
Computer equipment	4 923	-	-	-	4 923
Furniture and office equipment	2 862	-	(638)	-	2 224
Other machinery and equipment	39 631	-	(1 295)	-	38 336
SPECIALISED MILITARY ASSETS	-	-	-	-	-
Specialised military assets	-	-	-	-	-
BIOLOGICAL ASSETS	1 245	-	-	-	1 245
Biological assets	1 245	-	-	-	1 245
TOTAL ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS	51 001	-	(1 933)	-	49 068

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NOTES TO THE ANNUAL FINANCIAL STATEMENTS
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27.2 Disposals

DISPOSALS OF MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2022

	Sold for cash	Non-cash disposal	Total disposals	Cash Received Actual
	R'000	R'000	R'000	R'000
HERITAGE ASSETS	-	-	-	-
Heritage assets	-	-	-	-
MACHINERY AND EQUIPMENT	280	-	280	-
Transport assets	197	-	197	-
Computer equipment	83	-	83	-
Furniture and office equipment	-	-	-	-
Other machinery and equipment	-	-	-	-
SPECIALISED MILITARY ASSETS	-	-	-	-
Specialised military assets	-	-	-	-
BIOLOGICAL ASSETS	-	-	-	-
Biological assets	-	-	-	-
TOTAL DISPOSAL OF MOVABLE TANGIBLE CAPITAL ASSETS	280	-	280	-

27.3 Movement for 2019/20

MOVEMENT IN TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020

	Opening balance	Prior period error	Additions	Disposals	Closing Balance
	R'000	R'000	R'000	R'000	R'000
HERITAGE ASSETS	137	-	-	-	137
Heritage assets	137	-	-	-	137
MACHINERY AND EQUIPMENT	227 833	-	63 044	(3 797)	287 080
Transport assets	20 793	-	3 602	(2 830)	21 565
Computer equipment	69 669	-	11 476	(734)	80 411
Furniture and office equipment	16 827	-	3 338	(160)	20 005
Other machinery and equipment	120 544	-	44 628	(73)	165 099
SPECIALISED MILITARY ASSETS	-	-	-	-	-
Specialised military assets	-	-	-	-	-
BIOLOGICAL ASSETS	-	-	-	-	-
Biological assets	-	-	-	-	-
TOTAL MOVABLE TANGIBLE	227 970	-	63 044	(3 797)	287 217

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27.4 Prior period error

	Note	2019/20 R'000
Nature of prior period error		
Relating to 2018/19 <i>[affecting the opening balance]</i>		-
Relating to 2019/20		-
Total prior period errors		-

27.5 Minor assets

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2021

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	68	564	57 915	873	59 420
Value adjustments	-	-	-	-	-	-
Additions	-	15	-	6 891	2 408	9 314
Disposals	-	-	-	5	-	5
TOTAL MINOR ASSETS	-	83	564	64 801	3 281	68 729

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2020

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	-	564	49 287	2	49 853
Prior period error	-	-	-	-	-	-
Additions	-	68	-	9 412	871	10 351
Disposals	-	-	-	(784)	-	(784)
TOTAL MINOR ASSETS	-	68	564	57 915	873	59 420

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
Number of RI minor assets	-	-	-	-	-	-
Number of minor assets at cost	-	-	-	-	-	-
TOTAL NUMBER OF MINOR ASSETS	-	-	-	-	-	-

Include discussion here where deemed relevant



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27.6 Prior period error

	Note	2019/20 R'000
Nature of prior period error		
Relating to 2018/19 <i>[affecting the opening balance]</i>		
Relating to 2019/20		-
Total prior period errors		
<i>Include discussion here where deemed relevant</i>		

27.7 Movable assets written off

MOVABLE ASSETS WRITTEN OFF FOR THE YEAR ENDED AS AT 31 MARCH 2020

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
	R'000	R'000	R'000	R'000	R'000	R'000
Assets written off	-	-	-	-	-	-
TOTAL MOVABLE ASSETS WRITTEN OFF	-	-	-	-	-	-

MOVABLE ASSETS WRITTEN OFF FOR THE YEAR ENDED AS AT 31 MARCH 2020

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
	R'000	R'000	R'000	R'000	R'000	R'000
Assets written off	-	-	-	-	-	-
TOTAL MOVABLE ASSETS WRITTEN OFF	-	-	-	-	-	-

Include discussion here where deemed relevant

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
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27.8 S42 Movable capital assets

MAJOR ASSETS TO BE TRANSFERRED IN TERMS OF S42 OF THE PFMA - 31 MARCH 2021

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total

No. of Assets						-
Value of the assets (R'000)						-

MINOR ASSETS TO BE TRANSFERRED IN TERMS OF S42 OF THE PFMA - 31 MARCH 2021

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total

No. of Assets						-
Value of the assets (R'000)						-

Include discussion here where deemed relevant

MAJOR ASSETS TO BE TRANSFERRED IN TERMS OF S42 OF THE PFMA - 31 MARCH 2020

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
	R'000	R'000	R'000	R'000	R'000	R'000

No. of Assets	-	-	-	-	-	-
Value of the assets (R'000)	-	-	-	-	-	-

MINOR ASSETS TO BE TRANSFERRED IN TERMS OF S42 OF THE PFMA - 31 MARCH 2020

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
	R'000	R'000	R'000	R'000	R'000	R'000

No. of Assets	-	-	-	-	-	-
Value of the assets (R'000)	-	-	-	-	-	-

Include discussion here where deemed relevant



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28 . Intangible Capital Assets

MOVEMENT IN INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021

	Opening balance R'000	Value adjustments R'000	Additions R'000	Disposals R'000	Closing Balance R'000
SOFTWARE	813		24	-	837
MASTHEADS AND PUBLISHING TITLES	-		-	-	-
PATENTS, LICENCES, COPYRIGHT, BRAND NAMES, TRADEMARKS	-		-	-	-
RECIPES, FORMULAE, PROTOTYPES, DESIGNS, MODELS	-		-	-	-
SERVICES AND OPERATING RIGHTS	-		-	-	-
TOTAL INTANGIBLE CAPITAL ASSETS	813	-	24	-	837

Include discussion here where deemed relevant

Intangible Capital Assets under investigation

Number Value
R'000

Included in the above total of the intangible capital assets per the asset register are assets that are under investigation:

Software

Mastheads and publishing titles

Patents, licences, copyright, brand names, trademarks

Recipes, formulae, prototypes, designs, models

Services and operating rights

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

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28.1 Additions

ADDITIONS TO INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021

	Cash	Non-Cash	(Develop- ment work in progress – current costs)	Received current year, not paid (Paid current year, received prior year)	Total
	R'000	R'000	R'000	R'000	R'000
SOFTWARE	24				24
MASTHEADS AND PUBLISHING TITLES					-
PATENTS, LICENCES, COPYRIGHT, BRAND NAMES, TRADEMARKS					-
RECIPES, FORMULAE, PROTOTYPES, DESIGNS, MODELS					-
SERVICES AND OPERATING RIGHTS					-
TOTAL ADDITIONS TO INTANGIBLE CAPITAL ASSETS	24	-	-	-	24

28.2 Disposals

DISPOSALS OF INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021

	Sold for cash	Non-cash disposal	Total disposals	Cash Received Actual
	R'000	R'000	R'000	R'000
SOFTWARE				
MASTHEADS AND PUBLISHING TITLES				
PATENTS, LICENCES, COPYRIGHT, BRAND NAMES, TRADEMARKS				
RECIPES, FORMULAE, PROTOTYPES, DESIGNS, MODELS				
SERVICES AND OPERATING RIGHTS				
TOTAL DISPOSALS OF INTANGIBLE CAPITAL ASSETS	-	-	-	-



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28.3 Movement for 2019/20

MOVEMENT IN INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020

	Opening balance	Prior period error	Additions	Disposals	Closing Balance
	R'000	R'000	R'000	R'000	R'000
SOFTWARE	813	-	-	-	813
MASTHEADS AND PUBLISHING TITLES	-	-	-	-	-
PATENTS, LICENCES, COPYRIGHT, BRAND NAMES, TRADEMARKS	-	-	-	-	-
RECIPES, FORMULAE, PROTOTYPES, DESIGNS, MODELS	-	-	-	-	-
SERVICES AND OPERATING RIGHTS	-	-	-	-	-
TOTAL INTANGIBLE CAPITAL ASSETS	813	-	-	-	813

28.4 Prior period error

Note

2019/20
R'000

Nature of prior period error

Relating to 2018/19 *[affecting the opening balance]*

Relating to 2019/20

Total prior period errors

-

Include discussion here where deemed relevant

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2021

29. Immovable Tangible Capital Assets

MOVEMENT IN IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021

	Opening balance R'000	Value adjustments	Additions R'000	Disposals R'000	Closing Balance R'000
BUILDINGS AND OTHER FIXED STRUCTURES	4 886	-	1 366	-	6 252
Dwellings	-	-	-	-	-
Non-residential buildings	-	-	-	-	-
Other fixed structures	4 886	-	1 366	-	6 252
HERITAGE ASSETS	-	-	-	-	-
Heritage assets	-	-	-	-	-
LAND AND SUBSOIL ASSETS	-	-	-	-	-
Land	-	-	-	-	-
Mineral and similar non-regenerative resources	-	-	-	-	-
TOTAL IMMOVABLE TANGIBLE CAPITAL ASSETS	4 886	-	1 366	-	6 252

Immovable Tangible Capital Assets under investigation

Number
Value
R'000

Included in the above total of the immovable tangible capital assets per the asset register are assets that are under investigation:

Buildings and other fixed structures
Heritage assets
Land and subsoil assets

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29.1 Additions

ADDITIONS TO IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021

	Cash	Non-cash	(Capital Work in Progress current costs and finance lease payments)	Received current, not paid (Paid current year, received prior year)	Total
	R'000	R'000	R'000	R'000	R'000
BUILDING AND OTHER FIXED STRUCTURES	1 366	-	-	-	1 366
Dwellings					-
Non-residential buildings					-
Other fixed structures	1 366				1 366
HERITAGE ASSETS	-	-	-	-	-
Heritage assets					-
LAND AND SUBSOIL ASSETS	-	-	-	-	-
Land					-
Mineral and similar non-regenerative resources					-
TOTAL ADDITIONS TO IMMOVABLE TANGIBLE CAPITAL ASSETS	1 366	-	-	-	1 366

29.2 Disposals

DISPOSALS OF IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021

	Sold for cash	Non-cash disposal	Total disposals	Cash Received Actual
	R'000	R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	-	-	-	
Dwellings			-	
Non-residential buildings			-	
Other fixed structures			-	
HERITAGE ASSETS	-	-	-	
Heritage assets			-	
LAND AND SUBSOIL ASSETS	-	-	-	
Land			-	
Mineral and similar non-regenerative resources			-	
TOTAL DISPOSALS OF IMMOVABLE TANGIBLE CAPITAL ASSETS	-	-	-	-

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NOTES TO THE ANNUAL FINANCIAL STATEMENTS

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29.3 Movement for 2019/20

MOVEMENT IN IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020

	Opening balance	Prior period error	Additions	Disposals	Closing Balance
	R'000	R'000	R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	315	-	4 571	-	4 886
Dwellings	-	-	-	-	-
Non-residential buildings	-	-	-	-	-
Other fixed structures	315	-	4 571	-	4 886
HERITAGE ASSETS	-	-	-	-	-
Heritage assets	-	-	-	-	-
LAND AND SUBSOIL ASSETS	-	-	-	-	-
Land	-	-	-	-	-
Mineral and similar non-regenerative resources	-	-	-	-	-
TOTAL IMMOVABLE TANGIBLE CAPITAL ASSETS	315	-	4 571	-	4 886

29.4 Prior period error

	Note	2019/20 R'000
Nature of prior period error		
Relating to 2018/19 [affecting the opening balance]		
Relating to 2019/20		-
Total prior period errors		

29.5 Capital Work-in-progress

CAPITAL WORK-IN-PROGRESS AS AT 31 MARCH 2021

	Opening balance 1 April 2020	Current Year WIP	Ready for use (Assets to the AR) / Contracts terminated	Closing balance 31 March 2021
	R'000	R'000	R'000	R'000
Heritage assets	-	-	-	-
Buildings and other fixed structures	-	-	-	-
Machinery and equipment	-	-	-	-
Specialised military assets	-	-	-	-
Intangible assets	-	-	-	-
TOTAL	-	-	-	-

Note
Annexure 7

Include discussion here where deemed relevant



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NOTES TO THE ANNUAL FINANCIAL STATEMENTS

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Age analysis on ongoing projects	Number of projects		2020/21
	Planned, Construction not started	Planned, Construction started	Total R'000
0 to 1 Year			
1 to 3 Years			
3 to 5 Years			
Longer than 5 Years			
Total	-	-	

Include discussion on projects longer than 5 years in Capital WIP

Payables not recognised relating to Capital WIP

Note

2020/21 R'000	2019/20 R'000
-	-
-	-
-	-

[Amounts relating to progress certificates received but not paid at year end and therefore not included in capital work-in-progress]

Total

CAPITAL WORK-IN-PROGRESS AS AT 31 MARCH 2020

	Opening balance 1 April 2019 R'000	Prior period error R'000	Current Year WIP R'000	Ready for use (Assets to the AR) / Contracts terminated R'000	Closing balance 31 March 2020 R'000
Heritage assets	-	-	-	-	-
Buildings and other fixed structures	-	-	-	-	-
Machinery and equipment	-	-	-	-	-
Specialised military assets	-	-	-	-	-
Intangible assets	-	-	-	-	-
TOTAL	-	-	-	-	-

Note
Annexure 7

Age analysis on ongoing projects	Number of projects		2019/20
	Planned, Construction not started	Planned, Construction started	Total R'000
0 to 1 Year	-	-	-
1 to 3 Years	-	-	-
3 to 5 Years	-	-	-
Longer than 5 Years	-	-	-
Total	-	-	-

Include discussion on projects longer than 5 years in Capital WIP

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29.6 Immovable assets written off

IMMOVABLE ASSETS WRITTEN OFF FOR THE YEAR ENDED AS AT 31 MARCH 2021

	Buildings and other fixed structures	Heritage assets	Land and subsoil assets	Total
	R'000	R'000	R'000	R'000
Assets written off	-	-	-	-
TOTAL IMMOVABLE ASSETS WRITTEN OFF	-	-	-	-

Include discussion here where deemed relevant

IMMOVABLE ASSETS WRITTEN OFF FOR THE YEAR ENDED AS AT 31 MARCH 2020

	Buildings and other fixed structures	Heritage assets	Land and subsoil assets	Total
	R'000	R'000	R'000	R'000
Assets written off	-	-	-	-
TOTAL IMMOVABLE ASSETS WRITTEN OFF	-	-	-	-

Include discussion here where deemed relevant

29.7 S42 Immovable assets

Assets to be transferred in terms of S42 of the PFMA – 2020/21

	Number of assets	Value of assets R'000
BUILDINGS AND OTHER FIXED STRUCTURES	-	-
Dwellings	-	-
Non-residential buildings	-	-
Other fixed structures	-	-
HERITAGE ASSETS	-	-
Heritage assets	-	-
LAND AND SUBSOIL ASSETS	-	-
Land	-	-
Mineral and similar non-regenerative resources	-	-
TOTAL	-	-

Include discussion here where deemed relevant



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Assets to be transferred in terms of S42 of the PFMA – 2019/20

	Number of assets	Value of assets R'000
BUILDINGS AND OTHER FIXED STRUCTURES	-	-
Dwellings	-	-
Non-residential buildings	-	-
Other fixed structures	-	-
HERITAGE ASSETS	-	-
Heritage assets	-	-
LAND AND SUBSOIL ASSETS	-	-
Land	-	-
Mineral and similar non-regenerative resources	-	-
TOTAL	-	-

Include discussion here where deemed relevant

29.8 Immovable assets (additional information)

		Note	2020/21	2019/20
a. Unsurveyed land	Estimated completion date	<i>Annexure 9</i>	Area	Area
b Properties deemed vested		<i>Annexure 9</i>	Number	Number
Land parcels				
Facilities				
Schools			-	-
Clinics			-	-
Hospitals			-	-
Office buildings			-	-
Dwellings			-	-
Storage facilities			-	-
Other			-	-
c Facilities on unsurveyed land	Duration of use	<i>Annexure 9</i>	Number	Number
Schools			-	-
Clinics			-	-
Hospitals			-	-
Office buildings			-	-
Dwellings			-	-
Storage facilities			-	-
Other			-	-

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		Note	2020/21	2019/20
d Facilities on right to use land	Duration of use	Annexure 9	Number	Number
Schools			-	-
Clinics			-	-
Hospitals			-	-
Office buildings			-	-
Dwellings			-	-
Storage facilities			-	-
Other			-	-
e Agreement of custodianship		Annexure 9	Number	Number
Land parcels				
Facilities				
Schools			-	-
Clinics			-	-
Hospitals			-	-
Office buildings			-	-
Dwellings			-	-
Storage facilities			-	-
Other			-	-

Include discussion where deemed relevant

30. Principal-agent arrangements

30.1 Department acting as the principal

	2020/21	2019/20
	R'000	R'000
CWP Implementing Agents	270 381	227 813
Development Bank of Southern Africa	22 784	1 273
Total	293 165	229 086

The Department has appointed Implementing Agents under the community work Programme to assist in creating job opportunities for participants who are involved in useful work in all municipalities in the country, on behalf of the Department. The Department has also entered into an agreement with the Development Bank of Southern Africa to assist in providing IUDF programme management services on behalf of the Department.



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31. Prior period errors

31.1 Correction of prior period errors

Note	Amount bef error correction	Prior period error	Restated Amount
	2019/20	2019/20	2019/20
	R'000	R'000	R'000
Revenue: (E.g. Annual appropriation, Departmental revenue, Aid assistance, etc.)	-	-	-
Line item 1 affected by the change	-	-	-
Line item 2 affected by the change	-	-	-
Line item 3 affected by the change	-	-	-
Net effect	-	-	-

Provide a description of the nature of the prior period error as well as why the correction was required.

Note	Amount bef error correction	Prior period error	Restated Amount
	2019/20	2019/20	2019/20
	R'000	R'000	R'000
Expenditure: (E.g. Compensation of employees, Goods and services, Tangible capital assets, etc.)	-	-	-
-	-	-	-
-	-	-	-
-	-	-	-
Net effect	-	-	-

Provide a description of the nature of the prior period error as well as why the correction was required.

Note	Amount bef error correction	Prior period error	Restated Amount
	2019/20	2019/20	2019/20
	R'000	R'000	R'000
Assets: (E.g. Receivables, Investments, Accrued departmental revenue, Movable tangible capital assets, etc.)	-	-	-
Line item 1 affected by the change	-	-	-
Line item 2 affected by the change	-	-	-
Line item 3 affected by the change	-	-	-
Net effect	-	-	-

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Note	Amount bef error correction	Prior period error	Restated Amount
	2019/20	2019/20	2019/20
	R'000	R'000	R'000
Liabilities: (E.g. Payables current, Voted funds to be surrendered, Commitments, Provisions, etc.)	-	-	-
Contingent Liability	2 594	(237)	2 357
Lease Commitments	58 555	(17 385)	41 170
Net effect	61 149	(17 622)	43 527

The calculation for the interest on the contingent liability was corrected and resulted prior year error and the lease commitments were adjusted after invalid lease contracts were removed.

Note	Amount bef error correction	Prior period error	Restated Amount
	2019/20	2019/20	2019/20
	R'000	R'000	R'000
Other: (E.g. Irregular expenditure, Fruitless and wasteful expenditure, etc.)	-	-	-
Irregular Expenditure	11 993	870 816	882 809
Net effect	11 993	870 816	882 809

The CWP NPO's contracts were rendered invalid by the High court and all the expenditure relating to the NPO's was disclosed as irregular

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32. STATEMENT OF CONDITIONAL GRANTS RECEIVED

NAME OF GRANT	GRANT ALLOCATION					SPENT				2019/20	
	Division of Revenue Act/ Provincial Grants	Roll Overs	DORA Adjustments	Other Adjustments	Total Available	Amount received by department	Amount spent by department	Under / (Over-spending)	% of available funds spent by department	Division of Revenue Act	Amount spent by department
	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Disaster Relief Transfers	492 429	-	-	-	492 429	492 429	289 459	202 970	59%	672 871	122 678
Disaster Recovery Grant	-	-	-	-	-	-	-	-	-	1 206 440	1 167 692
Municipal Systems Improvement Grant	119 774	-	-	-	119 774	119 774	28 049	91 725	23%	115 116	99 547
Municipal Infrastructure Grant	14 491 065	-	-	-	14 491 065	14 491 065	14 491 065	-	100%	15 287 685	15 287 685
Integrated Urban Development Grant	936 368	-	-	-	936 368	936 368	936 368	-	100%	-	-
	16 039 636	-	-	-	16 039 636	16 039 636	15 744 941	294 695		17 282 112	16 677 602

Departments are reminded of the requirement to certify that all transfers in terms of this Act were deposited into the primary bank account of the province or, where appropriate, into the CPD account of the province.



