

*Department OF PLANNING,
MONITORING AND EVALUATION*

ANNUAL REPORT

2020-2021



planning, monitoring
& evaluation

Department:
Planning, Monitoring and Evaluation
REPUBLIC OF SOUTH AFRICA



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ANNUAL REPORT

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PART A

GENERAL INFORMATION

1. DEPARTMENT GENERAL INFORMATION

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2. LIST OF ABBREVIATIONS/ACRONYMS

AGSA	Auditor General of South Africa
APP	Annual Performance Plan
BBBEE	Broad Based Black Economic Empowerment
CBM	Citizen- Based Monitoring
DFI	Development Finance Institutions
DTIC	Department of Trade Industry and Competition
CFO	Chief Financial Officer
DG	Director- General
DIRCO	Department of International Relations and Cooperation
DPME	Department of Planning Monitoring and Evaluation
DPSA	Department of Public Service and Administration
EU	European Union
FOSAD	Forum of South African Directors General
GIS	Geographic Information System
GWM&E	Government Wide Monitoring and Evaluation
HOD	Head of Department
HSRC	Human Sciences Research Council
IMC	Inter-Ministerial Committee
IPM&E	Institutional Performance Monitoring and Evaluation
LGMIM	Local Government Management. Improvement Model
IPFB	Integrated Planning Framework Bill
MAT	Municipal Assessment Tool
Mincom Bud	Ministerial Committee on the Budget
MEC	Member of Executive Council
MPAT	Management Performance Assessment Tool
MPSA	Minister of Public Service and Administration
MTEF	Medium Term Expenditure Framework
MTSF	Medium Term Strategic Framework
NDP	National Development Plan

NPC	National Planning Commission
NSDF	National Spatial Development Framework
NYDA	National Youth Development Agency
OHS	Occupational Health and Safety
OPSC	Office of the Public Service Commission
PCC	President's Coordinating Council
PFMA	Public Finance Management Act
PoA	Programme of Action
PPP	Public Private Partnership
PPFA	Preferential Procurement Policy Framework Act
SAMEA	South African Monitoring and Evaluation Association
SASSA	South African Social Security Agency
SHERQ	Safety Health Environment Risk and Quality
SCM	Supply Chain Management
SCOPA	Standing Committee on Accounts
SDIP	Service Delivery Improvement Plan
SEIAS	Socio-Economic Impact Assessment Study
SITA	State Information Technology Agency
SPLUMA	Spatial Planning and Land Use Management Act
SMME	Small Medium and Micro Enterprises
TR	Treasury Regulations



3. FOREWORD BY THE MINISTER IN THE PRESIDENCY



The year 2020 will go down in history as one of the most difficult periods in our lifetime. The Covid-19 pandemic having left a devastating trail we count in lost lives, affected livelihoods and altered life as we knew it - for the people our nation

and of the world. As we thank His Excellency President Cyril Ramaphosa who, working together with all other leaders across society and experts held our nation together through these uncharted terrains, we know better that it is also taking expert advice and being there for one another that carried us through this year of reporting.

The true heroes and heroine are the thousands of dedicated public servants, especially the frontline health workers, who helped carry the nation through this most testing period in the recent lived history of humanity. As we face the reality that Coronavirus will still be with us for some time, continued human solidarity remain necessary to mitigate the disruptions at personal, family, community, economic and broad social fabric of our country and the world. We have to learn to live despite it.

The Department of Planning, Monitoring and Evaluation (DPME) remain a key institution dutybound to play a lead role in government to navigate these unprecedented precarious new normal that redefined the future of work and put emphasis on the importance of adaptation and innovation. The Department's Annual Performance Plan, likewise with all of government's programme of action, could not continue as previously conceived. Drastic changes had to be made in order to respond to the existential crises occasioned by Covid-19 pandemic.

The 2019 – 2024 Medium Term Strategic Framework (MTSF) outlines the government's

strategic intent and translates the Seven Apex Priorities of government into actionable deliverables. The DPME was mandated to revise this MTSF to respond to the contemporary socio-economic issues confronting the nation occasioned by the pandemic. The Department played a critical role in the planning, monitoring and evaluation of the disaster mitigating plans adopted from time to time by the National Corona Coordinating Council (NCCC) and Cabinet in consultation with the related stakeholders.

The National Planning Commission, which is an independent panel of experts that advises the President and housed within the DPME, embarked on an extensive review of the National Development Plan (NDP) and also advised on the COVID19 response in the context of a long term view. The NDP remains our overarching long term plan and the MTSF, the Economic Recovery and Reconstruction Plan (ERRP) and the District Development Model (DDM), are vehicles through which we attain our collective vision.

“
*The year
2020 will go down
in history as one of
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lifetime.*
”



The DDM integrated approach dispels silos in the implementation of government programmes, whilst creating a platform for greater impact through social compacts between government, business, labour and civil society. The ERRP serves as an immediate response to the socio-economic challenges in the new normal of COVID19 which lays emphasis on urgency to stabilise and to innovate.

In spite of major disruptions and setbacks outlined DPME achieved an 81% of its performance targets. The department continues to ensure transparency, accountability and efficiency in the implementation of government programmes. Adapting to the reality of the imperative of digitalization has enabled the department to increasingly use digital platforms in executing its mandate.

The resilience of the department further demonstrates the dedication of the Director General, management and all staff that gave their very best in the year of reporting, resulting in the Department also obtaining the 9th clean audit opinion from the Auditor General. This is good testament to ethical leadership, good governance and professionalism as well as a fitting tribute to the visionary leadership of my predecessor, the late Minister Mr Jackson Mthembu who passed away early this year. I extend my sincere gratitude to him and

Minister Khumbudzo Ntshavheni who acted in this position before my recent appointment, as well as to the Deputy Ministers .

I further extend my appreciation for the leadership and support of the President, the Deputy President, Cabinet colleagues, Provinces and Local Governments in creating an enabling environment for DPME to execute its mandate in a coherent and consistent manner. We will remain at the service of our people and accountable to Parliament in our continued efforts to ensure evidence based planning and outcome based monitoring and evaluation with the object of change being quality life and a shared prosperity for all citizens.

This Annual Report forms a solid foundation as our nation battle COVID19 pandemic and related challenges whilst forging ahead towards an inclusive growth in South Africa as well as a better Africa and a more just World.

Mondli Gungubele, MP
Minister in the Presidency

4. MESSAGE FROM THE DEPUTY MINISTER



This annual report reflects the DPME's commitment to support the implementation of the NDP and seven priorities of the MTSF 2019 – 2024 amidst the devastating pandemic. The Corona Virus pandemic has triggered untold story of devastation and disrupted virtually all sectors and plans for the development of the country.

Government has had to reprioritize and redirect resources to minimize the impact of the pandemic on lives and livelihoods. As the tragedy of COVID-19 unfolds, all Departments were called upon to find a balance between the increasing demand to minimize the impact on our society and to continue to work towards the attainment of our developmental goals as outlined in the National Development Plan and Medium-Term Strategic Framework. The DPME had to design innovative ways to deliver on its mandate to improve government outcomes and push the frontiers of poverty, unemployment and inequality.

Amidst this pandemic, the DPME continued to work directly with communities to fight the prevailing pandemic, enhance service delivery and heighten citizen participation. To give expression to the notion of citizen participation, the DPME is in the process of modernising the Presidential Hotline to make it more accessible and easier to use. We have seen the importance of a multidimensional hotline service centre during the pandemic. As a result, the process of integrating new platforms to the traditional email and telephone system of the Presidential Hotline has commenced. During the period under review, the DPME piloted the integration of a Mobile APP and a USSD code as additional platforms to the Presidential Hotline precisely to give citizens options to engage with government. In its design, the Presidential Hotline Mobile App allows citizens to make their inputs in their preferred official

language and provides an option for them to do so anonymously. We hope to finalise the process of modernising the Presidential Hotline in the medium term and broaden citizen participation.

The feedback we collected through direct engagement is triangulated against our monitoring and evaluation reports and assist to determine the impact of our programmes. In the period under review, the DPME has visited various communities and service delivery centres to assess the socio-economic impact of the pandemic and the implementation of government interventions respectively. In this way, the Department was able to highlight areas that require improvements and ensure that government is able to design solutions to ameliorate the impact of the pandemic on lives and livelihoods.

“

This annual report reflects the DPME's commitment to support the implementation of the NDP and seven priorities of the MTSF 2019 – 2024 amidst the devastating pandemic.

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The DPME also coordinated the evaluation of policies, programmes and processes across government in order to support the developmental impact of government. This is done in accordance with the National Evaluation Policy Framework (NEPF) which calls for the development of the National Evaluation Plan (NEP) outlining the national evaluation priorities. Considering the changed context, the DPME has initiated a process to revise the National Evaluation Plan (2020-2025) approved by Cabinet in March 2020 in order to align with the available resources and emergent priorities following the COVID-19 disruptions and the revised MTSF. To this effect, a call has been issued inviting Departments to propose themes for evaluation for the remainder of the MTSF period.

All evaluations in the National Evaluation Plan are implemented through partnerships with the custodian Departments. We are proud to cite examples of how partner Departments have used the evaluation results to introduce improvements and create value for the citizens. For instance, an evaluation of the Birth Registration Programme assisted the Department of Home Affairs (DHA) in finalising the Birth Optimisation Strategy, under which a system to digitise the birth registration information is being implemented to enable all birth registrations to be completed within the legislated 30-day period. An Evaluation of Older Persons Act has been instrumental to informing the Older Persons Amendment Bill. There is much that can be achieved through the use of the results of other recently completed evaluations.

In building a smarter organisation, the DPME has started with the development of the Centralised Data Management and Analytics System (CDMAS). Among other benefits, this system will simplify the submission of performance information by Departments to the DPME, improve knowledge management and the production and dissemination of performance reports. Thus far, functional and business requirements specifications have been finalised and the technical design of the first module or prototype of the CDMAS will commence during the 2021/22 financial year.

We continue to advance our resolve to build a capable state and ensures that the performance of the HOD is monitored to ensure alignment with the MTSF and Annual plans. The DPME is the Secretariat supporting the Presidency for HoD evaluations and is responsible for overseeing the implementation of the Directive for DGs from national Departments, national government components and the Offices of the Premier in each province.

As we march forward, the NDP remains our guiding torch and we will continue to work closely with all stakeholders to catapult our economic recovery plans and reduce the levels of unemployment, poverty and inequality.

Thembi Siweya, MP
Deputy Minister in the Presidency

5. REPORT OF THE ACCOUNTING OFFICER



5.1 Overview of the operations of the Department

From the strategic perspective, the DPME continued to implement core focus areas of planning, monitoring and evaluation. During the period under review, the Department achieved 81 percent of its targets in regard to performance information. The Department

obtained its 8th consecutive clean audit opinion from the AGSA.

5.2 Overview of the Financial Results of the Department

5.2.1 Departmental receipts

The Department does not provide services for which fees are charged. The Department received R2,110,000 for recovery of prior year expenditure, revenue for staff parking and the staff bus, interest earned, commission on garnishee orders and the sale of assets.

Programme Expenditure

Programme Expenditure	2020/21 ('000)			2019/20 ('000)		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
Administration	160 070	156 528	3 542	188 079	178 728	9 351
National Planning Coordination	66 366	64 741	1 625	85 931	79 639	6 292
Sector Monitoring Services	58 625	57 416	1 209	82 885	71 298	11 587
Public Sector Monitoring & Capacity Development	79 231	75 901	3 330	86 545	82 576	3 969
Evaluation, Evidence and Knowledge Systems	35 682	32 553	3 129	44 037	34 739	9 298
National Youth Development	-	-	-	469 462	467 538	1 924
TOTAL	399 974	387 139	12 835	956 939	914 518	42 421

The Department spent 96.8% of its allocated budget.

Programmes 1 to 4, i.e. Administration; National Planning Coordination; Sector Monitoring Services; and Public Sector Monitoring & Capacity Development underspent less than 5%. The main reason for the underspending of 8,8% in Programme 5 - Evaluation, Evidence and Knowledge Systems, is due to less expenditure on Business & Advisory Services and cost of rapid evaluations being lower than anticipated.

The under-expenditure on payments for Goods and Services was primarily due to an underspending on travel; advertising and

training due to the COVID-19 Pandemic, as well as the drafting of the planning bill not having been commenced.

Virements/roll overs

No rollovers were requested for the 2020/21 financial year. Details of approved virement are provided in the Appropriation Statement under Part E: Financial Information.

5.2.2 Unauthorised, irregular and fruitless and wasteful expenditure

The Department has effective measures in place to prevent and detect irregular and fruitless and wasteful expenditure.

- During the year under review the Department recorded no fruitless and wasteful expenditure. Two hundred and seventy-six thousand rand (R276,000) of possible fruitless and wasteful expenditure was still under investigation as at 31 March 2021. Department recorded no irregular expenditure in the 2020/21 financial year, of which none was condoned. Seven hundred and fifty-nine thousand (R759 000) of possible irregular expenditure was under investigation as at 31 March 2021.
- The Department did not incur any unauthorised expenditure.
- Detailed information on irregular and fruitless and wasteful expenditure is available under Part E: Financial Information, notes 22 and 23.

5.2.3 Future plans of the Department

The Department will continue to implement its programmes focused advancing its core mandate of institutionalisation of the Planning, Monitoring, Evaluation and Intervention functions. To this end, the finalisation of the Integrated Planning Framework Bill is a critical deliverable. In addition, the strengthening of the monitoring and evaluation functions through the development of the functional Knowledge Management Hub is a priority in the medium term.

5.2.4 Public Private Partnerships

The Department did not enter into or participate in any PPP contracts for the period under review.

5.2.5 Discontinued activities / activities to be discontinued

The Department rationalised some of its targets in the original APP 2020-2021 tabled during March 2021. A replacement Annexure to the APP was tabled during July 2021 in response to budget reductions occasioned by the re-periodization of the national budget which affected the Department. Details of the amendments are contained in part B of the document.

5.2.6 New or proposed activities

There are no new proposed activities in the short to medium term.

5.2.7 Supply chain management

No unsolicited bid proposals were concluded

by the Department. The SCM unit currently does not experience any significant challenges.

5.2.8 Gifts and Donations received in kind from non-related parties

Gifts and donations received from non-related parties are disclosed in the Annexures to Part E: Financial Information.

5.2.9 Exemptions and deviations received from the National Treasury

The Department did not request any exemption from the PFMA / Treasury Regulations or from financial reporting requirements during the financial year.

5.2.10 Events after the reporting date

There were no changes after the reporting period.

5.2.11 Conclusion and Acknowledgments

The NDP serves as the vision and a roadmap for socio-economic development to radically reduce poverty and inequality, and transform the economy and society for shared prosperity and national unity.

The DPME play pivotal role in facilitating planning to ensure that the NDP vision is translated into tangible government programmes to achieve a better life for all South Africans. It also serves as the mirror through which government and the nation reflect on progress being made in achieving that vision.

To this end, the DPME will continue to discharge its responsibilities in a manner that enhances accountability and good governance. I would like to express my deepest gratitude and condolences to the support provided by the late Minister Honourable Jackson Mthembu. I thank the Acting Minister in the Presidency Honourable Khumbudzo Ntshavheni and Deputy Minister Thembi Siweya for their guidance and support. Let me take this opportunity to also thank all staff members, Commissioners of the NPC and Audit Committee members for their support and guidance.



Mr Robert Nkuna

Director General: Department of Planning, Monitoring and Evaluation

6. STATEMENT OF RESPONSIBILITY AND CONFIRMATION OF ACCURACY FOR THE ANNUAL REPORT

To the best of my knowledge and belief, I confirm the following:

All information and amounts disclosed throughout the annual report are consistent.

The annual report is complete, accurate and is free from any omissions.

The annual report has been prepared in accordance with the guidelines issued by National Treasury.

The Annual Financial Statements (Part E) have been prepared in accordance with the modified cash standard and the relevant frameworks and guidelines issued by the National Treasury.

The Accounting Officer is responsible for the preparation of the annual financial statements and for the judgements made in this information.

The Accounting Officer is responsible for establishing, and implementing a system of internal control that has been designed to provide reasonable assurance as to the integrity and reliability of the performance information, the human resources information and the annual financial statements.

The external auditors are engaged to express an independent opinion on the annual financial statements.

In my opinion, the annual report fairly reflects the operations, the performance information, the human resources information and the financial affairs of the Department for the financial year ended 31 March 2021.

Yours faithfully



Mr Robert Nkuna
Director General: Department of Planning,
Monitoring and Evaluation

7. STRATEGIC OVERVIEW

7.1 Vision

Improved government outcomes and impact on society.

7.2 Mission

To facilitate, influence and support effective planning, monitoring and evaluation of government programmes aimed at improving service delivery, outcomes and impact on society.

7.3 Values

A learning organisation.

Our values are inspired by our desire to be a learning organisation. We value innovation, partnerships and feedback. We do not do the same things repeatedly when they are clearly not working.

Our staff

We value our employees and therefore we strive to create an environment that allows them to grow and to be innovative. We will create an environment that enables our staff to:

- Act with integrity
- Practice Ubuntu
- Respect self and others

Our customers

We shall at all times be exemplary in all respects. This includes being people-centred and service-oriented.



Our performance culture

Our performance culture is influenced by our commitment to:

- be outcome-oriented
- pursue progressive and quality management practices in order to achieve value for money, efficiency and effectiveness
- produce quality outputs
- be compliant with prescripts
- pay attention to the basics, such as
 - not being late for meetings
 - running meetings efficiently
 - responding to e-mails, phone messages and all other requests timeously
- be accountable and transparent.



8. LEGISLATIVE AND OTHER MANDATES

The mandate of the Department of Planning, Monitoring and Evaluation is derived from section 85(2) (b-c) of the Constitution of the Republic of South Africa which states that the President exercises executive authority, together with the other members of the

Cabinet, by developing and implementing national policy and co-ordinating the functions of state Departments and administrations. In addition, the following policy documents outline the mandate of the Department:

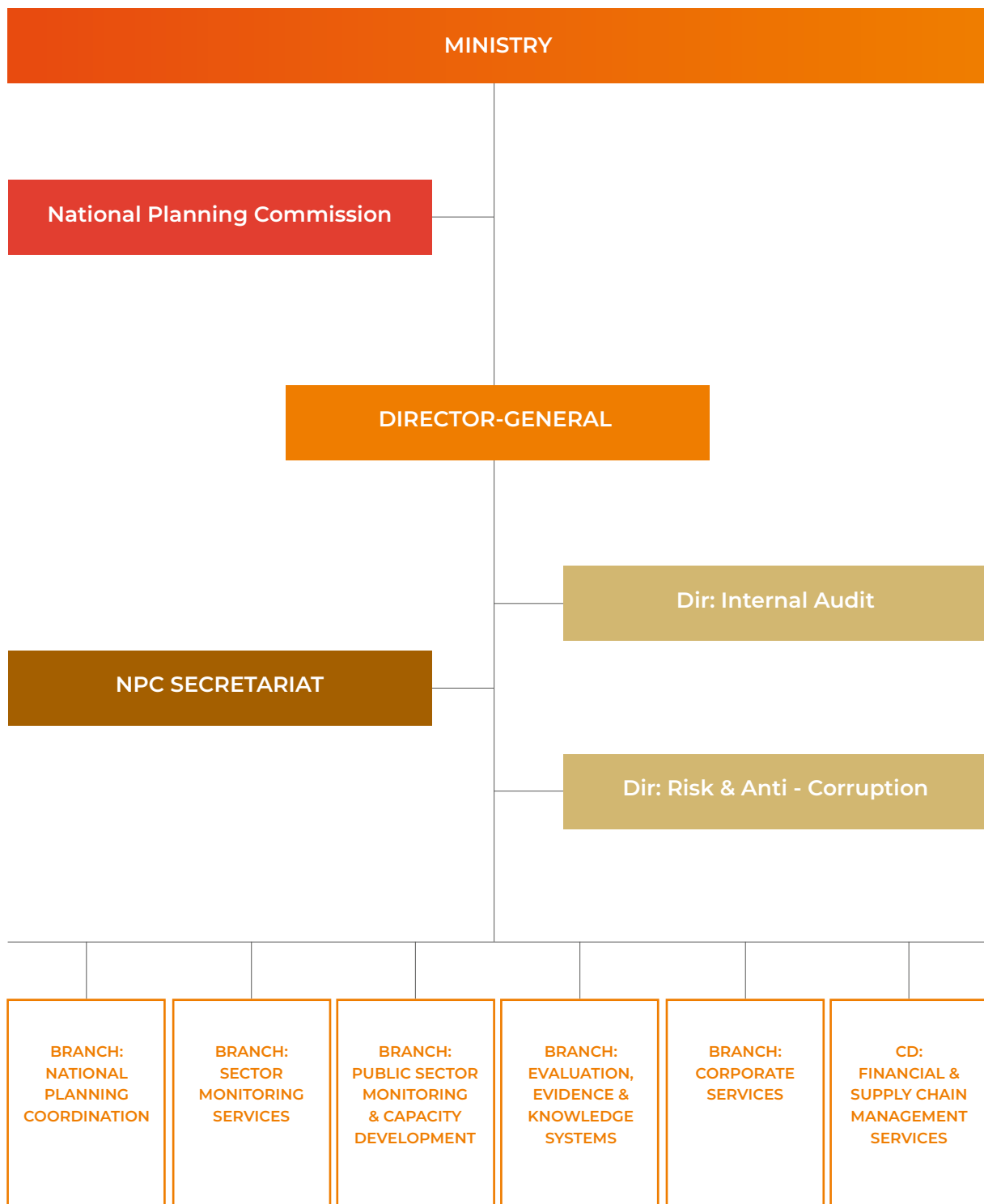
Legislation/policy
The Constitution of the Republic of South Africa, 1996
Proclamation no.47 of 2014
National Development Plan 2030-Our future make it work (2012)
Green Paper on National Strategic Planning (2009)
Framework for Strategic Plans and Annual Performance Plans
Policy framework for the Government-wide Monitoring and Evaluation Systems (2007)
Improving Government Performance: Our Approach (2009)
National Evaluation Policy Framework (2011)
Cabinet decisions

The mandate of DPME has been given concrete expression by the President in his 2010 and 2011 State of the Nation Addresses as well as various Cabinet decisions; and by the “Policy Framework on Performance Monitoring and Evaluation - Our Approach” document and the “Revised Green Paper: National Planning Commission,” which were tabled in Parliament.

In addition, the Minister in the Presidency for Planning, Monitoring and Evaluation outlined a clear set of interventions that should be undertaken by the Department. Therefore, the Annual Report incorporates the goals of improving expectations on service delivery through programmes and initiatives of the Department for the year under review.



9. ORGANISATIONAL STRUCTURE



10. ENTITIES REPORTING TO THE MINISTER

The table below indicates the entities, in addition to the Presidency, that reported to the Minister during the financial year under

consideration. Related party transactions are included in the disclosure notes to the Annual Financial Statements (Part E).

Name of Entity	Legislative Mandate	Financial Relationship	Nature of Operations
Statistics South Africa	Statistics Act, 1999 (Act No. 6 of 1999)	None	Advance the planning, production, analysis, documentation, storage, dissemination and use of official and other statistics
Brand South Africa	The Brand South Africa Trust (Brand South Africa) was established in 2002 in terms of the Trust Property Control Act No.57 of 1988, as amended.	None	Brand South Africa was established in August 2002 to help create a positive and compelling brand image for South Africa.



PART B

PERFORMANCE INFORMATION

1. AUDITOR GENERAL'S REPORT: PREDETERMINED OBJECTIVES

The AGSA currently performs certain audit procedures on the performance information to provide reasonable assurance in the form of an audit conclusion. The audit conclusion on the performance against predetermined objectives is included in the report to management, with material findings being reported under

the Predetermined Objectives heading in the Report on other legal and regulatory requirements section of the auditor's report.

Refer to page 112 -114 of the Report of the Auditor-General, in Part E: Financial Information.

2. OVERVIEW OF DEPARTMENTAL PERFORMANCE

2.1. Service Delivery Environment

The reporting period under review has been marred by the devastation caused by the outbreak of the Covid-19 pandemic. The pandemic disrupted all facets of people's lives, worsened the socio-economic challenges, and exerted pressure on the public purse. The Department has thus not been spared from the impact of Covid-19 pandemic from the resources and performance perspectives.

The socio-economic impact of COVID-19 necessitated the review of the allocated budgets and set targets for the reporting period. Part of the R500 Billion stimulus package in response to the pandemic that was announced by the President was sourced from the government allocations. As a result, the Department's original allocation of R499,97 million in the 2020 Appropriations Bill was reduced by R100 million (20%) in the Special Adjustment Budget of June 2020. The adjustments impacted on some of the planned outputs and human resources for this financial year under review.

Whilst the Department continue to implement its mandate to institutionalise planning in government, monitor outcomes and evaluate government programmes, some of the critical focus areas and approaches have had to be introduced to support the fight against the pandemic and ensure the attainment of the

country's developmental goals as espoused in the NDP are somehow sustained.

Due to the outbreak of the pandemic, some of the developmental outcomes and planned activities in the MTSF were affected. In response, the Department embarked on a process to review the MTSF 2019 – 2024 and to ensure that it remained relevant in the changing environment. This will further have an impact on meeting the long term targets in the NDP.

The Department also refocused its monitoring efforts towards the implementation of the Covid-19 relief measures. To this end, the Department has produced two reports on measures taken by the South African Government to combat Covid-19 during the disaster phase. A survey has also been concluded on the Impact of Covid-19 on South African Municipalities. These reports provide critical data that can be used to inform policy making and guide implementation of government processes.