33. STATEMENT OF CONDITIONAL GRANTS PAID TO THE PROVINCES

NAME OF PROVINCE / GRANT	GRANT ALLOCATION				TRANSFER			SPENT				2019/20	
	Division of Revenue Act R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by department R'000	Amount spent by department R'000	Unspent funds R'000	% of available funds spent by department	Division of Revenue Act R'000	Actual Transfer R'000
Summary by province													
Eastern Cape	35 000	-	-	35 000	35 000	-	-	35 000	35 000	-	100%	-	-
Free State	-	-	-	-	-	-	-	-	-	-	-	-	-
Gauteng	-	-	-	-	-	-	-	-	-	-	-	-	-
Kwazulu-Natal	4 000	-	-	4 000	4 000	-	-	4 000	4 000	-	100%	-	-
Limpopo	18 640	-	-	18 640	18 640	-	-	18 640	18 640	-	100%	-	-
Mpumalanga	12 160	-	-	12 160	12 160	-	-	12 160	12 160	-	100%	-	-
Northern Cape	35 689	-	-	35 689	35 689	-	-	35 689	35 689	-	100%	-	-
North West	8 000	-	-	8 000	8 000	-	-	8 000	8 000	-	100%	-	-
Western Cape	25 000	-	-	25 000	25 000	-	-	25 000	25 000	-	100%	-	-
TOTAL	138 489	-	-	138 489	138 489	-	-	138 489	138 489	-	-	-	-
Summary by grant													
Disaster Relief Grant	138 489	-	-	138 489	138 489	-	-	138 489	138 489	-	100%	-	-
Disaster Recovery Grant	-	-	-	-	-	-	-	-	-	-	-	-	-
138 489	-	-	-	138 489	138 489	-	-	138 489	138 489	-	-	-	-
I. Disaster Relief Grant													
Eastern Cape	35 000	-	-	35 000	35 000	-	-	35 000	35 000	-	100%	-	-
Free State	-	-	-	-	-	-	-	-	-	-	-	-	-
Gauteng	-	-	-	-	-	-	-	-	-	-	-	-	-

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NAME OF PROVINCE / GRANT	GRANT ALLOCATION				TRANSFER			SPENT				2019/20	
	Division of Revenue Act	Roll Overs	Adjustments	Total Available	Actual Transfer	Funds Withheld	Re-allocations by National Treasury or National Department	Amount received by department	Amount spent by department	Unspent funds	% of available funds spent by department	Division of Revenue Act	Actual Transfer
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000	%	R'000	R'000
Kwazulu-Natal	4 000			4 000	4 000			4 000	4 000		100%		
Limpopo	18 640			18 640	18 640			18 640	18 640		100%		
Mpumalanga	12 160			12 160	12 160			12 160	12 160		100%		
Northern Cape	35 689			35 689	35 689			35 689	35 689		100%		
North West	8 000			8 000	8 000			8 000	8 000		100%		
Western Cape	25 000			25 000	25 000			25 000	25 000		100%		
	138 489	-	-	138 489	138 489	-	-	138 489	138 489	-	100%	-	-

2. Disaster Recovery Grant

Eastern Cape	-
Free State	-
Gauteng	-
Kwazulu-Natal	-
Limpopo	-
Mpumalanga	-
Northern Cape	-
North West	-
Western Cape	-
	-

National Departments are reminded of the DORA requirements to indicate any re-allocations by the National Treasury or the transferring department, certify that all transfers in terms of this Act were deposited into the primary bank account of a province or, where appropriate, into the CPD account of a province as well as indicate the funds utilised for the administration of the receiving officer.

Departments are required to include a summary of expenditure per conditional grant to aid in the identification of under- / over spending of such funds and to allow the Department to provide an explanation for the variance



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34. STATEMENT OF CONDITIONAL GRANTS AND OTHER TRANSFERS PAID TO MUNICIPALITIES

NAME OF MUNICIPALITY	2020/21				2019/20		
	GRANT ALLOCATION		TRANSFER		Division of Revenue Act	R'000	Actual transfer R'000
	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000			
					Re-allocations by National Treasury or National Department %		
					Funds Withheld R'000		
					Actual Transfer R'000		
INTERGRATED URBAN DEVELOPMENT GRANT							
GT481 MOGALE CITY	118 293			118 293	118 293		
KZN216 RAY NKONYENI	64 509			64 509	64 509		
KZN282 UMHLATHUZE LOCAL MUN	159 963			159 963	159 963		
LIM354 POLOKWANE LOCAL MUN	346 648			346 648	346 648		
MP313 STEVE TSHWETE	91 794			91 794	91 794		
NC091 SOL PLAATJIE	50 328			50 328	50 328		
WC023 DRAKENSTEIN	57 927			57 927	57 927		
WC024 STELLENBOSCH	46 906			46 906	46 906		
TOTAL	936 368			936 368	936 368		
DISASTER RELIEF GRANT							
TOTAL M 007 EC101 DR BEYERS NAUDE LOCAL MUN	1 132			1 132	1 132		
TOTAL M 007 EC102 BLUE CRANE ROUTE	983			983	983		
TOTAL M 007 EC104 MAKANA	1 639			1 639	1 639		
TOTAL M 007 EC105 NDLAMBE	1 043			1 043	1 043		
TOTAL M 007 EC106 SUNDAY'S RIVER VALLEY	1 043			1 043	1 043		
TOTAL M 007 EC108 KOUGA	1 192			1 192	1 192		
TOTAL M 007 EC109 KOUKAMA	953			953	953		
TOTAL M 007 DC10 SARAH BAARTMAN DISTRICT MUN	596			596	596		
						98 680 794	

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NAME OF MUNICIPALITY	2020/21					2019/20		
	GRANT ALLOCATION					TRANSFER		
	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Division of Revenue Act R'000
TOTAL M 007 EC121 MBHASHE	1 341			1 341	1 341			
TOTAL M 007 EC122 MNQUMA	1 937			1 937	1 937			
TOTAL M 007 EC123 GREAT KEI	417			417	417			
TOTAL M 007 EC124 AMAHLATI	596			596	596			
TOTAL M 007 EC126 NGQUSHWA	328			328	328			
TOTAL M 007 EC129 RAYMOND MHLABA	745			745	745			
TOTAL M 007 DC12 AMATOLE DIST MUNICIPALITY	3 397			3 397	3 397			
TOTAL M 007 EC131 INXUBA YETHEMBA	447			447	447			
TOTAL M 007 EC135 INTSIKA YETHU	447			447	447			
TOTAL M 007 EC136 EMALAHLENI	596			596	596			
TOTAL M 007 EC137 ENGCOBO	894			894	894			
TOTAL M 007 EC138 SAKHISIZWE	596			596	596			
TOTAL M 007 EC139 ENOCH MGJIMA LOCAL MUN	894			894	894			
TOTAL M 007 DC13 CHRIS HANI DIST MUNICIPALITY	3 516			3 516	3 516			
TOTAL M 007 EC141 ELUNDINI	596			596	596			
TOTAL M 007 EC142 SENQU	477			477	477			
TOTAL M 007 EC145 WALTER SIZULU	477			477	477			
TOTAL M 007 DC14 JOE DISTR MUNICIPALITY	2 235			2 235	2 235			
TOTAL M 007 EC153 NGOUZA HILL	447			447	447			
TOTAL M 007 EC154 PORT ST JOHNS	685			685	685			
TOTAL M 007 EC155 NYANDENI	834			834	834			
TOTAL M 007 EC156 MHLONTLO	536			536	536			



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NAME OF MUNICIPALITY	2020/21					2019/20		
	GRANT ALLOCATION		TRANSFER			Division of Revenue Act	R'000	Actual transfer R'000
	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	
TOTAL M 007 EC157 KI SABATA DALINDYEBO	3 277			3 277	3 277			
TOTAL M 007 DC15 OR TAMBO DIST MUNICIPALITY	4 201			4 201	4 201			
TOTAL M 007 EC442 UMZIMVUBU	536			536	536			
TOTAL M 007 EC441 MATATIELE	745			745	745			
TOTAL M 007 EC443 MBIZANA	715			715	715			
TOTAL M 007 EC444 NTABANKULU	417			417	417			
TOTAL M 007 DC44 ALFRED NZO DIST MUNICIPAL	1 877			1 877	1 877			
TOTAL M 007 FS161 LETSEMENG	655			655	655			
TOTAL M 007 FS162 KOPANONG	119			119	119			
TOTAL M 007 FS163 MOHOKARE	298			298	298			
TOTAL M 007 DC16 XHARIEP DISTRICT MUNICIPAL	149			149	149			
TOTAL M 007 FS181 MASILONYANA	417			417	417			
TOTAL M 007 FS182 TOKOLOGO	119			119	119			
TOTAL M 007 FS183 TSWELOPELE	179			179	179			
TOTAL M 007 FS184 MATJHABENG	596			596	596			
TOTAL M 007 FS185 NALA	179			179	179			
TOTAL M 007 DC18 LEJWELEPUTSWA DIST MUNICIPAL	149			149	149			
TOTAL M 007 FS191 SETSOTO	238			238	238			
TOTAL M 007 FS192 DIHLABENG	864			864	864			
TOTAL M 007 FS193 NKETOANA	119			119	119			
TOTAL M 007 FS194								
MALUTIAPHOFUNG	1 132			1 132	1 132			
TOTAL M 007 FS195 PHUMELELA	417			417	417			

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NAME OF MUNICIPALITY	2020/21					2019/20		
	GRANT ALLOCATION		TRANSFER			Division of Revenue Act	R'000	Actual transfer R'000
	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	
TOTAL M 007 FS196 MATSOPA	179			179	179			
TOTAL M 007 DC19 THABO MOFUTSANYANE DIST MUN	149			149	149			
TOTAL M 007 FS201 MOQHAKA	1 013			1 013	1 013			
TOTAL M 007 FS203 NGWATHE	745			745	745			
TOTAL M 007 FS204 METSIMAHOLO	298			298	298			
TOTAL M 007 FS205 MAFUBE	447			447	447			
TOTAL M 007 DC20 FEZILE DABI DIST MUNICIPAL	149			149	149			
TOTAL M 007 GT421 EMFULENI	596			596	596			
TOTAL M 007 GT422 MIDVAAL	718			718	718			
TOTAL M 007 GT423 LESEDI	685			685	685			
TOTAL M 007 DC42 SEDIBENG DISTRICT MUNICIPAL	119			119	119			
TOTAL M 007 GT481 MOGALE CITY	1 251			1 251	1 251			
TOTAL M 007 GT484 MERAFOG CITY	596			596	596			
TOTAL M 007 GT485 NEW LOC MUN(RNDFNTN&WSTNR)	1 192			1 192	1 192			
TOTAL M 007 DC48 WEST RAND DIST MUNICIPALITY	119			119	119			
TOTAL M 007 KZN212 UMDONI LOCAL MUNICIPALITY	1 341			1 341	1 341			
TOTAL M 007 KZN213 UMZUMBE	1 043			1 043	1 043			
TOTAL M 007 KZN214 UMUZIWABANTU	1 490			1 490	1 490			
TOTAL M 007 KZN216 RAY NKONYENI	1 108			1 108	1 108			
TOTAL M 007 DC21 UGU DISTRICT MUNICIPALITY	745			745	745			



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	2020/21					2019/20		
	GRANT ALLOCATION			TRANSFER			Division of Revenue Act	Actual transfer
	DoRA and other transfers	Roll Overs	Adjustments	Total Available	Actual Transfer	Funds Withheld		
NAME OF MUNICIPALITY	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000
TOTAL M 007 KZN221 UMSHWATHI	953			953	953			
TOTAL M 007 KZN222 UMNGENI	1 460			1 460	1 460			
TOTAL M 007 KZN223 MPOFANA	745			745	745			
TOTAL M 007 KZN224 IMPENDLE	854			854	854			
TOTAL M 007 KZN225 MSUNDUZI	1 192			1 192	1 192			
TOTAL M 007 KZN226 MKHAMBATHINI	745			745	745			
TOTAL M 007 KZN227 RICHMOND	745			745	745			
TOTAL M 007 DC22								
UMGUNGUNDLOVU DIST MUN	894			894	894			
TOTAL M 007 KZN235 OKHAHLAMBA	1 287			1 287	1 287			
TOTAL M 007 KZN237 INKOSI								
ILANGALIBALELE	1 222			1 222	1 222			
TOTAL M 007 KZN238 ALFRED DUMA	1 077			1 077	1 077			
TOTAL M 007 DC23 UTHUKELA DIST								
MUNICIPALITY	745			745	745			
TOTAL M 007 KZN241 UNDUMENI	775			775	775			
TOTAL M 007 KZN242 NQUTHU	804			804	804			
TOTAL M 007 KZN244 MSINGA	960			960	960			
TOTAL M 007 KZN245 UMVOTI	757			757	757			
TOTAL M 007 DC24 UMZINYATHI DIST								
MUNICIPAL	536			536	536			
TOTAL M 007 KZN252 NEWCASTLE	757			757	757			
TOTAL M 007 KZN253 EMADLANGENI	685			685	685			
TOTAL M 007 KZN254 DANNHAUSER	735			735	735			
TOTAL M 007 DC25 AMAJUBA DIST								
MUNICIPALITY	387			387	387			
TOTAL M 007 KZN261 EDUMBE	1 192			1 192	1 192			
TOTAL M 007 KZN262 UPHONGOLO	879			879	879			

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	2020/21					2019/20			
	GRANT ALLOCATION			TRANSFER			Division of Revenue Act	Actual transfer	
	DoRA and other transfers	Roll Overs	Adjustments	Total Available	Actual Transfer	Funds Withheld			Re-allocations by National Treasury or National Department
	R'000	R'000	R'000	R'000	R'000	R'000			%
NAME OF MUNICIPALITY							R'000	R'000	
TOTAL M 007 KZN263 ABAQULUSI	1 302			1 302	1 302				
TOTAL M 007 KZN265 NONGOMA	1 192			1 192	1 192				
TOTAL M 007 KZN266 ULUNDI	1 192			1 192	1 192				
TOTAL M 007 DC26 ZULULAND DIST MUNICIPALITY	596			596	596				
TOTAL M 007 KZN271 UMHLABUYALINGANA	864			864	864				
TOTAL M 007 KZN272 JOZINI	864			864	864				
TOTAL M 007 KZN275 MTUBATUBA	1 341			1 341	1 341				
TOTAL M 007 KZN276 BIG FIVE/HLABISA LOC MUN	1 460			1 460	1 460				
TOTAL M 007 DC27 UMKHANYAKUDE DIST MUNICIPAL	596			596	596				
TOTAL M 007 KZN281 MFOLOZI	745			745	745				
TOTAL M 007 KZN282 UMHLATHUZE LOCAL MUN	1 192			1 192	1 192				
TOTAL M 007 KZN284 UMHLAZI	745			745	745				
TOTAL M 007 KZN285 MTHONJANENI LOCAL MUN	745			745	745				
TOTAL M 007 KZN286 NKANDLA	745			745	745				
TOTAL M 007 DC28 UTHUNGULU DIST MUNICIPAL	745			745	745				
TOTAL M 007 KZN291 MANDENI	745			745	745				
TOTAL M 007 KZN292 KWADUKUZA	894			894	894				
TOTAL M 007 KZN293 NDWEDWE	745			745	745				
TOTAL M 007 KZN294 MAPHUMULO	745			745	745				
TOTAL M 007 DC29 ILEMBE DISTRICT MUNICIPAL	596			596	596				



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	
TOTAL M 007 KZN433 GRAETER KOKSTAD	596			596	596			
TOTAL M 007 KZN434 UBUHLEBEZWE	655			655	655			
TOTAL M 007 KZN435 UMZIMKHULU	745			745	745			
TOTAL M 007 KZN436 DR								
NKOSAZANA DLAMINI ZUMA	745			745	745			
TOTAL M 007 DC43 HARRY GWALA DISTRICT MUN	596			596	596			
TOTAL M 007 LIM471 EMPRAIM MOGALE	596			596	596			
TOTAL M 007 LIM473 MAKHUDUTHAMAGA	298			298	298			
TOTAL M 007 LIM472 ELIAS MOTSOLEDI	596			596	596			
TOTAL M 007 LIM476 GRTR TUBATSE/ FETAKGOMO	596			596	596			
TOTAL M 007 DC47 GREATER SEKHUKHUNE DIST MUN	894			894	894			
TOTAL M 007 LIM331 GREATER GIYANI	298			298	298			
TOTAL M 007 LIM332 GREATER LETABA	298			298	298			
TOTAL M 007 LIM333 GREATER TZANEEN	298			298	298			
TOTAL M 007 LIM334 BAPHALABORWA	298			298	298			
TOTAL M 007 LIM335 MARULENG	149			149	149			
TOTAL M 007 DC33 MOPANI DIST MUNICIPALITY	2 384			2 384	2 384			
TOTAL M 007 LIM341 MUSINA LOCAL MUNICIPALITY	447			447	447			

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	
TOTAL M 007 LIM343 THULAMELA LOCAL MUN	149			149	149	149		
TOTAL M 007 LIM344 MAKHADO	149			149	149			
TOTAL M 007 LIM345 NEW LOCAL MUNICIPALITY	298			298	298			
TOTAL M 007 DC34 VHEMBE DIST MUNICIPALITY	2 086			2 086	2 086			
TOTAL M 007 LIM351 BLOUBERG LOCAL MUN	358			358	358			
TOTAL M 007 LIM353 MOLEMOLE LOCAL MUN	358			358	358			
TOTAL M 007 LIM354 POLOKWANE LOCAL MUN	596			596	596			
TOTAL M 007 LIM355 LEPELLENKUMPI	298			298	298			
TOTAL M 007 DC35 CAPRICORN DIST MUNICIPALITY	453			453	453			
TOTAL M 007 LIM361 THABAZIMBI	298			298	298			
TOTAL M 007 LIM362 LEHALALE	298			298	298			
TOTAL M 007 LIM366 BELA BELA	179			179	179			
TOTAL M 007 LIM367 MOGALAKWENA	417			417	417			
TOTAL M 007 LIM368 MODIMOLLE/ MOOKGOPONG L MN	298			298	298			
TOTAL M 007 DC36 WATERBERG DIST MUNICIPALITY	1 192			1 192	1 192			
TOTAL M 007 MP301 ALBERT LUTHULI	341			341	341			
TOTAL M 007 MP302 MSUKALINGWA	447			447	447			
TOTAL M 007 MP303 MKHONDO	554			554	554			
TOTAL M 007 MP304 PIXLEY KA SEME	447			447	447			
TOTAL M 007 MP305 LEKWA	1 043			1 043	1 043			

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	
TOTAL M 007 MP306 DIPALESENG	179			179	179			
TOTAL M 007 MP307 GOVAN MBEKI	1 013			1 013	1 013			
TOTAL M 007 MP311 VICTOR KHANYE	477			477	477			
TOTAL M 007 MP312 EMALAHLENI	447			447	447			
TOTAL M 007 MP313 STEVE TSHWETE	447			447	447			
TOTAL M 007 MP314 EMAKHAZENI	238			238	238			
TOTAL M 007 MP315 THEMBSILE	596			596	596			
TOTAL M 007 MP316 DR JS MOROKA	417			417	417			
TOTAL M 007 MP321 THABA CHWEU	596			596	596			
TOTAL M 007 MP324 NKOMAZI	655			655	655			
TOTAL M 007 MP325 BUSHBUCKRIDGE	626			626	626			
TOTAL M 007 MP326 MBOMBELA								
UMJINDI LOC MUN	1 073			1 073	1 073			
TOTAL M 007 NC451 JOE MOROLONG	125			125	125			
TOTAL M 007 NC452 GASEGONYANA	179			179	179			
TOTAL M 007 NC453 GAMAGARA	95			95	95			
TOTAL M 007 DC45 JOHN TAULO								
GAETSWEWE MUN	119			119	119			
TOTAL M 007 NC061 RICHTERSVELD	42			42	42			
TOTAL M 007 NC062 NAMA KHOI	182			182	182			
TOTAL M 007 NC064 KAMIESBERG	39			39	39			
TOTAL M 007 NC065 HANTAM	167			167	167			
TOTAL M 007 NC066 KAROO								
HOOGLAND	21			21	21			
TOTAL M 007 NC067 KHAIMA	30			30	30			
TOTAL M 007 DC6 NAMAKWA								
DISTRICT MUNICIPALIT	149			149	149			
TOTAL M 007 NC071 UBUNTU	66			66	66			

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	
TOTAL M 007 NC072 UMSOBOMVU	167			167	167			
TOTAL M 007 NC073 EMTHANJENI	149			149	149			
TOTAL M 007 NC074 KAREEBERG	54			54	54			
TOTAL M 007 NC075 RENOSTERBERG	54			54	54			
TOTAL M 007 NC076 THEMBELIHLE	60			60	60			
TOTAL M 007 NC077 SIYATHEMBA	66			66	66			
TOTAL M 007 NC078 SIYANCUMA	36			36	36			
TOTAL M 007 DC7 PIXLEY KA SEME DISTRICT MUN	30			30	30			
TOTAL M 007 NC082 !KAI ! GARIB	131			131	131			
TOTAL M 007 NC084 !KHEIS	67			67	67			
TOTAL M 007 NC085 TSANTSABANE	89			89	89			
TOTAL M 007 NC086 KGATELOPELE	60			60	60			
TOTAL M 007 NC087 DAWID KRUIPER	268			268	268			
TOTAL M 007 DC8 Z.F. MQCAWU DISTRICT MUN	30			30	30			
TOTAL M 007 NC091 SOL PLAATJIE	328			328	328			
TOTAL M 007 NC092 DIKGATLONG	119			119	119			
TOTAL M 007 NC093 MAGARENG	66			66	66			
TOTAL M 007 NC094 PHOKWANE	119			119	119			
TOTAL M 007 DC9 FRANCES BAARD DIST MUNICIPAL	30			30	30			
TOTAL M 007 NW371 MORETELE	268			268	268			
TOTAL M 007 NW372 MADIBENG	1 639			1 639	1 639			
TOTAL M 007 NW373 RUSTENBURG	1 341			1 341	1 341			
TOTAL M 007 NW374 KGETLENGRIVIER	268			268	268			
TOTAL M 007 NW375 MOSES KOTANE	268			268	268			



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %
TOTAL M 007 DC37 BOJANALA PLATINUM DIST MUN	506			506	506		
TOTAL M 007 NW381 RATLOU	268			268	268		
TOTAL M 007 NW382 TSWAING	268			268	268		
TOTAL M 007 NW383 MAFIKENG	506			506	506		
TOTAL M 007 NW384 DITSOBOTLA	358			358	358		
TOTAL M 007 NW385 RAMOTSHERE MOILOA	268			268	268		
TOTAL M 007 DC38 NGAKA MODIRI MOLEMA DIST MU	745			745	745		
TOTAL M 007 NW392 NALEDI	268			268	268		
TOTAL M 007 NW393 NAMUSA	268			268	268		
TOTAL M 007 NW394 GREATER TAUNG	268			268	268		
TOTAL M 007 NW396 LEKWATEEMANE	268			268	268		
TOTAL M 007 NW397 MOLOPOKAGISANO	268			268	268		
TOTAL M 007 DC39 DR RUTH SEGOMOTSI MUN	685			685	685		
TOTAL M 007 NW403 CITY OF MATLOSANA	1 013			1 013	1 013		
TOTAL M 007 NW404 MAQUASSI HILLS	358			358	358		
TOTAL M 007 NW405 VENTERSDORP/ TLOKWE LOC MIN	1 013			1 013	1 013		
TOTAL M 007 DC40 DR KENNETH KAUNDA MUN	447			447	447		
TOTAL M 007 WC011 MATZIKAMA	477			477	477		
TOTAL M 007 WC012 CEDERBERG	209			209	209		
TOTAL M 007 WC013 BERGRIVIER	72			72	72		

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	GRANT ALLOCATION					TRANSFER		
	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Division of Revenue Act R'000
TOTAL M 007 WC014 SALDANHA BAY	417			417	417			R'000
TOTAL M 007 WC015 SWARTLAND	119			119	119			R'000
TOTAL M 007 DC1 WEST COAST DISTRICT MUNICIPAL	89			89	89			R'000
TOTAL M 007 WC022 WITZENBERG	119			119	119			R'000
TOTAL M 007 WC023 DRAKENSTEIN	477			477	477			R'000
TOTAL M 007 WC024 STELLENBOSCH	209			209	209			R'000
TOTAL M 007 WC025 BREEDER VALLEY	298			298	298			R'000
TOTAL M 007 WC026 LANGEBERG	477			477	477			R'000
TOTAL M 007 DC2 CAPE WINELANDS DIST MUNICIPAL	119			119	119			R'000
TOTAL M 007 WC031 THEEWATERSKLOOF	238			238	238			R'000
TOTAL M 007 WC032 OVERSTRAND	179			179	179			R'000
TOTAL M 007 WC033 CAPE AGULHAS	298			298	298			R'000
TOTAL M 007 WC034 SWELLENBAM	358			358	358			R'000
TOTAL M 007 DC3 OVERBERG DISTRICT MUNICIPAL	179			179	179			R'000
TOTAL M 007 WC041 KANINLAND	298			298	298			R'000
TOTAL M 007 WC042 HESSEQUA	238			238	238			R'000
TOTAL M 007 WC043 MOSSEL BAY	268			268	268			R'000
TOTAL M 007 WC044 GEORGE	506			506	506			R'000
TOTAL M 007 WC045 OUDTSHOORN	209			209	209			R'000
TOTAL M 007 WC047 BITOU	506			506	506			R'000
TOTAL M 007 WC048 KNYSNA	417			417	417			R'000
TOTAL M 007 DC4 EDEN DISTRICT MUNICIPALITY	268			268	268			R'000
TOTAL M 007 WC051 LAINGSBURG	268			268	268			R'000



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000		Funds Withheld R'000	Re-allocations by National Treasury or National Department %	
TOTAL M 007 WC052 PRINCE ALBERT	209			209	209			
TOTAL M 007 WC053 BEAUFORT WEST	287			287	287			
TOTAL M 007 DC5 CENTRAL KAROO DIST MUNICIPAL	119			119	119			
TOTAL DISASTER RELIEF GRANT	150 970			150 970	150 970			
MUNICIPAL INFRASTRUCTURE GRANT								
EASTERN CAPE								
Buffalo City				-	-			
Nelson Mandela Bay				-	-			
Dr Beyers Naude	20 495			20 495	25 243			20 608
Blue Crane Route	14 253			14 253	19 078			14 320
Makana	24 260			24 260	23 962			24 399
Ndlambe	36 535			36 535	36 087			27 295
Sundays River Valley	25 464			25 464	25 152			25 612
Kouga	31 578			31 578	31 190			37 996
Kou-Kamma	15 322			15 322	11 962			15 396
Sarah Baartman District Municipality								
Mbhashe	59 595			59 595	58 864			59 992
Mnquma	62 194			62 194	76 431			62 609
Great Kei	11 208			11 208	11 070			11 253
Amahlathi	27 972			27 972	27 629			40 139
Ngqushwa	22 378			22 378	22 103			22 504
Raymond Mhlaba	38 986			38 986	31 546			39 232
Amathole District Municipality	425 418			425 418	420 197			428 472



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000				
Kopanong	20 428			20 428	20 177	20 540	20 540	
Mohokare	17 898			17 898	22 678	17 991	17 991	
Khariëp District Municipality								
Masilonyana	30 017			30 017	39 649	32 421	32 421	
Tokologo	16 470			16 470	13 268	16 553	16 553	
Tswelopele	16 405			16 405	16 204	16 488	16 488	
Matjhabeng	118 247			118 247	161 274	119 070	119 070	
Nala	29 468			29 468	36 106	29 645	29 645	
Lejweleputswa District Municipality								
Setsotho	46 899			46 899	44 323	47 203	47 203	
Dihlabeng	47 405			47 405	41 823	48 648	48 648	
Nketoana	25 225			25 225	24 915	44 372	44 372	
Maluti-a-Phofung	161 626			161 626	159 643	162 764	162 764	
Phumelela	20 933			20 933	20 676	21 048	21 048	
Mantsope	19 863			19 863	29 619	19 971	19 971	
Thabo Mofutsanyana District Municipality								
Moghaka	49 924			49 924	49 311	40 178	40 178	
Ngwathe	41 704			41 704	41 192	41 971	41 971	
Metsimaholo	44 366			44 366	31 622	51 652	51 652	
Mafube	22 318			22 318	28 044	22 444	22 444	
Fezile Dabi District Municipality								
TOTAL FREE STATE	746 257			746 257	797 376	770 108	770 108	770 108
GAUTENG								
Ekurhuleni					-			
City of Johannesburg					-			
City of Tshwane					-			

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	DoRA and other transfers	Roll Overs	Adjustments	Total Available	Actual Transfer	Funds Withheld		
	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000
Emfuleni	167 785			167 785	90 726		87 222	87 222
Midvaal	31 592			31 592	51 204		41 786	41 786
Lesedi	26 249			26 249	25 927		26 404	26 404
Sedibeng District Municipality					-			
Mogale City					-			
Merapong City	65 834			65 834	51 860		66 275	66 275
Rand West City	90 534			90 534	129 423		91 155	91 155
West Rand District Municipality					-			
TOTAL GAUTENG	381 994			381 994	349 140		312 842	312 842
KWAZULU-NATAL					-			
eThekweni								
uMdoni	30 493			30 493	24 719		30 678	30 678
Umzumbi	33 867			33 867	33 451		34 077	34 077
uMuziwabantu	23 207			23 207	22 922		23 340	23 340
Ray Nkonyeni								
Ugu District Municipality	239 336			239 336	191 399		241 038	241 038
uMshwathi	27 428			27 428	27 091		27 591	27 591
uMngeni	22 909			22 909	22 628		34 039	34 039
Mpofana	11 981			11 981	11 981		16 031	16 031
Impendle	11 670			11 670	11 527		11 719	11 719
Msunduzi	196 128			196 128	193 721		197 516	197 516
Mkhambathini	15 996			15 996	25 800		16 076	16 076
Richmond	18 345			18 345	18 120		15 042	15 042
Umgungundlovu District Municipality	101 245			101 245	100 003		101 944	101 944
Okhahlamba	38 136			38 136	37 668		28 304	28 304
iNkosi Langalibalele	47 426			47 426	46 844		37 661	37 661



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	R'000
Alfred Duma	61 274			61 274	60 522			61 683
Uthukela District Municipality	182 647			182 647	180 406			183 937
Endumeni	15 137			15 137	15 137			15 210
Nqutu	31 009			31 009	30 628			31 198
Msinga	37 189			37 189	36 733			49 422
Umvoti	29 623			29 623	29 259			29 802
Umkhanyathi District Municipality	187 165			187 165	184 868			188 488
Newcastle	111 804			111 804	110 432			112 580
Emadlangeni	9 310			9 310	14 310			9 342
Dannhauser	21 645			21 645	13 645			21 766
Amajuba District Municipality	40 779			40 779	40 279			41 039
eDumbe	17 952			17 952	17 732			18 047
uPhongolo	28 134			28 134	27 789			28 302
Abaqulusi	36 904			36 904	36 451			37 135
Nongoma	31 679			31 679	31 290			31 873
Ulundi	30 713			30 713	45 336			30 900
Zululand District Municipality	223 984			223 984	221 235			225 574
Umhlabyalingana	34 702			34 702	34 276			34 918
Jozini	46 760			46 760	46 186			37 394
Mtubatuba	31 557			31 557	31 170			31 750
Big Five Hlabisa	21 239			21 239	20 978			21 357
Umkhanyakude District Municipality	213 445			213 445	220 826			214 959
Mfolozi	39 071			39 071	43 592			26 224
uMhlathuze								
uMlalazi	40 125			40 125	47 633			40 380
Mthonjaneni	17 939			17 939	24 439			18 033
Nkandla	22 706			22 706	22 427			22 834

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	R'000
NAME OF MUNICIPALITY								
King Cetshwayo District Municipality	169 623			169 623	155 456			170 818
Mandeni	35 150			35 150	34 719			26 269
KwaDukuza	51 347			51 347	50 717			51 684
Ndwedwe	29 630			29 630	29 266			34 809
Maphumulo	22 195			22 195	21 923			28 820
iLembe District Municipality	191 243			191 243	188 896			192 596
Greater Kokstad	17 229			17 229	17 229			21 318
Ukhlebezwe	26 759			26 759	26 431			26 917
Umkhumbi	43 097			43 097	42 568			55 373
Dr Nkomo District Municipality	26 989			26 989	26 658			27 149
Harry Gwala District Municipality	199 448			199 448	209 000			200 860
TOTAL KWAZULU-NATAL	3 195 369			3 195 369	3 158 316			3 215 816
LIMPOPO								
Greater Giyani	60 286			60 286	71 567			60 688
Greater Letaba	57 229			57 229	56 527			57 608
Greater Tzaneen	93 619			93 619	101 970			94 263
Ba-Phalaborwa	31 831			31 831	31 440			32 026
Maruleng	26 655			26 655	46 328			26 812
Mopani District Municipality	451 056			451 056	445 521			454 295
Musina	58 843			58 843	33 421			29 016
Thulamela	98 702			98 702	97 491			99 383
Makhado	88 968			88 968	87 876			89 577
LIM345	81 475			81 475	88 475			94 031
Vhembe District Municipality	511 093			511 093	504 821			514 768
Bloubaerg	44 066			44 066	43 525			44 350
Molemole	34 933			34 933	34 504			35 151



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	R'000	R'000
TOTAL LIMPOPO	2 933 539			2 933 539	2 977 399		2 944 637	2 944 637
MPUMALANGA								
Albert Luthuli	86 480			86 480	85 419		87 072	87 072
Msukaligwa	52 366			52 366	51 723		52 710	52 710
Mkhondo	77 807			77 807	82 852		78 336	78 336
Pixley Ka Seme	26 269			26 269	25 947		26 424	26 424
Lekwa	28 672			28 672	28 320		28 844	28 844
Dipaleseng	18 717			18 717	18 487		18 816	18 816
Govan Mbeki	57 422			57 422	56 717		68 803	68 803
Gert Sibande District Municipality								
Victor Khasye	24 768			24 768	24 464		24 912	24 912
Emalahleni	119 146			119 146	117 684		119 975	119 975

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000				
Steve Tshwete Emakhazeni	18 139			18 139		49 716	49 716	49 716
Thembisile Hani	122 575			122 575		29 235	29 235	29 235
Dr JS Moroka	124 245			124 245		123 429	123 429	123 429
Nkangala District Municipality						125 111	125 111	125 111
Thaba Chweu	47 076			47 076		47 382	47 382	47 382
Nkomazi	223 476			223 476		225 063	225 063	225 063
Bushbuckridge	371 379			371 379		374 040	374 040	374 040
City of Mbombela	331 383			331 383		333 753	333 753	333 753
Ehlanzeni District Municipality								
TOTAL MPUMALANGA	1 729 920			1 729 920	1 698 153	1 813 621	1 813 621	1 813 621
NORTHERN CAPE								
Richtersveld	7 321			7 321	5 531	7 338	7 338	7 338
Nama Khoi	22 024			22 024	15 524	14 593	14 593	14 593
Kamiesberg	7 534			7 534	13 442	11 553	11 553	11 553
Hantam	9 726			9 726	13 607	9 760	9 760	9 760
Karoo Hoogland	8 065			8 065	12 966	8 087	8 087	8 087
Khâi-Ma	7 753			7 753	5 658	7 773	7 773	7 773
Namakwa District Municipality								
Ubuntu	9 934			9 934	9 312	9 970	9 970	9 970
Umsobomvu	11 443			11 443	9 451	11 490	11 490	11 490
Emthanjeni	12 106			12 106	19 957	21 710	21 710	21 710
Kareeberg	8 016			8 016	6 418	8 038	8 038	8 038
Renosterberg	18 962			18 962	15 897	7 480	7 480	7 480
Thembelihle	20 917			20 917	13 860	9 449	9 449	9 449
Siyathemba	9 794			9 794	26 674	19 829	19 829	19 829



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Division of Revenue Act R'000
TOTAL NORTHERN CAPE	441 859			441 859	452 552			457 801
NORTH WEST								
Moretele	113 988			113 988	118 589			114 780
Madibeng	279 801			279 801	246 367			281 797
Rustenburg	233 448			233 448	230 583			235 107
Kgetlengrivier	35 697			35 697	51 259			25 847
Moses Kotane	148 649			148 649	131 825			149 692
Bojanala Platinum District Municipality								
Ratlou	29 224			29 224	20 165			29 400

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	
Tswaing	29 099			29 099	34 742			29 274
Mafikeng	80 825			80 825	94 833			81 230
Ditsobotla	46 612			46 612	56 040			36 540
Ramotshere Moiloa	36 923			36 923	26 270			37 154
Ngaka Modiri Molema District Municipality	297 376			297 376	293 727			299 499
Naledi	16 893			16 893	16 686			16 979
Mamusa	15 618			15 618	15 426			15 696
Greater Taung	47 297			47 297	46 717			47 604
Lekwa-Teemane	14 722			14 722	12 541			24 793
Kagisano-Molopo	29 887			29 887	38 020			39 568
Dr Ruth Segomotsi Mompati District Municipality	137 431			137 431	135 745			138 393
City of Matlosana	86 894			86 894	75 828			87 489
Maquassi Hills	28 042			28 042	23 872			28 209
Ventersdorp/Tlokwe	66 245			66 245	85 578			78 690
Dr Kenneth Kaunda District Municipality					-			-
TOTAL NORTHWEST	1 774 671			1 774 671	1 754 813			1 797 741
WESTERN CAPE								
City of Cape Town								
Matzikama	31 190			31 190	30 807			21 307
Cederberg	15 590			15 590	15 899			15 667
Bergivier	14 479			14 479	14 301			14 548
Saldanha Bay	19 311			19 311	19 074			19 415
Swartland	21 183			21 183	22 923			21 301
West Coast District Municipality								



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %
Witzenberg	22 286			22 286	22 013		22 411
Drakenstein							
Stellenbosch							
Breede Valley	34 240			34 240	33 820		34 452
Langeberg	21 860			21 860	22 592		21 983
Cape Winelands District Municipality							
Theewaterskloof	26 275			26 275	25 953		26 430
Overstrand	21 887			21 887	21 618		32 010
Cape Agulhas	10 961			10 961	12 326		11 005
Swellendam	20 887			20 887	11 739		11 937
Overberg District Municipality							
Kannaland	10 233			10 233	10 107		10 271
Hessequa	13 588			13 588	12 421		12 635
Mossel Bay	23 967			23 967	31 565		24 105
George	39 851			39 851	36 366		40 104
Oudtshoorn	21 627			21 627	21 362		21 747
Bitou	20 063			20 063	19 817		20 173
Knysna	24 887			24 887	24 582		25 031
Eden District Municipality							
Laingsburg	6 609			6 609	6 529		6 692
Prince Albert	7 543			7 543	7 450		15 502
Beaufort West	13 906			13 906	13 734		13 972
Central Karoo District Municipality	-			-	-		-
TOTAL WESTERN CAPE	442 423	-	-	442 423	436 998	442 698	442 698
TOTAL MIG	14 671 101	-	-	14 671 101	14 491 065	-	14 816 103

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	R'000
LOCAL GOVERNMENT EQUITABLE SHARE								
Buffalo City	911 042		142 572	1 053 614	1 053 614			847 431
Nelson Mandela Bay	1 106 936		153 904	1 260 840	962 565			1 021 661
Dr Beyers Naude	96 607		14 349	110 956	109 721			90 806
Blue Crane Route	56 696		8 601	65 297	65 297			53 519
Makana	99 851		15 012	114 863	108 308			93 494
Ndlambe	103 801		16 430	120 231	120 220			96 716
Sundays River Valley	86 469		13 454	99 923	99 923			79 777
Kouga	136 649		20 388	157 037	146 646			124 938
Kou-Kamma	52 718		7 924	60 642	59 079			45 783
Sarah Baartman	97 175		4 287	101 462	101 462			93 464
Mbhashe	263 748		50 253	314 001	314 001			249 464
Mnquma	273 742		53 663	327 405	325 734			258 930
Great Kei	45 063		6 729	51 792	51 246			42 309
Amahlathi	111 895		19 747	131 642	121 467			105 863
Ngqushwa	87 420		14 654	102 074	100 314			82 696
Raymond Mhlaba	184 086		34 386	218 472	217 648			174 140
Amathole	896 469		68 435	964 904	963 620			833 685
Inxuba Yethemba	45 400		7 279	52 679	52 679			42 907
Intsika Yethu	168 126		30 644	198 770	198 740			159 020
Emalahleni	130 818		23 082	153 900	153 799			123 739
Engcobo	156 738		28 376	185 114	182 127			148 281
Sakhisizwe	71 988		12 495	84 483	83 788			68 109
Enoch Mgijima	191 323		36 719	228 042	186 470			180 007
Chris Hani	581 707		67 669	649 376	598 866			543 689
								309 754



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	
Elundini	161 277		31 013	192 290	192 196		152 511	152 511
Senqu	158 517		30 504	189 021	189 021		149 935	149 935
Walter Sisulu	62 095		10 313	72 408	67 869		58 205	50 751
Joe Gqabi	293 252		34 630	327 882	325 672		273 796	271 611
Ngquza Hill	273 573		52 982	326 555	326 079		257 385	257 385
Port St Johns	162 406		29 802	192 208	191 823		153 034	151 748
Nyandeni	277 708		53 710	331 418	326 380		262 068	262 068
Mhlontlo	194 734		35 248	229 982	229 857		184 192	182 836
King Sabata Dalindyebo	352 763		75 861	428 624	424 020		329 470	329 194
O.R.Tambo	918 991		115 347	1 034 338	1 004 278		855 543	837 374
Matatiele	249 823		49 781	299 604	299 604		234 919	232 955
Umkhumbi	230 629		44 318	274 947	274 947		217 928	217 928
Mbizana	278 139		54 283	332 422	331 832		260 384	260 384
Ntabankulu	129 512		22 536	152 048	152 048		122 525	119 377
Alfred Nzo	598 038		75 059	673 097	667 667		556 720	556 720
Mangaung	800 260		111 265	911 525	739 725		735 867	455 879
Letsemeng	68 088		10 785	78 873	49 019		63 668	41 468
Kopanong	90 615		14 480	105 095	87 107		85 235	69 388
Mohokare	73 785		11 867	85 652	66 813		68 586	47 802
Xhariep	45 384		1 799	47 183	47 183		43 542	43 542
Masilanyana	127 950		21 474	149 424	139 628		118 906	103 578
Tokologo	58 153		9 267	67 420	64 628		54 560	45 238
Tswelopele	79 046		12 407	91 453	91 453		74 224	73 688
Matjhabeng	543 954		86 727	630 681	622 853		504 417	485 476
Nala	129 393		20 809	150 202	149 638		122 062	119 671
Lejweleputswa	133 411		6 972	140 383	140 383		128 350	127 350
Setsooto	205 715		34 095	239 810	203 227		192 449	192 449

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	R'000	R'000
Dhlabeng	178 773		28 620	207 393	207 393		164 487	164 487
Nketoana	104 537		16 891	121 428	118 936		97 090	94 864
Maluti-a-Phofung	645 037		121 611	766 648	764 879		599 867	565 455
Phumelela	80 750		12 708	93 458	93 458		75 451	75 451
Mantsopa	88 721		14 029	102 750	94 060		82 760	82 760
Thabo Mofutsanyana	121 089		10 213	131 302	131 042		115 593	115 593
Moghaka	222 134		36 708	258 842	258 842		205 660	205 660
Ngwathe	208 102		35 581	243 683	241 942		192 989	169 629
Metsimaholo	202 505		31 224	233 729	202 893		183 064	174 703
Mafube	103 074		16 833	119 907	119 062		95 847	95 847
Fezile Dabi	159 223		1 820	161 043	153 478		154 559	153 040
City of Ekurhuleni	3 830 583		532 587	4 363 170	4 361 559		3 478 292	3 038 221
City of Johannesburg	5 183 056		720 628	5 903 684	5 761 918		4 689 158	4 482 001
City of Tshwane	2 924 283		406 579	3 330 862	3 085 877		2 642 492	2 580 679
Emfuleni	835 994		123 118	959 112	842 015		772 335	772 310
Midvaal	118 071		15 552	133 623	133 623		107 047	107 047
Lesedi	148 231		22 409	170 640	167 213		133 765	128 111
Sedibeng	276 984		4 848	281 832	281 010		268 626	268 499
Mogale City	449 128		62 445	511 573	511 573		408 061	407 951
Merafong City	221 510		32 439	253 949	157 996		204 068	204 068
Rand West City	331 821		49 041	380 862	379 105		303 723	303 723
West Rand	215 078		8 668	223 746	223 746		207 500	193 305
eThekweni	3 444 498		478 907	3 923 405	3 763 471	-	3 160 624	2 763 621
uMdoni	141 339		26 476	167 815	166 149		132 002	132 002
uMzembe	138 576		23 772	162 348	162 202		131 115	131 115
uMuziwabantu	96 882		18 246	115 128	112 754		91 211	91 211
							205 608	205 608