The Department has had to defer the planned 12 evaluations contained in the Cabinet approved National Evaluation Plan for 2020/2021 due to the budget cuts. Instead, it revised its target to the production of one (1) integrated evaluation report.

The Department also embarked on the initiative to produce a Country Report on COVID-19, in partnership with the Government Technical Advisory Centre (GTAC) and the National Research Foundation (NRF) and the research experts across the country. The Report documents the lessons learnt from the Covid-19 pandemic, the interventions made, including the contribution of the non-government sectors to curb the spread of the virus and its negative effects on the health system, the economy and the society.

In building a smarter organisation, the DPME has started with the development of the Centralised Data Management and Analytics System (CDMAS). Among other benefits, this system will simplify the submission of performance information by Departments to the DPME, improve knowledge management and the production and dissemination of performance reports. Thus far, functional and business requirements specifications have developed and the technical design will commence of the first module in the financial year 2021-2022.

As society ponder over the post covid-19 era, permanent changes to social and economic

activities are inevitable. The world post Covid-19 will be defined through the adoption of innovative strategies such as e-communications, e-governance and e-commerce. The Department is therefore in the process of adopting its policies and system to adjust to the new normal. This included allowing staff to work remotely and developing protocols and standards for conducting the business of the Department via online platforms.

2.2. Service Delivery Improvement Plan

The majority of the services provided by the Department do not directly affect the citizens. However, its functions have an influence on the work of Departments that provide services directly to the public. Through the planning, monitoring, evaluation functions, and tools such as Outcomes Monitoring, Frontline Monitoring, the Presidential Hotline and Community Based Monitoring programmes, the Department has created a mechanism to interact with the public.

The tables below provide fuller details about the main services provided by the Department and the desired minimum standards of performance:

Main Services	Beneficiaries	Current/Actual standard of service	Desired standard of service	Actual achievement
Programme	Sector Monitoring			
Provide progress reports on performance of government against key priorities	President Deputy President Cabinet Parliament Ministers Secretariat of Cabinet	MTSF/ PoA progress reports produced bi-annually	Progress report twice a year to Cabinet Committees during POA week	1 Achieved
	Minister and Cabinet	Cabinet memos were submitted 7 days before cabinet committee meetings	Cabinet memos are submitted 7 days before cabinet committee meetings	Achieved
	President Deputy President Ministers	Briefing notes are compiled within 30 days before visit to identified site.	Briefing notes were compiled within 30 days before visit	Achieved
Strategic plan analysis	All national Departments	Reports on compliance of strategic plans with delivery agreements are submitted to the relevant Department within 30 days after submission by the relevant Department	Reports on compliance of strategic plans with delivery agreements were submitted to the relevant Department within 22 days after submission by the relevant Department	Achieved

Main Services	Beneficiaries	Current/Actual standard of service	Desired standard of service	Actual achievement
Programme	Public Sector Oversight			
Provide platform for citizens to lodge complaints and compliments about quality of services	Members of the public	Complaints received are acknowledged within 5 days of receipt majority of cases	Complaints received were acknowledged within 5 days of receipt	Achieved
		Preliminary investigations of complaints are conducted within 7 days and referred to relevant Departments or institutions	Preliminary investigations of complaints were conducted within 7 days and referred to relevant Departments or institutions	Preliminary investigations not always done within seven days of receipt
		Provide quarterly updates to complainants on their complaints	Provides monthly updates to complainants on their complaints	Achieved
Programme	M&E Systems Coordination and Support			
Well-functioning Data Forums	All outcomes co-ordinating Departments	Data forums are convened on a quarterly basis for seven (7) priorities	Data forums were convened on a quarterly basis for 1seven (7) priorities	Achieved
M&E forums	All national Departments and Offices of the Premier	M&E forums for national and provincial Departments convened quarterly	M&E forums for national and provincial Departments were convened quarterly	

Batho Pele arrangements with beneficiaries (Consultation and access)

Current/actual arrangements	Desired arrangements	Actual achievement
DPME website	Site developed and deployed for access by members of the public and client Departments	Achieved
Presidential Hotline	Members of the public can access the Department through the Presidential Hotline	Achieved

Service delivery information tool

Current/actual information tools	Desired information tools	Actual achievement
Programme of Action	Programme of Action	Achieved
Presidential Hotline	Presidential Hotline	Achieved

Complaints mechanism

Current/actual complaints mechanism	Desired complaints mechanism	Actual achievement
Members of the public can access us through the Presidential Hotline by telephone, facsimile, e-mail and written correspondence	Members of the public can access the Department through the Presidential Hotline by telephone, facsimile, e-mail and written correspondence	Achieved
Dedicated e-mail address for compliments/ complaints monitored by office of the Director General	A dedicated e-mail address for compliments/complaints has been set up and is monitored by office of the Director General	Achieved

2.3. Organisational environment

During the reporting period under review, the Department managed to fill its critical vacancies. Amongst the key posts filled were the appointment of the Director General, the Deputy Director General for Corporate Services, Deputy Director General National Planning Co-ordination, the Chief Financial Officer and other strategic management positions critical to services delivery. Through this efforts, the Department was able to reduce its vacancy rate from 11% to 4.1% at the end of the financial year under review.

The reduction to the level beyond the targeted 10% vacancy rate is also attributable to the abolishment of some posts as a result of budget cuts. As indicated above, the impact of Covid-19 pandemic impacted the baseline budget allocation over the MTEF which in turn necessitated the rationalisation of some posts and revisions to originally tabled APP 2020-2021. Whilst the Department continues to implement its mandate to institutionalise planning in government, monitor outcomes towards the NDP targets and evaluate government programmes, some of the critical focus areas and approaches have had to be introduced to support the fight against the pandemic and ensure the attainment of the country's developmental goals as espoused in the NDP.

The Department was devastated by the loss of its Minister, Honourable Jackson Mthembu towards the end of the financial year under review. This occurrence has had a demoralising effect to the morale of the staff. This was however mitigated by the appointment of the Acting Minister Honourable Khumbudzo Ntshavheni who is holding the fort in providing political leadership and direction to the Department.

2.4. Key policy developments and legislative changes

There were no changes in the policy and legislative environment in the period under review affecting the DPME. However, the Department had intended to process the Integrated Planning Framework Bill through government processes. The work was however stalled due a lack of capacity in the Department for legislation drafting. In addition, further consultations are required to amongst other things incorporate the District Development Model in the Bill. In the year ahead, the Department will proceed with the establishment of an intergovernmental committee consisting of relevant Departments/ institutions to oversee the redrafting of the Bill. This is also to ensure that there is effective coordination and consultation with relevant stakeholders.

3. PROGRESS TOWARDS ACHIEVEMENT OF DEPARTMENTAL IMPACT AND OUTCOMES

The impact that the Department seek to achieve as stated in the Strategic Plan 2020-2025 is to support "Improved country developmental outcomes as envisaged in the National Development Plan (NDP 2030) through effective implementation of the Medium Term Strategic Framework (MTSF) 2019-2024"

To this end, the DPME has five strategic outcomes as outline in the Strategic Plan 2020-2025. These are as follows:

1. An efficient and effective Department characterized by good corporate

governance and ethical leadership

2. Long and medium-term development agenda is institutionalized into a functional, integrated government planning system.
3. Citizens and Stakeholders contributing to the implementation of the NDP/ MTSF
4. Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making.
5. Evidence to support the Country's developmental agenda generated

These outcomes are focused towards advancing the NDP as the country's development agenda in order to reduce poverty, unemployment and inequality. The DPME is the custodian of the NDP and is tasked with the responsibility to ensure its implementation through the MTSF in line with government seven priority outcomes.

3.1. Progress made against the strategic orientated goals are as follows:

3.1.1. An efficient and effective Department characterized by good corporate governance and ethical leadership

Leadership and accountability plays a critical role for an effective and efficient organisations. In turn, efficiency and effectiveness are critical elements of high performance organisations. The Corporate Services Branch of the Department plays a catalytic role in supporting the Department towards achieving the government MTSF Priority 1 Capable, Ethical and Developmental State. The measure of success in this regard is determined by AGSA audit outcomes. The Department aims to achieve an unqualified audit opinion from the AGSA as stated in the Strategic Plan 2020-2025. In this regard, the Department has obtained 8 consecutive audit opinions.

3.1.2. Long and medium-term development agenda is institutionalized into a functional, integrated government planning system.

The DPME is tasked with the responsibility of facilitating the implementation of the NDP -2030 through the development of a strategic medium-term national plan, an implementation plan which is the Medium-Term Strategic Framework (MTSF). To this end, the DPME has developed the MTSF 2019-2024. The MTSF is geared towards the achievement of development results and outlines Government's strategic priorities, interventions and targets. Due to the COVID-19 pandemic and government's wide-ranging socio-economic responses to mitigate the worst impacts of the pandemic, a revised MTSF was developed to take into account budget reallocations, recovery plans and other key shifts.

As part of institutionalising planning, the DPME has initiated a process to develop the development of an Integrated Planning

Framework Bill. In the reporting period under review, the target was to have the Bill finalised and processed through Cabinet. This was however, not achieved due to the combination of capacity resource constraints and interruptions created by the outbreak of the Covid-19 pandemic.

The National Planning Commission (NPC) embarked on the review of the National Development Plan to assess progress, identify gaps and challenges after its adoption in 2012. The review considered the efforts undertaken to achieve the developmental goals of the NDP, assesses gaps, and recommends course correction and implementing better to get back to the trajectory envisioned by the NDP.

The review pointed that while some progress has been made on several NDP goals, mainly in the area of social transformation since the adoption of the plan in 2012. But, overall progress has been slow. The country is not on course to achieving the 2030 overall goals of the NDP of halving poverty, reducing unemployment and inequality. Consequently, the priority challenges identified in the Plan - poverty, unemployment and inequalities – remain entrenched, and will be worse in the aftermath of the devastating Covid-19 pandemic.

The NPC handover report 2015/2020 by the second Commission to the President and Cabinet provides advice and research on better implementation of the NDP in the last decade towards 2030. The third Commission will finalise an implementation framework for the NDP through a whole of society approach going forward.



3.1.3. Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making

The saying, “what gets measured gets done” holds true to the work the Department. The assessment of progress towards the implementation of the NDP through the MTSF requires regular measurement and reporting to keep track of progress and make decisions to improve your results. The critical measurements are indicators and targets as outlined in the MTSF.

Cabinet has tasked the Department with the responsibility of monitoring and reporting bi-annually through the MTSF Monitoring Framework. Due to the outbreak of the Covid-19 pandemic which resulted in the hard lock down in the beginning of the reporting period under review, the DPME produced the 2 Covid-19 Reports to capture the government response to the devastating effects of Covid-19 as it was unfolding and 1 MTSF Monitoring Report during the second quarter of the financial year.

Work was also done on the development of Performance Agreements of Ministers to align with government priorities. All Performance Agreements of Ministers has been signed by the President of the Country and his Cabinet Ministers and have been published in the DPME/Government Communications website for transparency and accountability to the nation.

3.1.4. Citizens and Stakeholders contributing to the implementation of the NDP/ MTSF

Guided by its mandate and its priorities, the NPC manages an events programme that brings together the different stakeholders for engagement and consultation. While each work stream initiates and manages its own public engagements, the Communications Task Team is responsible for providing overarching support and strategic direction of the NPC's public engagements programme. This area is receiving renewed attention as the NPC's outputs are being completed.

The NPC has participated in and initiated a number of strategic engagements and

partnerships to develop crosscutting views of specific issues with the aim of finding sustainable and innovative solutions to obstacles to implementation of the NDP. The following are some of the engagements undertaken as part of the projects of the different work streams:

- ‘Enhancing the Quality of Life’ work stream (EQL) engagement on Universal Health Coverage, in partnership with WHO and the Department of Health. The outcomes of the discussion will inform the NPC's input into the NHI white paper process as well as the approach of the work stream in this area of work.
- EQL engagement with different stakeholders on the discussion document on reducing the cost of living for the poor. The inputs from the engagements were factored into the report that was subsequently finalised. A number of suggestions from the engagements were also taken forward and are incorporated in the way-forward plans with respect to this area of work.
- Children's NDP: The aim is to provide voice to children and youth on their views about the future of the country.
- Expanded, Inclusive, and Fairer Economy (EIFE) work stream in partnership with the World Bank held four workshops on various aspects of the economy, held symposiums on energy, water and exports, engaged communities and small entrepreneurs in township and rural economies, worked in collaboration with J-PAL Africa on active labour market policies and with the United Nations University World Institute for Development Economics Research (UNU-WIDER) on climate and energy modelling
- On the NSDF – A team of consultants is currently working on a draft, in terms of SPLUMA. It is envisaged that the Minister of DPME will champion the work on implementing the NSDF in collaboration with DRDLR.

- An NDP Conference held in partnership with the University of Fort Hare. This conference brought together business, academia, the three spheres of governments and youth, sharing experiences on implementation of the NDP, with particular focus on the Eastern Cape.

3.1.5. Evidence to support the Country's developmental agenda generated

The NPC in partnership with different stakeholders continues to use existing research, or commission's specific research to gather evidence on a range of topics. Stakeholders include other government Departments, academics, research entities, non-governmental organisations, and multi-lateral organisations. The NPC secretariat working with the UNDP has produced a report on the alignment of NDP to SDGs, Vision 2030 and Agenda 2063 The Africa we want. This report highlighted 74% alignment between NDP and SDGs as well as close to 100% alignment between NDP and Agenda 2063.

Most of the research commissioned by the NPC has been in terms of the immediate requirements of work streams, and no medium to long-term research agenda has been formulated yet.

As an increasing number of NPC research reports become concluded, there is a need to clarify how the reports are processed, signed-off, published and actioned. As research is integral to the NPC's mandate, and formal protocols and decisions around the research agenda are critical, as well as forward planning to ensure adequate budget provision.

The DPME coordinates the evaluations of policies, programmes and processes across government in order to support the developmental impact of government. This is done in accordance with the National Evaluation Policy Framework (NEPF). Considering changed context, the DPME has initiated a process to revise the National Evaluation Plan (2019-2024) in order to align with the available resources and emergent priorities following the Covid-19 disruptions and the revised MTSF.

To this effect, a call has been issued inviting Departments to propose themes for evaluation for the remainder of the MTSF period.

All evaluations in the National Evaluation Plan are conducted in conjunction with the custodian Departments (or intervention owners). A process has been undertaken during 2020/21 to track how various Departments have used the evaluations to introduce improvements and create value for the citizens. The outcome of this process is presented in the Integrated Evidence Report that the Department produced.

Selected examples include: An Evaluation of Older Persons Act, which has been instrumental in informing the Older Persons Amendment Bill; An evaluation of the Birth Registration Programme, which assisted the Department of Home Affairs (DHA) to finalise the Birth Optimisation Strategy leading to the digitization of birth registration information.

Following the Evaluation of the National Learner Transport Programme, StatsSA 2019 National Household Travel Survey incorporated learner transport indicators, which now helps with demand verification and planning. A process is underway to develop the National Integrated Small Enterprise Development Masterplan (NISED) by the Department of Small Business Development, draws from the Evaluation of the Integrated Strategy for the Promotion of Entrepreneurship and Small Enterprises (ISPESE).



4. PROGRAMME PERFORMANCE INFORMATION

4.1. Programme 1: Administration

4.1.1. Purpose of the programme

The purpose of the programme is to provide strategic leadership, management and support services to the Department.

4.1.2. The programme consists of the following sub-programmes:

1) Ministry

Purpose: Provide executive support to political principals

2) Departmental Management

Purpose: Provide strategic leadership and management to the Department

3) Corporate Services and Financial Administration

Purpose: Render corporate services and financial administration to the Department

4.1.3. Strategic Plan Outcome/s

The programme contribute to the Strategic Plan Outcome

"An efficient and effective Department characterised by good corporate governance and ethical leadership"

4.1.4. Performance against 2020-21 APP targets

The key outcome of the programme is to support and promote good corporate governance and compliance with legislation and policies with the **aim of obtaining unqualified audit opinion.**

This outcome supports the MTSF Priority 1: Capable, Ethical and Developmental State;

To this end, the Department obtained a clean audit opinion in the financial year 2019-2020. The achievement marks the 8th clean consecutive audit outcome.

The programme had 11 targets for the financial year. Nine (9) targets were fully achieved and two (2) targets were not achieved. The table below provides details of performance against outputs and targets.

4.1.5. Performance outputs against the interventions to achieve the outcomes

Achieved		Not Achieved					
Outcome	An efficient and effective Department characterised by good corporate governance and ethical leadership						
The outputs, performance indicators and the planned targets below were meant to achieve this objective as stated in the strategic plan and annual performance plan reported on.							
	2020/2021	2018/19	2019/2020	2020/2021			
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target	Comment on the Deviation
Sub-programme: Departmental Management							
	1. Approved Strategic and Annual Performance Plans	Annual Performance Plan 2019/20 was produced and designed but not tabled in Parliament	Strategic Plan and APP were finalized and submitted to Parliament on the 23 March 2020	Produce Strategic and Annual Performance Plan according to prescribed standards/ frameworks and timelines	The Strategic Plan was reviewed but not changed and the Annual Performance Plan was produced according to prescribed standards/ frameworks and submitted to Parliament by due date on the 24 March 2020	None	None
	2. Quarterly implementation reports against APP	4 quarterly implementation reports were produced against APP	Produce 4 quarterly implementation reports against APP were produced	Produce 4 quarterly implementation reports against APP	4 quarterly implementation reports against APP were produced	None	None
	3. Audited Annual Report	Audited Annual Report was produced and submitted to National Treasury and Parliament by due date	Annual Report was produced and submitted by due dates	Produce AR and submit to AGSA for audit and to NT and Parliament by due dates	Annual Report was produced and submitted by due date.	None	None

Achieved		Not Achieved				
Outcome	An efficient and effective Department characterised by good corporate governance and ethical leadership					
The outputs, performance indicators and the planned targets below were meant to achieve this objective as stated in the strategic plan and annual performance plan reported on.						
	2020/2021	2018/119	2019/2020	2020/2021		
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target
Sub-programme: Internal Audit						
	4. Approved Three (3) Year Internal Audit Rolling Audit Plan	3- Year Rolling Plan and IA Annual Plan developed and tabled at the AC meeting held on 28 March 2018 for approval	3- Year Rolling Plan and IA Annual Plan developed and tabled at the AC meeting held on 17 April 2019 for approval	Produce a Three (3) year Rolling Internal Audit Plan and submit to the Audit Committee for approval by 30th March	A Three (3) Year Rolling Internal Audit Plan was produced and submitted to the Audit Committee for approval by 31st March	None
	5. Quarterly Internal Audit Reports Produced	Quarterly audit implementation reports were produced and presented to the Audit Committee and Management	Quarterly Internal Audit Reports were produced and presented to Audit Committee	Quarterly Internal Audit Reports presented to Management and Audit Committee	Quarterly Internal Audit Reports were produced and presented to Management and Audit Committee	None

Achieved		Not Achieved				
Outcome	An efficient and effective Department characterised by good corporate governance and ethical leadership					
The outputs, performance indicators and the planned targets below were meant to achieve this objective as stated in the strategic plan and annual performance plan reported on.						
	2020/2021	2018/119	2019/2020	2020/2021		
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target
Sub-programme: Risk, Anti-corruption and Integrity Management						
6. Percentage of designated employees submitting financial disclosures	95% of SMSs disclosed (79/83)	94% SMS category submission of financial disclosures within the specified time frame. (85/90*100=94%)	100% compliance in submission of financial interests by all designated employees within the specified time frames	99% SMS category submission of financial disclosures within the specified time frame. (86/87*100=99%)	1% deviation from the planned target (SMS)	One officials from SMS did not comply with the submission timeline but has since complied. A disciplinary process was initiated against the official.
	96% of other designated employees disclosed their financial interests within the specified time frames. (136/141*100=96%)	92% MMS Level 12 / OSD submission of financial disclosures within the specified time frame. (62/67*100=92%)	100% of eligible SCM/Finance designated employees submitted their financial disclosures within the specified time frame.	100% OSD Category submission of financial disclosures within the specified time frame 100% Finance and SCM submission of financial disclosures within the specified time frame	1% deviation from the planned target (MMS Level 12)	One (1) employee form MMS Level 12 was on suspension during the filling period and did not comply.

Achieved	Not Achieved					
Outcome	An efficient and effective Department characterised by good corporate governance and ethical leadership					
The outputs, performance indicators and the planned targets below were meant to achieve this objective as stated in the strategic plan and annual performance plan reported on.						
	2020/2021	2018/19	2019/2020	2020/2021		
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target Comment on the Deviation
Sub-programme: Risk, Anti-corruption and Integrity Management						
	7. Annual risk, anti-corruption and integrity management Implementation Plan produced	Annual risk assessment was conducted and risk management implementation plan was produced	Annual Risk, Anti- Corruption and Integrity Management Implementation Plan was produced and approved	Annual risk, anti- Corruption and Integrity management Implementation plan Produced	Annual risk, anti-corruption and integrity management implementation plan FY2021/22 was produced	None
	8. Number of quarterly progress reports on risk, anti- corruption and integrity management implementation plan produced	4 Quarterly Risk management progress reports were produced	4 quarterly progress reports on Risk, anticorruption and integrity management implementation plan were produced	4 quarterly progress reports on risk, anti-corruption and integrity management implementation plan	4 quarterly progress reports on risk, anti-corruption and integrity management implementation plan were produced	None
Sub-programme: Marketing and Communications						
9. Annual communication plan and Percentage achievement of targets in the Communication Plan	Communication plan was produced but not approved by the DG 98% activities in the draft Communication plan were conducted (84/86*100=98%)	Annual communications plan was developed and 80% of activities were achieved	Communications plan produced and 80% of the targets achieved	Communications plan was produced and more than 120% of the targets were achieved (210/174*100 = 120%)	40% target exceeded	The Covid-19 pandemic has necessitated that the directorate engage in more on online communication

Achieved		Not Achieved				
Outcome		An efficient and effective Department characterised by good corporate governance and ethical leadership				
The outputs, performance indicators and the planned targets below were meant to achieve this objective as stated in the strategic plan and annual performance plan reported on.						
Output	2020/2021 Performance Indicator	2018/119 Actual Achievement (where applicable)	2019/2020 Actual Achievement (where applicable)	2020/2021 Planned Annual Target	Actual Achievement	Deviation from Planned Target
Sub-programme: Human Resource Management						
	10. Vacancy rate of 10% or below	The average vacancy rate as at quarter 4 was 11.4%	The average vacancy rate at end of financial year was 11,5%	Maintain a vacancy rate of 10% or less annually	The Annual vacancy rate of 4.1% of the funded vacant posts was achieved	None
	11. Number of HRP implementation report produced	-	HR Plan was developed and 3 implementation reports were produced	Produce 4 quarterly reports against HR Plan	4 quarterly implementation reports against HR Plan were produced	None
Sub-programme: Chief Information Officer						
	12. Approved annual ICT plan and number of reports indicating percentage achievement of targets in the ICT Plan	The Annual ICT plan by 30 June	ICT plan was produced by 30 April 2019 Quarterly reports were produced indicating 80% of targets achievements	Produce annual ICT Plan and 4 quarterly reports indicating 85% achievement of the ICT plan activities.	ICT annual plan was produced by 30 April 2020 and 4 quarterly reports produced indicating 81% achievement of targets (13/16*100=81%)	Target deviated by 4% More urgent and important work, with less resources were received during the COVID-19 pandemic which challenged the normal working of the system
Sub-programme: Chief Financial Officer						
	13. Payment to suppliers turnaround times	100% of valid invoices were paid within 30 days	100% of valid invoices were paid within 30 days	10 working days on average	100% of valid invoices were paid within 30 days	None
	14. Enterprise and supplier development score (B-BBEE Certificate)	-	-	30	32 points score of enterprise and supplier development achieved.	None

4.1.6. Strategies to overcome areas of under-performance

Two targets were not achieved in the programme. The target related to submission of disclosures has since been achieved for all illegible officials. The target related to ICT implementation plan was impacted by the demand on ICT services as more employees worked from home. The Office of the CIO is working on a strategy to strengthen remote working by providing support to officials through assignment of ICT officials on standby dues.

4.1.7. Changes to planned targets

There were no changes to the planned targets during the financial year. There were 14 targets in the APP 2020-2021 in March 2020. No amendments were made to the re-tabled APP annexure to the programme.

4.1.8. Linking performance with budgets

The table below depicts expenditure against the budget for the reporting period under review and the previous financial year for the programme and sub-programmes. The Department's personnel drive most of the APP outputs and thus most of the budget was expended towards compensation and goods and services.



Programme 1 sub-programmes	2020/21 ('000)			2019/20 ('000)		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
Ministry	25 612	23 854	1 758	38 024	35 169	2 855
Departmental Management	10 647	10 147	500	13 587	12 591	996
Corporate Services and Financial Administration	123 811	122 527	1 284	136 468	130 968	5 500
TOTAL	160 070	156 528	3 542	188 079	178 728	9351

4.2. Programme 2A: National Planning Commission (NPC) Secretariat

4.2.1. Programme Purpose

Purpose The purpose of the programme is to provide management and support services to the programme and the National Planning Commission

4.2.2. The programme is organised according to the following work stream or sub-programmes:

1. Economy
2. Social Protection
3. Governance
4. Research
5. Partnerships

4.2.3. Strategic Plan Outcome/s

The programme contribute to the Strategic Plan Outcomes 2,3,4 and 5 as follows:

1. Long and medium-term development agenda is institutionalized into a functional, integrated government planning system.
2. Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making
3. Citizens and Stakeholders contributing to the implementation of the NDP/ MTSF
4. Evidence to support the Country's developmental agenda generated

4.2.4. Performance against 2020-21 APP targets

The key outcome of the programme is to support the insitruionalisation of long term development planning.