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	R'000	R'000
Ray Nkonyeni	222 794		44 154	266 948	266 909		462 844	462 844
Ugu	501 357		58 111	559 468	557 282		101 901	101 901
uMshwathi	108 748		20 187	128 935	128 516		67 048	67 048
uMngeni	73 356		12 045	85 401	82 542		35 624	35 624
Mpofana	37 857		6 461	44 318	44 318		35 693	35 693
iMpendle	37 709		5 990	43 699	43 699		546 052	516 744
Msunduzi	593 405		88 998	682 403	682 360		62 733	62 733
Mkhambathini	67 330		12 405	79 735	79 735		66 971	66 971
Richmond	74 914		14 333	89 247	89 247		526 031	526 031
uMgungundlovu	572 040		41 235	613 275	607 235		124 946	124 946
Okhahlamba	132 687		25 330	158 017	158 017		176 729	169 659
iNkosi Langalibalele	189 165		36 529	225 694	224 585		232 678	229 994
Alfred Duma	249 124		50 188	299 312	299 159		432 409	427 824
uThukela	466 180		55 475	521 655	521 655		46 837	42 042
eNdumeni	50 969		9 078	60 047	55 014		137 328	137 328
Nquthu	145 889		27 875	173 764	172 960		163 783	163 783
uMsinga	175 125		34 096	209 221	209 221		127 889	127 815
uMvoti	138 440		27 491	165 931	165 931		353 478	353 478
uMzinyathi	383 967		46 558	430 525	430 525		373 648	365 355
Newcastle	403 064		68 899	471 963	448 493		30 478	30 478
eMadlangeni	32 255		4 446	36 701	36 417		91 758	91 758
Dannhauser	97 142		17 071	114 213	110 538		161 133	135 201
Amajuba	173 757		11 471	185 228	185 228		74 585	74 556
eDumbe	79 348		14 858	94 206	94 206		136 387	136 387
uPhongolo	146 625		29 205	175 830	175 830		148 281	140 493
AbaQulusi	160 312		32 293	192 605	191 340		154 506	154 506
Nongoma	164 354		30 748	195 102	194 844		163 194	163 194

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	R'000	R'000
Ulundi	173 458		32 130	205 588	205 588		464 560	464 560
Zululand	502 849		61 423	564 272	564 272		166 017	166 017
uMhlabyalingana	179 108		35 777	214 885	214 130		181 990	171 164
Jozini	195 084		39 086	234 170	234 170		164 301	164 301
Mtubatuba	178 082		35 370	213 452	210 361		107 783	107 783
Big Five Hlabisa	116 077		22 248	138 325	138 325		412 178	412 178
uMkhanyakude	449 981		56 012	505 993	496 247		130 797	129 742
uMfolozi	140 635		26 658	167 293	166 548		362 965	362 165
uMhlathuze	396 870		65 617	462 487	455 487		185 590	185 590
uMlalazi	197 968		36 824	234 792	234 792		79 412	79 412
Mthonjaneni	83 914		13 406	97 320	97 320		96 903	96 903
Nkandla	102 419		18 054	120 473	115 597		514 940	514 940
King Cetshwayo	557 703		36 894	594 597	594 597		167 483	167 483
Mandeni	181 342		37 060	218 402	211 079		167 408	167 408
KwaDukuza	185 879		33 206	219 085	219 085		147 784	144 310
Ndwedwe	158 224		29 777	188 001	188 001		90 716	90 716
Maphumulo	95 897		17 381	113 278	113 278		516 503	516 503
iLembe	566 794		66 325	633 119	633 119		61 663	61 663
Greater Kokstad	65 878		11 668	77 546	76 931		110 309	110 309
uBuhlebezwe	117 008		21 596	138 604	138 604		189 217	189 217
uMzimkhulu	202 075		39 408	241 483	239 897		125 869	125 869
Dr Nkosazana Dlamini Zuma	134 138		25 619	159 757	159 757		345 309	302 071
Harry Gwala	372 340		45 283	417 623	417 623		287 217	284 255
Greater Giyani	307 293		62 275	369 568	369 568		278 254	278 254
Greater Letaba	297 936		59 884	357 820	357 820		383 693	383 567
Greater Tzaneen	413 949		92 327	506 276	506 276		150 929	150 749
Ba-Phalaborwa	162 853		33 083	195 936	193 227			



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	
Maruleng	133 584		25 907	159 491	159 491		124 492	124 492
Mopani	998 515		124 144	1 122 659	1 117 970		919 537	850 137
Musina	158 173		32 793	190 966	190 966		142 917	133 566
Thulamela	474 987		102 509	577 496	577 496		442 452	442 452
Makhado	385 034		84 072	469 106	469 106		357 528	357 528
Collins Chabane	395 677		82 318	477 995	477 995		369 556	369 556
Vhembe	1 084 112		143 764	1 227 876	1 227 563		996 113	994 618
Blouberg	197 240		37 838	235 078	234 943		186 309	186 309
Molemole	150 787		29 175	179 962	179 909		142 578	142 069
Polokwane	1 007 763		174 006	1 181 769	1 137 566		922 589	808 824
Lepele-Nkumpi	265 947		52 566	318 513	318 347		250 041	231 647
Capricorn	634 374		56 907	691 281	690 856		588 933	588 933
Thabazimbi	102 404		13 911	116 315	116 315		93 580	93 580
Lephalale	164 011		27 179	191 190	191 190		147 694	147 355
Bela-Bela	99 226		15 815	115 041	101 079		90 909	90 909
Mogalakwena	467 724		86 545	554 269	487 838		436 536	436 536
Modimolle-Mookgophong	117 790		18 832	136 622	121 222		109 995	34 554
Waterberg	135 060		7 064	142 124	142 124		129 990	129 990
Ephraim Mogale	155 455		30 274	185 729	185 729		144 997	144 997
Elias Motsoaledi	289 039		58 486	347 525	342 777		269 009	269 009
Makhuduthamaga	285 383		56 548	341 931	341 931		267 931	267 931
Fetakgomo Tubatse	452 557		104 723	557 280	557 280		415 486	403 284
Sekhukhune	837 796		105 029	942 825	873 888		769 253	708 201
Chief Albert Luthuli	335 197		58 938	394 135	394 135		311 205	311 205
Musikigwa	189 302		29 769	219 071	218 544		172 093	167 624
Mkhondo	256 438		43 752	300 190	300 190		235 268	235 268
Dr Pixley ka Isaka Seme	128 034		21 266	149 300	146 195		119 397	112 885

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %		
Lekwa	129 306		20 126	149 432	147 656			118 689	111 954
Dipaleseng	78 171		12 930	91 101	48 107			72 019	72 019
Govan Mbeki	314 264		43 694	357 958	353 765			284 504	281 501
Gert Sibande	300 489		2 763	303 252	303 252			291 937	291 737
Victor Khanye	105 840		16 889	122 729	122 729			96 421	96 421
Emalahleni	401 151		55 774	456 925	456 925			360 048	360 048
Steve Tshwete	226 033		31 426	257 459	257 459			200 511	200 511
Emakhazeni	68 629		10 463	79 092	72 926			63 383	63 383
Thembisile Hani	438 292		80 951	519 243	518 990			404 156	404 156
Dr JS Moroka	405 169		71 990	477 159	473 430			379 397	375 203
Nkangala	367 222		5 278	372 500	372 500			356 274	356 274
Thaba Chweu	157 048		24 307	181 355	181 355			143 286	143 286
Nkomazi	618 310		116 733	735 043	734 854			569 784	568 896
Bushbuckridge	848 071		165 341	1 013 412	1 013 412			788 070	788 070
City of Mbombela	794 688		132 918	927 606	927 304			725 225	684 673
Ehlanzeni	264 278		18 294	282 572	282 572			253 324	253 324
Richtersveld	18 384		2 315	20 699	19 418			17 077	13 273
Nama Khoi	51 221		7 171	58 392	46 562			47 830	47 830
Kamiesberg	24 179		2 816	26 995	23 542			22 790	22 211
Hantam	26 371		3 525	29 896	29 896			24 746	24 746
Karoo Hoogland	24 142		3 159	27 301	27 301			22 416	22 416
Khâ-Ma	20 307		2 549	22 856	22 262			18 953	18 374
Namakwa	50 725		596	51 321	51 293			49 192	49 192
Ubutu	37 172		5 393	42 565	42 537			34 602	34 602
Umsobomvu	53 538		8 142	61 680	55 079			49 477	41 591
Emthanjeni	48 135		6 885	55 020	48 465			44 900	36 472
Kareeberg	26 124		3 217	29 341	29 341			24 348	10 811



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	GRANT ALLOCATION			TRANSFER			Division of Revenue Act	Actual transfer R'000
	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	
Renosterberg	26 420		3 195	29 615	23 047			24 687
Thembelihle	27 102		3 592	30 694	29 696			25 395
Siyathemba	35 417		4 985	40 402	40 402			32 939
Siyancuma	52 642		7 711	60 353	51 950			49 714
Pixley Ka Seme	53 097		2 045	55 142	55 142			51 027
IKai !Garib	91 919		13 509	105 428	101 564			84 517
IKheis	26 816		3 431	30 247	26 451			25 352
Tsantsabane	42 497		6 028	48 525	48 525			39 037
Kgatelopele	24 294		3 366	27 660	27 600			22 388
Dawid Kruiper	91 546		12 444	103 990	102 237			84 895
Z.F. Mgcawu	72 110		1 982	74 092	74 092			69 622
Sol Plaatje	205 072		29 570	234 642	222 635			189 151
Dikgatlong	91 591		15 310	106 901	106 782			85 055
Magareng	49 355		7 257	56 612	50 956			46 340
Phokwane	111 534		18 490	130 024	121 583			104 786
Frances Baard	124 299		1 469	125 768	125 768			120 606
Joe Morolong	150 978		25 306	176 284	159 596			142 240
Ga-Segonyana	174 760		30 830	205 590	205 590			159 726
Gamagara	41 942		5 442	47 384	47 384			37 163
John Taolo Gaetsewe	93 279		4 767	98 046	98 046			89 657
Moretele	363 948		64 947	428 895	428 895			340 474
Madibeng	770 767		131 521	902 288	901 462			695 606
Rustenburg	756 697		105 208	861 905	705 119			675 452
Kgetlengrivier	99 852		16 019	115 871	66 857			91 271
Moses Kotane	459 989		82 645	542 634	542 394			429 267
Bojanala Platinum	352 817		20 275	373 092	371 302			339 278
Ratlou	133 828		25 439	159 267	151 260			125 655

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	GRANT ALLOCATION			TRANSFER		Re-allocations by National Treasury or National Department %	Division of Revenue Act R'000	Actual transfer R'000	
	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000			
Tswaing	123 571		23 587	147 158	146 653		115 359	115 359	
Mafikeng	279 142		58 476	337 618	336 837		257 427	257 124	
Ditsobotla	137 063		26 782	163 845	161 204		126 697	116 058	
Ramotshere Moiloa	187 061		38 027	225 088	223 298		174 021	170 007	
Ngaka Modiri Molema	829 868		87 097	916 965	892 929		762 805	762 795	
Naledi	56 283		9 384	65 667	62 813		52 554	37 407	
Mamusa	59 874		10 083	69 957	69 899		55 905	53 557	
Greater Taung	206 229		39 332	245 561	240 472		194 770	175 291	
Lekwa-Teemane	53 596		9 202	62 798	60 798		49 909	46 361	
Kagisano-Molopo	128 914		24 140	153 054	153 054		121 637	113 082	
Dr Ruth Segomotsi Mompati	388 696		44 413	433 109	283 526		361 316	259 519	
City of Matlosana	466 536		71 371	537 907	535 258		429 961	369 400	
Maquassi Hills	138 115		22 749	160 864	160 563		128 390	127 503	
JB Marks	284 490		42 061	326 551	320 416		257 937	257 249	
Dr Kenneth Kaunda	193 845		4 775	198 620	198 173		187 599	187 487	
City of Cape Town	3 081 195		428 395	3 509 590	3 509 590		2 815 558	2 815 558	
Matzikama	60 792		8 222	69 014	55 324		56 540	53 709	
Cederberg	53 069		7 698	60 767	51 282		49 201	47 946	
Bergvliet	48 940		6 415	55 355	55 355		45 025	45 025	
Saldanha Bay	96 832		12 781	109 613	109 613		88 277	88 277	
Swartland	102 195		14 209	116 404	116 404		91 534	91 534	
West Coast	96 113		3 134	99 247	99 247		92 706	92 389	
Witzenberg	101 915		14 170	116 085	86 952		92 850	85 782	
Drakenstein	164 466		22 866	187 332	187 332		150 601	150 601	
Stellenbosch	149 804		20 828	170 632	170 632		136 177	136 177	
Breede Valley	127 591		17 739	145 330	145 330		117 997	117 997	
Langeberg	85 039		11 449	96 488	96 117		79 200	79 200	



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	GRANT ALLOCATION				TRANSFER		Division of Revenue Act	Actual transfer
	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	R'000
Cape Winelands	238 441		444	238 885	238 885			232 056
Theewaterskloof	103 419		15 364	118 783	118 551			95 587
Overstrand	117 318		17 004	134 322	134 322			106 697
Cape Agulhas	32 155		4 305	36 460	36 460			29 908
Swellendam	34 118		4 579	38 697	38 697			31 579
Overberg	74 636		2 912	77 548	77 548			71 776
Kannaland	29 803		4 208	34 011	34 011			28 147
Hessequa	47 294		6 236	53 530	53 530			44 063
Mossel Bay	101 192		14 163	115 355	115 355			93 352
George	163 760		22 768	186 528	150 762			149 978
Oudtshoorn	78 568		11 222	89 790	89 790			73 525
Bitou	104 346		17 322	121 668	121 645			93 691
Knysna	94 039		13 957	107 996	107 996			86 321
Garden Route	162 480		3 227	165 707	165 707			157 152
Laingsburg	17 704		1 948	19 652	19 652			15 017
Prince Albert	22 985		2 940	25 925	25 925			21 355
Beaufort West	67 109		10 371	77 480	73 778			59 781
Central Karoo	31 965		827	32 792	32 792			30 642
TOTAL LGES	74 683 326		11 000 000	85 683 326	83 102 374			68 973 465
MOTOR VEHICLE LICENCE								
Tshwane Metropolitan Municipality	17			17	17			27
TOTAL	90 441 782	-	11 000 000	101 441 782	98 680 794	-	-	83 789 595
80 443 393								

The Department confirms as required by DoRA that all transfers were deposited into the primary bank account of the Province and /Municipality



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35. BROAD BASED BLACK ECONOMIC EMPOWERMENT PERFORMANCE

Information on compliance with the B-BBEE Act is included in the annual report under the section titled B-BBEE Compliance Performance Information.

36. COVID 19 Response Expenditure

	Note	2020/21	2019/20
	Annexure I I	R'000	R'000
Compensation of employees		-	-
Goods and services		23 116	-
Transfers and subsidies		-	-
Expenditure for capital assets		-	-
Other		-	-
Total		23 116	-

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ANNEXURE 1A

STATEMENT OF CONDITIONAL GRANTS AND OTHER TRANSFERS PAID TO MUNICIPALITIES

NAME OF MUNICIPALITY	GRANT ALLOCATION			TRANSFER			SPENT			2019/20			
	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
INTERGRATED URBAN DEVELOPMENT GRANT													
GT481 MOGALE CITY	118 293			118 293	118 293			118 293					
KZN216 RAY NKONYENI	64 509			64 509	64 509			64 509					
KZN282 UMLATHUZE LOCAL MUN LIM354	159 963			159 963	159 963			159 963					
POLOKWANE LOCAL MUN	346 648			346 648	346 648			346 648					
MP313 STEVE TSHWETE	91 794			91 794	91 794			91 794					
NC091 SOL PLAATJIE	50 328			50 328	50 328			50 328					
WC023 DRAKENSTEIN	57 927			57 927	57 927			57 927					
WC024 STELLENBOSCH	46 906			46 906	46 906			46 906					
TOTAL	936 368			936 368	936 368			936 368					

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NAME OF MUNICIPALITY	GRANT ALLOCATION			TRANSFER			SPENT				2019/20		
	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
DISASTER RELIEF GRANT													
TOTAL M 007													
EC101 DR BEYERS NAUDE LOCAL MUN	1 132			1 132	1 132			1 132					
TOTAL M 007													
EC102 BLUE CRANE ROUTE	983			983	983			983					
TOTAL M 007													
EC104 MAKANA	1 639			1 639	1 639			1 639					
TOTAL M 007													
EC105 NDLAMBE	1 043			1 043	1 043			1 043					
TOTAL M 007													
EC106 SUNDAYS RIVERVALLEY	1 043			1 043	1 043			1 043					
TOTAL M 007													
EC108 KOUGA	1 192			1 192	1 192			1 192					
TOTAL M 007													
EC109 KOUKAMA	953			953	953			953					
TOTAL M 007													
DC10 SARAH BAARTMAN DISTRICT MUN	596			596	596			596					
TOTAL M 007													
EC121 MBHASHE	1 341			1 341	1 341			1 341					



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NAME OF MUNICIPALITY	GRANT ALLOCATION				TRANSFER			SPENT				2019/20	
	DoRA and other transfers	Roll Overs	Adjustments	Total Available	Actual Transfer	Funds Withheld	Re-allocations by National Treasury or National Department	Amount received by municipality	Amount spent by municipality	Unspent funds	% of available funds spent by municipality	Division of Revenue Act	Actual transfer
TOTAL M 007	1 937			1 937	1 937			1 937					
ECI22 MNQUMA													
TOTAL M 007	417			417	417			417					
ECI23 GREAT KEI													
TOTAL M 007	596			596	596			596					
ECI24 AMAHLATI													
TOTAL M													
007 ECI26	328			328	328			328					
NGQUSHWA													
TOTAL M 007	745			745	745			745					
ECI29 RAYMOND													
MHLABA													
TOTAL M													
007 DCI2													
AMATOLE DIST													
MUNICIPALITY	3 397			3 397	3 397			3 397					
TOTAL M 007													
ECI31 INXUBA	447			447	447			447					
YETHEMBA													
TOTAL M 007	447			447	447			447					
ECI35 INTSIKA													
YETHU													
TOTAL M													
007 ECI36	596			596	596			596					
EMALAHLENI													
TOTAL M 007	894			894	894			894					
ECI37 ENGCOBO													

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NAME OF MUNICIPALITY	GRANT ALLOCATION				TRANSFER			SPENT				2019/20	
	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M 007 ECI 38 SAKHISIZWE	596			596	596			596					
TOTAL M 007 ECI 39 ENOCH MGJIMA LOCAL MUN	894			894	894			894					
TOTAL M 007 DCI 3 CHRIS HANI DIST MUNICIPALIT	3 516			3 516	3 516			3 516					
TOTAL M 007 ECI 41 ELUNDINI	596			596	596			596					
TOTAL M 007 ECI 42 SENQU	477			477	477			477					
TOTAL M 007 ECI 45 WALTER SIZULU	477			477	477			477					
TOTAL M 007 DCI 4 JOE DISTR MUNICIPALITY	2 235			2 235	2 235			2 235					
TOTAL M 007 ECI 53 NGOUZA HILL	447			447	447			447					
TOTAL M 007 ECI 54 PORT ST JOHNS	685			685	685			685					
TOTAL M 007 ECI 55 NYANDENI	834			834	834			834					



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 EC156													
MHLONTLO	536			536	536			536					
TOTAL M 007													
EC157 KI SABATA													
DALINDYEBO	3 277			3 277	3 277			3 277					
TOTAL M 007													
DC15 OR													
TAMBO DIST													
MUNICIPALITY	4 201			4 201	4 201			4 201					
TOTAL M													
007 EC442													
UMZIMVUBU	536			536	536			536					
TOTAL M 007													
EC441 MATATIELE	745			745	745			745					
TOTAL M 007													
EC443 MBIZANA	715			715	715			715					
TOTAL M													
007 EC444													
NTABANKULU	417			417	417			417					
TOTAL M 007													
DC44 ALFRED													
NZO DIST													
MUNICIPAL	1 877			1 877	1 877			1 877					
TOTAL M													
007 FS161													
ETSEMENG	655			655	655			655					

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act	R'000	Actual transfer R'000
TOTAL M														
007 FS162	119			119	119			119						
KOPANONG														
TOTAL M														
007 FS163	298			298	298			298						
MOHOKARE														
TOTAL M 007														
DC16 XHARIEP DISTRICT	149			149	149			149						
MUNICIPAL														
TOTAL M														
007 FS181	417			417	417			417						
MASILONYANA														
TOTAL M														
007 FS182	119			119	119			119						
TOKOLOGO														
TOTAL M														
007 FS183	179			179	179			179						
TSWELOPELE														
TOTAL M														
007 FS184	596			596	596			596						
MATJHABENG														
TOTAL M 007	179			179	179			179						
FS185 NALA														
TOTAL M														
007 DC18	149			149	149			149						
LEJWELEPUTSWA DIST MUNICIPAL														



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	DoRA and other transfers	Roll Overs	Adjustments	Total Available	Actual Transfer	Funds Withheld	Re-allocations by National Treasury or National Department	Amount received by municipality	Amount spent by municipality	Unspent funds	% of available funds spent by municipality	Division of Revenue Act	Actual transfer
TOTAL M 007	238			238	238			238					
FS191 SETSOTO													
TOTAL M													
007 FS192	864			864	864			864					
DIHLABENG													
TOTAL M 007													
FS193 NKETOANA	119			119	119			119					
TOTAL M 007													
FS194 MALUTIA-PHOFUNG	1132			1132	1132			1132					
TOTAL M													
007 FS195	417			417	417			417					
PHUMELELA													
TOTAL M 007													
FS196 MATSOPA	179			179	179			179					
TOTAL M 007													
DC19 THABO													
MOFUTSANYANE													
DIST MUN	149			149	149			149					
TOTAL M 007													
FS201 MOQHAKA	1013			1013	1013			1013					
TOTAL M 007													
FS203 NGWATHE	745			745	745			745					
TOTAL M													
007 FS204	298			298	298			298					
METSIMAHOLO													
TOTAL M 007													
FS205 MAFURE	447			447	447			447					

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M 007													
DC20 FEZILE DABI DIST MUNICIPAL	149			149	149			149					
TOTAL M 007	596			596	596			596					
GT421 EMFULENI													
TOTAL M 007	718			718	718			718					
GT422 MIDVAAL													
TOTAL M 007	685			685	685			685					
GT423 LESEDI													
TOTAL M 007													
DC42 SEDIBENG DISTRICT MUNICIPAL	119			119	119			119					
TOTAL M 007													
GT481 MOGALE CITY	1251			1251	1251			1251					
TOTAL M 007	596			596	596			596					
GT485 NEW LOC MUN(RNDFNT-N&WSTNR)	1192			1192	1192			1192					
TOTAL M 007													
DC48 WEST RAND DIST MUNICIPALITY	119			119	119			119					



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 KZN212													
UMDONI LOCAL MUNICIPALITY	1 341			1 341	1 341			1 341					
TOTAL M													
007 KZN213													
UMZUMBE	1 043			1 043	1 043			1 043					
TOTAL M 007													
KZN214 UMUZIWABANTU	1 490			1 490	1 490			1 490					
TOTAL M 007													
KZN216 RAY NKONYENI	1 108			1 108	1 108			1 108					
TOTAL M 007													
DC21 UGU DISTRICT MUNICIPALITY	745			745	745			745					
TOTAL M													
007 KZN221													
UMSHWATHI	953			953	953			953					
TOTAL M													
007 KZN222													
UMNGENI	1 460			1 460	1 460			1 460					
TOTAL M													
007 KZN223													
MPOFANA	745			745	745			745					
TOTAL M													
007 KZN224													
MPENDLE	854			854	854			854					

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 KZN225	1 192			1 192	1 192			1 192					
MSUNDUZI													
TOTAL M													
007 KZN226	745			745	745			745					
MKHAMBATHINI													
TOTAL M													
007 KZN227	745			745	745			745					
RICHMOND													
TOTAL M 007													
DC22 UMGUN-													
GUNDLOVU DIST													
MUN	894			894	894			894					
TOTAL M													
007 KZN235	1 287			1 287	1 287			1 287					
OKHAHLAMBA													
TOTAL M 007													
KZN237 INKOSI													
ILANGALIBALELE	1 222			1 222	1 222			1 222					
TOTAL M 007													
KZN238 ALFRED													
DUMA	1 077			1 077	1 077			1 077					
TOTAL M													
007 DC23													
UTHUKELA DIST													
MUNICIPALITY	745			745	745			745					
TOTAL M													
007 KZN241													
UNDUMENI	775			775	775			775					



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	DoRA and other transfers	R'000	Roll Overs	R'000	Adjustments	R'000	Total Available	R'000	Actual Transfer	R'000	Funds Withheld	Re-allocations by National Treasury or National Department	R'000	Amount received by municipality	Amount spent by municipality	Unspent funds	% of available funds spent by municipality	R'000	Division of Revenue Act	R'000	Actual transfer	
TOTAL M																						
007 KZN242 NQUTHU	804						804		804					804								
TOTAL M 007																						
KZN244 MSINGA	960						960		960					960								
TOTAL M 007																						
KZN245 UMVOTI	757						757		757					757								
TOTAL M																						
007 DC24 UMZINYATHI	536						536		536					536								
DIST MUNICIPAL																						
TOTAL M																						
007 KZN252 NEWCASTLE	757						757		757					757								
TOTAL M																						
007 KZN253 EMADLANGENI	685						685		685					685								
TOTAL M																						
007 KZN254 DANNHAUSER	735						735		735					735								
TOTAL M																						
007 DC25 AMAJUBA DIST MUNICIPALITY	387						387		387					387								
TOTAL M 007																						
KZN261 EDUMBE	1 192						1 192		1 192					1 192								
TOTAL M																						
007 KZN262 UPHONGOLO	879						879		879					879								

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 KZN263 ABAQULUSI	1 302			1 302	1 302			1 302					
TOTAL M													
007 KZN265 NONGOMA	1 192			1 192	1 192			1 192					
TOTAL M 007													
KZN266 ULUNDI	1 192			1 192	1 192			1 192					
TOTAL M													
007 DC26 ZULULAND DIST MUNICIPALITY	596			596	596			596					
TOTAL M 007													
KZN271 UMHLA-BUYALINGANA	864			864	864			864					
TOTAL M 007													
KZN272 JOZINI	864			864	864			864					
TOTAL M													
007 KZN275 MTUBATUBA	1 341			1 341	1 341			1 341					
TOTAL M 007													
KZN276 BIG FIVE/HLABISA LOC MUN	1 460			1 460	1 460			1 460					
TOTAL M													
007 DC27 UMKHANYAKUDE DIST MUNICIPAL	596			596	596			596					



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 KZN281	745			745	745			745					
MFOLOZI													
TOTAL M													
007 KZN282													
UMHLATHUZE													
LOCAL MUN	1 192			1 192	1 192			1 192					
TOTAL M													
007 KZN284													
UMHLAZI	745			745	745			745					
TOTAL M													
007 KZN285													
MTHONJANENI													
LOCAL MUN	745			745	745			745					
TOTAL M													
007 KZN286													
NKANDLA	745			745	745			745					
TOTAL M													
007 DC28													
UTHUNGULU													
DIST MUNICIPAL	745			745	745			745					
TOTAL M													
007 KZN291													
MANDENI	745			745	745			745					
TOTAL M													
007 KZN292													
KWADUKUZA	894			894	894			894					

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 KZN293 NDWEDWE	745			745	745			745					
TOTAL M													
007 KZN294 MAPHUMULO	745			745	745			745					
TOTAL M 007													
DC29 ILEMBE DISTRICT MUNICIPAL	596			596	596			596					
TOTAL M 007													
KZN433 GRAETER KOKSTAD	596			596	596			596					
TOTAL M													
007 KZN434 UBUHLEBEZWE	655			655	655			655					
TOTAL M													
007 KZN435 UMZIMKHULU	745			745	745			745					
TOTAL M 007													
KZN436 DR													
NKOSAZANA DLAMINI ZUMA	745			745	745			745					
TOTAL M 007													
DC43 HARRY GWALA DISTRICT MUN	596			596	596			596					



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M 007													
LIM471 EMPRAIM MOGALE	596			596	596			596					
TOTAL M 007 LIM473 MAKHUDU-THAMAGA	298			298	298			298					
TOTAL M 007 LIM472 ELIAS MOTSOLEDI	596			596	596			596					
TOTAL M 007 LIM476 GRTR TUBATSE/FETAKGOMO	596			596	596			596					
TOTAL M 007 DC47 GREATER SEKHUKHUNE DIST MUN	894			894	894			894					
TOTAL M 007 LIM331 GREATER GIYANI	298			298	298			298					
TOTAL M 007 LIM332 GREATER LETABA	298			298	298			298					
TOTAL M 007 LIM333 GREATER TZANEEN	298			298	298			298					

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 LIM334 BAPHALABORWA	298			298	298			298					
TOTAL M													
007 LIM335 MARULENG	149			149	149			149					
TOTAL M													
007 DC33 MOPANI DIST													
MUNICIPALITY	2 384			2 384	2 384			2 384					
TOTAL M													
007 LIM341 MUSINA LOCAL	447			447	447			447					
MUNICIPALITY													
TOTAL M													
007 LIM343 THULAMELA													
LOCAL MUN	149			149	149			149					
TOTAL M													
007 LIM344 MAKHADO	149			149	149			149					
TOTAL M													
007 LIM345 NEW LOCAL													
MUNICIPALITY	298			298	298			298					
TOTAL M													
007 DC34 VHEMBE DIST													
MUNICIPALITY	2 086			2 086	2 086			2 086					
TOTAL M													



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 LIM351 BLOUBERG													
LOCAL MUN	358			358	358			358					
TOTAL M													
007 LIM353 MOLEMOLE													
LOCAL MUN	358			358	358			358					
TOTAL M													
007 LIM354 POLOKWANE													
LOCAL MUN	596			596	596			596					
TOTAL M													
007 LIM355 LEPELENKUMPI													
LOCAL MUN	298			298	298			298					
TOTAL M													
007 DC35 CAPRICORN DIST													
MUNICIPALITY	453			453	453			453					
TOTAL M													
007 LIM361 THABAZIMBI													
LOCAL MUN	298			298	298			298					
TOTAL M													
007 LIM362 LEPHALALE													
LOCAL MUN	298			298	298			298					
TOTAL M													
007 LIM366 BELA BELA													
LOCAL MUN	179			179	179			179					
TOTAL M													

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 LIM367													
MOGALAKWENA	417			417	417			417					
TOTAL M													
007 LIM368													
MODIMOLLE/													
MOOKGOPONG													
LMN	298			298	298			298					
TOTAL M													
007 DC36													
WATERBERG DIST													
MUNICIPALITY	1 192			1 192	1 192			1 192					
TOTAL M 007													
MP301 ALBERT													
LUTHULI	341			341	341			341					
TOTAL M													
007 MP302													
MSUKALINGWA	447			447	447			447					
TOTAL M													
007 MP303													
MKHONDO	554			554	554			554					
TOTAL M 007													
MP304 PIXLEY KA													
SEME	447			447	447			447					
TOTAL M 007													
MP305 LEKWA	1 043			1 043	1 043			1 043					
TOTAL M													
007 MP306													
DIPALESENG	179			179	179			179					



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M 007													
MP307 GOVAN													
MBEKI	1 013			1 013	1 013			1 013					
TOTAL M 007													
MP311 VICTOR													
KHANYE	477			477	477			477					
TOTAL M													
007 MP312													
EMALAHLENI	447			447	447			447					
TOTAL M 007													
MP313 STEVE													
TSHWETE	447			447	447			447					
TOTAL M													
007 MP314													
EMAKHAZENI	238			238	238			238					
TOTAL M 007													
MP315 THEMBSILE	596			596	596			596					
TOTAL M 007													
MP316 DR JS													
MOROKA	417			417	417			417					
TOTAL M 007													
MP321 THABA													
CHWEU	596			596	596			596					
TOTAL M 007													
MP324 NKOMAZI	655			655	655			655					
TOTAL M													
007 MP325													
BUSHBUCKRIDGE	626			626	626			626					

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 MP326													
MBOMBELA/													
UMJINDI LOC													
MUN	1 073			1 073	1 073			1 073					
TOTAL M 007													
NC451 JOE													
MOROLONG	125			125	125			125					
TOTAL M													
007 NC452													
GASEGONYANA	179			179	179			179					
TOTAL M													
007 NC453													
GAMAGARA	95			95	95			95					
TOTAL M													
007 DC45													
JOHNTAOLO													
GAETSWEWE													
MUN	119			119	119			119					
TOTAL M													
007 NC061													
RICHTERSVELD	42			42	42			42					
TOTAL M 007													
NC062 NAMA													
KHOI	182			182	182			182					
TOTAL M													
007 NC064													
KAMIESBERG	39			39	39			39					



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M 007	167			167	167			167					
NC065 HANTAM													
TOTAL M 007													
NC066 KAROO HOOGLAND	21			21	21			21					
TOTAL M 007													
NC067 KHAIMA	30			30	30			30					
TOTAL M 007													
DC6 NAMAKWA DISTRICT													
MUNICIPALIT	149			149	149			149					
TOTAL M 007													
NC071 UBUNTU	66			66	66			66					
TOTAL M													
007 NC072													
UMSOBOMVU	167			167	167			167					
TOTAL M													
007 NC073													
EMTHANJENI	149			149	149			149					
TOTAL M													
007 NC074													
KAREEBERG	54			54	54			54					
TOTAL M													
007 NC075													
RENOSTERBERG	54			54	54			54					
TOTAL M													
007 NC076													
THEMBELIHLE	60			60	60			60					

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 NC077	66			66	66			66					
SIYATHEMBA													
TOTAL M													
007 NC078	36			36	36			36					
SIYANCUMA													
TOTAL M 007													
DC7 PIXLEY KA													
SEME DISTRICT													
MUN	30			30	30			30					
TOTAL M 007													
NC082 IKAL I													
GARIB	131			131	131			131					
TOTAL M 007													
NC084 IKHEIS	67			67	67			67					
TOTAL M													
007 NC085	89			89	89			89					
TSANTSABANE													
TOTAL M													
007 NC086	60			60	60			60					
KGATELOPELE													
TOTAL M 007													
NC087 DAWID	268			268	268			268					
KRUIPER													
TOTAL M 007 DC8													
Z.F.MQCAWU	30			30	30			30					
DISTRICT MUN													



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M 007													
NC091 SOL PLAATJIE	328			328	328			328					
TOTAL M													
007 NC092 DIKGATLONG	119			119	119			119					
TOTAL M													
007 NC093 MAGARENG	66			66	66			66					
TOTAL M													
007 NC094 PHOKWANE	119			119	119			119					
TOTAL M 007													
DC9 FRANCES BAARD DIST MUNICIPAL	30			30	30			30					
TOTAL M													
007 NW371 MORETELE	268			268	268			268					
TOTAL M													
007 NW372 MADIBENG	1 639			1 639	1 639			1 639					
TOTAL M													
007 NW373 RUSTENBURG	1 341			1 341	1 341			1 341					
TOTAL M													
007 NW374 KGETLENGRIVIER	268			268	268			268					

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M 007													
NW375 MOSES KOTANE	268			268	268			268					
TOTAL M 007													
DC37 BOJANALA PLATINUM DIST MUN	506			506	506			506					
TOTAL M 007													
NW381 RATLOU	268			268	268			268					
TOTAL M 007													
NW382 TSWAING	268			268	268			268					
TOTAL M													
007 NW383													
MAFIKENG	506			506	506			506					
TOTAL M													
007 NW384													
DITSOBOTLA	358			358	358			358					
TOTAL M													
007 NW385													
RAMOTSHERE													
MOILOA	268			268	268			268					
TOTAL M 007													
DC38 NGAKA													
MODIRI MOLEMA													
DIST MU	745			745	745			745					
TOTAL M 007													
NW392 NALEDI	268			268	268			268					
TOTAL M 007													
NW393 NAMUSA	268			268	268			268					



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M 007													
NW394 GREATER TAUNG	268			268	268			268					
TOTAL M 007 NW396 LEKWATEEMANE	268			268	268			268					
TOTAL M 007 NW397 MOL-OPOKAGISANO	268			268	268			268					
TOTAL M 007 DC39 DR RUTH SEGOMTSI MUN	685			685	685			685					
TOTAL M 007 NW403 CITY OF MATLOSANA	1 013			1 013	1 013			1 013					
TOTAL M 007 NW404 MAQUASSI HILLS	358			358	358			358					
TOTAL M 007 NW405 VENTERSDORP/TLOKWE LOC MN	1 013			1 013	1 013			1 013					
TOTAL M 007 DC40 DR KENNETH KAUNDA MUN	447			447	447			447					

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 WC011 MATZIKAMA	477			477	477			477					
TOTAL M													
007 WC012 CEDERBERG	209			209	209			209					
TOTAL M													
007 WC013 BERGRIVIER	72			72	72			72					
TOTAL M													
007 WC014 SALDANHA BAY	417			417	417			417					
TOTAL M													
007 WC015 SWARTLAND	119			119	119			119					
TOTAL M													
DCI WEST													
COAST DISTRICT													
MUNICIPAL	89			89	89								
TOTAL M													
007 WC022 WITZENBERG	119			119	119								
TOTAL M													
007 WC023 DRAKENSTEIN	477			477	477			477					
TOTAL M													
007 WC024 STELLENBOSCH	209			209	209								



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M 007 WC025 BREEDE VALLEY	298			298	298			298					
TOTAL M 007 WC026 LANGEBERG	477			477	477			477					
TOTAL M 007 DC2 CAPE WINELANDS													
DIST MUNICIPAL	119			119	119			119					
TOTAL M 007 WC031 THEEWA-TERSLOOF	238			238	238			238					
TOTAL M 007 WC032 OVERSTRAND	179			179	179			179					
TOTAL M 007 WC033 CAPE AGULHAS	298			298	298			298					
TOTAL M 007 WC034 SWELLENDAM	358			358	358			358					
TOTAL M 007 DC3 OVERBERG DISTRICT MUNICIPAL	179			179	179			179					
TOTAL M 007 WC041 KANNALAND	298			298	298			298					

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M													
007 WC042 HESSEQUA	238			238	238			238					
TOTAL M 007													
WC043 MOSSEL BAY	268			268	268			268					
TOTAL M 007													
WC044 GEORGE	506			506	506			506					
TOTAL M													
007 WC045 OUDTSHOORN	209			209	209			209					
TOTAL M 007													
WC047 BITOU	506			506	506			506					
TOTAL M 007													
WC048 KNYSNA	417			417	417			417					
TOTAL M 007 DC4													
EDEN DISTRICT MUNICIPALITY	268			268	268			268					
TOTAL M													
007 WC051 LAINGSBURG	268			268	268			268					
TOTAL M 007													
WC052 PRINCE ALBERT	209			209	209			209					
TOTAL M													
007 WC053 BEAUFORT WEST	287			287	287			287					



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
TOTAL M 007													
DC5 CENTRAL													
KAROO DIST MUNICIPAL	119			119	119			119					
TOTAL M 001 REGIONAL IDENTIFIER	150 970			150 970	150 970			150 970					
MUNICIPAL IN-FRASTRUCTURE GRANT													
EASTERN CAPE	-	-	-	-	-	-	-	-					
Buffalo City		-	-	-	-	-	-	-					
Nelson Mandela Bay		-		-	-	-	-	-					
Dr Beyers Naude	20 495	-	4 748	25 243	25 243		4 748	25 243	14 465	10 778	57%	20 608	20 608
Blue Crane Route	14 253	-	4 825	19 078	19 078		4 825	19 078	10 103	8 975	53%	14 320	14 320
Makana	24 260	7 653	-298	31 615	23 962	-298		23 962	12 601	11 361	40%	24 399	24 399
Ndlambe	36 535	-	-448	36 087	36 087	-448		36 087	26 082	10 005	72%	27 295	27 295
Sundays River Valley	25 464	-	-312	25 152	25 152	-312		25 152	13 801	11 351	55%	25 612	25 612
Kouga	31 578	9 620	-388	40 810	31 190	-388		31 190	12 823	18 367	31%	37 996	37 996
Kou-Kamma	15 322	3 953	-3 360	15 915	11 962	-3 360		11 962	5 935	6 027	37%	15 396	15 396
Sarah Baartman District Municipality													
Mbhashe	59 595	-	-731	58 864	58 864	-731		58 864	36 350	22 514	62%	59 992	59 992



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	DoRA and other transfers	Roll Overs	Adjustments	Total Available	Actual Transfer	Funds Withheld	Re-allocations by National Treasury or National Department	Amount received by municipality	Amount spent by municipality	Unspent funds	% of available funds spent by municipality	Division of Revenue Act	Actual transfer
Mnquma	62 194	-	14 237	76 431	76 431		14 237	76 431	52 637	23 794	69%	62 609	62 609
Great Kei	11 208	4 029	-138	15 099	11 070	-138		11 070	8 738	2 332	58%	11 253	11 253
Amahlathi	27 972	20 070	-343	47 699	27 629	-343		27 629	8 748	18 881	18%	40 139	40 139
Ngqushwa	22 378	4 207	-275	26 310	22 103	-275		22 103	13 876	8 227	53%	22 504	22 504
Raymond Mhlaba	38 986	-	-7 440	31 546	31 546	-7 440		31 546	14 434	17 112	46%	39 232	39 232
Anathole District Municipality	425 418	-	-5 221	420 197	420 197	-5 221		420 197	294 192	126 005	70%	428 472	428 472
Inxuba Yethemba	16 024	-	3 803	19 827	19 827		3 803	19 827	16 244	3 583	82%	16 104	16 104
Intsika Yethu	52 746	8 334	9 353	70 433	62 099		9 353	62 099	35 619	26 480	51%	43 020	43 020
Emalahleni	33 319	-	8 091	41 410	41 410		8 091	41 410	26 920	14 490	65%	33 524	33 524
Engcobo	38 284	11 341	14 530	64 155	52 814		14 530	52 814	38 085	14 729	59%	38 525	38 525
Sakhiszwe	18 104	-	5 778	23 882	23 882		5 778	23 882	17 447	6 435	73%	18 200	18 200
Enoch Mgijima	53 758	-	-660	53 098	53 098	-660		53 098	28 347	24 751	53%	54 112	54 112
Chris Hani District Municipality	285 000	-	-3 497	281 503	281 503	-3 497		281 503	155 270	126 233	55%	287 034	287 034
Elundini	38 703	-	-475	38 228	38 228	-475		38 228	23 624	14 604	62%	38 948	38 948
Senqu	38 244	-	-4 722	33 522	33 522	-4 722		33 522	14 820	18 702	44%	44 985	44 985
Walter Sisulu	18 772	-	-4 604	14 168	14 168	-4 604		14 168	6 264	7 904	44%	18 872	18 872
Joe Gqabi District Municipality	155 773	-	-11 912	143 861	143 861	-11 912		143 861	79 170	64 691	55%	156 868	156 868
Ngqura Hill	54 149	12 728	-15 664	51 213	38 485	-15 664		38 485	15 062	23 423	29%	54 506	54 506
Port St Johns	34 134	10 609	-3 314	41 429	30 820	-3 314		30 820	16 456	14 364	40%	34 345	34 345
Nyandeni	60 441	-	14 258	74 699	74 699		14 258	74 699	59 037	15 662	79%	60 844	60 844
Mhlontlo	43 967	-	-540	43 427	43 427	-540		43 427	28 165	15 262	65%	55 250	55 250
King Sabata Dalindyebo	85 693	-	-1 052	84 641	84 641	-1 052		84 641	51 267	33 374	61%	86 279	86 279