The outcomes support all seven MTSF priorities as they measure the implementation of the broad NDP, in the medium term.

The **programme** had 5 targets and all targets were achieved for the financial year. The table below provides details of performance against outputs and targets.

4.2.5. Performance outputs against the interventions to achieve the outcomes

Achieved	Evidence to support the country's developmental agenda generated				Not Achieved	
Outcome	The outputs, performance indicators and the planned targets below were meant to achieve this objective as stated in the strategic plan and annual performance plan reported on.					
	2020/2021	2018/119	2019/2020	2020/2021		
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target
	1. Number of progress reports initiated in support of the implementation of the NDP	4 research projects in support of the NPC completed	4 research projects in support of the NPC completed	4 reports on all research projects to review and support the implementation of the NDP produced	4 reports on all research projects to review and support the implementation of the NDP were produced	None
	Outcome: Citizens and Stakeholders contributing to the implementation of the NDP/MTSF					
	2. Number of stakeholder engagement report	20 stakeholder engagements were conducted to support the NPC and an annual report was produced	4 engagement reports on NDP implementation were produced	4 engagement reports on NDP implementation	4 engagement reports on NDP implementation were produced	None
	Outcome: Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making					
	3. Number of NPC Plenaries	9 NPC Plenary meetings were held in the financial year 1 plenary meeting was cancelled due to preparations of SONA	10 NPC Plenary meetings were held in the financial year	10 plenaries to inform national planning	11 NPC plenaries meetings to inform national planning were held	1 more plenary was held
	Remote working made it easier for to convene additional plenaries since it had little impact on the budget,					
	Outcome: Long and medium-term development agenda is institutionalised into a functional, integrated government planning system					
	4. Annual report on the work of the NPC	-	Annual Report for 2018/19 was produced by 28 June 2019	Annual Report for 2019/20 produced by 30 June 2020	Annual Report for 2019/20 was produced by 30 June 2020	None

Achieved		Not Achieved				
Outcome	Evidence to support the country's developmental agenda generated					
The outputs, performance indicators and the planned targets below were meant to achieve this objective as stated in the strategic plan and annual performance plan reported on.						
	2020/2021	2018/119	2019/2020	2020/2021		
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target
	Outcome: Evidence to support the country's developmental agenda generated					
	5. Number of reports and partnerships on the implementation of regional (SADC: RISDP), continental (Agenda 2063) and international (SDGs) engagements	-	2 Reports and 1 partnerships on the implementation of regional (SADC:RISDP), continental (Agenda 2063) and international (SDGs) engagements were produced	2 reports on 3 Partnership established report on institutionalising the coordination mechanism for development agenda	2 reports on 3 Partnership established report on institutionalising the coordination mechanism for development agenda were produced	None
						None

4.2.6. Strategies to overcome areas of under-performance

There were no areas of under performance in the programme

4.2.7. Changes to planned targets

There were no changes to the planned targets during the financial year. No amendments were made to the re-tabled APP Annexure for the programme.

4.3. Programme 2B: National Planning Coordination

4.3.1. Programme Purpose

The purpose of the programme is to develop, implement planning frameworks, and facilitate the alignment of the planning and budgeting functions across government and in the Department.

4.3.2. Sub programmes

The programme consists of the following sub-programmes:

1) Planning Coordination

Purpose: To facilitate the formulation and implementation of the long and medium-term National and Sector Development Plans.

2) Planning Alignment

Purpose: To facilitate the development and alignment of medium-term plans and delivery priorities.

3) Resource Planning

Purpose: To support implementation of national plans through ensuring the alignment of the budget to long-term and medium-term plans

4) Spatial Planning

Purpose: To develop and manage the spatial planning system and administer legislation in this regard

4.3.3. Strategic Plan Outcome/s

The programme contribute to the Strategic Plan Outcomes 2,3,4 and 5 as follows:

- 1) Long and medium-term development agenda is institutionalized into a functional, integrated government planning system.
- 2) Evidence to support the Country's developmental agenda generated

4.3.4 Performance against 2020-21 APP targets

The key outcome of the programme is to support the institutionalisation of medium and of long term development planning.

The outcomes support all seven MTSF priorities

The programme had nine (9) targets for the financial year. Seven (7) targets were achieved and two (2) were not achieved. The table below provides details of performance against outputs and targets.

4.3.5. Outputs amended from the APP March 2020

Achieved		Not Achieved						
Outcome	Long and medium-term development agenda is institutionalised into a functional, integrated government planning system							
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan								
	2020/2021	2018/19	2019/2020	2020/2021				
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target	Comment on the Deviation	Reasons for revisions to the Outputs / Output indicators / Annual Targets
Sub-programme: Planning Coordination								
Guidelines for the development of sector plans	1. Approved guideline for the development of sector plans	-	-	Guideline for the development and implementation of sector plans	Guidelines not developed	Guidelines not produced	Rescheduled to next financial year pending budget availability due to budget cuts	Rescheduled to next financial year pending budget availability due to budget cuts
Sub-programme: Spatial Planning								
National Spatial Development Framework Implementation charter	2. NSDF implementation charter developed by June 2020	National Spatial Development Framework was developed but not approved by Cabinet	10 consultations on the draft NSDF were conducted	NSDF implementation charter	NSDF implementation charter was not developed	NSDF implementation charter was not developed	The NSDF implementation charter can only be concluded upon final approval of the NSDF by Cabinet	Removed as function still resides with the Department of Agriculture, Land Reform and Rural Development Targets was not scheduled for the first quarter

Achieved			Not Achieved						
Outcome	Long and medium-term development agenda is institutionalised into a functional, integrated government planning system								
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan									
	2020/2021	2018/119	2019/2020	2020/2021					
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target	Comment on the Deviation	Reasons for revisions to the Outputs / Output indicators / Annual Targets	
Detailed implementation plans for each of the NSDF priority action areas	3. Detailed implementation strategies for priority action areas developed by March 2021	-	-	Detailed implementation strategies for 5 priority implementation action areas developed	Target removed from APP		Removed as function still resides with the Department of Agriculture, Land Reform and Rural Development Targets was not scheduled for the first quarter		
Sub-programme: Resource Planning									
Guidelines on the Budget Prioritisation Framework	4. Guidelines on the Budget Prioritisation Framework developed	-	-	Guidelines on the Budget Prioritisation Framework	Target removed from APP		Rescheduled to next financial year pending budget availability Targets was not scheduled for the first quarter		

4.3.6. Performance outputs against the interventions to achieve the outcomes in the revised Annexure of the APP

Achieved		Not Achieved									
Outcome	Long and medium-term development agenda is institutionalised into a functional, integrated government planning system										
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan											
	2020/2021	2018/119	2019/2020	2020/2021	Planned Annual Target	Actual Achievement	Deviation from Planned Target	Comment on the Deviation			
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Actual Achievement (where applicable)							
Sub-programme: Planning Coordination											
Guideline for the institutionalisation of the MTSF	1. Approved Guideline for the institutionalisation of the MTSF by Cabinet by 2020/21 Financial Year	Guideline for the development of the NDP 5 year implementation plan was developed	NDP 5-Year Implementation Plan was submitted to Cabinet and was approved by Cabinet on 30 October 2019. (this is the 2019/2024 MTSF)	Guideline for the institutionalisation of the MTSF approved by Cabinet	Guidelines for the development and implementation of the MTSF was not approved.	Guidelines for the development and implementation of the MTSF was not approved.	This project was affected by budget cuts as a result of covid-19. Because of the current review of the MTSF interventions it is also prudent to allow the process to be completed before the Guideline is finalised The finalisation of the Guidelines has been deferred to the next financial year				
Concept note on the updating and reprioritisation of the MTSF	2. Concept note on the updating and reprioritisation of the MTSF 2019-2024	-	-	Concept note on the updating and reprioritisation of the MTSF 2019-2024	Concept note on the roadmap for updating the Medium - Term Strategic Framework 2019-2024 was produced	None	None				
Sub-programme: Planning Alignment											
Quarterly Performance Reporting Guidelines issued to all National Departments	3. Number of Quarterly Performance Reporting Guidelines issued to all National Departments	1 Guideline issued to all national Departments by 15 May 2018	Guideline issued to national Departments by 15 May 2019	1 QPR guidelines issued by 15 May 2020	1 QPR guidelines issued on 15 May 2020	None	None				
Quarterly Performance Reporting Guidelines issued to all Offices of the Premier	4. Number of Quarterly Performance Reporting Guidelines issued to all Offices of the Premier	1 Guideline issued to all Offices of the Premier by 15 May 2018	Guideline issued to all Offices of the Premier by 15 May 2019	1 QPR guidelines issued by 15 May 2020	1 QPR guidelines issued on 15 May 2020	None	None				

Achieved		Not Achieved				
Outcome	Long and medium-term development agenda is institutionalised into a functional, integrated government planning system					
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan						
	2020/2021	2018/119	2019/2020	2020/2021		
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target
Assessment reports on received national institution's Strategic Plans and Annual Performance Plans	5. Number of assessment reports on received national institution's Strategic Plans and Annual Performance Plans produced	45 Assessment reports on the second draft APPs were submitted to national Department by 31 January 2019	100% of assessment reports were provided to National Departments by 31 January 2020	42 assessment reports produced	51 assessment reports were produced and submitted to the relevant institutions by 31 January 2021	Target exceeded by 9 more assessment reports produced
Assessment reports on received provincial institution's Strategic Plans and Annual Performance Plans	6. Number of assessment reports on received provincial institution's Strategic Plans and Annual Performance Plans produced	7 Assessment reports on the second draft APPs were submitted to Offices of the Premier by 31 January 2019	100% of assessment reports provided to Offices of the Premier by 31 January 2020	Consolidated Assessment Reports for seven provinces produced	Consolidated Assessment Reports for seven provinces were produced	None
Sub-programme: Spatial Planning						
Guidelines for geo-spatial referencing	7. Guidelines issued to geo-spatially reference project	-	-	Guidelines developed to geo-spatially reference projects	Guideline on Geo-spatial referencing of projects was produced	None
Integrated Planning Framework Act	8. Draft Integrated Planning Framework Bill	Guideline for integrated planning was developed	-	Analysis report on the Integrated Planning Framework Bill produced	Analysis reports on Integrated Planning Framework Bill were produced	None
				Draft Integrated Planning Framework Bill produced and submitted to Cabinet	Draft Integrated Planning Framework Bill was not submitted to Cabinet	The DTIC legal Department and NPC branch conducted an analysis of the IPFB and noted that the IPFB requires additional work to be conducted prior to submission to Cabinet.

Achieved	Not Achieved				
Outcome	Long and medium-term development agenda is institutionalised into a functional, integrated government planning system				
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan					
	2020/2021	2018/19	2019/2020	2020/2021	
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement
				Deviation from Planned Target	Comment on the Deviation
Sub-programme: Resource Planning					
Budget Prioritisation Framework	9. Budget Prioritisation Framework developed annually	Annual Budget Mandate Paper was developed by April and served in Cabinet on 20 June 2018	Annual Budget Prioritisation Framework for the 2020/2021 financial year was not submitted to Cabinet	2021 Budget Prioritisation Framework	2021 Budget Prioritisation Framework was Developed
				None	None

4.3.7. Strategy to overcome areas of under performance

There were no major deviations on most of the targets.

4.3.8. Changes to planned targets

There were 12 targets in the APP 2020-2021 in March 2021. Four of the targets were removed from the APP in the 2nd Quarter of the financial year during the re-tabling which took place in July 2020 as a result of budget cuts occasioned by the budget reprioritisation in response to the Covid-19 pandemic. Subsequently, one target was added in response to the need to review the MTSF 2019-2024. The revised APP targets were therefore reduced to nine (9).

4.3.9. Linking budget with performance

The table below depicts expenditure against the budget for the reporting period under review and the previous financial year for the programme and sub-programmes. The budget was expended towards the achievement of outputs mainly related to personnel expenditure and goods and service. The Department's personnel drive most of the APP outputs thus most of the budget was expended on salaries and to a large extent on travel and accommodation.

Programme 2 sub-programmes	2020/21 ('000)			2019/20 ('000)		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
Management: National Planning Coordination	42 374	41 917	457	48 929	46 184	2 745
Planning Coordination	23 992	22 824	1 168	37 002	33 455	3 547
TOTAL	66 366	64 741	1 625	85 931	79 639	6 292

4.4. Programme 3: Sector Monitoring Services

4.4.1. Purpose of the programme

The purpose of the branch is to ensure government policy coherence. Develop, facilitate, support and monitor performance of government priorities, sector plans and intervention strategies towards achievement of intended results.

4.4.2. Sub-programmes

The programme consists of the following three sub-programmes:

1) Management: Sector Monitoring

Purpose: Provide management and support services to the programme

2) Outcome Monitoring and Intervention Support

Purpose: Facilitate the coordination and management of MTSF priorities through continuous monitoring of performance toward achievement of intended results and the provision of appropriate support

3) Intervention Support

Purpose: develop and supports special intervention strategies and plans

4.4.3. Strategic Plan Outcome/s

The programme contribute to the Strategic Plan Outcomes:

1) Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making.

4.4.4. Performance against 2020-21 APP targets

The key outcome of the programme is to ensure that ***Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making***

The outcomes support all seven MTSF priorities

The programme had five (5) targets for the financial year. All targets five targets were achieved. The table below provides details of performance against outputs and targets.

4.4.5. Outputs amended from the APP tabled in March 2020

Achieved			Not Achieved					
Outcome	Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making							
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan								
	2020/2021	2018/119	2019/2020	2020/2021				
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target	Comment on the Deviation	Reasons for revisions to the Outputs / Output indicators / Annual Targets
Bi-Annual report	1. Number of MTSF report produced	24 Outcomes reports have been produced and presented to Cabinet on 20 June and 21 November 2018	Monitoring report to Cabinet not produced The delay in the approval of the new MTSF 2019-2024 led to the deferment of the development of the Bi-annual priorities monitoring reports.	2	1 MTSF report was produced	None	None	Due to the shift in government focus as a result of emergence of Covid-19, only 1 report to be produced for the financial year
Performance scorecards of Ministers	2. Number of scorecards produced	-	Performance Agreements of 28 Ministers were produced and submitted to the Presidency. The Performance Agreements for Deputy Ministers were not produced	28	Target removed from APP			Signing of performance agreements was suspended. Depend on the decision of the President Targets was not scheduled for the first quarter

Achieved			Not Achieved							
Outcome	Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making									
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan										
	2020/2021	2018/19	Actual Achievement (where applicable)	2019/2020	2020/2021					
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target	Comment on the Deviation	Reasons for revisions to the Outputs / Output indicators / Annual Targets		
Live Operation Phakisa Electronic Monitoring and Reporting Tool	3. Effective Electronic Monitoring and Reporting Tool	-	-	Electronic monitoring and reporting Tool	Target removed from APP			Budget constraints as result of the Covid-19. Targets was not scheduled for the first quarter		
Local Government Management Improvement Model (LGMIM) assessment reports	4. Number of LGMIM self-assessments completed	37 scorecards were completed by end of financial year	35 municipalities enrolled as per nominations by provincial Departments of Cooperative Governance 5 of the additional were completed	30	22 assessments reports produced	7 more assessments were completed	As risk mitigation measure, additional municipalities are enrolled to compensate for potential drop-outs. 23 Municipalities were therefore enrolled, with one having dropped out	15 Self-assessments to be produced instead of 30		
LGMIM improvement plans	5. Number of LGMIM improvement plans completed	-	6 improvements plans produced by 6 municipalities were completed	8	4 improvement plans were produced	None	None	4 improvement plans to be produced instead of 8		

4.4.6. Performance outputs against the interventions to achieve the outcomes as per amended APP

Achieved		Not Achieved						
Outcome	Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making							
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan								
	2020/2021	2018/119	2019/2020	2020/2021				
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target	Comment on the Deviation	
Briefing notes on Cabinet Memorandum	1. Percentage of briefing notes	-	-	90% of briefing notes on cabinet memorandum excluding memos of appointments	100% of briefing notes on cabinet memorandum were compiled	10% more of briefing notes on cabinet memorandum were compiled	All the Cabinet Memoranda were responded to within the required timelines	
Briefing notes on Covid-19	2. Number of briefing notes on Covid-19 government interventions	-	-	3 Briefing notes on Covid-19 government interventions	30 briefing notes on COVID-19 were produced	27 more briefing notes were produced	Additional briefing notes/reports were produced in response to The Presidency requirements for weekly briefing on COVID-19. Most of the work had to be done over week-ends	
Reporting Guidelines	3. Number of approved reporting guidelines	Guidelines document has been reviewed and approved by Cabinet on 9 May 2018	Revised POA guideline was produced	2 reporting guidelines produced	2 guidelines were issued	Operation Phakisa and MTSF guidelines		
Integrated Operation Phakisa Reports	4. Number of Integrated Operation Phakisa Reports	4 quarterly Operation Phakisa Integrated Progress Reports produced	3 integrated assessment reports on the implementation of the Operation Phakisa Delivery labs were produced	2	2 integrated Operation Phakisa reports produced	None	None	
Operation Phakisa Reports	5. Operation Phakisa Annual Report	-	-	Annual report produced	Operation Phakisa Annual report produced	None	None	

4.4.7. Strategy to overcome areas of under performance

There were no major deviations on most of the targets.

4.4.8. Changes to planned targets

There were no changes to the planned targets during the financial year.

4.4.9. Linking budget with performance

The table below depicts expenditure against the budget for the reporting period under review and the previous financial year for the programme and sub-programmes. The budget was expended towards the achievement of outputs mainly related to personnel expenditure and goods and service. The Department's personnel drive most of the APP outputs thus most of the budget was expended on salaries and to a large extent on travel and accommodation.

Programme 3 sub-programmes	2020/21 ('000)			2019/20 ('000)		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
Management: Sector Monitoring Services	2 643	2 529	114	6 065	4 494	1 571
Outcomes Monitoring and Support	49 558	49 302	256	61 188	52 636	8 552
Intervention Support	6 424	5 585	839	15 632	14 168	1 464
TOTAL	58 625	57 416	1 209	82 885	71 298	11 587

4.5. Programme 4: Public Sector Monitoring and Capacity Development

4.5.1. Purpose of the programme

The purpose of the branch is to support the implementation of the medium-term strategic framework by monitoring and improving the capacity of state institutions to develop and implement plans and provide services.

4.5.2. Sub programme

The programme consists of the following three sub-programmes:

1) Management: Public Sector Monitoring and Capacity Development

Purpose: Provide management and support services to the branch

2) Public Service Monitoring and Capacity Development

Purpose: To monitor and support the implementation of the priority outlined in government's 2019-2024 Medium-Term Strategic Framework. This sub-programme also develops and implements strategic interventions to support and unblock implementation

4.5.3. Strategic Plan Outcome/s

The programme contribute to the Strategic Plan Outcomes:

1) Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making.

4.5.4. Performance against 2020-21 APP targets

The key outcome of the programme is to ensure that **Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making**

The outcomes support all seven MTSF priorities

The programme had 14 targets in the original APP and eleven (11) targets in the revised APP for the financial year. Two (2) targets scheduled for the first quarter were not achieved in the APP tabled March 2021. In the revised Annexure to the APP, five (5) targets were fully achieved and six (6) were not achieved. The table below provides details of performance against outputs and targets against the tabled APP and the Revised Annexure to the APP

4.5.5. Performance outputs against the interventions to achieve the outcomes tabled in March 2021

Achieved		Not Achieved						
Outcome	Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making							
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan								
	2020/2021	2018/119	2019/2020	2020/2021				
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target	Comment on the Deviation	
Reasons for revisions to the Outputs / Output indicators / Annual Targets								
Sub-programme: Public Sector Monitoring and Support								
Mid-year and annual public service capability and monitoring report	1. Number of public service capability monitoring reports developed	-	Annual report – Institutional capacity improvement framework The annual target was to produce an annual outlook review report on national and provincial Departmental performance. The report included additional information and it was renamed Institutional Capacity Improvement Framework	Two public service capability monitoring reports developed	Target removed from APP			Due to the COVID-19 disaster the scope of the monitoring framework had to include COVID monitoring Targets was not scheduled for the first quarter
Identification and initiation of support intervention to high risk SOEs	2. Number of high risk SOEs supported	-	-	One (1) high risk SOE support	Target removed from APP			Due to budget cuts this target will not be reached Targets was not scheduled for the first quarter

Achieved			Not Achieved				
Outcome	Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making						
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan							
	2020/2021	2018/119	2019/2020	2020/2021			
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target	Comment on the Deviation
Sub-programme: Public Sector Monitoring and Support							
Ministerial PMDS	3. Ministerial PMDS toolkit (Framework, guidelines and templates)	-	-	Status Report on the implementation of the Ministerial PMDS	Target removed from APP		The COVID disaster resulted in the Agreements not being signed and the risk is that this framework will not be implemented Targets was not scheduled for the first quarter
Outcome: Citizens and Stakeholders contributing to the implementation of the NDP/MTSF							
Sub-programme: Frontline Monitoring and Support							
Oversight monitoring reports on the implementation of the District Development Model submitted to the Branch Head.	4. Number of oversight monitoring reports on the implementation of the District Development Model	-	-	2	Zero	1 report targeted for the first quarter was not produced. The target was revised to focus on Covid-19	Due to the outbreak of Covid-19 and limitation of movement, no monitoring activities related to DDM were conducted. Monitoring activities focused on Covid-19 interventions
					The crisis necessitated to refocus on Covid-19 related interventions which are localised & the DDM is the vehicle for delivery		

Achieved		Not Achieved						
Outcome	Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making							
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan								
Output	2020/2021	2018/119	2019/2020	2020/2021	Actual Achievement	Deviation from Planned Target	Comment on the Deviation	Reasons for revisions to the Outputs / Output Indicators / Annual Targets
	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target				
Outcome: Citizens and Stakeholders contributing to the implementation of the NDP/MTSF								
Sub-programme: Frontline Monitoring and Support								
Partnerships between government and communities strengthened.	5. Number of stakeholder engagements held to facilitate inclusive implementation monitoring of the District Development Mode	-	-	30	Zero	5 engagements not conducted	Due to COVID 19 lockdown regulations it was not possible to host Ibiza and work with community organisations and governance structures as defined in the technical	Due to lockdown regulation Ibiza, citizen based monitoring will not be feasible

4.5.6. Performance outputs against the interventions to achieve the outcomes as per APP March 2020

Achieved		Not Achieved				
Outcome		Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making				
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan						
Output	2020/2021 Performance Indicator	2018/119 Actual Achievement (where applicable)	2019/2020 Actual Achievement (where applicable)	2020/2021 Planned Annual Target	Actual Achievement	Deviation from Planned Target
Sub-programme: Public Service Monitoring and Support						
Framework for COVID19 monitoring	1. COVID19 Monitoring Framework developed	-	-	Develop a framework for COVID-19 monitoring	Framework for COVID-19 monitoring developed	None
State-Owned Entities (SOEs) Governance and Performance Report	2. SOEs Governance and Performance Report developed annually	6 public entities and SOEs performance analysed based on the approved framework work	Integrated report on state of public entities governance produced	SOEs Governance and Performance Report developed	Integrated report on state of SOEs was not developed	Baseline analysis for this report depends on AGSA consolidated reports which were expected to be published in November 2020, but due to COVID19 were only published in March 2021.
Development and Implementation of SOEs Oversight and Monitoring Framework	3. SOEs Oversight and Monitoring Framework approved and implemented	-	-	SOEs Oversight and Monitoring Framework approved and implemented	SOEs Oversight and Monitoring Framework work was developed and approved on the 13 April 2021	The SOE Monitoring Framework was delayed due to the extension of the consultation process as a result of the COVID restrictions. The SOE Monitoring Framework was approved in April 2021. Piloting and roll-out will commence in 2021/22

Achieved		Not Achieved				
Outcome	Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making					
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan						
	2020/2021	2018/119	2019/2020	2020/2021		
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target
Sub-programme: Public Service Monitoring and Support						
Audit and rationalisation of SOEs	4. Audit and rationalisation completed by 2024	-	-	Establish interDepartmental structure to oversee the Audit and rationalization of SOEs	The President established the Presidential State-Owned Enterprises Council (PSEC) to support the repositioning of state-owned enterprises	None
Report on the submission of PA for HoDs	5. A report on the submission of PA for HoDs in national and provincial governments Departments and components	Report on submission of Directors General Performance Agreements adhering to Head of Department and Management and Development System (HPMDS) and guideline was approved	Report on performance agreements received compiled	A report on the submission of performance agreement received	Report on performance agreements received was compiled however letter to submit it to the Minister for Public Service and Administration was not signed	Report on performance agreements received was submitted to Minister in the Presidency but due to the tragic death and the handover process to the Acting Minister the letters to submit it to the Minister for Public Service and Administration was not signed
Report on HoD evaluation for the performance cycle.	6. Report on the evaluation for HoDs in national and provincial governments Departments and national government components	-	-	Report on the evaluation of HoD	Report on the evaluation of HoD was not developed due to extension of submission of evaluations	The Minister for Public Service and Administration extended the due date for completion of the evaluations to 31 March 2021. The report will be developed when the evaluation process is finalised

Achieved		Not Achieved				
Outcome		Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making				
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan						
Output		2020/2021	2018/19	2019/2020	2020/2021	
		Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement
						Deviation from Planned Target
						Comment on the Deviation
Outcome: Citizens and Stakeholders contributing to the implementation of the NDP/MTSF						
Sub-programme: Frontline Monitoring and Support						
Number of oversight monitoring reports on the implementation COVID 19 response through the district development model	7. Number of oversight monitoring reports on the implementation COVID 19 response through the district development model	412 monitoring visits were conducted	Annual and Mid-term overview reports on the status of frontline performance and service delivery were produced	2	2 oversight monitoring report were produced	None
Citizen service delivery complaints resolved.	8. Percentage of new citizen service delivery complaints from the Presidential Hotline resolved	4 customer satisfaction surveys were produced showing an average of 67.65% of client satisfaction	-	60%	Average of 50.24% was achieved throughout the quarters Q1=99%+ Q2=45.6%+ Q3=24.7%+ Q4=31.7 Total 201/4 = 50.25%	COVID-19 limitations exposed the capacity challenges experienced by Public Liaison Officers. Failure by senior management in provinces and national depts. to provide strategic guidance adversely affected the resolutions rate. There was a vigorous drive to resolve calls in this quarter through technical support to all PLOs: PLO Forum, virtual & face-to-face meetings. The performance was also reported to GSCID cluster

Achieved		Not Achieved				
Outcome		Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making				
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan						
Output		2020/2021	2018/119	2019/2020	2020/2021	
	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target
Sub-programme: Capacity Development Coordination						
Targets in the PM&E capacity development plan achieved	9. Percentage of targets in the PM&E capacity development plan achieved (in partnership with the National School of Government)	100% of targets in the PM&E Capacity development plan were achieved 36/36 X 100=100%	86.84 % of targets achieved The Department had expected that some of the targets in the PM&E Capacity Development Plan may not be achieved due to dependencies. However, the anticipated challenges did not occur	85% of targets achieved	3 (Q1)+6 (Q2)+2 (Q3)+7 (Q4)=18/18X100=100%	Target exceed 15% More efforts and resources were dedicated to optimise performance
Outcome: Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making						
Model for Priority 1 on "A capable, ethical and developmental state" designed	10. Model for implementation of Priority 1 designed	-	Implementation model was developed by 28 September 2019	Model for implementation of Priority 1 designed	Model for implementation of Priority 1 was developed	None
Reports on progress on implementation of Priority 1 towards the 2019-2024 MTSF	11. Number of reports on progress of implementation of Priority 1 towards the 2019-2024 MTSF	-	-	2 reports on the implementation of Priority 1	1 report was produced and served in Cabinet	Due to the shift in government focus as a result of emergence of Covid-19, only 1 report was produced for the financial year

4.5.7. Strategy to overcome areas of under performance

Major deviations against planned performance were experienced by the programme. This stemmed from the fact that there were a number of dependencies on the performance of the programme which hampered its achievements. The programme will review its targets to ensure that targets are not dependent on externalities.

4.5.8. Changes to planned targets

There were Fourteen targets in the APP 2020-2021 in March 2020. Four of the targets were removed from the APP in the 2nd Quarter of the financial year during the re-tabling which took place in July 2020 as a result of budget cuts occasioned by the budget reprioritisation in response to the Covid-19 pandemic. Subsequently, one targets was added in response to the need to review the MTSF 2019-2024. The revised APP targets were therefore revised to 10.

4.5.9. Linking budget with performance

The table below depicts expenditure against the budget for the reporting period under review and the previous financial year for the programme and sub-programmes. The budget was expended to towards the achievement of outputs mainly related to personnel expenditure and goods and service. The Departmental personnel drive most of the APP outputs thus most the budget was expended on salaries and to a large extent on travel and accommodation.

Programme 4	2020/21 ('000)			2019/20 ('000)		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
Management: Public Sector Monitoring & Capacity Development	3 647	3 588	59	3 995	2 468	1 527
Public Service Monitoring and Capacity Development	75 584	72 313	3 271	82 550	80 108	2 442
TOTAL	79 231	75 901	3 330	86 545	82 576	3 969

4.6. Programme 5: Evaluations, Evidence and Knowledge Systems

4.6.1. Purpose of the programme

The purpose of the branch is to coordinate and support the generation, collation, accessibility and timely use of quality evidence to support performance monitoring and evaluation across government.

4.6.2. Sub programmes

The programme is made up of the following two sub-programmes:

1) Management: Evidence and Knowledge Systems

Purpose: Provide management and support services to the programme

2) Evaluation, Research, Knowledge and Data Systems

Purpose: Provide evaluation, research, knowledge management and data integration and analysis services

4.6.3. Strategic Plan Outcome/s

The programme contribute to the Strategic Plan Outcomes:

1) Evidence to support the country's development agenda

4.6.4. Performance against 2020-21 APP targets

The key outcome of the programme is to ensure that Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making

The outcomes support all seven MTSF priorities

The programme had five (5) targets in the revised APP for the financial year. Four (4) targets were fully achieved and one (1) was not achieved. The table below provides details of performance against outputs and targets.

4.6.5. Performance outputs against the interventions to achieve the outcomes

Achieved	Not Achieved					
Outcome	Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making					
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan						
	2020/2021	2018/19	2019/2020	2020/2021		
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target
	1. Evidence Plan	2019-20 National Evaluation Plan was developed. However, it was deferred for consideration by the 6 th administration to ensure that it is aligned with the NDP five-year implementation plan that has not yet been approved	National Evaluation Policy Framework work and National Evaluation Plan were approved by Cabinet on the 3rd March 2020	Evidence Plan produced	Evidence Plan was produced	None
	2. Number of evidence reports produced	6 NEP evaluation reports were approved by evaluation steering committees	3 evaluation reports were approved	1 integrated evidence report on the MTSF	1 integrated evidence report on the MTSF was produced	None
				Country Report on Covid-19	Country Report on Covid-19 was produced	None
	3. A report on technical evidence support interventions provided to government institutions	-	A report on training courses provided was not produced, instead a rapid evaluation report on efficacy of training courses provided was conducted	Technical evidence report produced	Report on technical evidence support interventions to government institutions was produced	None

Achieved		Not Achieved				
Outcome	Government priorities monitored and evaluated for improved accountability, service delivery and evidence informed policy making					
The outputs, performance indicators and the planned targets below contribute to achieve the outcome as stated in the strategic plan						
	2020/2021	2018/119	2019/2020	2020/2021		
Output	Performance Indicator	Actual Achievement (where applicable)	Actual Achievement (where applicable)	Planned Annual Target	Actual Achievement	Deviation from Planned Target
	4. Number of standard-setting documents on evidence produced	-	-	4	4 standard-setting-documents were produced	None
	5. Operational Evidence Hub	Knowledge Hub functional Business Plan for Centre was developed	Revised Knowledge Hub Business Plan was Produced by 28 August 2019	Technical system design of the CD-MAS produced	Final technical system design of the CDMAS was not produced as planned	Final technical system design of the CDMAS was not produced
					Functional and business requirements specification for the design of CDMAS produced	The process of establishing business and functional requirements for building the system according to DPME's needs took longer than anticipated. Covid-19 protocols necessitated a change in the method of stakeholder consultation from 4 workshops with larger groups to 26 online engagements with each of the affected DPME units; online survey of external stakeholders also necessitated extension of timeframes for responses. A developer will be sourced to build the actual system design during the 2021/22 financial year. A process is underway to procure external IT service provider to build the CDMAS system

4.6.6. Strategy to overcome areas of under performance

The implementation of the targets on this programme require the cooperation of stakeholders. An internal mechanism will be developed to monitor and review the targets on the programme with a view of determining the likelihood for successful implementation. By end of the financial year, a process was underway to procure external IT service provider to build the CDMAS system.

4.6.7. Changes to planned targets

The programme had five (5) targets in the APP tabled in March 2020. The one (1) target was revised from producing twelve (12) evidence reports to two (2) reports. One report on integrated evidence report on the MTSF and one (1) Country Report on responses to Covid-19 pandemic. The reports were intended to produce evidence for reporting on the MTSF and the country's response to the Covid-19 pandemic respectively.

4.6.8. Linking performance with budget

Programme 5 sub-programmes	2020/21 ('000)			2019/20 ('000)		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
Management: Evidence and Knowledge Systems	2 236	1 887	349	2 895	791	2 104
Evaluation, Research, Knowledge and Data Systems	33 446	30 666	2 780	41 142	33 948	7 194
TOTAL	35 682	32 553	3 129	44 037	34 739	9 298

5. REPORTING IN RELATION TO COVID-19 PANDEMIC

Budget Programme	Interventions	Geographic location (where possible)	No. Beneficiaries (where possible)	Disaggregation of beneficiaries	Total budget allocation per intervention	Budget spent per intervention	Contribution to output in the APP	Immediate outcome
	1000 cloth masks	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R25 000,00	R23 000,00	N/A	Covid-19 prevention and management
18135	45 X 50 per box surgical disposable masks	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R13 050,00	R 53 000,00	N/A	Covid-19 prevention and management
18135	200 X 500ml surface disinfectants	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R13 050,00	R 10 350,00	N/A	Covid-19 prevention and management
18135	300 X 500ml & 100 X 1L hand sanitizers	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 26 469,55	R 17 825,00	N/A	Covid-19 prevention and management
18135	2 X Standing sanitizer dispensers	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8		R 2000,00.	N/A	Covid-19 prevention and management
18135	Visors, apron & Sanitizers	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 33 900,00	R 21180,00	N/A	Covid-19 prevention and management

Budget Programme	Interventions	Geographic location (where possible)	No. Beneficiaries (where possible)	Disaggregation of beneficiaries	Total budget allocation per intervention	Budget spent per intervention	Contribution to output in the APP	Immediate outcome
18135	300 X 500ml hand sanitizers	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R29 000,00	R 28 790,25	N/A	Covid-19 prevention and management
18135	Precautionary disinfection	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R30 000,00	R 9640,45	N/A	Covid-19 prevention and management
18135	High level treatment (Fogging) at 330 Grosvenor Hatfield.	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R13800,00	R 13 800,00	N/A	Covid-19 prevention and management
18135	12 X Standing sanitizer dispensers	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R30 000,00	R 5 760,00	N/A	Covid-19 prevention and management
18135	Social Distance stickers	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 1 000,00	R 1 000,00	N/A	Covid-19 prevention and management
18135	200ML Refill bottles with spray cap	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8		R 1 000,00	N/A	Covid-19 prevention and management

Budget Programme	Interventions	Geographic location (where possible)	No. Beneficiaries (where possible)	Disaggregation of beneficiaries	Total budget allocation per intervention	Budget spent per intervention	Contribution to output in the APP	Immediate outcome
18135	Workstation Marking tapes	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 540,00	R 510,00	N/A	Covid-19 prevention and management
18135	Examination gloves (S, M, L)	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R13 050,00	R 2 070,00	N/A	Covid-19 prevention and management
18135	High level treatment (Fogging) at 535 Johannes Ramokhoase	535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 20 000,00	R 18 000,00		Covid-19 prevention and management
18135	300 X 500 ml Surface disinfectant spray	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 30 000,00	R 11 718,00	N/A	Covid-19 prevention and management
18135	Precautionary disinfection	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R30 000,00	R 9640,45	N/A	Covid-19 prevention and management
18135	High level treatment (Fogging) at 330 Grosvenor Hatfield.	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R13800,00	R 13 800,00	N/A	Covid-19 prevention and management
18135	Digital thermometer	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R8 000,00	R 3 910,00	N/A	Covid-19 prevention and management

Budget Programme	Interventions	Geographic location (where possible)	No. Beneficiaries (where possible)	Disaggregation of beneficiaries	Total budget allocation per intervention	Budget spent per intervention	Contribution to output in the APP	Immediate outcome
18135	4 X infrared thermometers		413	Males:173 Females: 240 Disability:8	R 30 850,00	R 7 544,00		Covid-19 prevention and management
18135	High level treatment (Fogging) at 330 Grosvenor Hatfield.	330 Grosvenor Hatfield, Union Building	413	Males:173 Females: 240 Disability:8	R15 000,00	R 9 640,45	N/A	Covid-19 prevention and management
18135	High level treatment (Fogging) at 330 Grosvenor Hatfield.	330 Grosvenor Hatfield, Union Building	413	Males:173 Females: 240 Disability:8	R15 000,00	R 4 228,44	N/A	Covid-19 prevention and management
18135	High level treatment (Fogging) at 330 Grosvenor Hatfield.	330 Grosvenor Hatfield, Union Building	413	Males:173 Females: 240 Disability:8	R15 000,00	R 4 228,44	N/A	Covid-19 prevention and management
18135	12 X Standing sanitizer dispensers	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R30 000,00	R 5 760,00	N/A	Covid-19 prevention and management
18135	Social Distance stickers	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 1 000,00	R 1 000,00	N/A	Covid-19 prevention and management
18135	200ML Refill bottles with spray cap	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8		R 1 000,00	N/A	Covid-19 prevention and management
18135	Workstation Marking tapes	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 540,00	R 510,00	N/A	Covid-19 prevention and management

Budget Programme	Interventions	Geographic location (where possible)	No. Beneficiaries (where possible)	Disaggregation of beneficiaries	Total budget allocation per intervention	Budget spent per intervention	Contribution to output in the APP	Immediate outcome
18135	Examination gloves (S, M, L)	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R13 050,00	R 2 070,00	N/A	Covid-19 prevention and management
18135	High level treatment (Fogging) at 535 Johannes Ramokhoase	535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 20 000,00	R 18 000,00		Covid-19 prevention and management
18135	300 X 500 ml Surface disinfectant spray	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 30 000.00	R 11 718.00	N/A	Covid-19 prevention and management
18135	280 X 500 ml Hand sanitizers	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 30 000.00	R 14 774.00	N/A	Covid-19 prevention and management
18135	Fogging	330 Grosvenor Hatfield, Union Building	413	Males:173 Females: 240 Disability:8	R 15 000.00	R 4228.43	N/A	Covid-19 prevention and management
18135	Fogging	535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 15 000.00	R 9000.00	N/A	Covid-19 prevention and management
18135	Fogging	330 Grosvenor Hatfield, Union Building	413	Males:173 Females: 240 Disability:8	R 15 000.00	R 11 000.00	N/A	Covid-19 prevention and management
18135	Fogging	JR	413	Males:173 Females: 240 Disability:8	R 15 000.00	R 9 000.00	N/A	Covid-19 prevention and management

Budget Programme	Interventions	Geographic location (where possible)	No. Beneficiaries (where possible)	Disaggregation of beneficiaries	Total budget allocation per intervention	Budget spent per intervention	Contribution to output in the APP	Immediate outcome
18135	20 X 50 per box surgical disposable masks	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R11 500,00	R 4600,00	N/A	Covid-19 prevention and management
18135	300 X 1 L hand sanitizers	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R23 700,00	R 18 975,00	N/A	Covid-19 prevention and management
18135	6 X infrared thermometers	330 Grosvenor Hatfield, Union Building & 535 Johannes Ramokhoase	413	Males:173 Females: 240 Disability:8	R 6000,00	R 3795,00	N/A	Covid-19 prevention and management

6. TRANSFER PAYMENTS

Transfer payments amounting to R692,000 to Households were for leave gratuities paid to employees who left the service of the Department. Details are available under Part E: Financial Information.

7. CONDITIONAL GRANTS

The Department neither paid nor received any conditional grants.

8. DONOR FUNDING

The Department received no direct donor funding for 2020/21 the financial year.

9. CAPITAL INVESTMENTS

The Department does not control any fixed capital assets. Office accommodation is leased for the Department by the Department of Public Works and Infrastructure (DPWI) on a cost-recovery basis. DPWI is currently in the process of procuring new office accommodation for the whole Department. Details about the acquisition and disposal of assets are contained in Part E: Financial Information.



PART C **GOVERNANCE**

1. INTRODUCTION

The Department commits to maintain the highest standard of governance as a fundamental principle for the management of public finance and resources. The Department has established governance structures to effectively, efficiently and economically utilize state resources. The Department upholds good corporate governance as espoused in King IV report and the Public Finance Management Act. We strive to promote good management practices in the area of Risk Management, Fraud Prevention, Ethics, Procurement and Good Corporate Governance as well as to safeguard the Department against any kind of misconduct or fraud

2. RISK MANAGEMENT

The Department has an approved risk management policy and strategy which were approved by the Accounting Officer.

The Department has conducted the Strategic Risk Assessment and Operational risk assessment during the financial year in line with the outcomes that the Department contributes to. New and emerging risks are being continuously identified and are incorporated into the risk registers.

The Department has re-establishment of the risk and compliance management committee, which is chaired by an independent person,

and the committee advises management on the overall system of risk management, and the status with regard to the progress regarding the mitigation actions implementation.

The Audit and Risk Committee of the Department advises the Department on risk management issues and independently monitors the effectiveness of the system of risk management.

Management takes cognisance of the progress in the management of risks, and this has contributed to the achievement of the targets set out in the Annual Performance Plan.

3. FRAUD AND CORRUPTION

The Department have the fraud prevention plan in place, and has made progress in implementing the Code of Conduct, training and awareness of the whistle-blowing policy and fraud prevention policy, information security and employee vetting, and have also conducted the ethics and corruption risk assessment.

The Department has established the mechanisms for the reporting of fraud and corruption which are outlined in the whistle blowing policy. Furthermore, the Department

subscribes to the National Anti-Corruption Hotline, administered by the Office of Public Service Commission, to encourage the officials to make confidential disclosure through the above-mentioned hotline.

The cases received from whistle-blowers are recorded into the Fraud and Corruption Allegations register, and are the reported allegations are investigated, and the disciplinary measures are instituted against the defaulters.

4. MINIMISING CONFLICT OF INTEREST

The Department makes use of the e-disclosure system (administered by the DPSA) for the submission and declarations of financial interests by the various designated categories of employees (SMS members, MMS level 11 and 12, including OSD, and the officials in Finance and Supply Chain).

The submitted financial disclosure information submitted by the SMS members, is verified by the Office of the Public Service Commission, and the financial disclosures submitted by other categories of employees (MMS level 11 and 12, including OSD, and the officials in Finance and Supply Chain) is verified by the

Ethics Officers within the Department. Where conflict of interest is identified, follow up is done with the affected officials by Ethics Officers, to establish the reasons for such conflict of interest, and the recommendations is made by the Ethics Officer for the Accounting Officer to institute disciplinary measures against the affected officials.

Furthermore, as a standard practice within the Department, participants are required to disclose their conflict of interest regarding the issues on the agenda for discussions during Departmental committee meetings, and such declarations of conflict of interests are recorded.

5. CODE OF CONDUCT

The Department has an approved Values and Code of Conduct, (which has been developed in line with the Public Service Code of Conduct), in place. The Department also improves the ethical culture through the communication and training of the newly appointed employees, and also conducting regular awareness to all officials through pamphlets, posters and screen savers, which are placed on intranet and desktops. The Values and Code of conduct is also communicated through emails and newsletters. The Department has also conducted the ethical culture survey, to establish whether the Department is adhering to the Public Service Code of Conduct or not.

The results of the survey reflected no major or serious concerns.

The Department also have the Ethics Management Committee in place, to oversee the implementation of the ethics management strategy and the integrity management frameworks issued by the DPSA.

Any breach to the Departmental values and code of conduct is considered as non-compliance to the Public Service Regulation 2016, and the Department implements the disciplinary procedures in line with the applicable legislations.

6. HEALTH SAFETY AND ENVIRONMENTAL ISSUES

The Department has maintained effective, efficient Occupational Health and Safety (OHS) programmes. Health and Safety Committee meetings were held and Covid-19 steering

committee was established and applicable interventions were implemented. DPME complied with the DPSA SHERQ management policy.

7. PORTFOLIO COMMITTEES

Provide commentary on the following:

Committees	Dates	Activities/Action Done
1. Public Services & Administration	19 August 2020	DPME reports for phase 1 & 2 on the COVID-19 to Parliament
2. International Relation and Cooperation (DIRCO)	08 October 2020	Briefing the PC on the Performance Assessment for the Department of International Relations and Cooperation
3. Public Services & Administration	13 October 2020	PC deliberations on the draft Budgetary Review Recommendation Reports for the budget votes of the Department of Planning, Monitoring and Evaluation
4. Standing Committee on Appropriations (SCOA)	14 October 2020	Invited to a workshop in order to discuss the DPME's mandate and how DPME can assist the Committee in executing its oversight responsibilities.
5. The Speaker of the National Assembly in Parliament	30 November 2020	Submitted a performance report to Parliament on the Presidential Hotline, update on the finalisation of Integrated Planning Framework Bill having incorporated the District Development Model pilots results in the Bill
6. Public Services & Administration	28 October 2020	Briefing on the National Spatial Development Framework Implementation in guiding the Developmental Agenda in the Country. An update on the progress made in developing the Integrated Planning Development Framework Bill Briefing on the Mandate Paper (Budget Prioritisation Framework) developed in 2020/21 financial year
7. Public Services & Administration	04 November 2020	Briefing the PC in monitoring the quality of management through the Local Government Management Improvement Model (LGMIM) and improvement plans.
8. Human Settlements, Water and Sanitation	17 November 2020	Briefing the PC on the Work of Departments of Human Settlements and Water and Sanitation and Its Entities
9. Public Services & Administration	17 November 2020	on the annual reports for 2019/20 financial year. (BRRR)
10. Public Services & Administration	25 November 2020	Briefing the PC on the first and second quarter performance of 2020/21 financial year
11. Public Services & Administration	10 February 2021	Briefing on the first and second quarter performance of 2020/21 financial year
12. Public Services and Administration	10 March 2021	Briefing the PC on the performance agreements of the Accounting Officers for 2020/21 financial year in the public service and alignment to the MTSF 2019-24.
13. Human Settlements, Water and Sanitation	25 March 2021	Briefing the PC on LGMIM: State of Management Practices in 35 Municipalities enrolled in 2019/2020

8. SCOPA RESOLUTIONS

The Department did not appear before the Standing Committee on Public Accounts (SCOPA) during the period under review. Therefore, there were no resolution taken on the Department

9. PRIOR MODIFICATIONS TO AUDIT REPORTS

There were no serious matters reported by the AGSA in the previous financial year. The

Department obtained a clean audit opinions with no findings.

Nature of qualification, disclaimer, adverse opinion and matters of non-compliance	Financial year in which it first arose	Progress made in clearing / resolving the matter
None		

10. INTERNAL CONTROL UNIT

Internal Control Unit provides reasonable assurance to the office of the Chief Financial Officer (OCFO) by ensuring reliability of financial reporting, effectiveness & efficiency of operations, and compliance with applicable financial laws, regulations and policies. Its role in the Department is to continuously improve the operations and financial control activities within the Office of the Chief Financial Official in order to promote efficiency, reduce the impact and likelihood of identified financial & operational risks, and development of sound financial policies and procedures.

Internal Control Unit conducts assessments on payments/ transactions incurred by the Department in order to identify matters of non-compliance to applicable laws, prescripts and regulation, which assist in improving the internal control environment through amendment of policies, creation/ revision of procedures and or checklists, and raising of awareness and education. Internal Control maintains registers on irregular expenditure,

fruitless and wasteful expenditure, and losses and damages of the Department of planning, monitoring and evaluation.

The Unit is also responsible for co-ordinating both internal and external audits, co-ordination of responses on audit findings, consolidation of audit action plans designed to address internal control weaknesses identified by the Auditor-General South Africa. The audit outcomes implementation plans were submitted to the National Treasury as prescribed.

The Internal Control unit continuously strives to improve the financial controls and processes in order achieve effective ways to make better the operations, through its contributions in policy reviews, internal control reports on non-compliance & recommendations, and secretariat services to the Departmental Loss Control (LCC)Committee, and Loss Control Appeals Committee (LCAC). Internal Control is also responsible for filing and safekeeping of financial batch payments

11. INTERNAL AUDIT AND AUDIT COMMITTEES

We are once again pleased to present our Audit Committee report for the financial year ended 31 March 2021. The Audit Committee was established and constituted according to Section 77 of the Public Finance Management Act and Treasury Regulations. The Audit Committee has five (5) Non-Executive

Management members and a representative of the Auditor-General South Africa as a standing invitee.

The names, qualifications and attendance of the meetings are contained in the table below:

Name	Qualifications	Internal or external	If internal, position in the Department	Date appointed	Date Resigned	No. of Meetings attended
Mr. Nelson Miya (Chair-person)	BCom (Honours) MBL	External	N/A	30th May 2020	N/A	6/6
Ms. Zanele Nkosi	CA(SA)	External		30th May 2020		6/6
Mr. Tshepo Mofokeng	CA(SA) CIA, CRMA	External		30th May 2020		6/6
Dr. Maria Peenze	LLB, LLM, DTech (Business Admin), CFE.	External		30th May 2020		6/6
Mr. Fortune Mkhabela	BCom (Accounting), Honours (Auditing) CIA, Postgraduate Diploma in Management.	External		30th May 2020		6/6

12. AUDIT COMMITTEE REPORT

The Audit Committee successfully discharged its responsibilities as per the Public Finance Management Act and the Treasury Regulation. The Audit Committee adopted a formal Terms of Reference as its Audit Committee Charter to regulate and govern its affairs.

12.1 Evaluation of Internal Audit

The Audit Committee is satisfied that the Internal Audit Function is operating effectively and that it has addressed the risks pertinent to the Department in its audits. The Internal Audit Function completed its 2020/21 Annual Audit Plan as approved by the Audit Committee. The Audit Committee has met with Internal Audit

during the year to ensure that the function is executed effectively and objectively. The Audit Committee is also satisfied with the assurance provided by Internal Audit on the adequacy, effectiveness, and efficiency of policies and procedures, including the integrity and reliability of both financial and non-financial information and the safeguarding of assets.