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
O.R Tambo District Municipality	628 864	135 924	-165 717	599 071	463 147	-165 717		463 147	188 338	274 809	31%	633 395	633 395
Matatiele	48 936	-	11 399	60 335	60 335		11 399	60 335	44 848	15 487	74%	58 255	58 255
Umtzimvubu	56 112	-	-689	55 423	55 423	-689		55 423	34 459	20 964	62%	46 411	46 411
Mbizana	48 049	-	-2 590	45 459	45 459	-2 590		45 459	17 070	28 389	38%	48 362	48 362
Ntabankulu	27 005	-	-331	26 674	26 674	-331		26 674	16 513	10 161	62%	27 164	27 164
Alfred Nzo District Municipality	373 334	70 880	-15 052	429 162	358 282	-		358 282	167 979	190 303	39%	376 009	376 009
TOTAL	3 025 069	299 348	-158 751	3 165 666	2 866 318	-249 773	91 022	2 866 318	1 605 789	1 260 529	51%	3 060 839	3 060 839
EASTERN CAPE	3 025 069	299 348	-158 751	3 165 666	2 866 318	-249 773	91 022	2 866 318	1 605 789	1 260 529	51%	3 060 839	3 060 839
FREE STATE	-	-	-	-	-	-	-	-	-	-	-	-	-
Mangaung	-	-	-	-	-	-	-	-	-	-	-	-	-
Letsemeng	17 061	-	-209	16 852	16 852	-209		16 852	12 331	4 521	73%	17 149	17 149
Kopanong	20 428	-	-251	20 177	20 177	-251		20 177	11 909	8 268	59%	20 540	20 540
Mohokare	17 898	-	4 780	22 678	22 678		4 780	22 678	13 408	9 270	59%	17 991	17 991
Xhariep District Municipality	-	-	-	-	-	-		-	-	-	-	-	-
Masilonyana	30 017	-	9 632	39 649	39 649		9 632	39 649	24 676	14 973	62%	32 421	32 421
Tokologo	16 470	-	-3 202	13 268	13 268	-3 202		13 268	2 695	10 573	20%	16 553	16 553
Tswelopele	16 405	5 046	-201	16 204	16 204	-201		16 204	11 485	4 719	71%	16 488	16 488
Matjhabeng	118 247	18 365	43 027	161 274	161 274		43 027	161 274	49 472	111 802	31%	119 070	119 070
Nala	29 468	1 210	6 638	36 106	36 106		6 638	36 106	22 651	13 455	63%	29 645	29 645
Lejweleputswa District Municipality	-	7 314	-	-	-	-		-	-	-	-	-	-
Setso	46 899	2 042	-2 576	44 323	44 323	-2 576		44 323	29 304	15 019	66%	47 203	47 203
Dlhabeng	47 405	-	-5 582	41 823	41 823	-5 582		41 823	8 604	33 219	21%	48 648	48 648

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000	
Nketoana	25 225	-	-310	24 915	24 915	-310		24 915	21 142	3 773	85%	44 372	44 372	
Maluti-a-Phofung	161 626	-	-1 983	159 643	159 643	-1 983		159 643	114 492	45 151	72%	162 764	162 764	
Phumelela	20 933	-	-257	20 676	20 676	-257		20 676	13 285	7 391	64%	21 048	21 048	
Mantsopa	19 863	-	9 756	29 619	29 619		9 756	29 619	17 917	11 702	60%	19 971	19 971	
Thabo Mofutsanyana District Municipality		-	-						-	-				
Modhaka	49 924	-	-613	49 311	49 311	-613		49 311	33 031	16 280	67%	40 178	40 178	
Ngwathe	41 704	5 315	-512	41 192	41 192	-512		41 192	21 722	19 470	53%	41 971	41 971	
Metsimaholo	44 366	-	-12 744	31 622	31 622	-12 744		31 622	10 556	21 066	33%	51 652	51 652	
Mafube	22 318	-	5 726	28 044	28 044		5 726	28 044	19 313	8 731	69%	22 444	22 444	
Fezile Dabi District Municipality		-	-						-	-				
TOTAL FREE STATE	746 257	39 292	51 119	797 376	797 376	-28 440	79 559	797 376	437 993	359 383	55%	770 108	770 108	
GAUTENG										-				
Ekurhuleni		-	-	-	-					-				
City of Johannesburg		-	-	-	-					-				
City ofTshwane		-	-	-	-					-				
Emfuleni	167 785	-	-77 059	90 726	90 726	-77 059		90 726	6 777	83 949	7%	87 222	87 222	
Midvaal	31 592	10 478	19 612	51 204	51 204		19 612	51 204	23 404	27 800	46%	41 786	41 786	
Lesedi	26 249	4 337	-322	25 927	25 927	-322		25 927	17 698	8 229	68%	26 404	26 404	
Sedibeng District Municipality		-	-	-	-			-	-	-				
Mogale City		-	-	-	-			-	-	-				



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
Merafong City	65 834	-	-13 974	51 860	51 860	-13 974		51 860	23 086	28 774	45%	66 275	66 275
Rand West City	90 534	-	38 889	129 423	129 423		38 889	129 423	77 068	52 355	60%	91 155	91 155
West Rand District Municipality		-		-	-				-	-			
TOTAL													
GAUTENG	381 994	14 815	-32 854	349 140	349 140	-91 355	58 501	349 140	148 033	201 107	42%	312 842	312 842
KWAZULU-NATAL													
eThekweni		-	-	-	-					-			
uMdoni	30 493	-	-5 774	24 719	24 719	-5 774		24 719	8 659	16 060	35%	30 678	30 678
Umzumbi	33 867	11 621	-416	33 451	33 451	-416		33 451	25 260	8 191	76%	34 077	34 077
uMuziwabantu	23 207	-	-285	22 922	22 922	-285		22 922	21 468	1 454	94%	23 340	23 340
Ray Nkonyeni		-							-	-			
Ugu District Municipality	239 336	-	-47 937	191 399	191 399	-47 937		191 399	62 278	129 121	33%	241 038	241 038
uMshwathi	27 428	-	-337	27 091	27 091	-337		27 091	20 005	7 086	74%	27 591	27 591
uMgeni	22 909	8 555	-281	22 628	22 628	-281		22 628	17 103	5 525	76%	34 039	34 039
Mpofana	11 981	-	-	11 981	11 981	-147	147	11 981	10 393	1 588	87%	16 031	16 031
Impendle	11 670	-	-143	11 527	11 527	-143		11 527	8 932	2 595	77%	11 719	11 719
Msunduzi	196 128	10 712	-2 407	193 721	193 721	-2 407		193 721	132 324	61 397	68%	197 516	197 516
Mkhambathini	15 996	-	9 804	25 800	25 800		9 804	25 800	13 393	12 407	52%	16 076	16 076
Richmond	18 345	1 234	-225	18 120	18 120	-225		18 120	13 777	4 343	76%	15 042	15 042
Umgungundlovu District Municipality	101 245	-	-1 242	100 003	100 003	-1 242		100 003	65 452	34 551	65%	101 944	101 944
Okhahlamba	38 136	-	-468	37 668	37 668	-468		37 668	18 049	19 619	48%	28 304	28 304
iNkosi Langalibalele	47 426	-	-582	46 844	46 844	-582		46 844	39 568	7 276	84%	37 661	37 661



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
Alfred Duma Municipality	61 274	7 500	-752	60 522	60 522	-752		60 522	30 967	29 555	51%	61 683	61 683
Uthukela District Municipality	182 647	-	-2 241	180 406	180 406	-2 241		180 406	129 775	50 631	72%	183 937	183 937
Endumeni	15 137	2 929	-	15 137	15 137			15 137	11 235	3 902	74%	15 210	15 210
Nqutu	31 009	42	-381	30 628	30 628	-381		30 628	23 477	7 151	77%	31 198	31 198
Msinga	37 189	-	-456	36 733	36 733	-456		36 733	28 217	8 516	77%	49 422	49 422
Umvoti	29 623	8 037	-364	29 259	29 259	-364		29 259	21 558	7 701	74%	29 802	29 802
Umkhanyathi District Municipality	187 165	-	-2 297	184 868	184 868	-2 297		184 868	182 114	2 754	99%	188 488	188 488
Newcastle	111 804	-	-1 372	110 432	110 432	-1 372		110 432	67 130	43 302	61%	112 580	112 580
Emadlangeni	9 310	-	5 000	14 310	14 310		5 000	14 310	9 099	5 211	64%	9 342	9 342
Dannhauser	21 645	-	-8 000	13 645	13 645	-8 000		13 645	9 703	3 942	71%	21 766	21 766
Amajuba District Municipality	40 779	-	-500	40 279	40 279	-500		40 279	29 220	11 059	73%	41 039	41 039
eDumbe	17 952	-	-220	17 732	17 732	-220		17 732	11 152	6 580	63%	18 047	18 047
uPhongolo	28 134	8 518	-345	27 789	27 789	-345		27 789	16 606	11 183	60%	28 302	28 302
Abaqulusi	36 904	-	-453	36 451	36 451	-453		36 451	36 403	48	100%	37 135	37 135
Nongoma	31 679	-	-389	31 290	31 290	-389		31 290	23 532	7 758	75%	31 873	31 873
Ulundi	30 713	-	14 623	45 336	45 336		14 623	45 336	27 365	17 971	60%	30 900	30 900
Zululand District Municipality	223 984	-	-2 749	221 235	221 235	-2 749		221 235	225 974	-4 739	102%	225 574	225 574
Umkhlabuyalingana	34 702	-	-426	34 276	34 276	-426		34 276	35 192	-916	103%	34 918	34 918
Jozini	46 760	-	-574	46 186	46 186	-574		46 186	30 540	15 646	66%	37 394	37 394
Mtubatuba	31 557	-	-387	31 170	31 170	-387		31 170	23 358	7 812	75%	31 750	31 750
Big Five Hlabisa	21 239	-	-261	20 978	20 978	-261		20 978	14 030	6 948	67%	21 357	21 357
Umkhanyakude District Municipality	213 445	46 457	7 381	220 826	220 826		7 381	220 826	175 617	45 209	80%	214 959	214 959

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
Mfolozi	39 071	2 049	4 521	43 592	43 592		4 521	43 592	32 616	10 976	75%	26 224	26 224
uMhlathuze		-							-	-			
uMlalazi	40 125	-	7 508	47 633	47 633		7 508	47 633	32 985	14 648	69%	40 380	40 380
Mthonjaneni	17 939	-	6 500	24 439	24 439		6 500	24 439	16 718	7 721	68%	18 033	18 033
Nkandla	22 706	-	-279	22 427	22 427	-279		22 427	13 921	8 506	62%	22 834	22 834
King Cetshwayo District Municipality	169 623	-	-14 167	155 456	155 456	-14 167		155 456	103 022	52 434	66%	170 818	170 818
Mandeni	35 150	8 463	-431	34 719	34 719	-431		34 719	25 417	9 302	73%	26 269	26 269
KwaDukuza	51 347	-	-630	50 717	50 717	-630		50 717	29 236	21 481	58%	51 684	51 684
Ndwedwe	29 630	-	-364	29 266	29 266	-364		29 266	21 598	7 668	74%	34 809	34 809
Maphumulo	22 195	5 575	-272	21 923	21 923	-272		21 923	19 557	2 366	89%	28 820	28 820
iLembe District Municipality	191 243	14 873	-2 347	188 896	188 896	-2 347		188 896	149 864	39 032	79%	192 596	192 596
Greater Kokstad	17 229	-	-	17 229	17 229			17 229	12 721	4 508	74%	21 318	21 318
Ubuhlebezwe	26 759	-	-328	26 431	26 431	-328		26 431	17 672	8 759	67%	26 917	26 917
Umzimkhulu	43 097	1 586	-529	42 568	42 568	-529		42 568	33 233	9 335	78%	55 373	55 373
Dr Nkosazana		-	-331	26 658	26 658	-331		26 658	26 294	364	99%	27 149	27 149
Dlamini Zuma	26 989	-	-331	26 658	26 658	-331		26 658					
Harry Gwala District Municipality	199 448	-	9 552	209 000	209 000		9 552	209 000	172 873	36 127	83%	200 860	200 860
TOTAL KWAZULU-NATAL	3 195 369	138 151	-37 053	3 158 316	3 158 316	-102 089	65 036	3 158 316	2 326 652	831 664	74%	3 215 816	3 215 816
LIMPOPO													
Greater Giyani	60 286	-	11 281	71 567	71 567		11 281	71 567	47 130	24 437	66%	60 688	60 688
Greater Letaba	57 229	-	-702	56 527	56 527	-702		56 527	36 807	19 720	65%	57 608	57 608



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NAME OF MUNICIPALITY	GRANT ALLOCATION				TRANSFER				SPENT				2019/20	
	DoRA and other transfers	Roll Overs	Adjustments	Total Available	Actual Transfer	Funds Withheld	Re-allocations by National Treasury or National Department	Amount received by municipality	Amount spent by municipality	Unspent funds	% of available funds spent by municipality	Division of Revenue Act	R'000	Actual transfer R'000
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000	%	R'000	R'000	R'000
Greater Tzaneen	93 619	-	8 351	101 970	101 970		8 351	101 970	78 195	23 775	77%	94 263	94 263	94 263
Ba-Phalaborwa	31 831	9 382	-391	31 440	31 440	-391		31 440	15 242	16 198	48%	32 026	32 026	32 026
Maruleng	26 655	-	19 673	46 328	46 328		19 673	46 328	23 634	22 694	51%	26 812	26 812	26 812
Mopani District Municipality	451 056	85 114	-5 535	445 521	445 521	-5 535		445 521	250 790	194 731	56%	454 295	454 295	454 295
Musina	58 843	4 372	-25 422	33 421	33 421	-25 422		33 421	24 094	9 327	72%	29 016	29 016	29 016
Thulamela	98 702	-	-1 211	97 491	97 491	-1 211		97 491	55 515	41 976	57%	99 383	99 383	99 383
Makhado	88 968	9 584	-1 092	87 876	87 876	-1 092		87 876	66 003	21 873	75%	89 577	89 577	89 577
LIM345	81 475	-	7 000	88 475	88 475		7 000	88 475	57 801	30 674	65%	94 031	94 031	94 031
Vhembe District Municipality	511 093	92 136	-6 272	504 821	504 821	-6 272		504 821	329 424	175 397	65%	514 768	514 768	514 768
Blouberg	44 066	-	-541	43 525	43 525	-541		43 525	26 869	16 656	62%	44 350	44 350	44 350
Molemole	34 933	-	-429	34 504	34 504	-429		34 504	23 849	10 655	69%	35 151	35 151	35 151
Polokwane		-	24 700	24 700	24 700		24 700	24 700	-	24 700	0%			
Lepele-Nkumpi	53 720	-	-14 720	39 000	39 000	-14 720		39 000	14 230	24 770	36%	54 074	54 074	54 074
Capricorn District Municipality	229 161	-	11 249	240 410	240 410		11 249	240 410	209 821	30 589	87%	260 788	260 788	260 788
Thabazimbi	44 024	-	-540	43 484	43 484	-540		43 484	22 686	20 798	52%	33 228	33 228	33 228
Lephalale	43 364	-	4 968	48 332	48 332		4 968	48 332	35 962	12 370	74%	43 643	43 643	43 643
Bela-Bela	25 760	-	-316	25 444	25 444	-316		25 444	16 801	8 643	66%	25 911	25 911	25 911
Mogalakwena	155 326	-	-1 906	153 420	153 420	-1 906		153 420	79 458	73 962	52%	156 417	156 417	156 417
LIM368	38 317	9 889	-5 970	32 347	32 347	-5 970		32 347	11 580	20 767	36%	38 558	38 558	38 558
Waterberg District Municipality		-							-	-				
Ephraim Mogale	33 238	-	21 592	54 830	54 830		21 592	54 830	31 681	23 149	58%	33 443	33 443	33 443
Elias Motsoaledi	54 561	-	-670	53 891	53 891	-670		53 891	48 093	5 798	89%	54 921	54 921	54 921
Makhuduthamaga	61 710	-	9 243	70 953	70 953		9 243	70 953	52 300	18 653	74%	62 122	62 122	62 122

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
Tubatse/Fetakgomo Sekhukhune District Municipality	83 797	29 269	-2 690	81 107	81 107	-2 690		81 107	28 738	52 369	35%	84 369	84 369
	471 805	-	-5 790	466 015	466 015	-5 790		466 015	340 828	125 187	73%	465 195	465 195
TOTAL LIMPOPO	2 933 539	239 746	43 860	2 977 399	2 977 399	-74 197	118 057	2 977 399	1 927 531	1 049 868	65%	2 944 637	2 944 637
MPUMALANGA	-	-	-	-	-	-		-	-	-		-	-
Albert Luthuli	86 480	-	-1 061	85 419	85 419	-1 061		85 419	70 973	14 446	83%	87 072	87 072
Msukaligwa	52 366	-	-643	51 723	51 723	-643		51 723	31 103	20 620	60%	52 710	52 710
Mkhondo	77 807	-	5 045	82 852	82 852		5 045	82 852	78 105	4 747	94%	78 336	78 336
Pixley Ka Seme	26 269	1 328	-322	25 947	25 947	-322		25 947	16 039	9 908	62%	26 424	26 424
Lekwa	28 672	-	-352	28 320	28 320	-352		28 320	18 671	9 649	66%	28 844	28 844
Dipaleseng	18 717	-	-230	18 487	18 487	-230		18 487	7 959	10 528	43%	18 816	18 816
Govan Mbeki	57 422	2 388	-705	56 717	56 717	-705		56 717	33 458	23 259	59%	68 803	68 803
Gert Sibande District Municipality	-	-	-	-	-	-		-	-	-		-	-
Victor Khanye	24 768	-	-304	24 464	24 464	-304		24 464	14 691	9 773	60%	24 912	24 912
Emalahleni	119 146	-	-1 462	117 684	117 684	-1 462		117 684	85 181	32 503	72%	119 975	119 975
Steve Tshwete	-	-	-	-	-	-		-	-	-		49 716	49 716
Emakhazeni	18 139	-	7 777	25 916	25 916		7 777	25 916	25 373	543	98%	29 235	29 235
Thembisile Hani	122 575	-	-1 504	121 071	121 071	-1 504		121 071	97 363	23 708	80%	123 429	123 429
Dr JS Moroka	124 245	29 990	-31 062	93 183	93 183	-31 062		93 183	68 181	25 002	73%	125 111	125 111
Nkangala District Municipality	-	-	-	-	-	-		-	-	-		-	-
Thaba Chweu	47 076	-	4 422	51 498	51 498		4 422	51 498	34 418	17 080	67%	47 382	47 382
Nkomazi	223 476	-	-2 742	220 734	220 734	-2 742		220 734	170 792	49 942	77%	225 063	225 063



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Bushbuckridge	371 379	-	-4 557	366 822	366 822	-4 557		366 822	223 650	143 172	61%	374 040	374 040
City of Mbombela	331 383	-	-4 067	327 316	327 316	-4 067		327 316	204 522	122 794	62%	333 753	333 753
Ehlanzeni District Municipality	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL	729 920	33 706	-31 767	698 153	698 153	-49 011	17 244	698 153	1 180 479	517 674	70%	1 813 621	1 813 621
MPUMALANGA	-	-	-	-	-	-	-	-	-	-	-	-	-
NORTHERN CAPE	-	-	-	-	-	-	-	-	-	-	-	-	-
Richtersveld	7 321	-	-1 790	5 531	5 531	-1 790		5 531	-	5 531	0%	7 338	7 338
Nama Khoi	22 024	5 628	-6 500	15 524	15 524	-6 500		15 524	3 068	12 456	20%	14 593	14 593
Kamiesberg	7 534	-	5 908	13 442	13 442		5 908	13 442	8 918	4 524	66%	11 553	11 553
Hantam	9 726	-	3 881	13 607	13 607		3 881	13 607	6 224	7 383	46%	9 760	9 760
Karoo Hoogland	8 065	-	4 901	12 966	12 966		4 901	12 966	6 671	6 295	51%	8 087	8 087
Khâi-Ma	7 753	-	-2 095	5 658	5 658	-2 095		5 658	-	5 658	0%	7 773	7 773
Namakwa District Municipality	-	-	-	-	-	-	-	-	-	-	-	-	-
Ubuntu	9 934	2 435	-622	9 312	9 312	-622		9 312	-	9 312	0%	9 970	9 970
Umsobomvu	11 443	1 290	-1 992	9 451	9 451	-1 992		9 451	2 868	6 583	30%	11 490	11 490
Emthanjeni	12 106	-	7 851	19 957	19 957		7 851	19 957	10 790	9 167	54%	21 710	21 710
Kareeberg	8 016	-	-1 598	6 418	6 418	-1 598		6 418	-	6 418	0%	8 038	8 038
Renosterberg	18 962	-	-3 065	15 897	15 897	-3 065		15 897	3 039	12 858	19%	7 480	7 480
Thembelihle	20 917	-	-7 057	13 860	13 860	-7 057		13 860	1 361	12 499	10%	9 449	9 449
Siyathemba	9 794	8 638	16 880	26 674	26 674		16 880	26 674	9 794	16 880	37%	19 829	19 829
Siyancuma	16 524	-	-4 203	12 321	12 321	-4 203		12 321	-	12 321	0%	16 608	16 608
Pixley Ka Seme District Municipality	-	-	-	-	-	-	-	-	-	-	-	-	-

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
IKai !Garib	22 290	-	-274	22 016	22 016	-274		22 016	18 489	3 527	84%	22 416	22 416
IKheis	19 980	-	-3 145	16 835	16 835	-3 145		16 835	5 063	11 772	30%	10 691	10 691
Tsantsabane	15 466	-	-190	15 276	15 276	-190		15 276	10 321	4 955	68%	15 542	15 542
Kgatelopele	8 020	3 825	16 402	24 422	24 422		16 402	24 422	10 337	14 085	42%	20 042	20 042
Dawid Kruiper	24 946	673	-4 956	19 990	19 990	-4 956		19 990	9 596	10 394	48%	34 090	34 090
Z.F. Mgcawu													
District Municipality		-							-	-			
Sol Plaatjie		-							-	-			
Dikgatlong	19 422	5 506	-4 238	15 184	15 184	-4 238		15 184	896	14 288	6%	25 714	25 714
Magareng	11 119	-	-1 558	9 561	9 561	-1 558		9 561	-	9 561	0%	26 163	26 163
Phokwane	26 112	5 760	-320	25 792	25 792	-320		25 792	8 432	17 360	33%	14 285	14 285
Frances Baard													
District Municipality		-							-	-			
Joe Morolong	59 628	1 405	-732	58 896	58 896	-732		58 896	39 138	19 758	66%	60 025	60 025
Ga-Segonyana	52 954	5 601	-650	52 304	52 304	-650		52 304	24 425	27 879	47%	53 302	53 302
Gamagara	11 803	-	-145	11 658	11 658	-145		11 658	2 709	8 949	23%	11 853	11 853
John Taolo													
Gaetsewe District Municipality		-		-	-			-	-	-		-	-
TOTAL NORTHERN CAPE	441 859	40 761	10 693	452 552	452 552	-45 130	55 823	452 552	182 139	270 413	40%	457 801	457 801
NORTH WEST													
Moretele	113 988	-	-	118 589	118 589		4 601	118 589	64 023	54 566	54%	114 780	114 780
Madibeng	279 801	125 940	-33 434	246 367	246 367	-33 434		246 367	116 796	129 571	47%	281 797	281 797
Rustenburg	233 448	-	-2 865	230 583	230 583	-2 865		230 583	95 600	134 983	41%	235 107	235 107