12.2. Effectiveness of Internal Controls

The Audit Committee is satisfied that the Department of Planning, Monitoring and Evaluation (DPME) maintains an effective, efficient and transparent internal controls system.

12.3. Effectiveness of In - Year Management and Quarterly Reporting

The Audit Committee reviewed the In-Year Quarterly Reports submitted and noted areas of improvement in the content and quality of the DPME performance information reports prepared and submitted by management.

12.4. Evaluation of Finance Function

The Audit Committee considered the structural capacity, skill, and competency of the Finance Function and satisfied itself that the DPME has the appropriate and adequate enabling resources.

12.5. Evaluation of Financial Statements

The Audit Committee reviewed the Annual Financial Statements for the year ended 31 March 2021 and was satisfied that the Annual Financial Statements were fairly presented. The Audit Committee also reviewed changes in accounting policies and practices. The committee's review also included the Department's compliance with legal and regulatory provisions.

12.6. Evaluation of Risk Management

The Audit Committee successfully discharged its Risk Management oversight responsibility and reviewed the adequacy, effectiveness and efficiency of Enterprise Risk Management, Strategic and Operational Risk Registers and Mitigation Plans as developed by management. The Audit Committee expressed concern on

the delayed approval of the Strategic Risk Assessment Report but is pleased that the position of the Chief Risk Officer within the Risk Management Function has been filled.

12.7. Evaluation of ICT Governance

The Audit Committee exercised its oversight responsibility for the adequacy, effectiveness and efficiency of ICT Governance within the DPME, as per King VI requirements. The committee is assured that key controls related to System Security are adequate, effective and efficient and are working/functioning as intended by the present business continuity model of the Department.

The reduction in headcount and the loss of key staff in the ICT environment due to budgetary constraints is receiving our attention.

12.8. Results of the Auditor General

The Audit Committee accept the Auditor-General South Africa's report on the annual financial statements and is satisfied that the Annual Financial Statements comply with all material respect with the applicable accounting standards.

The Audit Committee would again like to commend the Accounting Officer and the management team for the commendable positive audit outcome.

Mr. Zweledinga Nelson Miya



Chairperson of the Audit Committee
Date: 30 September 2021

13. B-BBEE COMPLIANCE PERFORMANCE INFORMATION

The following table has been completed in accordance with the compliance to the BBEE requirements of the BBEE Act of 2013 and as

determined by the Department of Trade and Industry.

Has the Department / Public Entity applied any relevant Code of Good Practice (B-BBEE Certificate Levels 1 – 8) with regards to the following:		
Criteria	Response Yes / No	Discussion (include a discussion on your response and indicate what measures have been taken to comply)
Determining qualification criteria for the issuing of licences, concessions or other authorisations in respect of economic activity in terms of any law?	No	
Developing and implementing a preferential procurement policy?	No	
Determining qualification criteria for the sale of state-owned enterprises?	No	
Developing criteria for entering into partnerships with the private sector?	No	
Determining criteria for the awarding of incentives, grants and investment schemes in support of Broad Based Black Economic Empowerment?	No	



Mr Robert Nkuna
Director General: Department of Planning
Monitoring and Evaluation



PART D

HUMAN RESOURCE MANAGEMENT

1. INTRODUCTION

The information contained in this part of the annual report has been prescribed by the Minister for the Public Service and

Administration for all Departments in the public service.

2. OVERVIEW OF HUMAN RESOURCES

The status of human resources in the Department.

- The Chief Directorate: HR & Corporate Services is acknowledged as a strategic partner and plays a vital role in the achievement of Departmental goals through rendering effective and efficient HR advice and services.
- HR as an essential service continues to play its role in the midst of COVID-19 epidemic, lockdowns and regulations by ensuring safe working environment and adherence to all government regulations without compromising service delivery.

Human resource priorities for the year under review and the impact of these.

- Reduction of vacancy rate to 10% or below, to ensure adequate human resource capacity to achieve Departmental goals and objectives.
- Appointment of 5% or more interns per annum, to expose graduates to the workplace and to generate a pool of candidates for the filling of entry level posts in the Department.
- Eighty percent (80%) of the workplace skills plan implemented to ensure highly skilled staff
- Achieving 50% representation of females in SMS, and increasing the percentage of people with disabilities employed in the Department, more especially in SMS.
- Establishing and maintaining a conducive work environment where DPME staff

members are provided with the required HR support, training and development as well as wellness programmes to ensure a competent and productive workforce that is capable to achieve Departmental objectives.

- Regular reporting on HR Plan Implementation

Workforce planning and key strategies to attract and recruit a skilled and capable workforce.

- Workforce planning is aligned with the Departmental strategic objectives and available budget on compensation of employees.
- The Chief Directorate: HR & Corporate Services always ensures compliance to the prescribed "entry requirements including SMS Pre-entry Certificate for SMS positions "when compiling Job Descriptions as well as advertisements and filling posts.
- To enhance career growth and boost staff morale entry level posts on level 1 to 8 are advertised internally in line with the DPME Policy on Recruitment.
- Junior management and SMS posts are advertised in the DPSA vacancy circular and for SMS posts in the national media in order to attract a large pool of suitable candidates and promote open competition.

- recommended candidates for developmental purposes underwent the prescribed competency assessment tests and for positions on levels 1 to 16 technical tests forms part of the recruitment processes.
- The HR-Plan is monitored and EE-targets are updated on a monthly basis and considered during the filling of posts. The prescribed Annual HR-Plan Implementation Report and HR Component Assessment were compiled and submitted to the DPSA prior to the due dates.
- The Department reviewed the following HR Policies to ensure that the policies are aligned to the Public Service Regulations of 2016 and other relevant prescripts as well as the latest best practices:
 - Recruitment & Selection
 - Resettlement
 - Special Leave
 - PMDS
 - Employment of Persons to Internships, Learnerships, Apprenticeships, Graduate Recruitment Scheme and Related Programmes (Internship Policy)
 - Sport and Recreation
 - Disability
- Exit interviews were conducted in order to establish reasons why employees leave the services of the DPME and areas for improvement identified through the exit interviews that can assist in establishing the DPME as an employer of choice, and where possible reduce staff turnover.
- The Department provided bursaries and training opportunities to staff members to further their qualifications and improve their skills/knowledge.
- Internship and learnership Programmes were established to provide young graduates with opportunities to gain knowledge and experience in the workplace, in order to be able to compete for jobs in the labour market.

Employee performance management.

- The Department has an approved PMDS Policy for all staff which requires all staff members in the Department to enter into performance agreements yearly and within three months of appointment.
- Performance assessment was conducted, moderated and all due performance incentives were paid before the prescribed due date.

Employee wellness programmes.

- The Employee Health and Wellness (EHW) programme is fully operational in the Department. Quarterly Wellness Days were organised where staff are provided with opportunities to undergo Health Risks Assessments (HRA's) which include HIV Counselling and Testing (HCT).
- The Department appointed a new Employee Health & Wellness Service Provider after the contract of the previous service provider expired.
- Regular Health and Wellness related desk-drops are provided to staff members and the DPME has an approved Health and Wellness Calendar that is implemented.

Highlight achievements and challenges faced by the Department, as well as future human resource plans /goals.

Achievements:

- The DPME has an approved Organisational Structure which was revised in 2020 in line with the latest NMOG process and Treasury budget cuts and concurrence was obtained from the MPSA, the organisational structure has 418 from 436 previous approved structure.
- The approved DPME 3-year HR Plan which is aligned to the new organisational structure was implemented and monitored. HR Plan Implementation reporting is done as per the DPSA requirements.

- Thirty-seven (37) posts were filled during the period of 1 April 2020 to 31 March 2021, the vacancy rate at the last quarter of the reporting period (Jan to March 2021) was 4,1% (based on funded posts after the budget and posts cuts) compared to the 10,5% vacancy rate at the beginning of the reporting cycle (1 April 2020). This was achieved despite the Department experiencing a high staff turnaround turnover of 11,9%.
- In line with our Workplace Skills Plan eighty-two (82) training opportunities against a target of sixty (60) were provided despite the COVID-19 lockdown and alternative working arrangements.
- **Employment Equity is effectively implemented with the level of staff with disabilities presently at 2.1%, which is above the national target of 2%.**
- The overall female representation in the Department is at 58, 3% (8, 3% above the EE-target) and 51, 7% females occupying SMS positions.
- Nine (9) employees were awarded new bursaries for the 2021 academic year.
- Smooth processing of employee performance evaluation and timely pay out of due performance incentives.
- 8.6% interns were placed against a target of 5%

Challenges:

- The DPME experiences challenges in the turn-around times to fill some vacant posts as a result of the non-availability of selection committee members as well as the COVID-19 lockdown and working arrangements which impacted negatively on the filling of posts.
- Increasing disability representation at SMS level remains a challenge despite efforts to attract disabled candidates.
- As a result of budget cuts implemented by National Treasury the Department unfunded about twenty-nine (29) positions on the approved organisational structure. HR is in the process of aligning the organisational structure and obtaining concurrence from the MPSA

2. HUMAN RESOURCES OVERSIGHT STATISTICS

The Department provides the following key information on human resources. All the financial amounts agree with the amounts disclosed in the annual financial statement

3.1. Personnel related expenditure

The following tables summarises the final audited personnel related expenditure by programme and by salary bands. In particular, it provides an indication of the following:

- Amount spent on personnel
- Amount spent on salaries, overtime, homeowner's allowances and medical aid.

Table 3.1.1 Personnel expenditure by programme for the period 1 April 2020 and 31 March 2021

Programme	Total Expenditure (R'000)	Personnel Cost (R'000)	Training Expenditure (R'000)	Professional and Special Services Expenditure (R'000)	Personnel Expenditure as a % of total expenditure	Average personnel cost per employee (R'000)
Administration	156 528	99 294	243	883	65,3%	661
National Planning Coordination	64 741	52 490	-	9 482	81,1%	954
Sector Monitoring Services	57 416	54 371	-	463	94,7%	1 007
Public Sector Monitoring & Capacity Development	75 901	57 851	-	-	76,2%	803
Evidence & Knowledge Systems	32 553	27 222	305	3 962	83,6%	801
Total	387 139	291 228	548	14 790	75,2%	779

Table 3.1.2 Personnel costs by salary band for the period 1 April 2020 and 31 March 2021

Salary band	Personnel Cost (R'000)	% of total personnel cost	No. of employees	Average personnel cost per employee (R'000)
Lower skilled (levels 1-2)	2 621	0,9%	7	374
Skilled (levels 3-5)	4 951	1,7%	18	275
Highly skilled production (levels 6-8)	39 607	13,6%	100	396
Highly skilled supervision (levels 9-12)	124 355	42,7%	160	777
Senior management (level 13-16)	119 695	41,1%	89	1 345
Total	291 229	100,0%	374	779

Table 3.1.3 Salaries, Overtime, Home Owners Allowance and Medical Aid by programme for the period 1 April 2020 and 31 March 2021

Programme	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount (R'000)	Salaries as a % of personnel costs	Amount (R'000)	Overtime as a % of personnel costs	Amount (R'000)	HOA as a % of personnel costs	Amount (R'000)	Medical aid as a % of personnel costs
Administration	87 996	30,2%	478	0,5%	1 922	1,9%	3 514	3,5%
National Planning Co-ordination	46 061	15,8%	-	0,0%	650	1,2%	725	1,4%
Sector Monitoring Services	48 785	16,8%	-	0,0%	520	1,0%	736	1,4%
Public Sector Monitoring & Capacity Development	51 357	17,6%	-	0,0%	529	0,9%	1 191	2,1%
Evaluation, Evidence & Knowledge Systems	24 038	8,3%	-	0,0%	390	1,4%	659	2,4%
Total	258 237	88,7%	478	0,2%	4 011	1,4%	6 825	2,3%

Table 3.1.4 Salaries, Overtime, Home Owners Allowance and Medical Aid by salary band for the period 1 April 2020 and 31 March 2021

Salary Bands	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount ('000)	As % of personnel costs	Amount ('000)	As % of personnel costs	Amount ('000)	As % of personnel costs	Amount ('000)	As % of personnel costs
Lower skilled (levels 1-2)	2,324	0,8%	-	0,0%	,36	0,0%	,61	0,0%
Skilled (levels 3-5)	4,390	1,5%	25,3	0,5%	,68	0,0%	,116	0,0%
Highly skilled production (levels 6-8)	35,120	12,1%	,176	0,4%	,545	0,2%	,928	0,3%
Highly skilled supervision (levels 9-12)	110,267	37,9%	,277	0,2%	1,713	0,6%	2,914	1,0%
Senior management (level 13-16)	106,135	36,4%	-	0,0%	1,649	0,6%	2,805	1,0%
Total	258,237	88,7%	,478	0,2%	4,011	1,4%	6,825	2,3%

3.2. Employment and Vacancies

The tables in this section summarise the position with regard to employment and vacancies.

The following tables summarise the number of posts on the establishment, the number of employees, the vacancy rate, and whether there are any staff that are additional to the establishment. This information is presented in terms of three key variables:

- Programme
- Salary band
- Critical occupations (see definition in notes below).

The vacancy rate reflects the percentage of posts that are not filled.

Table 3.2.1 Employment and vacancies by programme as on 31 March 2021

Programme	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
Corporate Services	180	159	11,7%	4
NPC Secretariat	32	30	6,3%	0
National Planning Coordination	28	25	10,7%	0
Sector Monitoring Services	61	54	11,5%	1
Public Sector Monitoring & Capacity Development	78	72	7,7%	3
Evaluation, Evidence & Knowledge Systems	39	34	12,8%	0
DPME Total	418	374	10,5%	8

Table 3.2.2 Employment and vacancies by salary band as on 31 March 2021

Salary band	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
Lower Skilled (Levels1-2)	7	7	0,0%	0
Skilled (3-5)	21	18	14,3%	1
Highly skilled production (6-8)	115	100	13,0%	5
Highly skilled supervision (9-12)	173	160	7,5%	0
Senior management (13-16)	102	89	12,7%	2
Total	418	374	10,5%	8

Note: Includes staff in Ministry appointed for term of office of the Minister

Table 3.2.3 Employment and vacancies by critical occupations as on 31 March 2021

Critical occupation	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
Political Office Bearers	2	1	50,0%	0
Top Management	8	8	0,0%	0
Specialists	7	6	14,3%	0
Senior Managers: Corporate Services	14	14	0,0%	0
Total	31	29	6,5%	0

Note: Including Political Office Bearers

3.3. Filling of SMS Posts

The tables in this section provide information on employment and vacancies as it relates to members of the Senior Management Service by salary level. It also provides information on advertising and filling of SMS posts, reasons for not complying with prescribed timeframes and disciplinary steps taken.

Table 3.3.1 SMS post information as on 31 March 2021

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Director-General/ Head of Department	1	1	100,0%	0	0,0%
Salary Level 16	1	1	100,0%	0	0,0%
Salary Level 15	6	6	100,0%	0	0,0%
Salary Level 14	28	25	89,3%	3	10,7%
Salary Level 13	64	55	85,9%	9	14,1%
Total	100	88	88,0%	12	12,0%

Note: Excluding Political Office Bearers

Table 3.3.2 SMS post information as on 30 September 2020

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Director-General/ Head of Department	1	1	100,0%	0	0,0%
Salary Level 16	1	1	100,0%	0	0,0%
Salary Level 15	6	4	66,7%	2	33,3%
Salary Level 14	28	24	85,7%	4	14,3%
Salary Level 13	62	53	85,5%	9	14,5%
Total	98	83	84,7%	15	15,3%

Note: Excluding Political Office Bearers

Table 3.3.3 Advertising and filling of SMS posts for the period 1 April 2020 and 31 March 2021

SMS Level	Advertising	Filling of Posts	
	Number of vacancies per level advertised in 6 months of becoming vacant	Number of vacancies per level filled in 6 months of becoming vacant	Number of vacancies per level not filled in 6 months but filled in 12 months
Director-General/ Head of Department	0	1	0
Salary Level 16	0	0	0
Salary Level 15	0	0	2
Salary Level 14	1	2	0
Salary Level 13	2	2	0
Total	3	5	2

Note: The filling of some SMS posts became vacant and was advertised before the reporting period but was filled in the reporting period and are therefore not indicated in the above table

Table 3.3.4 Reasons for not having complied with the filling of funded vacant SMS - Advertised within 6 months and filled within 12 months after becoming vacant for the period 1 April 2020 and 31 March 2021

Reasons for vacancies not advertised within six months
Not applicable

Reasons for vacancies not filled within twelve months
As a result of the busy schedule of managers /panel members as well as the COVID-19 lockdown and working arrangements it was not possible to finalise the recruitment processes within the prescribed period

Table 3.3.5 Disciplinary steps taken for not complying with the prescribed timeframes for filling SMS posts within 12 months for the period 1 April 2020 and 31 March 2021

Disciplinary steps taken
No disciplinary steps were taken

3.4. Job Evaluation

Within a nationally determined framework, executing authorities may evaluate or re-evaluate any job in his or her organisation. In terms of the Regulations all vacancies on salary levels 9 and higher must be evaluated before they are filled. The following table summarises the number of jobs that were evaluated during the year under review. The table also provides statistics on the number of posts that were upgraded or downgraded.

Table 3.4.1 Job Evaluation by Salary band for the period 1 April 2020 and 31 March 2021

Salary band	Number of posts on approved establishment	Number of Jobs Evaluated	% of posts evaluated by salary bands	Posts Upgraded		Posts downgraded	
				Number	% of posts evaluated	Number	% of posts evaluated
Lower Skilled (Levels 1-2)	7	0	0,0%	0	0,0%	0	0,0%
Skilled (Levels 3-5)	21	0	0,0%	0	0,0%	0	0,0%
Highly skilled production (Levels 6-8)	115	0	0,0%	0	0,0%	0	0,0%
Highly skilled (Levels 9-12)	173	0	0,0%	0	0,0%	0	0,0%
Senior Management Service Band A	64	0	0,0%	0	0,0%	0	0,0%
Senior Management Service Band B	28	0	0,0%	0	0,0%	0	0,0%
Senior Management Service Band C	6	0	0,0%	0	0,0%	0	0,0%
Senior Management Service Band D	4	0	0,0%	0	0,0%	0	0,0%
Total	418	0	0,0%	0	0,0%	0	0,0%

Note: During the reporting period no posts were Job Evaluated due to COVID-19 as well as the EVALUATE system being discontinued.

The following table provides a summary of the number of employees whose positions were upgraded due to their post being upgraded. The number of employees might differ from the number of posts upgraded since not all employees are automatically absorbed into the new posts and some of the posts upgraded could also be vacant.

Table 3.4.2 Profile of employees whose positions were upgraded due to their posts being upgraded for the period 1 April 2020 and 31 March 2021

Gender	African	Asian	Coloured	White	Total
Female	0	0	0	0	0
Male	0	0	0	0	0
Total	0	0	0	0	0
Employees with a disability	0	0	0	0	0

The following table summarises the number of cases where remuneration bands exceeded the grade determined by job evaluation. Reasons for the deviation are provided in each case.

Table 3.4.3 Employees with salary levels higher than those determined by job evaluation by occupation for the period 1 April 2020 and 31 March 2021

Occupation	Number of employees	Job Evaluation level	Remuneration level	Reason for deviation
Deputy Secretary of Planning	1	15	16	Employee was awarded a higher salary prior to transfer to the DPME
Chief Director: Education & Skills	1	14	15	Post level downgraded after restructuring
Chief Director: Health	1	14	15	
Chief Director: Social Cohesion, Protection & Gender	1	14	15	
Chief Director: Frontline Monitoring & Support	1	14	15	
Chief Director: Local Govt. & Human Settlements	1	14	15	
Director: Strategy & Service Delivery Support	1	13	14	Employee was awarded a higher salary to retain his service
Senior Sector Expert: Social Cohesion, Protection & Gender	1	13	14	Employee was awarded a higher salary prior to transfer to the DPME
Deputy Director: HRD & PMDS	1	11	12	Qualified for upgrading to the higher post in terms of the PSCBC Resolution 1 of 2012 in respect of Corporate Service, Program 1 jobs/posts on salary levels 9/10 and 11/12
Deputy Director: Strategy & Service Delivery Support	1	11	12	
Deputy Director: Risk & Anti-Corruption	1	11	12	
Deputy Director: Presidential Hotline	1	11	12	Qualified for upgrading to the higher post in terms of the PSCBC Resolution in respect of jobs/posts on salary levels 9/10 and 11/12
Assistant Director Presidential Hotline	1	9	10	
Assistant Director: Systems & Reporting	1	9	10	
Assistant Director: Executive Support	1	9	10	Post level downgraded after restructuring
Assistant Director: Administration	5	9	10	
Assistant Director: Research Coordination	1	9	10	Post level downgraded by the JE Committee
Total number of employees whose salaries exceeded the level determined by job evaluation				21
Percentage of total employed				5.6%

The following table summarises the beneficiaries of the above in terms of race, gender, and disability.

Table 3.4.4 Profile of employees who have salary levels higher than those determined by job evaluation for the period 1 April 2020 and 31 March 2021

Gender	African	Asian	Coloured	White	Total
Female	13	1	0	0	14
Male	6	1	0	0	7
Total	19	2	0	0	21
Employees with a disability	0	0	0	0	0

Note: As a result of the reviewed organisational structure some SMS posts were downgraded from level 15 to 14. Employees retained their salary levels

3.5. Employment Changes

This section provides information on changes in employment over the financial year. Turnover rates provide an indication of trends in the employment profile of the Department. The following tables provide a summary of turnover rates by salary band and critical occupations

Table 3.5.1 Annual turnover rates by salary band for the period 1 April 2020 and 31 March 2021

Salary band	Number of employees at beginning of period-1 April 2020	Appointments and transfers into the Department	Terminations and transfers out of the Department	Turnover rate
Lower skilled (Levels 1-2)	7	0	0	0,0%
Skilled (Levels 3-5)	16	1	3	18,8%
Highly skilled production (Levels 6-8)	107	5	15	14,0%
Highly skilled supervision (Levels 9-12)	165	6	15	9,1%
Senior Management Service Bands A (13)	55	5	8	14,5%
Senior Management Service Bands B (14)	22	4	3	13,6%
Senior Management Service Bands C (15)	3	4	0	0,0%
Senior Management Service Bands D (16)	1	0	2	200,0%
Contracts	12	12	0	0,0%
Total	388	37	46	11,9%

Note: Excluding 33 Interns that were appointed during the reporting period

Table 3.5.2 Annual turnover rates by critical occupation for the period 1 April 2020 and 31 March 2021

Critical occupation	Number of employees at beginning of period: 1 April 2020	Appointments and transfers into the Department	Terminations and transfers out of the Department	Turnover rate
Top Management	4	6	1	25,0%
Specialists	6	1		0,0%
Senior Managers: Corporate Services	11	4	3	27,3%
Total	21	11	4	19,0%

The table below identifies the major reasons why staff left the Department.

Table 3.5.3 Reasons why staff left the Department for the period 1 April 2020 and 31 March 2021

Termination Type	Number	% of Total Resignations
Death	4	8,7%
Resignation	9	15,2%
Expiry of contract	8	17,4%
Dismissal – operational changes	0	0,0%
Dismissal – misconduct	0	0,0%
Dismissal – inefficiency	0	0,0%
Discharged due to ill-health	0	0,0%
Retirement	1	2,2%
Transfer to other Public Service Departments	26	56,5%
Other	0	0,0%
Total	46	100,0%
Total number of employees who left as a % of total employment	46	12,3%

Table 3.5.4 Promotions by critical occupation for the period 1 April 2020 and 31 March 2021

Occupation	Employees 1 April 2020	Promotions to another salary level	Salary level promotions as a % of employees by occupation	Progressions to another notch within a salary level	Notch progression as a % of employees by occupation
Top Management	4	0	0,0%	1	25,0%
Specialists	6	0	0,0%	5	83,3%
Senior Managers: Corporate Services	11	0	0,0%	6	54,5%
Total	21	0	0,0%	12	57,1%

Table 3.5.5 Promotions by salary band for the period 1 April 2020 and 31 March 2021

Salary Band	Employees 1 April 2020	Promotions to another salary level	Salary bands promotions as a % of employees by salary level	Progressions to another notch within a salary level	Notch progression as a % of employees by salary bands
Lower skilled (Levels 1-2)	7	0	0,0%	7	100,0%
Skilled (Levels 3-5)	16	0	0,0%	9	56,3%
Highly skilled production (Levels 6-8)	107	2	1,9%	62	57,9%
Highly skilled supervision (Levels 9-12)	165	3	1,8%	114	69,1%
Senior Management (Level 13-16)	81	4	4,9%	52	64,2%
Total	376	9	2,4%	244	64,9%

3.6. Employment Equity

Table 3.6.1 Total number of employees (including employees with disabilities) in each of the following occupational categories as on 31 March 2021

Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Legislators, senior officials & managers (Level 13-16)	36	1	4	2	33	3	5	5	89
Professionals (Level 11-12)	31	3	3	2	46	0	5	3	93
Technicians and associate professionals (Level 9-10)	22	1	0	1	37	2	1	3	67
Clerks (Level 6-8)	37	1	0	0	60	1	1	0	100
Service and sales workers (Level 3-5)	10	0	0	0	8	0	0	0	18
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0	0
Craft and related trades workers	0	0	0	0	0	0	0	0	0
Plant and machine operators and assemblers	0	0	0	0	0	0	0	0	0
Elementary occupations (Level 1-2)	2	0	0	0	5	0	0	0	7
Total	138	6	7	5	189	6	12	11	374
Employees with disabilities	3	0	0	0	4	0	0	1	8

Table 3.6.2 Total number of employees (including employees with disabilities) in each of the following occupational bands as on 31 March 2021

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management (Level 15-16)	4	0	0	0	4	0	0	1	9
Senior Management (Level 13-14)	32	1	4	2	29	3	5	4	80
Professionally qualified and experienced specialists and mid-management (Level 9-12)	53	4	3	3	83	2	6	6	160
Skilled technical & academically qualified workers, junior management, supervisors, foreman and superintendents (Level 6-8)	37	1	0	0	60	1	1	0	100
Semi-skilled and discretionary decision making (Level 3-5)	10	0	0	0	8	0	0	0	18
Unskilled and defined decision making (Level 1-2)	2	0	0	0	5	0	0	0	7
Total	138	6	7	5	189	6	12	11	374

Table 3.6.3 Recruitment for the period 1 April 2020 to 31 March 2021

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management (Level 15-16)	5				1			1	7
Senior Management (Level 13-14)	2	0			3	2	1	1	9
Professionally qualified and experienced specialists and mid-management (Level 9-12)	4				5		1		10
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents (Level 6-8)	5				4				9
Semi-skilled and discretionary decision making (Level 3-5)	1				1				2
Unskilled and defined decision making (Level 1-2)									0
Total	17	0	0	0	14	2	2	2	37
Employees with disabilities	0	0	0	0		0	0	0	0

Note: Excluding extensions as well as 33 Interns appointed during the reporting period

Table 3.6.4 Promotions for the period 1 April 2020 to 31 March 2021

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management (Level 15-16)	0	0	0	0	0	0	0	0	0
Senior Management (Level 13-14)	0	0	0	0	2		0	0	2
Professionally qualified and experienced specialists and mid-management (Level 9-12)	1	0	0	0	2	0	0	0	3
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents (Level 6-8)	0	0	0	0	2	0	0	0	2
Semi-skilled and discretionary decision making (Level 3-5)	0	0	0	0	0	0	0	0	0
Unskilled and defined decision making (Level 1-2)	0	0	0	0	0	0	0	0	0
Total	1	0	0	0	6	0	0	0	7
Employees with disabilities	0	0	0	0	0	0	0	0	0

Table 3.6.5 Terminations for the period 1 April 2020 to 31 March 2021

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management (Level 15-16)	2	0	0	0	0	0	0	0	2
Senior Management (Level 13-14)	2	0	0	1	6	1	0	1	11
Professionally qualified and experienced specialists and mid-management (Level 9-12)	5	0	0	1	8	1	0	0	15
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents (Level 6-8)	5	0	0	0	7	2	1	0	15
Semi-skilled and discretionary decision making (Level 3-5)	1	0	0	0	2	0	0	0	3
Unskilled and defined decision making (Level 1-2)	0	0	0	0	0	0	0	0	0
Total	15	0	0	2	23	4	1	1	46
Employees with Disabilities	0	0	0	0	0	0	0	0	0

Table 3.6.6 Disciplinary action for the period 1 April 2020 to 31 March 2021

Disciplinary action	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Written warning	0	1	0	0	0	0	0	0	1
Final written warning	0	0	0	0	0	0	0	0	0
Total	0	1	0	0	0	0	0	0	1

Table 3.6.7 Skills development for the period 1 April 2020 to 31 March 2021

Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Legislators, senior officials and managers	6	0	1	0	5	0	0	0	12
Professionals	7	1	1	1	9	0	2	2	23
Technicians and associate professionals	10	0	0	1	4	0	0	0	15
Clerks	9	0	0	0	15	0	0	0	24
Service and sales workers	0	0	0	0	0	0	0	0	0
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0	0
Craft and related trades workers	0	0	0	0	0	0	0	0	0
Plant and machine operators and assemblers	0	0	0	0	0	0	0	0	0
Elementary occupations	0	0	0	0	5	0	0	0	5
Interns	1	0	0	0	2	0	0	0	3
Total	33	1	2	2	40	0	2	2	82
Employees with disabilities								1	1

3.7. Signing of Performance Agreements by SMS Members

All members of the SMS must conclude and sign performance agreements within specific timeframes. Information regarding the signing of performance agreements by SMS members, the reasons for not complying within the prescribed timeframes and disciplinary steps taken is presented here.

Table 3.7.1 Signing of Performance Agreements by SMS members as on 31 May 2020

SMS Level	Total number of funded SMS posts	Total number of SMS members	Total number of signed performance agreements	Signed performance agreements as % of total number of SMS members
Director-General / Head of Department	1	1	1	100,0%
Salary Level 16	1	1	1	100,0%
Salary Level 15	6	6	6	100,0%
Salary Level 14	28	23	23	100,0%
Salary Level 13	62	56	55	98,2%
Total	98	87	86	98,9%

Table 3.7.2 Reasons for not having concluded Performance agreements for all SMS members as on 31 March 2021

Reasons
One official at the level of Director is on precautionary suspension.

Table 3.7.3 Disciplinary steps taken against SMS members for not having concluded Performance agreements as on 31 March 2021

Reasons
Nil

3.8. Performance Rewards

To encourage good performance, the Department has granted the following performance rewards during the year under review. The information is presented in terms of race, gender, disability, salary bands and critical occupations (see definition in notes below).

Table 3.8.1 Performance Rewards by race, gender and disability for the period 1 April 2020 to 31 March 2021

Race and Gender	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within group	Cost (R'000)	Average cost per employee (R'000)
African	18	327	11,3%	918,820	51,046
Male	9	138	6,5%	411,609	45,734
Female	9	189	4,8%	507,210	56,357
Asian	3	19	36,9%	218,521	72,840
Male	2	7	28,6%	184,627	92,313
Female	1	12	8,3%	33,894	33,894
Coloured	0	12	0,0%	-	-
Male	0	6	0,0%	-	-
Female	0	6	0,0%	-	-
White	3	16	38,2%	235,152	78,384
Male	1	5	20,0%	74,341	74,341
Female	2	11	18,2%	160,811	80,406
Total	24	374	6,4%	1,372	57,187
Employees with disabilities	0	0	0,0%	-	-

Table 3.8.2 Performance Rewards by salary band for personnel below Senior Management Service for the period 1 April 2020 to 31 March 2021

Salary band	Beneficiary Profile			Cost		Total cost as a % of the total personnel expenditure
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost (R'000)	Average cost per employee	
Lower Skilled (Levels 1-2)	0	7	0,0%	-	-	0,0%
Skilled (level 3-5)	2	18	11,1%	,31	,16	0,0%
Highly skilled production (level 6-8)	5	100	5,0%	,108	,22	0,1%
Highly skilled supervision (level 9-12)	10	160	6,3%	,686	,69	0,4%
Total	17	285	6,0%	,826	,49	0,5%

Table 3.8.3 Performance Rewards by critical occupation for the period 1 April 2020 to 31 March 2021

Critical occupation	Beneficiary Profile		Cost		
	Number of beneficiaries	Number of employees	% of total within occupation	Total Cost (R'000)	Average cost per employee
Executive Management (Levels 15 - 16)	0	7	0,0%	-	-
Total	0	7	0,0%	-	-

Table 3.8.4 Performance related rewards (cash bonus), by salary band for Senior Management Service for the period 1 April 2020 to 31 March 2021

Salary band	Beneficiary Profile			Cost		Total cost as a % of the total personnel expenditure
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost (R'000)	Average cost per employee	
Band A (Level 13)	5	55	9,1%	,201	,40	0,2%
Band B (Level 14)	2	25	8,0%	,98	,49	0,1%
Band C (Level 15)	0	6	0,0%	-	-	0,0%
Band D (Level 16)	0	2	0,0%	-	-	0,0%
Total	7	88	8,0%	,298	,43	0,2%

3.9. Foreign Workers

The tables below summarise the employment of foreign nationals in the Department in terms of salary band and major occupation.

Table 3.9.1 foreign workers by salary band for the period 1 April 2020 and 31 March 2021

Salary band	01-Apr-20		31-Mar-21		Change	
	Number	% of total	Number	% of total	Number	% Change
Lower skilled (Lev.1-5)	0	0,0%	0	0,0%	0	0,0%
Highly skilled production (Lev. 6-8)	0	0,0%	0	0,0%	0	0,0%
Highly skilled supervision (Lev. 9-12)	0	0,0%	0	0,0%	0	0,0%
Contract (level 9-12)	0	0,0%	0	0,0%	0	0,0%
Contract (level 13-16)	1	0,3%	1	0,3%	0	0,0%
Total	1	0,3%	1	0,3%	0	0,0%

Table 3.9.2 Foreign workers by major occupation for the period 1 April 2020 and 31 March 2021

Major occupation	01-Apr-20		31-Mar-21		Change	
	Number	% of total	Number	% of total	Number	% Change
Snr Managers / Professionals	1	0,3%	1	0,3%	0	0,0%
Total	1	0,3%	1	0,3%	0	0,0%

3.10. Leave utilisation

The Public Service Commission identified the need for careful monitoring of sick leave within the public service. The following tables provide an indication of the use of sick leave and disability leave. In both cases, the estimated cost of the leave is also provided.

Table 3.10.1 Sick leave for the period 1 January 2020 to 31 December 2020

Salary band	Total days	% Days with Medical certification	Number of Employees using sick leave	% of total employees using sick leave	Average days per employee	Estimated Cost (R'000)
Lower Skills (Level 1-2)	40	95,0%	6	2,8%	7	,22
Skilled (levels 3-5)	81	65,4%	13	6,2%	6	,70
Highly skilled production (levels 6-8)	438	69,2%	88	41,7%	5	,629
Highly skilled supervision (levels 9 -12)	504	74,8%	72	34,1%	7	1,469
Top and Senior management (levels 13-16)	143	83,2%	32	15,2%	4	,679
Total	1206	73,8%	211	100,0%	6	2,870

Table 3.10.2 Disability leave (temporary and permanent) for the period 1 January 2020 to 31 December 2020

Salary band	Total days	% Days with Medical certification	Number of Employees using disability leave	% of total employees using disability leave	Average days per employee	Estimated Cost (R'000)
Lower skilled (Levels 1-2)	0	0,0%	0	0,0%	0	-
Skilled (Levels 3-5)	0	0,0%	0	0,0%	0	-
Highly skilled production (Levels 6-8)	31	100,0%	2	100,0%	16	,51
Highly skilled supervision (Levels 9-12)	0	0,0%	0	0,0%	0	-
Senior management (Levels 13-16)	0	0,0%	0	0,0%	0	-
Total	31	100,0%	2	100,0%	16	,51

The table below summarises the utilisation of annual leave. The wage agreement concluded with trade unions in the PSCBC in 2000 requires management of annual leave to prevent high levels of accrued leave being paid at the time of termination of service.

Table 3.10.3 Annual Leave for the period 1 January 2020 to 31 December 2020

Salary band	Total days taken	Number of Employees using annual leave	Average per employee
Lower skilled (Levels 1-2)	101	8	13
Skilled Levels 3-5)	279	21	13
Highly skilled production (Levels 6-8)	1944	120	16
Highly skilled supervision (Levels 9-12)	3163	177	18
Senior management (Levels 13-16)	1769	104	17
Total	7256	430	17

Table 3.10.4 Capped leave for the period 1 January 2020 to 31 December 2020

Salary band	Total days of capped leave taken	Number of Employees using capped leave	Average number of days taken per employee	Average capped leave per employee as on 31 March 2021
Lower skilled (Levels 1-2)	0	0	0	0,00
Skilled Levels 3-5)	0	0	0	0,00
Highly skilled production (Levels 6-8)	0	0	0	11,85
Highly skilled supervision (Levels 9-12)	0	0	0	18,95
Senior management (Levels 13-16)	0	0	0	27,74
Total	0	0	0	11,71

The following table summarise payments made to employees as a result of leave that was not taken.

Table 3.10.5 Leave pay-outs for the period 1 April 2020 and 31 March 2021

Reason	Total amount (R'000)	Number of employees	Average per employee (R'000)
Leave pay-out for 2020/21 due to non-utilisation of leave for the previous cycle	0,00	0	-
Capped leave pay-outs on termination of service for 2020/21	1317,24	1	,1
Current leave pay-out on termination of service for 2020/21	574549,76	18	,32
Total	575,867	19	,30

3.11. HIV/AIDS & Health Promotion Programmes

Table 3.11.1 Steps taken to reduce the risk of occupational exposure

Units/categories of employees identified to be at high risk of contracting HIV & related diseases (if any)	Key steps taken to reduce the risk
DPME officials travel frequently and are therefore at risk.	STI and Condom Awareness
	Condom Distribution
	HIV/AIDS Voluntary Counselling and Testing
	World Aids Day Awareness and speech from the HIV/AIDS activist

Table 3.11.2 Details of Health Promotion and HIV/AIDS Programmes (tick the applicable boxes and provide the required information)

Question	Yes	No	Details, if yes
1. Has the Department designated a member of the SMS to implement the provisions contained in Part VI E of Chapter 1 of the Public Service Regulations, 2001? If so, provide her/his name and position.	X		Mr N Nomlala, Chief Director: HR & CS
2. Does the Department have a dedicated unit or has it designated specific staff members to promote the health and well-being of your employees? If so, indicate the number of employees who are involved in this task and the annual budget that is available for this purpose.	X		There are three officials in the unit responsible for EHW Programme there is also a service provider due to be appointed "Health1st Africa" Contracted for three years, 2020-2022.
3. Has the Department introduced an Employee Assistance or Health Promotion Programme for your employees? If so, indicate the key elements/services of this Programme.	X		There are variety of programmes on Wellness offered by the service provider i.e. TB & HIV Management, Eye Care, Face to Face Counselling & Telephonic Counselling, etc.
4. Has the Department established (a) committee(s) as contemplated in Part VI E.5 (e) of Chapter 1 of the Public Service Regulations, 2001? If so, please provide the names of the members of the committee and the stakeholder(s) that they represent.	X		The Department established the said committee and the members are as follows and they are in line with the ToR for Governance structures. Ms S Mbeleki Director: HRM (Chairperson) Ms M Motlhabane, Deputy Director: LR EHW &SS Mr W J Victor, Deputy Director: OD, Recruitment & HR Administration Mr O E Skosana, Deputy Director: Risk Management Mr T Tsetseoa (Senior Legal Administration Officer) Ms Ramorola, Deputy Director 2 x representatives from each of the recognised Labour Unions Ms Z Mtwa-Modupe Ms M Matli Switchboard operator
5. Has the Department reviewed its employment policies and practices to ensure that these do not unfairly discriminate against employees on the basis of their HIV status? If so, list the employment policies/ practices so reviewed.	X		Bereavement Policy, Training and Development Policy, PMDS Policy Recruitment and Selection Internship Policy, Disability Policy
6. Has the Department introduced measures to protect HIV-positive employees or those perceived to be HIV-positive from discrimination? If so, list the key elements of these measures.	X		The services are offered by GEMS and the contracted service provider which includes counselling.
7. Does the Department encourage its employees to undergo Voluntary Counselling and Testing? If so, list the results that you have you achieved.	X		Every quarter the unit conducts a wellness event and HIV testing is part of it, the results are confidential, however the programme is assisting.
8. Has the Department developed measures/ indicators to monitor & evaluate the impact of its health promotion programme? If so, list these measures/indicators.	X		The quarterly statistics received from both GEMS and the contracted Service Provider.

3.12. Labour Relations

Table 3.12.1 Collective agreements for the period 1 April 2020 and 31 March 2021

Subject matter	Date
Total number of Collective agreements	None

The following table summarises the outcome of disciplinary hearings conducted within the Department for the year under review.

Table 3.12.2 Misconduct and disciplinary hearings finalised for the period 1 April 2020 and 31 March 2021

Outcomes of disciplinary hearings	Number	% of total
Correctional counselling	0	0,0%
Verbal warning	17	89,5%
Written warning	1	5,3%
Final written warning	0	0,0%
Suspended without pay	0	0,0%
Fine	0	0,0%
Demotion	0	0,0%
Dismissal	0	0,0%
Not guilty	1	5,3%
Case withdrawn	0	0,0%
Total	19	100,0%

Table 3.12.3 Types of misconduct addressed at disciplinary hearings for the period 1 April 2020 and 31 March 2021

Type of misconduct	Number	% of total
Financial Misconduct	0	0,0%
Poor work Performance	0	0,0%
Gross Dishonesty and Misrepresentation	1	100,0%
Total	1	100,0%

Table 3.12.4 Grievances logged for the period 1 April 2020 and 31 March 2021

Grievances	Number	% of Total
Number of grievances resolved	3	100,0%
Number of grievances not resolved	0	0,0%
Total number of grievances lodged	3	100,0%

Table 3.12.5 Disputes logged with Councils for the period 1 April 2020 and 31 March 2021

Disputes	Number	% of Total
Number of disputes upheld	1	12,5%
Number of disputes dismissed	5	62,5%
Number of disputes referrals received	2	25,0%
Total number of disputes lodged	8	100,0%

Table 3.12.6 Strike actions for the period 1 April 2020 and 31 March 2021

Total number of persons working days lost	0
Total costs working days lost	0
Amount recovered as a result of no work no pay (R'000)	0

Table 3.12.7 Precautionary suspensions for the period 1 April 2020 and 31 March 2021

Number of people suspended	1
Number of people whose suspension exceeded 30 days	1
Average number of days suspended	372
Cost of suspension(R'000)	1 096,73

3.13. Skills development

This section highlights the efforts of the Department with regard to skills development.

Table 3.13.1 Training needs identified for the period 1 April 2020 and 31 March 2021

Occupational category	Gender	Number of employees as at 1 April 2020	Training provided within the reporting period			Total
			Learnerships	Skills Programmes & other short courses	Other forms of training	
Legislators, senior officials & managers (13 - 16)	Female	46	0	34	0	34
	Male	43	0	26	0	26
Professionals (11 - 12)	Female	54	0	0	0	0
	Male	39	0	0	0	0
Technicians & associate professionals (9 - 10)	Female	43	0	0	0	0
	Male	24	0	0	0	0
Clerks (6 - 8)	Female	62	0	0	0	0
	Male	38	0	0	0	0
Service & sales workers (3 - 5)	Female	8	0	0	0	0
	Male	10	0	0	0	0
Skilled agriculture & fishery workers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Craft & related trades workers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Plant and machine operators & assemblers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Elementary occupations (1 - 2)	Female	5	0	0	0	0
	Male	2	0	0	0	0
Sub Total	Female	218	0	34	0	34
	Male	156	0	26	0	26
Total	374	0	60	0	60	

Table 3.13.2 Training provided for the period 1 April 2020 and 31 March 2021

Occupational category	Gender	Number of employees as at 1 April 2020	Training provided within the reporting period			Total
			Learnerships	Skills Programmes & other short courses	Other forms of training	
Legislators, senior officials & managers	Female	46	0	5	0	5
	Male	43	0	7	0	7
Professionals	Female	54	0	17	0	17
	Male	39	0	21	0	21
Technicians & associate professionals	Female	43	0	11	0	11
	Male	24	0	4	0	4
Clerks	Female	62	0	4	0	4
	Male	38	0	5	0	5
Service & sales workers	Female	8	0	0	0	0
	Male	10	0	0	0	0
Skilled agriculture & fishery workers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Craft & related trades workers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Plant & machine operators and assemblers	Female	0	0	0	0	0
	Male	0	0	0	0	0
Elementary occupations	Female	5	0	5	0	5
	Male	2	0	0	0	0
Interns	Female	20	0	2	0	2
	Male	15	0	1	0	1
Sub Total	Female	238	0	44	0	44
	Male	171	0	38	0	38
Total	409	0	82	0	82	

3.14. Injury on duty

The following tables provide basic information on injury on duty.

Table 3.14.1 Injury on duty for the period 1 April 2020 and 31 March 2021

Nature of injury on duty	Number	% of total staff compliment
Required basic medical attention only	0	0,0%
Temporary Total Disablement	0	0,0%
Permanent Disablement	0	0,0%
Fatal	0	0,0%
Total	0	0,0%

3.15. Utilisation of Consultants

The following tables relates information on the utilisation of consultants in the Department. In terms of the Public Service Regulations “consultant” means a natural or juristic person or a partnership who or which provides in terms of a specific contract on an ad hoc basis any of the following professional services to a Department against remuneration received from any source:

- (a) The rendering of expert advice;
- (b) The drafting of proposals for the execution of specific tasks; and
- (c) The execution of a specific task which is of a technical or intellectual nature, but excludes an employee of a Department.

Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2020 and 31 March 2021

Project title	Total number of consultants that worked on project	Duration	Contract value in Rand
Centralised Data Management and Analytical System (CDMAS) (CSIR)	7	7 Months	2 018 225,00
Evidence synthesis research project on the state of health policy and systems in South Africa (HSRC)	8	16 Months	897 668,00
Maintenance and enhance the web-enabled local government management improvement model assessment tool (Emanti Management)	4	12 Months	1 196 560,00
Evaluation of the provision of accommodation by the National Department of Public Works as guided by GIAMA Act 19 of 2007 (Akwethu Engineering and Development)	8	12 Months	1 741 151,00
Rapid review of teacher in service training in South Africa - DMC PRECISION	5	12 Months	487 600,00
Quality assessment of 7 NES Evaluations (Rapideval)	1	5 Months	150 000,00
Enhancement of the EQPRS (Blue Ocean Information Solutions)	1	4 Months	71 587,50
Long Term Trends Social (Urban-Econ Development Economists)	4	3 Months	458 400,00
Public Relations to support NPC and NDP awareness campaign (Hot Head Media)	1	3 Months	351 778,35
Request to conduct two ICT Audit Assignments (Lunika)	4	12 Months	269 200,00
Quality Assessment of 3 Evaluation Reports (Unleash Potential)	2	5 Months	65 550,00
Development State Position Paper (Amahlongwa Holdings)	1	2 Months	50 000,00
Total number of projects	Total individual consultants	Total duration	Total contract value in Rand
12	46	93 Months	7 757 720

Table 3.15.2 Analysis of consultant appointments using appropriated funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2020 and 31 March 2021

Project title	BBBEE Level	Percentage management by HDI groups	Number of consultants from HDI groups that work on the project
Centralised Data Management and Analytical System (CDMAS) (CSIR)	4	State Owned Entity	5
Evidence synthesis research project on the state of health policy and systems in South Africa (HSRC)	8	State Owned Entity	8
Maintenance and enhance the web-enabled local government management improvement model assessment tool (Emanti Management)	2	51,0%	2
Evaluation of the provision of accommodation by the National Department of Public Works as guided by GIAMA Act 19 of 2007 (Akwethu Engineering and Development)	1	100,0%	7
Rapid review of teacher in service training in South Africa - DMC PRECISION	1	100,0%	5
Quality assessment of 7 NES Evaluations (Rapideval)	1	100,0%	1
Enhancement of the EQPRS (Blue Ocean Information Solutions)	2	51,0%	1
Long Term Trends Social (Urban-Econ Development Economists)	1	100,0%	4
Public Relations to support NPC and NDP awareness campaign (Hot Head Media)	1	100,0%	1
Request to conduct two ICT Audit Assignments (Lunika)	1	100,0%	4
Quality Assessment of 3 Evaluation Reports (Unleash Potential)	1	100,0%	2
Development State Position Paper (Amahlongwa Holdings)	1	100,0%	1

Table 3.15.3 Report on consultant appointments using Donor funds for the period 1 April 2020 and 31 March 2021

Project title	Total Number of consultants that worked on project	Duration (Work days)	Donor and contract value in Rand
None	0	0	-

Table 3.15.4 Analysis of consultant appointments using Donor funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2020 and 31 March 2021

Project title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the project
None	0,0%	0,0%	0

3.16. Severance Packages

Table 3.16.1 Granting of employee-initiated severance packages for the period 1 April 2020 and 31 March 2021

Salary band	Number of applications received	Number of applications referred to the MPSA	Number of applications supported by MPSA	Number of packages approved by Department
Lower skilled (Levels 1-2)	0	0	0	0
Skilled Levels 3-5)	0	0	0	0
Highly skilled production (Levels 6-8)	0	0	0	0
Highly skilled supervision (Levels 9-12)	2	2	1	1
Senior management (Levels 13-16)	2	2	0	0
Total	4	4	1	1

PART E

**FINANCIAL
INFORMATION**

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1. REPORT OF THE AUDITOR-GENERAL

Opinion

1. I have audited the financial statements of the Department of Planning, Monitoring and Evaluation set out on pages...to..., which comprise the appropriation statement, statement of financial position as at 31 March 2021, the statement of financial performance, statement of changes in net assets and cash flow statement for the year then ended, as well as notes to the financial statements, including a summary of significant accounting policies.
2. In my opinion, the financial statements present fairly, in all material respects, the financial position of the Department of Planning, Monitoring and Evaluation as at 31 March 2021, and its financial performance and cash flows for the year then ended in accordance with the Modified Cash Standard (MCS) prescribed by National Treasury (NT) and the requirements of the Public Finance Management Act 1 of 1999 (PFMA).

Basis for opinion

3. I conducted my audit in accordance with the International Standards on Auditing (ISAs). My responsibilities under those standards are further described in the auditor-general's responsibilities for the audit of the financial statements section of my report.
4. I am independent of the Department in accordance with the International Ethics Standards Board for Accountants' International code of ethics for professional accountants (including International Independence Standards) (IESBA code) as well as other ethical requirements that are relevant to my audit in South Africa. I have fulfilled my other ethical responsibilities in accordance with these requirements and the IESBA code.

5. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

Other matter

6. I draw attention to the matter below. My opinion is not modified in respect of this matter.

Unaudited supplementary schedules

7. The supplementary information set out on pages 165-168 does not form part of the financial statements and is presented as additional information. I have not audited these schedules and, accordingly, I do not express an opinion on them.

Responsibilities of the accounting officer for the financial statements

8. The accounting officer is responsible for the preparation and fair presentation of the financial statements in accordance with the MCS prescribed by NT and the requirements of the PFMA, and for such internal control as the accounting officer determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.
9. In preparing the financial statements, the accounting officer is responsible for assessing the Department's ability to continue as a going concern, disclosing, as applicable, matters relating to going concern and using the going concern basis of accounting unless the appropriate governance structure either intends to liquidate the Department or to cease operations, or has no realistic alternative but to do so.

Auditor-general's responsibilities for the audit of the financial statements

10. My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with the ISAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.
11. A further description of my responsibilities for the audit of the financial statements is included in the annexure to this auditor's report.

Report on the audit of the annual performance report

Introduction and scope

12. In accordance with the Public Audit Act 25 of 2004 (PAA) and the general notice issued in terms thereof, I have a responsibility to report on the usefulness and reliability of the reported performance information against predetermined objectives for the selected programme presented in the annual performance report. I performed procedures to identify material findings but not to gather evidence to express assurance.
13. My procedures address the usefulness and reliability of the reported performance information, which must be based on the Department's approved performance planning documents. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures do not examine whether the actions

taken by the Department enabled service delivery. My procedures do not extend to any disclosures or assertions relating to the extent of achievements in the current year or planned performance strategies and information in respect of future periods that may be included as part of the reported performance information. Accordingly, my findings do not extend to these matters.

14. I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programme presented in the Department's annual performance report for the year ended 31 March 2021:

Programme	Pages in the annual performance report
Programme 4 – Public sector monitoring and capacity development	53-61

15. I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.
16. I did not identify any material findings on the usefulness and reliability of the reported performance information for Programme 4 – Public sector monitoring and capacity development.

Other matters

17. I draw attention to the matters below.

Achievement of planned targets

18. Refer to the annual performance report on pages ... to ... for information on the achievement of planned targets for the year and management's explanations provided for the under/over achievement of targets.

Adjustment of material misstatements

19. I identified material misstatements in the annual performance report submitted for auditing. These material misstatements were in the reported performance information of *Programme 4 – Public sector monitoring and capacity development*. As management subsequently corrected the misstatements, I did not raise any material findings on the usefulness and reliability of the reported performance information.

Report on the audit of compliance with legislation

Introduction and scope

20. In accordance with the PAA and the general notice issued in terms thereof, I have a responsibility to report material findings on the Department's compliance with specific matters in key legislation. I performed procedures to identify findings but not to gather evidence to express assurance.
21. I did not identify any material findings on compliance with the specific matters in key legislation

Other information

22. The accounting officer is responsible for the other information. The other information comprises the information included in the annual report. The other information does not include the financial statements, the auditor's report and the selected programme presented in the annual performance report that have been specifically reported in this auditor's report.
23. My opinion on the financial statements

and findings on the reported performance information and compliance with legislation do not cover the other information and I do not express an audit opinion or any form of assurance conclusion on it.

24. In connection with my audit, my responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements and the selected programme presented in the annual performance report, or my knowledge obtained in the audit, or otherwise appears to be materially misstated.
25. I did not receive the other information prior to the date of this auditor's report. When I do receive and read this information, if I conclude that there is a material misstatement therein, I am required to communicate the matter to those charged with governance and request that the other information be corrected. If the other information is not corrected, I may have to retract this auditor's report and re-issue an amended report as appropriate. However, if it is corrected this will not be necessary.

Internal control deficiencies

26. I considered internal control relevant to my audit of the financial statements, reported performance information and compliance with applicable legislation; however, my objective was not to express any form of assurance on it. I did not identify any significant deficiencies in internal control.

Auditor - General.

Pretoria
31 July 2021

ANNEXURE – AUDITOR-GENERAL'S RESPONSIBILITY FOR THE AUDIT

1. As part of an audit in accordance with the ISAs, I exercise professional judgement and maintain professional scepticism throughout my audit of the financial statements and the procedures performed on reported performance information for the selected programme and on the Department's compliance with respect to the selected subject matters.

Financial statements

2. In addition to my responsibility for the audit of the financial statements as described in this auditor's report, I also:
 - identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error; design and perform audit procedures responsive to those risks; and obtain audit evidence that is sufficient and appropriate to provide a basis for my opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations or the override of internal control
 - obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Department's internal control
 - evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the accounting officer
 - conclude on the appropriateness of the accounting officer's use of the going concern basis of accounting in the preparation of the financial statements. I also conclude, based on the audit evidence obtained, whether a material uncertainty

exists relating to events or conditions that may cast significant doubt on the ability of the Department of Planning, Monitoring and Evaluation to continue as a going concern. If I conclude that a material uncertainty exists, I am required to draw attention in my auditor's report to the related disclosures in the financial statements about the material uncertainty or, if such disclosures are inadequate, to modify my opinion on the financial statements. My conclusions are based on the information available to me at the date of this auditor's report. However, future events or conditions may cause a Department to cease operating as a going concern

- evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and determine whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation

Communication with those charged with governance

3. I communicate with the accounting officer regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that I identify during my audit.
4. I also provide the accounting officer with a statement that I have complied with relevant ethical requirements regarding independence, and to communicate with them all relationships and other matters that may reasonably be thought to bear on my independence and, where applicable, actions taken to eliminate threats or safeguards applied.

2. APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

Departmental appropriation per programme	2020/21 ('000)							2019/20 ('000)	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expenditure
Administration	158 779	-	1 291	160 070	156 528	3 542	97,8%	188 079	178 728
National Planning Coordination	65 113	-	1 253	66 366	64 741	1 625	97,6%	85 931	79 639
Sector Monitoring Services	59 916	-	(1 291)	58 625	57 416	1 209	97,9%	82 885	71 298
Public Sector Monitoring & Capacity Developme ³	80 484	-	(1 253)	79 231	75 901	3 330	95,8%	86 545	82 576
Evaluation, Evidence and Knowledge Systems	35 682	-	-	35 682	32 553	3 129	91,2%	44 037	34 739
National Youth Development	-	-	-	-	-	-	-	469 462	467 538
Subtotal	399 974	-	-	399 974	387 139	12 835	96,8%	956 939	914 518
Statutory Appropriation	-	-	-	-	-	-	-	-	-
TOTAL	399 974	-	-	399 974	387 139	12 835	96,8%	956 939	914 518

Reconciliation with statement of financial performance	2020/21 ('000)		2019/20 ('000)	
	Final Appropriation	Actual Expenditure	Final Appropriation	Actual Expenditure
TOTAL (brought forward)	399 974	387 139	956 939	914 518
ADD				
Departmental receipts	2 110		6 815	
Aid assistance	-		3 073	
Actual amounts per statement of financial performance (total revenue)	402 084		966 827	
ADD				
Aid assistance	-		-	2 589
Actual amount per statement of financial performance (expenditure)	387 139		917 107	

Note 1: In terms of the 2019 National Macro-Organisation of Government Process and proclamation 49 of 2019, The Socio-Economic Impact Assessment was in function within Programme 3: Sector Monitoring Services transferred to The Presidency, National Youth Development Function was transferred to the Department of Women, Youth and Persons with Disability with effect from 1 April 2020.

Departmental appropriation per economic classification	2020/21 ('000)							2019/20 ('000)	
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Ap- propriation	Actual Ex- penditure	Variance	Exp. as % of final appr.	Final Appropriation	Actual Ex- penditure
Current payments	396 387	(589)	(367)	395 431	382 741	12 690	96,8%	484 505	445 155
Compensation of employees	297 398	-	(1)	297 397	291 228	6 169	97,9%	331 556	298 908
Salaries and wages	267 504	(2 613)	(1 229)	263 662	258 237	5 425	97,9%	296 049	266 733
Social contributions	29 894	2 613	1 229	33 735	32 991	744	97,8%	35 507	32 175
Goods and services	98 989	(589)	(366)	98 034	91 513	6 521	93,3%	152 949	146 247
Administrative fees	684	(41)	-	643	345	298	53,7%	2 031	1 954
Advertising	1 591	(68)	-	1 523	1 378	145	90,5%	855	745
Minor assets	200	38	18	256	255	1	99,6%	1 398	1 398
Audit costs: External	2 600	-	(230)	2 370	2 241	129	94,6%	2 425	2 425
Bursaries: Employees	500	(90)	(10)	400	400	-	100,0%	882	882
Catering: Departmental activities	1 216	(666)	-	550	423	127	76,9%	2 684	2 573
Communication	5 084	3 371	-	8 455	8 582	(127)	101,5%	6 086	6 071
Computer services	30 645	1 116	1 189	32 950	32 330	620	98,1%	30 450	29 772
Consultants: Business & advisory services	13 895	3 163	-	17 058	14 790	2 268	86,7%	25 690	22 137
Legal Services	100	(75)	(25)	-	-	-	-	107	107
Contractors	874	(401)	(23)	450	449	1	99,8%	1 962	1 962
Agency & support / outsourced services	60	55	-	115	100	15	87,0%	183	183
Entertainment	-	(10)	10	-	-	-	-	-	-
Fleet services	-	611	10	621	620	1	99,8%	681	681
Consumable supplies	113	184	-	297	505	(208)	170,0%	485	480
Consumable: Stationery, printing, off. suppl.	925	(138)	(42)	745	607	138	81,5%	1 364	1 324
Operating leases	17 860	(561)	(51)	17 248	17 027	221	98,7%	22 896	22 896
Rental and hiring	-	51	-	51	50	1	98,0%	520	520
Property payments	4 414	(130)	59	4 343	4 367	(24)	100,6%	4 646	4 646
Travel and subsistence	11 052	(3 106)	(1 168)	6 778	5 373	1 405	79,3%	32 381	32 089
Training and development	1 110	(607)	-	503	548	(45)	108,9%	5 803	5 803
Operating payments	4 600	(2 298)	(28)	2 274	839	1 435	36,9%	5 857	4 347
Venues and facilities	1 466	(986)	(75)	405	284	120	70,1%	3 563	3 252
Transfers and subsidies	236	471	-	707	700	7	99,0%	460 883	460 883

Departmental appropriation per economic classification		2020/21 ('000)						2019/20 ('000)		
		Adjusted Ap- propriation	Shifting of Funds	Virement	Final Ap- propriation	Actual Ex- penditure	Variance	Exp. as % of final appr.	Final Appropriation	Actual Ex- penditure
Provinces and municipalities		5	4	-	9	8	1	88,9%	8	8
Departmental agencies and accounts		-	-	-	-	-	-	-	459 590	459 590
Households: Social Benefits		231	467	-	698	692	6	99,1%	1 285	1 285
Payments for capital assets		3 347	117	367	3 831	3 694	137	96,4%	11 521	8 450
Buildings and other fixed structures		61	-	35	96	95	1	99,0%	71	71
Transport equipment		-	-	-	-	-	-	-	1 936	1 794
Other machinery and equipment		2 734	21	311	3 066	2 954	112	96,3%	6 496	4 724
Intangible assets		552	96	21	669	645	24	96,4%	3 018	1 861
Payments for financial assets: Thefts and losses		4	1	-	5	4	1	80,0%	30	30
Total for Department		399 974	-	-	399 974	387 139	12 835	96,8%	956 939	914 518

Programme 1: Administration - Ap- propriation per sub-programme and economic classification	2020/21 ('000)						2019/20 ('000)		
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appropri- ation	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture
Ministerial Support	26 538	(924)	(2)	25 612	23 854	1 758	93,1%	38 024	35 169
Departmental Management	11 113	(466)	-	10 647	10 147	500	95,3%	13 587	12 591
Corporate and Financial Services	121 128	1 390	1 293	123 811	122 527	1 284	99,0%	136 468	130 968
Total for Sub-programmes	158 779	-	1 291	160 070	156 528	3 542	97,8%	188 079	178 728
Current payments	156 227	(422)	925	156 730	153 216	3 514	97,8%	180 286	171 511
Compensation of employees	102 143	-	-	102 143	99 294	2 849	97,2%	104 557	95 782
Salaries and wages	91 933	(1 446)	-	90 487	87 996	2 491	97,2%	92 237	84 887
Social contributions	10 210	1 446	-	11 656	11 298	358	96,9%	12 320	10 895
Goods and services	54 084	(422)	925	54 587	53 922	665	98,8%	75 729	75 729
Administrative fees	340	(36)	-	304	197	107	64,8%	633	633
Advertising	1 241	(68)	-	1 173	1 171	2	99,8%	686	686
Minor assets	200	37	18	255	254	1	99,6%	1 371	1 371
Audit costs: External	2 600	-	(230)	2 370	2 241	129	94,6%	2 425	2 425
Bursaries: Employees	500	(90)	(10)	400	400	-	100,0%	882	882
Catering: Departmental activities	1 162	(810)	-	352	315	37	89,5%	1 134	1 134
Communication	1 931	1 908	-	3 839	3 828	11	99,7%	2 321	2 321

Programme 1: Administration - Ap- propriation per sub-programme and economic classification	2020/21 ('000)							2019/20 ('000)		
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appropri- ation	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture	
Computer servicesz	12 206	2 767	1 189	16 162	16 155	7	100,0%	13 930	13 930	
Consultants: Business & advisory services	1 109	(223)	-	886	883	3	99,7%	933	933	
Legal Services	100	(75)	(25)	-	-	-	-	38	38	
Contractors	874	(406)	(23)	445	444	1	99,8%	572	572	
Agency & support / outsourced ser- vices	60	55	-	115	100	15	87,0%	-	-	
Entertainment	-	(10)	10	-	-	-	-	-	-	
Fleet services	-	611	10	621	620	1	99,8%	652	652	
Consumable supplies	77	188	-	265	503	(238)	189,8%	441	441	
Consumable: Stationery, printing, off. suppl.	807	(133)	(42)	632	582	50	92,1%	985	985	
Operating leases	17 544	(502)	(21)	17 021	16 956	65	99,6%	22 825	22 825	
Rental and hiring	-	51	-	51	50	1	98,0%	378	378	
Property payments	4 414	(130)	59	4 343	4 367	(24)	100,6%	4 646	4 646	
Travel and subsistence	6 001	(1 827)	(4)	4 170	3 863	307	92,6%	16 103	16 103	
Training and development	619	(419)	-	200	243	(43)	121,5%	2 271	2 271	
Operating payments	1 859	(1 185)	(6)	668	532	136	79,6%	1 930	1 930	
Venues and facilities	440	(125)	-	315	218	97	69,2%	573	573	
Transfers and subsidies	51	379	-	430	427	3	99,3%	442	442	
Provinces and municipalities	5	4	-	9	8	1	88,9%	8	8	
Departmental agencies and accounts	-	-	-	-	-	-	-	13	13	
Households: Social Benefits	46	375	-	421	419	2	99,5%	421	421	
Payments for capital assets	2 497	42	366	2 905	2 881	24	99,2%	7 322	6 746	
Buildings and other fixed structures	61	-	35	96	95	1	99,0%	71	71	
Transport equipment	-	-	-	-	-	-	-	1 936	1 794	
Other machinery and equipment	2 436	21	311	2 768	2 767	1	100,0%	4 072	3 638	
Intangible assets	-	21	20	41	19	22	46,3%	1 243	1 243	
Payments for financial assets: Thefts and losses	4	1	-	5	4	1	80,0%	29	29	
Total for Programme	158 779	-	1 291	160 070	156 528	3 542	97,8%	188 079	178 728	

1.1 Ministerial Support	2020/21 ('000)						2019/20 ('000)		
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appro- priation	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture
Current payments	26 513	(1 155)	(6)	25 352	23 595	1 757	93,1%	35 727	33 014
Compensation of employees	19 838	(217)	-	19 621	18 072	1 549	92,1%	19 992	17 279
Goods and services	6 675	(938)	(6)	5 731	5 523	208	96,4%	15 735	15 735
Transfers and subsidies	15	230	-	245	245	-	100,0%	225	225
Households: Social Benefits	15	230	-	245	245	-	100,0%	225	225
Payments for capital assets	6	-	4	10	10	-	100,0%	2 072	1 930
Transport equipment	-	-	-	-	-	-	-	1 936	1 794
Other machinery and equipment	6	-	4	10	10	-	100,0%	136	136
Payments for financial assets: Thefts and losses	4	1		5	4	1	80,0%	-	-
Total	26 538	(924)	(2)	25 612	23 854	1 758	93,1%	38 024	35 169

1.2. Departmental Management	2020/21 ('000)						2019/20 ('000)		
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appro- priation	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture
Current payments	11 097	(555)	-	10 542	10 063	479	95,5%	13 419	12 513
Compensation of employees	9 157	217	-	9 374	9 372	2	100,0%	10 556	9 650
Goods and services	1 940	(772)	-	1 168	691	477	59,2%	2 863	2 863
Transfers and subsidies	16	47	-	63	63	-	100%	31	31
Households: Social Benefits	16	47	-	63	63	-	100%	31	31
Payments for capital assets	-	42	-	42	21	21	50,0%	137	47
Other machinery and equipment	-	21	-	21	21	-	100,0%	137	47
Intangible assets	-	21	-	21	-	21	-	-	-
Total	11 113	(466)	-	10 647	10 147	500	95,3%	13 587	12 591

1.3 Corporate and Financial Services	2020/21 ('000)										2019/20 ('000)	
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appro- priation	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture		Final Appropriation	Actual Expen- diture
Current payments	118 617	1 288	931	120 836	119 558	1 278	98,9%	131 140	125 984		131 140	125 984
Compensation of employees	73 148	-	-	73 148	71 850	1 298	98,2%	74 009	68 853		74 009	68 853
Goods and services	45 469	1 288	931	47 688	47 708	(20)	100,0%	57 131	57 131		57 131	57 131
Transfers and subsidies	20	102	-	122	119	3	97,5%	186	186		186	186
Provinces and municipalities	5	4	-	9	8	1	88,9%	8	8		8	8
Departmental agencies and accounts	-	-	-	-	-	-	-	13	13		13	13
Households: Social Benefits	15	98	-	113	111	2	98,2%	165	165		165	165
Payments for capital assets	2 491	-	362	2 853	2 850	3	99,9%	5 113	4 769		5 113	4 769
Buildings and other fixed structures	61	-	35	96	95	1	99,0%	71	71		71	71
Other machinery and equipment	2 430	-	307	2 737	2 736	1	100,0%	3 799	3 455		3 799	3 455
Intangible assets	-	-	20	20	19	1	95,0%	1 243	1 243		1 243	1 243
Payments for financial assets: Thefts and losses	-	-	-	-	-	-	-	29	29		29	29
Total	121 128	1 390	1 293	123 811	122 527	1 284	99,0%	136 468	130 968		136 468	130 968

Programme 2: National Planning Coordination - Appropriation per sub-programme and economic classification	2020/21 ('000)										2019/20 ('000)	
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appro- priation	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture		Final Appropriation	Actual Expen- diture
Management: National Planning Coordination	40 217	905	1 252	42 374	41 917	457	98,9%	48 929	46 184		48 929	46 184
Planning Coordination	24 896	(905)	1	23 992	22 824	1 168	95,1%	37 002	33 455		37 002	33 455
Total for Sub-programmes	65 113	-	1 253	66 366	64 741	1 625	97,6%	85 931	79 639		85 931	79 639
Current payments	64 879	(31)	1 252	66 100	64 478	1 622	97,5%	84 787	78 982		84 787	78 982
Compensation of employees	51 824	-	1 252	53 076	52 490	586	98,9%	56 868	51 176		56 868	51 176
Salaries and wages	46 614	(23)	24	46 615	46 061	554	98,8%	51 318	46 386		51 318	46 386
Social contributions	5 210	23	1 228	6 461	6 429	32	99,5%	5 550	4 790		5 550	4 790
Goods and services	13 055	(31)	-	13 024	11 988	1 036	92,0%	27 919	27 806		27 919	27 806

Programme 2: National Planning Coordination - Appropriation per sub-programme and economic classification	2020/21 ('000)							2019/20 ('000)		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expenditure	
Administrative fees	89	(4)	-	85	4	81	4,7%	403	400	
Advertising	350	-	-	350	207	143	59,1%	169	59	
Minor assets	-	-	-	-	-	-	-	15	15	
Audit costs: External	-	-	-	-	-	-	-	-	-	
Bursaries: Employees	-	-	-	-	-	-	-	-	-	
Catering: Departmental activities	50	142	-	192	102	90	53,1%	916	916	
Communication	663	355	-	1 018	1 135	(117)	111,5%	762	762	
Computer services	620	108	-	728	587	141	80,6%	449	449	
Consultants: Business & advisory services	7 100	2 383	-	9 483	9 482	1	100,0%	12 980	12 980	
Legal Services	-	-	-	-	-	-	-	-	-	
Contractors	-	-	-	-	-	-	-	1 014	1 014	
Agency & support / outsourced services	-	-	-	-	-	-	-	18	18	
Entertainment	-	-	-	-	-	-	-	-	-	
Fleet services	-	-	-	-	-	-	-	2	2	
Consumable supplies	18	(6)	-	12	1	11	8,3%	10	10	
Consumable: Stationery, printing, off. suppl.	62	(12)	-	50	9	41	18,0%	93	93	
Operating leases	79	(36)	-	43	19	24	44,2%	12	12	
Rental and hiring	-	-	-	-	-	-	-	90	90	
Property payments	-	-	-	-	-	-	-	-	-	
Travel and subsistence	1 034	(543)	-	491	154	337	31,4%	6 681	6 681	
Training and development	491	(492)	-	(1)	-	(1)	-	3 057	3 057	
Operating payments	1 572	(1 065)	-	507	222	285	43,8%	225	225	
Venues and facilities	927	(861)	-	66	66	-	100,0%	1 023	1 023	
Transfers and subsidies	100	31	-	131	129	2	98,5%	282	282	
Households: Social Benefits	100	31	-	131	129	2	98,5%	282	282	
Payments for capital assets	134	-	1	135	134	1	99,3%	862	375	
Other machinery and equipment	-	-	-	-	-	-	-	80	27	
Intangible assets	134	-	1	135	134	1	99,3%	782	348	
Total for Programme	65 113	-	1 253	66 366	64 741	1 625	97,6%	85 931	79 639	

2.1 Management: National Planning Coordination	2020/21 ('000)							2019/20 ('000)		
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appo- prietion	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture	
Current payments	40 191	875	1 252	42 318	41 862	456	98,9%	48 696	46 004	
Compensation of employees	29 937	-	1 252	31 189	31 187	2	100,0%	29 675	27 096	
Goods and services	10 254	875	-	11 129	10 675	454	95,9%	19 021	18 908	
Transfers and subsidies	26	30	-	56	55	1	98,2%	153	153	
Households: Social Benefits	26	30	-	56	55	1	98,2%	153	153	
Payments for capital assets	-	-	-	-	-	-	-	80	27	
Other machinery and equipment	-	-	-	-	-	-	-	80	27	
Intangible assets	-	-	-	-	-	-	-	-	-	
Total	40 217	905	1 252	42 374	41 917	457	98,9%	48 929	46 184	

2.2 Planning Coordination	2020/21 ('000)							2019/20 ('000)		
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appo- prietion	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture	
Current payments	24 688	(906)	-	23 782	22 616	1 166	95,1%	36 091	32 978	
Compensation of employees	21 887	-	-	21 887	21 303	584	97,3%	27 193	24 080	
Goods and services	2 801	(906)	-	1 895	1 313	582	69,3%	8 898	8 898	
Transfers and subsidies	74	1	-	75	74	1	98,7%	129	129	
Households: Social Benefits	74	1	-	75	74	1	98,7%	129	129	
Payments for capital assets	134	-	1	135	134	1	99,3%	782	348	
Other machinery and equipment	-	-	-	-	-	-	-	-	-	
Intangible assets	134	-	1	135	134	1	99,3%	782	348	
Total	24 896	(905)	1	23 992	22 824	1 168	95,1%	37 002	33 455	