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
Kgetlengrivier	35 697	-	15 562	51 259	51 259		15 562	51 259	28 047	23 212	55%	25 847	25 847
Moses Kotane	148 649	26 333	-16 824	131 825	131 825	-16 824		131 825	60 042	71 783	46%	149 692	149 692
Bojanala Platinum District Municipality													
Ratlou	29 224	14 700	-9 059	20 165	20 165	-9 059		20 165	1 322	18 843	7%	29 400	29 400
Tswaing	29 099	-	5 643	34 742	34 742		5 643	34 742	17 171	17 571	49%	29 274	29 274
Mafikeng	80 825	-	14 008	94 833	94 833		14 008	94 833	58 661	36 172	62%	81 230	81 230
Ditsobotla	46 612	-	9 428	56 040	56 040		9 428	56 040	21 785	34 255	39%	36 540	36 540
Ramotshere													
Moiloa	36 923	-	-10 653	26 270	26 270	-10 653		26 270	8 811	17 459	34%	37 154	37 154
Ngaka Modiri Molema District Municipality													
Naledi	297 376	18 400	-3 649	293 727	293 727	-3 649		293 727	162 531	131 196	55%	299 499	299 499
Mamusa	16 893	5 619	-207	16 686	16 686	-207		16 686	5 592	11 094	34%	16 979	16 979
Greater Taung	15 618	2 215	-192	15 426	15 426	-192		15 426	6 935	8 491	45%	15 696	15 696
Lekwa-Teemane	47 297	-	-580	46 717	46 717	-580		46 717	27 123	19 594	58%	47 604	47 604
Kagisano-Molopo	14 722	-	-2 181	12 541	12 541	-2 181		12 541	4 926	7 615	39%	24 793	24 793
Dr Ruth Segomotsi Mompoti District Municipality	29 887	-	8 133	38 020	38 020		8 133	38 020	25 135	12 885	66%	39 568	39 568
City of Matlosana	137 431	11 342	-1 686	135 745	135 745	-1 686		135 745	74 391	61 354	55%	138 393	138 393
Maquassi Hills	86 894	29 880	-11 066	75 828	75 828	-11 066		75 828	42 987	32 841	57%	87 489	87 489
Ventersdorp/Tlokwe	28 042	978	-4 170	23 872	23 872	-4 170		23 872	12 348	11 524	52%	28 209	28 209
	66 245	5 867	19 333	85 578	85 578		19 333	85 578	61 947	23 631	72%	78 690	78 690

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Dr Kenneth Kaunda District Municipality	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL NORTH WEST	1 774 671	241 274	-19 858	1 754 813	1 754 813	-96 566	76 708	1 754 813	896 173	858 640	51%	1 797 741	1 797 741
WESTERN CAPE	-	-	-	-	-	-	-	-	-	-	-	-	-
City of Cape Town	-	-	-	-	-	-	-	-	-	-	-	-	-
Matzikama	31 190	-	-383	30 807	30 807	-383	-	30 807	15 075	15 732	49%	21 307	21 307
Cederberg	15 590	-	309	15 899	15 899	-	309	15 899	11 130	4 769	70%	15 667	15 667
Bergvliet	14 479	-	-178	14 301	14 301	-178	-	14 301	7 875	6 426	55%	14 548	14 548
Saldanha Bay	19 311	-	-237	19 074	19 074	-237	-	19 074	12 054	7 020	63%	19 415	19 415
Swartland	21 183	-	1 740	22 923	22 923	-	1 740	22 923	20 243	2 680	88%	21 301	21 301
West Coast District Municipality	-	-	-273	22 013	22 013	-273	-	22 013	13 451	8 562	61%	22 411	22 411
Witzenberg	22 286	-	-	-	-	-	-	-	-	-	-	-	-
Drakenstein	-	-	-	-	-	-	-	-	-	-	-	-	-
Stellenbosch	-	-	-	-	-	-	-	-	-	-	-	-	-
Breede Valley	34 240	-	-420	33 820	33 820	-420	-	33 820	26 833	6 987	79%	34 452	34 452
Langeberg	21 860	-	732	22 592	22 592	-	732	22 592	15 937	6 655	71%	21 983	21 983
Cape Winelands District Municipality	-	-	-322	25 953	25 953	-322	-	25 953	12 653	13 300	49%	26 430	26 430
Theewaterskloof	26 275	-	-269	21 618	21 618	-269	-	21 618	16 449	5 169	76%	32 010	32 010
Overstrand	21 887	7 482	1 365	12 326	12 326	-	1 365	12 326	10 872	1 454	88%	11 005	11 005
Cape Agulhas	10 961	-	-9 148	11 739	11 739	-9 148	-	11 739	6 610	5 129	56%	11 937	11 937
Swellendam	20 887	2 496	-	-	-	-	-	-	-	-	-	-	-

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Overberg District Municipality	-	-	-	-	-	-	-	-	-	-	-	-	-
Kannaland	10 233	2 762	-126	10 107	10 107	-126		10 107	4 164	5 943	41%	10 271	10 271
Hessequa	13 588	-	-1 167	12 421	12 421	-1 167		12 421	6 770	5 651	55%	12 635	12 635
Mossel Bay	23 967	7	7 598	31 565	31 565		7 598	31 565	11 890	19 675	38%	24 105	24 105
George	39 851	-	-3 485	36 366	36 366	-3 485		36 366	6 130	30 236	17%	40 104	40 104
Oudtshoorn	21 627	4 544	-265	21 362	21 362	-265		21 362	9 243	12 119	43%	21 747	21 747
Bitou	20 063	5 788	-246	19 817	19 817	-246		19 817	13 210	6 607	67%	20 173	20 173
Knysna	24 887	2 014	-305	24 582	24 582	-305		24 582	22 120	2 462	90%	25 031	25 031
Eden District Municipality	-	-	-	-	-	-	-	-	-	-	-	-	-
Laingsburg	6 609	3 988	-80	6 529	6 529	-80		6 529	5 658	871	87%	6 692	6 692
Prince Albert	7 543	-	-93	7 450	7 450	-93		7 450	4 415	3 035	59%	15 502	15 502
Beaufort West	13 906	-	-172	13 734	13 734	-172		13 734	6 649	7 085	48%	13 972	13 972
Central Karoo District Municipality	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL	442 423	29 081	-5 425	436 998	436 998	-17 169	11 744	436 998	259 431	177 567	59%	442 698	442 698
WESTERN CAPE	442 423	29 081	-5 425	436 998	436 998	-17 169	11 744	436 998	259 431	177 567	59%	442 698	442 698
TOTAL MIG	14 671 101	1 076 174	-180 036	15 567 239	14 491 065	-753 730	573 694	14 491 065	8 964 220	5 526 845	58%	14 816 103	14 816 103



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LOCAL GOVERNMENT EQUITABLE SHARE													
Buffalo City	911 042		142 572	1 053 614	1 053 614			1 053 614				847 431	847 431
Nelson Mandela Bay	1 106 936		153 904	1 260 840	962 565			962 565				1 021 661	729 815
Dr-Beyers Naude	96 607		14 349	110 956	109 721			109 721				90 876	90 806
Blue Crane Route	56 696		8 601	65 297	65 297			65 297				53 519	53 519
Makana	99 851		15 012	114 863	108 308			108 308				93 494	93 494
Ndlambe	103 801		16 430	120 231	120 220			120 220				96 716	96 716
Sundays River Valley	86 469		13 454	99 923	99 923			99 923				79 777	79 720
Kouga	136 649		20 388	157 037	146 646			146 646				124 938	124 938
Kou-Kamma	52 718		7 924	60 642	59 079			59 079				49 376	45 783
Sarah Baartman	97 175		4 287	101 462	101 462			101 462				93 464	93 464
Mbashe	263 748		50 253	314 001	314 001			314 001				249 464	249 464
Mnquma	273 742		53 663	327 405	325 734			325 734				258 930	256 265
Great Kei	45 063		6 729	51 792	51 246			51 246				42 659	42 309
Amahlathi	111 895		19 747	131 642	121 467			121 467				105 863	105 334
Ngqushwa	87 420		14 654	102 074	100 314			100 314				82 696	81 328
Raymond Mhlaba	184 086		34 386	218 472	217 648			217 648				174 140	174 140
Amathole	896 469		68 435	964 904	963 620			963 620				833 685	832 177
Inxuba Yethemba	45 400		7 279	52 679	52 679			52 679				42 907	42 907
Intsika Yethu	168 126		30 644	198 770	198 740			198 740				159 020	159 020
Emalahleni	130 818		23 082	153 900	153 799			153 799				123 739	123 739

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
Engcobo	156 738		28 376	185 114	182 127			182 127				148 281	148 281
Sakhisizwe	71 988		12 495	84 483	83 788			83 788				68 109	53 287
Enoch Mgijima	191 323		36 719	228 042	186 470			186 470				180 007	175 559
Chris Hani	581 707		67 669	649 376	598 866			598 866				543 689	309 754
Elundini	161 277		31 013	192 290	192 196			192 196				152 511	152 511
Senqu	158 517		30 504	189 021	189 021			189 021				149 935	149 935
Walter Sisulu	62 095		10 313	72 408	67 869			67 869				58 205	50 751
Joe Gqabi	293 252		34 630	327 882	325 672			325 672				273 796	271 611
Nqquza Hill	273 573		52 982	326 555	326 079			326 079				257 385	257 385
Port St Johns	162 406		29 802	192 208	191 823			191 823				153 034	151 748
Nyandeni	277 708		53 710	331 418	326 380			326 380				262 068	262 068
Mhlontlo	194 734		35 248	229 982	229 857			229 857				184 192	182 836
King Sabata Dalindyebo	352 763		75 861	428 624	424 020			424 020				329 470	329 194
O.R.Tambo	918 991		115 347	1 034 338	1 004 278			1 004 278				855 543	837 374
Matatiele	249 823		49 781	299 604	299 604			299 604				234 919	232 955
Umtzimvubu	230 629		44 318	274 947	274 947			274 947				217 928	217 928
Mbizana	278 139		54 283	332 422	331 832			331 832				260 384	260 384
Ntabankulu	129 512		22 536	152 048	152 048			152 048				122 525	119 377
Alfred Nzo	598 038		75 059	673 097	667 667			667 667				556 720	556 720
Mangaung	800 260		111 265	911 525	739 725			739 725				735 867	455 879
Letsemeng	68 088		10 785	78 873	49 019			49 019				63 668	41 468
Kopanong	90 615		14 480	105 095	87 107			87 107				85 235	69 388
Mohokare	73 785		11 867	85 652	66 813			66 813				68 586	47 802
Xhariep	45 384		1 799	47 183	47 183			47 183				43 542	43 542
Masilonyana	127 950		21 474	149 424	139 628			139 628				118 906	103 578



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
Tokologo	58 153		9 267	67 420	64 628			64 628				54 560	45 238
Tswelopele	79 046		12 407	91 453	91 453			91 453				74 224	73 688
Matjhabeng	543 954		86 727	630 681	622 853			622 853				504 417	485 476
Nala	129 393		20 809	150 202	149 638			149 638				122 062	119 671
Lejweleputswa	133 411		6 972	140 383	140 383			140 383				128 350	127 350
Setso	205 715		34 095	239 810	203 227			203 227				192 449	192 449
Ditlabeng	178 773		28 620	207 393	207 393			207 393				164 487	164 487
Nketoana	104 537		16 891	121 428	118 936			118 936				97 090	94 864
Maluti-a-Phofung	645 037		121 611	766 648	764 879			764 879				599 867	565 455
Phumelela	80 750		12 708	93 458	93 458			93 458				75 451	75 451
Mantsopa	88 721		14 029	102 750	94 060			94 060				82 760	82 760
Thabo													
Mofutsanyana	121 089		10 213	131 302	131 042			131 042				115 593	115 593
Moqhaka	222 134		36 708	258 842	258 842			258 842				205 660	205 660
Ngwathe	208 102		35 581	243 683	241 942			241 942				192 989	169 629
Metsimaholo	202 505		31 224	233 729	202 893			202 893				183 064	174 703
Mafube	103 074		16 833	119 907	119 062			119 062				95 847	95 847
Fezile Dabi	159 223		1 820	161 043	153 478			153 478				154 559	153 040
City of Ekurhuleni	3 830 583		532 587	4 363 170	4 361 559			4 361 559				3 478 292	3 038 221
City of													
Johannesburg	5 183 056		720 628	5 903 684	5 761 918			5 761 918				4 689 158	4 482 001
City of Tshwane	2 924 283		406 579	3 330 862	3 085 877			3 085 877				2 642 492	2 580 679
Emfuleni	835 994		123 118	959 112	842 015			842 015				772 335	772 310
Midvaal	118 071		15 552	133 623	133 623			133 623				107 047	107 047
Lesedi	148 231		22 409	170 640	167 213			167 213				133 765	128 111
Sedibeng	276 984		4 848	281 832	281 010			281 010				268 626	268 499

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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
Mogale City	449 128		62 445	511 573	511 573			511 573				408 061	407 951
Merafong City	221 510		32 439	253 949	157 996			157 996				204 068	204 068
Rand West City	331 821		49 041	380 862	379 105			379 105				303 723	303 723
West Rand	215 078		8 668	223 746	223 746			223 746				207 500	193 305
					-			-					
eThekweni	3 444 498		478 907	3 923 405	3 763 471			3 763 471				3 160 624	2 763 621
uMdoni	141 339		26 476	167 815	166 149			166 149				132 002	132 002
uMzembe	138 576		23 772	162 348	162 202			162 202				131 115	131 115
uMuziwabantu	96 882		18 246	115 128	112 754			112 754				91 211	91 211
Ray Nkonyeni	222 794		44 154	266 948	266 909			266 909				205 608	205 608
Ugu	501 357		58 111	559 468	557 282			557 282				462 844	462 844
uMshwathi	108 748		20 187	128 935	128 516			128 516				101 901	101 901
uMngeni	73 356		12 045	85 401	82 542			82 542				67 048	67 048
Mpofana	37 857		6 461	44 318	44 318			44 318				35 624	35 624
iMpendle	37 709		5 990	43 699	43 699			43 699				35 693	35 693
Msunduzi	593 405		88 998	682 403	682 360			682 360				546 052	516 744
Mkhambathini	67 330		12 405	79 735	79 735			79 735				62 733	62 733
Richmond	74 914		14 333	89 247	89 247			89 247				69 731	66 971
uMgungundlovu	572 040		41 235	613 275	607 235			607 235				526 031	526 031
Okhahlamba	132 687		25 330	158 017	158 017			158 017				124 946	124 946
iNkosi Langalibalele	189 165		36 529	225 694	224 585			224 585				176 729	169 659
Alfred Duma	249 124		50 188	299 312	299 159			299 159				232 678	229 994
uThukela	466 180		55 475	521 655	521 655			521 655				432 409	427 824
eNdameni	50 969		9 078	60 047	55 014			55 014				46 837	42 042
Nquthu	145 889		27 875	173 764	172 960			172 960				137 328	137 328
												163 783	163 783



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
uMzinga	175 125		34 096	209 221	209 221			209 221				127 889	127 815
uMvoti	138 440		27 491	165 931	165 931			165 931				353 478	353 478
uMzinyathi	383 967		46 558	430 525	430 525			430 525				373 648	365 355
Newcastle	403 064		68 899	471 963	448 493			448 493				30 478	30 478
eMadlangeni	32 255		4 446	36 701	36 417			36 417				91 758	91 758
Dannhauser	97 142		17 071	114 213	110 538			110 538				161 133	135 201
Amajuba	173 757		11 471	185 228	185 228			185 228				74 585	74 556
eDumbe	79 348		14 858	94 206	94 206			94 206				136 387	136 387
uPhongolo	146 625		29 205	175 830	175 830			175 830				148 281	140 493
AbaQulusi	160 312		32 293	192 605	191 340			191 340				154 506	154 506
Nongoma	164 354		30 748	195 102	194 844			194 844				163 194	163 194
Ulundi	173 458		32 130	205 588	205 588			205 588				464 560	464 560
Zululand	502 849		61 423	564 272	564 272			564 272				166 017	166 017
uMhlabyalingana	179 108		35 777	214 885	214 130			214 130				181 990	171 164
Jozini	195 084		39 086	234 170	234 170			234 170				164 301	164 301
Mtubatuba	178 082		35 370	213 452	210 361			210 361				107 783	107 783
Big Five Hlabisa	116 077		22 248	138 325	138 325			138 325				412 178	412 178
uMkhanyakude	449 981		56 012	505 993	496 247			496 247				130 797	129 742
uMfolozi	140 635		26 658	167 293	166 548			166 548				362 965	362 165
uMhlathuze	396 870		65 617	462 487	455 487			455 487				185 590	185 590
uMlalazi	197 968		36 824	234 792	234 792			234 792				79 412	79 412
Mthonjaneni	83 914		13 406	97 320	97 320			97 320				96 903	96 903
Nkandla	102 419		18 054	120 473	115 597			115 597				514 940	514 940
King Cetshwayo	557 703		36 894	594 597	594 597			594 597				167 483	167 483
Mandeni	181 342		37 060	218 402	211 079			211 079				167 408	167 408
KwaDukuza	185 879		33 206	219 085	219 085			219 085				147 784	144 310

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	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000	%	R'000	R'000
Ndwedwe	158 224		29 777	188 001	188 001			188 001				90 716	90 716
Maphumulo	95 897		17 381	113 278	113 278			113 278				516 503	516 503
iLembe	566 794		66 325	633 119	633 119			633 119				61 663	61 663
Greater Kokstad	65 878		11 668	77 546	76 931			76 931				110 309	110 309
uBuhlebezwe	117 008		21 596	138 604	138 604			138 604				189 217	189 217
uMzinkhulu	202 075		39 408	241 483	239 897			239 897				125 869	125 869
Dr Nkosazana	134 138		25 619	159 757	159 757			159 757				345 309	302 071
Dlamini Zuma	372 340		45 283	417 623	417 623			417 623				287 217	284 255
Harry Gwala	307 293		62 275	369 568	369 568			369 568				278 254	278 254
Greater Giyani	297 936		59 884	357 820	357 820			357 820				383 693	383 567
Greater Letaba	413 949		92 327	506 276	506 276			506 276				150 929	150 749
Greater Tzaneen	162 853		33 083	195 936	193 227			193 227				124 492	124 492
Ba-Phalaborwa	133 584		25 907	159 491	159 491			159 491				919 537	850 137
Maruleng	998 515		124 144	1 122 659	1 117 970			1 117 970				142 917	133 566
Mopani	158 173		32 793	190 966	190 966			190 966				442 452	442 452
Musina	474 987		102 509	577 496	577 496			577 496				357 528	357 528
Thulamela	385 034		84 072	469 106	469 106			469 106				369 556	369 556
Makhado	395 677		82 318	477 995	477 995			477 995				996 113	994 618
Collins Chabane	1 084 112		143 764	1 227 876	1 227 563			1 227 563				186 309	186 309
Vhembe	197 240		37 838	235 078	234 943			234 943				142 578	142 069
Blouberg	150 787		29 175	179 962	179 909			179 909				922 589	808 824
Molemole	1 007 763		174 006	1 181 769	1 137 566			1 137 566				250 041	231 647
Polokwane	265 947		52 566	318 513	318 347			318 347				588 933	588 933
Lepele-Nkumpi	634 374		56 907	691 281	690 856			690 856				93 580	93 580
Capricorn	102 404		13 911	116 315	116 315			116 315					
Thabazimbi													



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Lephalale	164 011		27 179	191 190	191 190			191 190				147 694	147 355
Bela-Bela	99 226		15 815	115 041	101 079			101 079				90 909	90 909
Mogalakwena	467 724		86 545	554 269	487 838			487 838				436 536	436 536
Modimolle-													
Mookgophong	117 790		18 832	136 622	121 222			121 222				109 995	34 554
Waterberg	135 060		7 064	142 124	142 124			142 124				129 990	129 990
Ephraim Mogale	155 455		30 274	185 729	185 729			185 729				144 997	144 997
Elias Motsoaledi	289 039		58 486	347 525	342 777			342 777				269 009	269 009
Makhuduthamaga	285 383		56 548	341 931	341 931			341 931				267 931	267 931
Fetakgomo Tubatse	452 557		104 723	557 280	557 280			557 280				415 486	403 284
Sekhukhune	837 796		105 029	942 825	873 888			873 888				769 253	708 201
Chief Albert Luthuli	335 197		58 938	394 135	394 135			394 135				311 205	311 205
Msukaligwa	189 302		29 769	219 071	218 544			218 544				172 093	167 624
Mkhondo	256 438		43 752	300 190	300 190			300 190				235 268	235 268
Dr Pixley ka Isaka Seme	128 034		21 266	149 300	146 195			146 195				119 397	112 885
Lekwa	129 306		20 126	149 432	147 656			147 656				118 689	111 954
Dipaleseng	78 171		12 930	91 101	48 107			48 107				72 019	72 019
Govan Mbeki	314 264		43 694	357 958	353 765			353 765				284 504	281 501
Gert Sibande	300 489		2 763	303 252	303 252			303 252				291 937	291 737
Victor Khanye	105 840		16 889	122 729	122 729			122 729				96 421	96 421
Emalahleni	401 151		55 774	456 925	456 925			456 925				360 048	360 048
Steve Tshwete	226 033		31 426	257 459	257 459			257 459				200 511	200 511
Emakhazeni	68 629		10 463	79 092	72 926			72 926				63 383	63 383
Thembisile Hani	438 292		80 951	519 243	518 990			518 990				404 156	404 156
Dr JS Moroka	405 169		71 990	477 159	473 430			473 430				379 397	375 203

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Nkangala	367 222		5 278	372 500	372 500			372 500				356 274	356 274
Thaba Chweu	157 048		24 307	181 355	181 355			181 355				143 286	143 286
Nkomazi	618 310		116 733	735 043	734 854			734 854				569 784	568 896
Bushbuckridge	848 071		165 341	1 013 412	1 013 412			1 013 412				788 070	788 070
City of Mbombela	794 688		132 918	927 606	927 304			927 304				725 225	684 673
Ehlanzeni	264 278		18 294	282 572	282 572			282 572				253 324	253 324
Richtersveld	18 384		2 315	20 699	19 418			19 418				17 077	13 273
Nama Khoi	51 221		7 171	58 392	46 562			46 562				47 830	47 830
Kamiesberg	24 179		2 816	26 995	23 542			23 542				22 790	22 211
Hantam	26 371		3 525	29 896	29 896			29 896				24 746	24 746
Karoo Hoogland	24 142		3 159	27 301	27 301			27 301				22 416	22 416
Khâi-Ma	20 307		2 549	22 856	22 262			22 262				18 953	18 374
Namakwa	50 725		596	51 321	51 293			51 293				49 192	49 192
Ubunt'u	37 172		5 393	42 565	42 537			42 537				34 602	34 602
Umsobomvu	53 538		8 142	61 680	55 079			55 079				49 477	41 591
Ernhanjeni	48 135		6 885	55 020	48 465			48 465				44 900	36 472
Kareeberg	26 124		3 217	29 341	29 341			29 341				24 348	10 811
Renosterberg	26 420		3 195	29 615	23 047			23 047				24 687	24 687
Thembelihle	27 102		3 592	30 694	29 696			29 696				25 395	21 261
Siyathemba	35 417		4 985	40 402	40 402			40 402				32 939	27 197
Siyancuma	52 642		7 711	60 353	51 950			51 950				49 714	39 937
Pixley Ka Seme	53 097		2 045	55 142	55 142			55 142				51 027	50 996
!Kai !Garib	91 919		13 509	105 428	101 564			101 564				84 517	77 264
!Kheis	26 816		3 431	30 247	26 451			26 451				25 352	22 701
Tsantsabane	42 497		6 028	48 525	48 525			48 525				39 037	31 870
Kgatelopele	24 294		3 366	27 660	27 600			27 600				22 388	22 388



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	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
David Kruiper	91 546		12 444	103 990	102 237			102 237				84 895	71 491
Z.F. Mgcawu	72 110		1 982	74 092	74 092			74 092				69 622	69 622
Sol Plaatje	205 072		29 570	234 642	222 635			222 635				189 151	178 220
Dikgatlong	91 591		15 310	106 901	106 782			106 782				85 055	81 417
Magareng	49 355		7 257	56 612	50 956			50 956				46 340	42 411
Phokwane	111 534		18 490	130 024	121 583			121 583				104 786	97 025
Frances Baard	124 299		1 469	125 768	125 768			125 768				120 606	120 606
Joe Morolong	150 978		25 306	176 284	159 596			159 596				142 240	137 474
Ga-Segonyana	174 760		30 830	205 590	205 590			205 590				159 726	159 726
Gamagara	41 942		5 442	47 384	47 384			47 384				37 163	37 163
John Taolo Gaetsewe	93 279		4 767	98 046	98 046			98 046				89 657	89 657
Moretele	363 948		64 947	428 895	428 895			428 895				340 474	339 007
Madibeng	770 767		131 521	902 288	901 462			901 462				695 606	679 159
Rustenburg	756 697		105 208	861 905	705 119			705 119				675 452	586 059
Kgetlengrivier	99 852		16 019	115 871	66 857			66 857				91 271	81 071
Moses Kotane	459 989		82 645	542 634	542 394			542 394				429 267	366 320
Bojanala Platinum	352 817		20 275	373 092	371 302			371 302				339 278	337 899
Ratlou	133 828		25 439	159 267	151 260			151 260				125 655	122 894
Tswaing	123 571		23 587	147 158	146 653			146 653				115 359	115 359
Mafikeng	279 142		58 476	337 618	336 837			336 837				257 427	257 124
Ditsobotla	137 063		26 782	163 845	161 204			161 204				126 697	116 058
Ramotshere Moiloa	187 061		38 027	225 088	223 298			223 298				174 021	170 007
Ngaka Modiri Molema	829 868		87 097	916 965	892 929			892 929				762 805	762 795
Naledi	56 283		9 384	65 667	62 813			62 813				52 554	37 407

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS
for the year ended 31 March 2021

NAME OF MUNICIPALITY	GRANT ALLOCATION				TRANSFER			SPENT				2019/20	
	DoRA and other transfers R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	Funds Withheld R'000	Re-allocations by National Treasury or National Department %	Amount received by municipality R'000	Amount spent by municipality R'000	Unspent funds R'000	% of available funds spent by municipality %	Division of Revenue Act R'000	Actual transfer R'000
Mamusa	59 874		10 083	69 957	69 899			69 899				55 905	53 557
Greater Taung	206 229		39 332	245 561	240 472			240 472				194 770	175 291
Lekwa-Teemane	53 596		9 202	62 798	60 798			60 798				49 909	46 361
Kagisano-Molopo	128 914		24 140	153 054	153 054			153 054				121 637	113 082
Dr Ruth Segomotsi Mompoti	388 696		44 413	433 109	283 526			283 526				361 316	259 519
City of Matlosana	466 536		71 371	537 907	535 258			535 258				429 961	369 400
Maquassi Hills	138 115		22 749	160 864	160 563			160 563				128 390	127 503
JB Marks	284 490		42 061	326 551	320 416			320 416				257 937	257 249
Dr Kenneth Kaunda	193 845		4 775	198 620	198 173			198 173				187 599	187 487
City of Cape Town	3 081 195		428 395	3 509 590	3 509 590			3 509 590				2 815 558	2 815 558
Matzikama	60 792		8 222	69 014	55 324			55 324				56 540	53 709
Cederberg	53 069		7 698	60 767	51 282			51 282				49 201	47 946
Bergivier	48 940		6 415	55 355	55 355			55 355				45 025	45 025
Saldanha Bay	96 832		12 781	109 613	109 613			109 613				88 277	88 277
Swartland	102 195		14 209	116 404	116 404			116 404				91 534	91 534
West Coast	96 113		3 134	99 247	99 247			99 247				92 706	92 389
Witzenberg	101 915		14 170	116 085	86 952			86 952				92 850	85 782
Drakenstein	164 466		22 866	187 332	187 332			187 332				150 601	150 601
Stellenbosch	149 804		20 828	170 632	170 632			170 632				136 177	136 177
Breede Valley	127 591		17 739	145 330	145 330			145 330				117 997	117 997
Langeberg	85 039		11 449	96 488	96 117			96 117				79 200	79 200
Cape Winelands	238 441		444	238 885	238 885			238 885				232 056	232 056
Theewaterskloof	103 419		15 364	118 783	118 551			118 551				95 587	66 634
Overstrand	117 318		17 004	134 322	134 322			134 322				106 697	106 697
Cape Agulhas	32 155		4 305	36 460	36 460			36 460				29 908	29 908



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for the year ended 31 March 2021

NAME OF MUNICIPALITY	GRANT ALLOCATION				TRANSFER			SPENT				2019/20	
	DoRA and other transfers	Roll Overs	Adjustments	Total Available	Actual Transfer	Funds Withheld	Re-allocations by National Treasury or National Department	Amount received by municipality	Amount spent by municipality	Unspent funds	% of available funds spent by municipality	Division of Revenue Act	Actual transfer
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000	%	R'000	R'000
Swellendam	34 118		4 579	38 697	38 697			38 697				31 579	31 579
Overberg	74 636		2 912	77 548	77 548			77 548				71 776	71 776
Kannaland	29 803		4 208	34 011	34 011			34 011				28 147	28 147
Hessequa	47 294		6 236	53 530	53 530			53 530				44 063	44 063
Mossel Bay	101 192		14 163	115 355	115 355			115 355				93 352	93 352
George	163 760		22 768	186 528	150 762			150 762				149 978	149 978
Oudtshoorn	78 568		11 222	89 790	89 790			89 790				73 525	73 525
Bitou	104 346		17 322	121 668	121 645			121 645				93 691	93 691
Knysna	94 039		13 957	107 996	107 996			107 996				86 321	71 655
Garden Route	162 480		3 227	165 707	165 707			165 707				157 370	157 152
Laingsburg	17 704		1 948	19 652	19 652			19 652				16 574	15 017
Prince Albert	22 985		2 940	25 925	25 925			25 925				21 355	21 355
Beaufort West	67 109		10 371	77 480	73 778			73 778				62 434	59 781
Central Karoo	31 965		827	32 792	32 792			32 792				30 642	30 642
TOTAL LGES	74 683 326	-	11 000 000	85 683 326	83 102 374	-	-	83 102 374	-	-	-	68 973 465	65 627 263
MOTOR VEHICLE LICENCE													
Tshwane Metropolitan Municipality	17			17	17			17				27	27
TOTAL	90 441 782	1 076 174	10 819 964	102 337 920	98 680 794	-753 730	573 694	98 680 794	-	-	-	81 874 319	77 944 756

The Department confirms as required by DoRA that all transfers were deposited into the primary bank account of the Province and /Municipality

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
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ANNEXURE 1B

STATEMENT OF TRANSFERS TO DEPARTMENTAL AGENCIES AND ACCOUNTS

DEPARTMENTAL AGENCY/ ACCOUNT	TRANSFER ALLOCATION				TRANSFER		2019/20
	Adjusted Appropriation R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	% of Available funds Transferred %	
Municipal demarcation Board	63 017	-	-	63 017	63 017	100%	64 268
Municipal Infrastructure Support Agent	389 749	-	-	389 749	389 749	100%	343 976
South African Local Government Association	33 192	-	-	33 192	33 192	100%	33 879
Department of Traditional Affairs	168 351	-	-	168 351	-	0%	168 351
TOTAL	654 309	-	-	654 309	485 958		610 474



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
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ANNEXURE 1E

STATEMENT OF TRANSFERS TO FOREIGN GOVERNMENT AND INTERNATIONAL ORGANISATIONS

	TRANSFER ALLOCATION				EXPENDITURE		2019/20
	Adjusted Appropriation Act R'000	Roll overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	% of Available funds Transferred %	
FOREIGN GOVERNMENT/ INTERNATIONAL ORGANISATION							
Transfers							
Common Wealth Local Govt Forum	330	-	-	330	330	100%	314
	330	-	-	330	330		314
Subsidies	-	-	-	-	-	-	-
TOTAL	330	-	-	330	330	-	314

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
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ANNEXURE 1F

STATEMENT OF TRANSFERS TO NON-PROFIT INSTITUTIONS

	TRANSFER ALLOCATION				EXPENDITURE		2019/20
	Adjusted Appropriation Act R'000	Roll overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	% of Available funds transferred %	
NON-PROFIT INSTITUTIONS							
Transfers							
South African Cities Network	7 512	-	-	7 512	7 512	100%	7 765
United Cities & Local Gov Of Africa	-	-	-	-	-	-	7 162
Disaster Management Institute of South Africa	-	-	-	-	-	-	99
	7 512	-	-	7 512	7 512	-	15 026
Subsidies	-	-	-	-	-	-	-
TOTAL	7 512	-	-	7 512	7 512	-	15 026



ANNEXURE 1G

STATEMENT OF TRANSFERS TO HOUSEHOLDS

HOUSEHOLDS	TRANSFER ALLOCATION				EXPENDITURE		2019/20
	Adjusted Appropriation Act R'000	Roll Overs R'000	Adjustments R'000	Total Available R'000	Actual Transfer R'000	% of Available funds Transferred %	
Transfers							
Post-Retirement Benefits				-			1 979
Leave Gratuity	763	-	-	763	763	100%	500
Act of Grace	30	-	-	30	30	100%	35
Non-Employee Bursaries							141
Donation & Gifts Cash	-	-	-	-	2 135 342	7117607%	-
	793	-	-	793	2 136 135		2 655
Subsidies	-	-	-	-	-		-
TOTAL	793	-	-	793	2 136 135		2 655



DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
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ANNEXURE 1H

STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS RECEIVED

NAME OF ORGANISATION	NATURE OF GIFT, DONATION OR SPONSORSHIP	2020/21	2019/20
		R'000	R'000
Received in cash			
Subtotal		-	-
Received in kind			
Subinite (PTY) LTD	masks and sanitizers	275	
Santam	masks and googles	192	
German Development Cooperation (GIZ)	Municipal Finances & Planning Study Tour, GERMANY	-	101
Federation of Canadian Local Municipalities	BIGM Project on Inclusive Green Municipalities, CANADA.	-	55
Commonwealth Local Government Forum (CLGF)	Commonwealth Local Government Forum (CLGF) Regional Conference in ZAMBIA.	-	43
National School of Government	Local Government Short Programme, FRANCE.	-	113
United Nations Office for Disaster Risk Reduction (UNDRR)	Sendai Framework Monitor Peer Review Learning Workshop on Data Collection for reporting and policy making, KENYA.	-	29
Center For Local Capacity Building	EU-LA Mining Programme International Study, NETHERLANDS.	-	22
United Nations Office for Outer Space Affairs	UN-SPIDER Bonn International Conference on "Space based solutions for Disaster Management in Africa: challenges, applications, partnerships", Germany.	-	19
Oxfam South Africa	Working Session of the Core Development team to develop two DM guidelines, Centurion	-	5
German Agency for International Cooperation (GIZ) under the Governance Support Programme (GSP)	Water Governance and Service Delivery Learning Journey", Kenya	-	28
German Agency for International Cooperation (GIZ) under the Governance Support Programme (GSP)	Development of a Comprehensive Municipal Powers & Functions Database for the Eastern Cape & Mpumalanga Provinces	-	1 300
United Nations Habitat Safer Cities Programme & Guadalajara Government	Expert Group meeting on the UN system wide Guidelines on Safer Cities and Human Settlements: The Guadalajara "Cal and Orderly Implementation Model", Mexico	-	30
National School of Government France, Ecole National d'Administration (ENA)	Participation of Senior Public Sector managers in short learning Programmes. Innovation and Digital Transformation of the Public Sector Short Learning Programme. Paris, France.	-	113
GIZ (Governance Support Programme)	Learning Journey on Water Governance	-	29

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
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ANNEXURE 1H

STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS RECEIVED (CONTINUED)

NAME OF ORGANISATION	NATURE OF GIFT, DONATION OR SPONSORSHIP	2020/21	2019/20
		R'000	R'000
Commonwealth Local Government Forum (CLGF)	Printing of the National LED framework publication	-	66
Commonwealth Local Government Forum (CLGF)	Development and Piloting of Municipal Guidelines for Regional Economic Development Planning	-	90
Commonwealth Local Government Forum (CLGF)	Completion of a focused Bojanala District Municipality Regional Implementation Plan. 2. Updating of the Guidelines based on lessons from the Bojanala Plan	-	180
Subtotal		467	2 223
TOTAL		467	2 223



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ANNEXURE 1L

STATEMENT OF INDIRECT GRANTS BETWEEN NATIONAL DEPARTMENTS AND MUNICIPALITIES

NAME OF GRANT	Division of Revenue Act	GRANT ALLOCATION			SPENT
		Roll Overs	Adjustments	Total Available	Amount
		R'000	R'000	R'000	R'000
Municipal Systems Improvement Grant	119 774	-	-	119 774	28 049
TOTAL	119 774	-	-	119 774	28 049

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3

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ANNEXURE 3B

STATEMENT OF CONTINGENT LIABILITIES AS AT 31 MARCH 2021

Nature of Liability	Opening Balance	Liabilities incurred during the year	Liabilities paid/ cancelled/ reduced during the year	Liabilities recoverable (Provide details hereunder)	Closing Balance
	1 April 2020				31 March 2021
	R'000	R'000	R'000	R'000	R'000
Claims against the Department					
Seriti Institute NPC issued summons to the Department for the payment of retention fee amounting R2,352,926.15 plus interest of 10.25%.	2 357	241	-	-	2 598
Subtotal	2 357	241			2 598
Environmental Liability					
Subtotal	-	-	-	-	-
Other					
Subtotal	-	-	-	-	-
TOTAL	2 357	241	-	-	2 598

DEPARTMENT OF COOPERATIVE GOVERNANCE - VOTE 3
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ANNEXURE 4

CLAIMS RECOVERABLE

Government Entity	Confirmed balance outstanding		Unconfirmed balance outstanding		Total		Cash in transit at year end 2020/21 *	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020	Receipt date up to six (6) working days after year end	Amount
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
Department								
Department of Traditional Affairs	-	-	2 761	2 638	2 761	2 638		
Kwazulu-Natal Premier	-	-	-	-	-	-		
Gauteng Department of Community Safety	-	-	-	38	-	38		
Department of Correctional Services	-	-	-	33	-	33		
Eastern Cape Provincial Government	-	-	4	4	4	4		
Limpopo Provincial Government	-	-	83	-	83	-		
Department Economic Development	-	-	103	-	103	-		
Gauteng Department of Cooperative Governance	-	-	193	-	193	-		
	-	-	3 144	2 713	3 144	2 713		



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ANNEXURE 4

CLAIMS RECOVERABLE (CONTINUED)

Government Entity	Confirmed balance outstanding		Unconfirmed balance outstanding		Total		Cash in transit at year end 2020/21 *	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020	Receipt date up to six (6) working days after year end	Amount
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
Other Government Entities								
Municipal Infrastructure Support Agent	-	-	290	290	290	290		
	-	-	290	290	290	290		
TOTAL	-	-	3 434	3 003	3 434	3 003		3 003

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ANNEXURE 5

INTER-GOVERNMENT PAYABLES

GOVERNMENT ENTITY	Confirmed balance outstanding		Unconfirmed balance outstanding		TOTAL		Cash in transit at year end 2020/21 *	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020	Payment date up to six (6) working days before year end	Amount
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
DEPARTMENTS								
Current								
Department of Justice and Constitutional Development	-	-	-	-	-	-	-	-
Eastern Cape Department of Cooperative Governance and Traditional Affairs	-	-	-	-	-	-	-	-
Department of Human Settlement	-	-	30	30	30	30	-	-
Department of Water and Sanitation	-	-	-	37	-	37	-	-
Government Communication Information Systems	-	-	-	-	-	-	-	-
Department of Home affairs	-	-	92	-	92	-	-	-
Free State Provincial Government	-	-	-	-	-	-	-	-
Department of Communications and Digital Technologies	-	-	-	-	-	-	-	-
Subtotal	-	-	122	67	122	67	-	-



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ANNEXURE 5

INTER-GOVERNMENT PAYABLES (CONTINUED)

GOVERNMENT ENTITY	Confirmed balance outstanding		Unconfirmed balance outstanding		TOTAL			Cash in transit at year end 2020/21 *	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2020	Payment date up to six (6) working days before year end	Amount
	R'000	R'000	R'000	R'000	R'000	R'000	R'000		R'000
Non-current									
Subtotal	-	-	-	-	-	-	-	-	-
TOTAL	-	-	122	67	122	67	67	-	-
OTHER GOVERNMENT ENTITY									
Current	-	-	-	-	-	-	-	-	-
Subtotal									
Non-current									
Subtotal	-	-	-	-	-	-	-	-	-
TOTAL INTERGOVERNMENT PAYABLES	-	-	122	67	122	67	67	-	-



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ANNEXURE 8A

INTER-ENTITY ADVANCES PAID (note 14)

ENTITY	Confirmed balance outstanding		Unconfirmed balance outstanding		TOTAL	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020
	R'000	R'000	R'000	R'000	R'000	R'000
NATIONAL DEPARTMENTS						
Department of International Relations and Cooperation	-	-	-	85	-	85
Government Communication and Information System	-	-	20 436	-	20 436	-
Subtotal	-	-	20 436	85	20 436	85
PROVINCIAL DEPARTMENTS						
Subtotal	-	-	-	-	-	-
PUBLIC ENTITIES						
South African National Roads Agency Limited	-	-	-	128	-	128
Development Bank of Southern Africa	-	-	38 959	5 798	38 959	5 798
Subtotal	-	-	38 959	5 926	38 959	5 926
OTHER ENTITIES						
Subtotal	-	-	-	-	-	-
TOTAL	-	-	59 395	6 011	59 395	6 011

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ANNEXURE I I

COVID 19 RESPONSE EXPENDITURE

Per quarter and in total

Expenditure per economic classification	2020/21				2019/20	
	Q1	Q2	Q3	Q4	Total	Total
	R'000	R'000	R'000	R'000	R'000	R'000

Compensation of employees

List all applicable SCOA level 4 items

Catering:Departmental Activities	-	-	328	873	1 201	
Contractors	-	-	899	-	899	
Cons Supplies	-	271	94	9 934	10 299	
Cons:Sta,Print&Off Sup	-	-	-	452	452	
Travel and Subsistence	-	-	-	311	311	
Training & Development	-	-	-	9 934	9 934	
Operating Payments	-	-	-	20	20	

Transfers and subsidies

List all applicable SCOA level 4 items

-	-	-	-	-	-

Expenditure for capital assets

TOTAL COVID 19 RESPONSE EXPENDITURE		271	1 321	21 524	23 116	



A graphic of a globe with a yellow-to-orange gradient, featuring a dashed yellow border. The word "DISTRICT" is written in bold, dark brown capital letters across the center of the globe.

DISTRICT

A graphic of a globe with a yellow-to-orange gradient, featuring a dashed yellow border. The word "PLAN" is written in bold, dark brown capital letters across the center of the globe.

PLAN

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