Programme 3: Sector Monitoring - Appropriation per sub-programme and economic classification	2020/21 ('000)							2019/20 ('000)		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expenditure	
Management: Sector Monitoring Services	2 531	112	-	2 643	2 529	114	95,7%	6 065	4 494	
Outcomes Monitoring and Support	50 849	-	(1 291)	49 558	49 302	256	99,5%	61 188	52 636	
Intervention Support ¹	6 536	(112)	-	6 424	5 585	839	86,9%	15 632	14 168	
Total for Sub-programmes	59 916	-	(1 291)	58 625	57 416	1 209	97,9%	82 885	71 298	
Current payments	59 402	(126)	(1 291)	57 985	56 806	1 180	98,0%	82 139	70 601	
Compensation of employees	54 921	-	-	54 921	54 371	550	99,0%	70 751	60 549	
Salaries and wages	49 385	(193)	-	49 192	48 785	407	99,2%	63 653	54 205	
Social contributions	5 536	193	-	5 729	5 586	143	97,5%	7 098	6 344	
Goods and services	4 481	(126)	(1 291)	3 064	2 434	630	79,4%	11 388	10 052	
Administrative fees	56	(2)	-	54	7	47	13,0%	155	139	
Advertising	-	-	-	-	-	-	-	-	-	
Minor assets	-	1	-	1	1	-	100,0%	3	3	
Audit costs: External	-	-	-	-	-	-	-	-	-	
Bursaries: Employees	-	-	-	-	-	-	-	-	-	
Catering: Departmental activities	4	2	-	6	6	-	100,0%	192	191	
Communication	665	325	-	990	1 015	(25)	102,5%	879	879	
Computer services	782	(138)	-	644	704	(60)	109,3%	686	686	
Consultants: Business & advisory services	888	1	-	889	463	426	52,1%	4 615	3 444	
Legal Services	-	-	-	-	-	-	-	69	69	
Contractors	-	-	-	-	-	-	-	11	11	
Agency & support / outsourced services	-	-	-	-	-	-	-	144	144	
Entertainment	-	-	-	-	-	-	-	-	-	
Fleet services	-	-	-	-	-	-	-	7	7	
Consumable supplies	6	-	-	6	-	6	-	11	11	
Consumable: Stationery, printing, off. suppl.	20	7	-	27	12	15	44,4%	114	104	
Operating leases	46	10	(30)	26	13	13	50,0%	19	19	
Rental and hiring	-	-	-	-	-	-	-	22	22	

Programme 3: Sector Monitoring - Appropriation per sub-programme and economic classification	2020/21 ('000)							2019/20 ('000)		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expenditure	
Property payments	-	-	-	-	-	-	-	-	-	-
Travel and subsistence	1 807	(332)	(1 164)	311	191	120	61,4%	3 333	3 244	
Training and development	-	-	-	-	-	-	-	475	475	
Operating payments	127	-	(22)	105	22	83	21,0%	423	374	
Venues and facilities	80	-	(75)	5	-	5	-	230	230	
Transfers and subsidies	-	51	-	51	51	-	100%	346	346	
Households: Social Benefits	-	51	-	51	51	-	100%	346	346	
Payments for capital assets	514	75	-	589	560	29	95,1%	400	351	
Other machinery and equipment	96	-	-	96	68	28	70,8%	130	81	
Intangible assets	418	75	-	493	492	1	99,8%	270	270	
Total for Programme	59 916	-	(1 291)	58 625	57 416	1 209	97,9%	82 885	71 298	

3.1 Management: Sector Monitoring Services	2020/21 ('000)							2019/20 ('000)		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expenditure	
Current payments	2 531	112	-	2 643	2 529	114	95,7%	5 999	4 467	
Compensation of employees	2 359	112	-	2 471	2 469	2	99,9%	2 529	997	
Goods and services	172	-	-	172	60	112	34,9%	3 470	3 470	
Payments for capital assets	-	-	-	-	-	-	-	66	27	
Other machinery and equipment	-	-	-	-	-	-	-	66	27	
Total	2 531	112	-	2 643	2 529	114	95,7%	6 065	4 494	

3.2 Outcomes Monitoring and Support	2020/21 ('000)							2019/20 ('000)	
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appro- priation	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture
Current payments	50 402	(126)	(1 291)	48 985	48 738	247	99,5%	60 576	52 026
Compensation of employees	46 768	-	-	46 768	46 531	237	99,5%	55 809	47 259
Goods and services	3 634	(126)	(1 291)	2 217	2 207	10	99,5%	4 767	4 767
Transfers and subsidies	-	51	-	51	50	1	98,0%	333	333
Households: Social Benefits	-	51	-	51	50	1	98,0%	333	333
Payments for capital assets	447	75	-	522	513	9	98,3%	279	277
Other machinery and equipment	29	-	-	29	21	8	72,4%	9	7
Intangible assets	418	75	-	493	492	1	99,8%	270	270
Total	50 849	-	(1 291)	49 558	49 302	256	99,5%	61 188	52 636

3.3 Intervention Support*	2020/21 ('000)							2019/20 ('000)	
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appro- priation	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture
Current payments	6 469	(112)	-	6 357	5 538	819	87,1%	15 564	14 108
Compensation of employees	5 794	(112)	-	5 682	5 371	311	94,5%	12 413	12 293
Goods and services	675	-	-	675	167	508	24,7%	3 151	1 815
Transfers and subsidies	-	-	-	-	-	-	-	13	13
Households: Social Benefits	-	-	-	-	-	-	-	13	13
Payments for capital assets	67	-	-	67	47	20	70,1%	55	47
Other machinery and equipment	67	-	-	67	47	20	70,1%	55	47
Total	6 536	(112)	-	6 424	5 585	839	86,9%	15 632	14 168

*Note: The Socio-Economic Impact Assessment function was moved from Intervention Support to The Presidency Tn terms of the 2019 National Macro-Organisation of Government Process and proclamation 49 of 2019

Programme 4: Public Sector Monitoring & Capacity Development - Appropriation per sub-programme and economic classification	2020/21 ('000)							2019/20 ('000)	
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appro- priation	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture
Management: Public Sector Monitoring & Capacity development	3 647	-	-	3 647	3 588	59	98,4%	3 995	2 468
Public Service Monitoring and Capacity Development	76 837	-	(1 253)	75 584	72 313	3 271	95,7%	82 550	80 108
Total for Sub-programmes	80 484	-	(1 253)	79 231	75 901	3 330	95,8%	86 545	82 576
Current payments	80 271	(1)	(1 253)	79 017	75 740	3 277	95,9%	85 531	82 292
Compensation of employees	60 860	-	(1 253)	59 607	57 851	1 756	97,1%	61 394	58 505
Salaries and wages	54 802	(644)	(1 253)	52 905	51 357	1 548	97,1%	54 708	51 969
Social contributions	6 058	644	-	6 702	6 494	208	96,9%	6 686	6 536
Goods and services	19 411	(1)	-	19 410	17 889	1 521	92,2%	24 137	23 787
Administrative fees	80	-	-	80	45	35	56,3%	221	207
Advertising	-	-	-	-	-	-	-	-	-
Minor assets	-	-	-	-	-	-	-	4	4
Audit costs: External	-	-	-	-	-	-	-	-	-
Bursaries: Employees	-	-	-	-	-	-	-	-	-
Catering: Departmental activities	-	-	-	-	-	-	-	192	192
Communication	1 447	486	-	1 933	1 931	2	99,9%	1 562	1 562
Computer services	15 137	-	-	15 137	14 736	401	97,4%	14 307	14 307
Consultants: Business & advisory services	400	(80)	-	320	-	320	-	891	891
Legal Services	-	-	-	-	-	-	-	-	-
Contractors	-	-	-	-	-	-	-	353	353
Agency & support / outsourced services	-	-	-	-	-	-	-	-	-
Entertainment	-	-	-	-	-	-	-	-	-
Fleet services	-	-	-	-	-	-	-	20	20
Consumable supplies	6	-	-	6	-	6	-	9	9
Consumable: Stationery, printing, off. suppl.	18	-	-	18	2	16	11,1%	100	79
Operating leases	164	(36)	-	128	9	119	7,0%	23	23
Rental and hiring	-	-	-	-	-	-	-	21	21

Programme 4: Public Sector Monitoring & Capacity Development - Appropriation per sub-programme and economic classification	2020/21 ('000)							2019/20 ('000)	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expenditure
Property payments	-	-	-	-	-	-	-	-	-
Travel and subsistence	2 009	(377)	-	1 632	1 121	511	68,7%	4 942	4 914
Training and development	-	-	-	-	-	-	-	-	-
Operating payments	131	6	-	137	45	92	32,8%	176	150
Venues and facilities	19	-	-	19	-	19	-	1 316	1 055
Transfers and subsidies	49	1	-	50	49	1	98,0%	187	187
Households: Social Benefits	49	1	-	50	49	1	98,0%	187	187
Payments for capital assets	164	-	-	164	112	52	68,3%	826	96
Other machinery and equipment	164	-	-	164	112	52	68,3%	103	96
Intangible assets	-	-	-	-	-	-	-	723	-
Payments for financial assets: Thefts and losses	-	-	-	-	-	-	-	1	1
Total for Programme	80 484	-	(1 253)	79 231	75 901	3 330	95,8%	86 545	82 576

4.1 Management: Public Sector Monitoring & Capacity Development	2020/21 ('000)							2019/20 ('000)	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expenditure
Current payments	3 619	-	-	3 619	3 567	52	98,6%	3 988	2 468
Compensation of employees	3 485	-	-	3 485	3 469	16	99,5%	3 629	2 109
Goods and services	134	-	-	134	98	36	73,1%	359	359
Transfers and subsidies	-	-	-	-	-	-	-	-	-
Households: Social Benefits	-	-	-	-	-	-	-	-	-
Payments for capital assets	28	-	-	28	21	7	75,0%	7	-
Other machinery and equipment	28	-	-	28	21	7	75,0%	7	-
Total	3 647	-	-	3 647	3 588	59	98,4%	3 995	2 468

4.2 Public Service Monitoring and Capacity Development	2020/21 ('000)							2019/20 ('000)	
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appro- priation	Actual Ex- penditure	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture
Current payments	76 652	(1)	(1 253)	75 398	72 173	3 225	95,7%	81 543	79 824
Compensation of employees	57 375	-	(1 253)	56 122	54 382	1 740	96,9%	57 765	56 396
Goods and services	19 277	(1)	-	19 276	17 791	1 485	92,3%	23 778	23 428
Transfers and subsidies	49	1	-	50	49	1	98,0%	187	187
Households: Social Benefits	49	1	-	50	49	1	98,0%	187	187
Payments for capital assets	136	-	-	136	91	45	66,9%	819	96
Other machinery and equipment	136	-	-	136	91	45	66,9%	96	96
Intangible assets	-	-	-	-	-	-	-	723	-
Payments for financial assets: Thefts and losses	-	-	-	-	-	-	-	1	1
Total	76 837	-	(1 253)	75 584	72 313	3 271	95,7%	82 550	80 108

Programme 5: Evaluation, Evidence and Knowledge Systems - Appropriation per sub-programme and economic classification	2020/21 ('000)							2019/20 ('000)	
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appro- priation	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture
Management: Evaluation, Evidence and Knowledge Systems	2 236	-	-	2 236	1 887	349	84,4%	2 895	791
Evaluation, Research, Knowledge and Data Systems	33 446	-	-	33 446	30 666	2 780	91,7%	41 142	33 948
Total for Sub-programmes	35 682	-	-	35 682	32 553	3 129	91,2%	44 037	34 739
Current payments	35 608	(9)	-	35 599	32 502	3 097	91,3%	41 891	33 808
Compensation of employees	27 650	-	-	27 650	27 222	428	98,5%	31 083	27 396
Salaries and wages	24 770	(307)	-	24 463	24 038	425	98,3%	27 920	24 391
Social contributions	2 880	307	-	3 187	3 184	3	99,9%	3 163	3 005
Goods and services	7 958	(9)	-	7 949	5 280	2 669	66,4%	10 808	6 412
Administrative fees	119	-	-	119	92	27	77,3%	579	545
Advertising	-	-	-	-	-	-	-	-	-

Programme 5: Evaluation, Evidence and Knowledge Systems - Appropriation per sub-programme and economic classification	2020/21 ('000)							2019/20 ('000)	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expenditure
Minor assets	-	-	-	-	-	-	-	5	5
Audit costs: External	-	-	-	-	-	-	-	-	-
Bursaries: Employees	-	-	-	-	-	-	-	-	-
Catering: Departmental activities	-	-	-	-	-	-	-	193	83
Communication	378	297	-	675	673	2	99,7%	464	449
Computer services	1 900	(1 621)	-	279	148	131	53,0%	1 078	400
Consultants: Business & advisory services	4 398	1 082	-	5 480	3 962	1 518	72,3%	5 832	3 889
Legal Services	-	-	-	-	-	-	-	-	-
Contractors	-	5	-	5	5	-	100,0%	12	12
Agency & support / outsourced services	-	-	-	-	-	-	-	21	21
Entertainment	-	-	-	-	-	-	-	-	-
Fleet services	-	-	-	-	-	-	-	-	-
Consumable supplies	6	2	-	8	1	7	12,5%	11	8
Consumable: Stationery, printing, off. suppl.	18	-	-	18	2	16	11,1%	64	55
Operating leases	27	3	-	30	30	-	100,0%	9	9
Rental and hiring	-	-	-	-	-	-	-	-	-
Property payments	-	-	-	-	-	-	-	-	-
Travel and subsistence	201	(27)	-	174	44	130	25,3%	773	598
Training and development	-	304	-	304	305	(1)	100,3%	-	-
Operating payments	911	(54)	-	857	18	839	2,1%	1 488	109
Venues and facilities	-	-	-	-	-	-	-	279	229
Transfers and subsidies	36	9	-	45	44	1	97,8%	49	49
Households: Social Benefits	36	9	-	45	44	1	97,8%	49	49
Payments for capital assets	38	-	-	38	7	31	18,4%	2 097	882
Other machinery and equipment	38	-	-	38	7	31	18,4%	2 097	882
Total for Programme	35 682	-	-	35 682	32 553	3 129	91,2%	44 037	34 739

5.1 Management: Evidence and Knowledge Systems	2020/21 ('000)							2019/20 ('000)		
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appro- priation	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture	
Current payments	2 236	-	-	2 236	1 887	349	84,4%	2 840	780	
Compensation of employees	2 067	-	-	2 067	1 820	247	88,1%	2 502	655	
Goods and services	169	-	-	169	67	102	39,6%	338	125	
Payments for capital assets	-	-	-	-	-	-	-	55	11	
Other machinery and equipment	-	-	-	-	-	-	-	55	11	
Total	2 236	-	-	2 236	1 887	349	84,4%	2 895	791	

5.2 Evaluation, Research, Knowledge and Data Systems	2020/21 ('000)							2019/20 ('000)		
	Adjusted Ap- propriation	Shifting of Funds	Virement	Final Appro- priation	Actual Expen- diture	Variance	Exp. as % of final appr.	Final Appropriation	Actual Expen- diture	
Current payments	33 372	(9)	-	33 363	30 615	2 748	91,8%	39 051	33 028	
Compensation of employees	25 583	-	-	25 583	25 402	181	99,3%	28 581	26 741	
Goods and services	7 789	(9)	-	7 780	5 213	2 567	67,0%	10 470	6 287	
Transfers and subsidies	36	9	-	45	44	1	97,8%	49	49	
Households: Social Benefits	36	9	-	45	44	1	97,8%	49	49	
Payments for capital assets	38	-	-	38	7	31	18,4%	2 042	871	
Other machinery and equipment	38	-	-	38	7	31	18,4%	2 042	871	
Total	33 446	-	-	33 446	30 666	2 780	91,7%	41 142	33 948	

3. NOTES TO THE APPROPRIATION STATEMENT

1. Detail of transfers and subsidies as per Appropriation Act (after virement):

Details of these transactions can be viewed in the note on Transfers and Subsidies and Annexure 1 to the Annual Financial Statements.

2. Detail of specifically and exclusively appropriated amounts voted (after virement):

Funding for compensation of employees and transfers to Departmental agencies and accounts (NYDA) is exclusively appropriated.

3. Detail on payments for financial assets

Detail of these transactions (if any) per programme can be viewed in the note on Payments for financial assets to the Annual Financial Statements.

4. Explanations of material variances from Amounts Voted (after virement):

4.1 Per Programme (R'000)	Final Appropriation	Actual Expenditure	Variance	Variance as % of Final Appr.
Administration	159 993	156 528	3 465	2.2%
National Planning Coordination	66 367	64 741	1 626	2.5%
Sector Monitoring Services	58 700	57 416	1 284	2.2%
Public Sector Monitoring & Capacity Development	79 232	75 901	3 331	4.2%
Evaluation, Evidence and Knowledge Systems	35 682	32 553	3 129	8.8%
TOTAL	399 974	387 139	12 835	3.2%

4.2 Per Economic classification (R'000)	Final Appropriation	Actual Expenditure	Variance	Variance as % of Final Appr.
Current Expenditure	395 431	382 743	12 688	3.2%
Compensation of employees	297 398	291 228	6 170	2.1%
Goods and services	98 033	91 515	6 518	6.6%
Transfers and subsidies	707	698	9	1.3%
Provinces and municipalities	9	8	1	11.1%
Departmental agencies and accounts	-	-	-	-
Non-profit institutions	-	-	-	-
Households: Social Benefits	698	690	8	1.1%
Payments for capital assets	3 831	3 694	137	3.6%
Buildings and other fixed structures	96	95	1	1.0%
Transport equipment	-	-	-	-
Other machinery and equipment	3 066	2 954	112	3.7%
Intangible assets	669	645	24	3.6%
Payments for financial assets	5	4	1	20.0%
TOTAL	399 974	387 139	12 835	3.2%

The main reason for the underspending of 8,8% in Programme 5, is due to underspending on Business & Advisory Services and cost of rapid evaluations being lower than anticipated. The under-expenditure on payments for Goods and Services was primarily due to an underspending on travel; advertising and training due to the COVID-19 Pandemic, as well as the drafting of the planning bill not having been commenced.

4. STATEMENT OF FINANCIAL PERFORMANCE

(R'000)	Note	2020/21	2019/20
REVENUE			
Annual appropriation	1	399 974	956 939
Departmental revenue	2	2 110	6 815
Aid assistance	3	-	3 073
TOTAL REVENUE		402 084	966 827
EXPENDITURE			
Current expenditure		382 743	447 383
Compensation of employees	4	291 229	298 908
Goods and services	5	91 514	146 247
Interest and rent on land		-	-
Aid assistance	3	-	2 228
Transfers and subsidies		700	460 883
Transfers and Subsidies	7	700	460 883
Aid Assistance	3	-	-
Expenditure on capital assets		3 692	8 811
Tangible assets	8	3 047	6 589
Intangible assets	8	645	2 222
Payments for financial assets	6	4	30
TOTAL EXPENDITURE		387 139	917 107
SURPLUS/(DEFICIT) FOR THE YEAR		14 945	49 720
Reconciliation of Net Surplus/(Deficit) for the year			
Voted funds: Annual appropriation		12 835	42 421
Departmental revenue and NRF Receipts	2	2 110	6 815
Aid assistance	3	-	484
SURPLUS/(DEFICIT) FOR THE YEAR		14 945	49 720

5. STATEMENT OF FINANCIAL POSITION

(R'000)	Note	2020/21	2019/20
ASSETS			
Current assets		14 042	42 769
Cash and cash equivalents	9	13 766	41 976
Prepayments and advances	10	15	244
Receivables	11	261	549
Aid assistance receivable	3	-	-
Non-current assets		36	53
Receivables	11	36	53
TOTAL ASSETS		14 078	42 822
LIABILITIES			
Current liabilities		13 948	42 670
Voted funds to be surrendered to the Revenue Fund	12	12 835	42 421
Departmental revenue / NRF Receipts to be surrendered to Revenue Fund	13	31	46
Payables	14	1 082	203
Aid assistance repayable	3	-	-
Aid assistance unutilised	3	-	-
TOTAL LIABILITIES		13 948	42 670
NET ASSETS		130	152
Represented by: Recoverable revenue		130	152

6. STATEMENT OF CHANGES IN NET ASSETS

(R'000)	Note	2020/21	2019/20
Recoverable revenue			
Opening balance		152	189
Transfers		(22)	(37)
Irrecoverable amounts written off		-	(29)
Debts recovered		(22)	(8)
Debts raised		-	-
Closing Balance		130	152

7. CASH FLOW STATEMENT

(R'000)	Note	2020/21	2019/20
CASH FLOWS FROM OPERATING ACTIVITIES			
Receipts		402 077	966 789
Annual appropriated funds received	1	399 974	956 939
Departmental revenue received	2	2 090	6 741
Interest received	2	13	36
Aid assistance received	3	-	3 073
Net (increase)/decrease in working capital		1 396	3 489
Surrendered to Revenue Fund		(44 546)	(90 079)
Surrendered to RDP Fund/Donor		-	(943)
Current payments		(382 743)	(447 383)
Interest paid		-	-
Payments for financial assets		(4)	(30)
Transfers and subsidies paid	7	(700)	(460 883)
Net cash flow available from operating activities	15	(24 520)	(29 040)
CASH FLOWS FROM INVESTING ACTIVITIES			
Payments for capital assets	8	(3 692)	(8 811)
Proceeds from sale of capital assets	2	7	38
(Increase)/decrease in non-current receivables		17	(18)
Net cash flows from investing activities		(3 668)	(8 791)
CASH FLOWS FROM FINANCING ACTIVITIES			
Increase/(decrease) in net assets		(22)	(37)
Net cash flows from financing activities		(22)	(37)
Net increase/(decrease) in cash and cash equivalents		(28 210)	(37 868)
Cash and cash equivalents at beginning of period		41 976	79 844
Cash and cash equivalents at end of period	16	13 766	41 976

5. STATEMENT OF FINANCIAL POSITION

Summary of significant accounting policies

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects unless otherwise indicated. Management has concluded that the financial statements present fairly the Department's primary and secondary information. The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation. Where appropriate and meaningful additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA) Act 1 of 1999 (as amended by Act 29 of 1999) and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.

1 Basis of preparation: The financial statements have been prepared in accordance with the Modified Cash Standard.

2 Going concern: The financial statements have been prepared on a going concern basis.

3 Presentation currency: Amounts have been presented in the currency of the South African Rand (R) which is also the functional currency of the Department.

4 Rounding: Unless otherwise stated financial figures have been rounded to the nearest one thousand Rand (R'000).

5 Foreign currency translation: Cash flows arising from foreign currency transactions are translated into South African Rands using the exchange rates prevailing at the date of payment / receipt.

6 Comparative information

Prior period comparative information: Prior period comparative information has been presented in the current year's financial statements. Where necessary figures included in the prior period financial statements have been reclassified to ensure that the format in which the information is presented is consistent with the format of the current year's financial statements.

Current year comparison with budget: A comparison between the approved final budget and actual amounts for each programme and economic classification is included in the appropriation statement.

7 Revenue

7.1 Appropriated funds: Appropriated funds comprises of Departmental allocations as well as direct charges against the revenue fund (i.e. statutory appropriation). Appropriated funds are recognised in the statement of financial performance on the date the appropriation becomes effective. Adjustments made in terms of the adjustments budget process are recognised in the statement of financial performance on the date the adjustments become effective. The net amount of any appropriated funds due to / from the relevant revenue fund at the reporting date is recognised as a payable / receivable in the statement of financial position.

7.2 Departmental revenue: Departmental revenue is recognised in the statement of financial performance when received and is subsequently paid into the relevant revenue fund unless stated otherwise. Any amount owing to the relevant revenue fund at the reporting date is recognised as a payable in the statement of financial position.

7.3 Accrued Departmental revenue: Accruals in respect of Departmental revenue (excluding tax revenue) are recorded in the notes to the financial statements when:

- it is probable that the economic benefits or service potential associated with the transaction will flow to the Department; and
- the amount of revenue can be measured reliably.

The accrued revenue is measured at the fair value of the consideration receivable. Accrued tax revenue (and related interest and / penalties) is measured at amounts receivable from collecting agents. Write-offs are made according to the Department's debt write-off policy.

8 Expenditure

8.1 Compensation of employees

8.1.1 Salaries and wages: Salaries and wages are recognised in the statement of financial performance on the date of payment.

8.1.2 Social contributions: Social contributions made by the Department in respect of current employees are recognised in the statement of financial performance on the date of payment. Social contributions made by the Department in respect of ex-employees are classified as transfers to households in the statement of financial performance on the date of payment.

8.2 Other expenditure: Other expenditure (such as goods and services transfers and subsidies and payments for capital assets) is recognised in the statement of financial performance on the date of payment. The expense is classified as a capital expense if the total consideration paid is more than the capitalisation threshold.

8.3 Accruals and payables not recognised: Accrued expenditure payable is recorded in the notes to the financial statements when the goods are received or in the case of services when they are rendered to the Department or in the case of transfers and subsidies when they are due and payable. Accrued expenditure payable is measured at cost.

8.4 Leases

8.4.1 Operating leases: Operating lease payments made during the reporting period are recognised as current expenditure in the statement of financial performance on the date of payment. The operating lease commitments are recorded in the notes to the financial statements.

8.4.2 Finance leases: Finance lease payments made during the reporting period are recognised as capital expenditure in the statement of financial performance on the date of payment. The finance lease commitments are recorded in the notes to the financial statements and are not apportioned between the capital and interest portions. Finance lease assets acquired at the end of the lease term are recorded and measured at the lower of:

- cost being the fair value of the asset; or
- the sum of the minimum lease payments made including any payments made to acquire ownership at the end of the lease term excluding interest.

8.5 Payments for financial assets: Debts are written off when identified as irrecoverable. Debts written-off are limited to the amount of savings and/or under spending of appropriated funds. The write off occurs at year-end or when funds are available. No provision is made for irrecoverable amounts but an estimate is included in the disclosure notes to the financial statements.

9 Aid Assistance

9.1 Aid assistance received: Aid assistance received in cash is recognised in the statement of financial performance when received. In-kind aid assistance is recorded in the notes to the financial statements on the date of receipt and is measured at fair value.

Aid assistance not spent for the intended purpose and any unutilised funds from aid assistance that are required to be refunded to the donor are recognised as a payable in the statement of financial position.

9.2 Aid assistance paid: Aid assistance paid is recognised in the statement of financial performance on the date of payment. Aid assistance payments made prior to the receipt of funds are recognised as a receivable in the statement of financial position.

10 Cash and cash equivalents: Cash and cash equivalents are stated at cost in the statement of financial position. Bank overdrafts are shown separately on the face of the statement of financial position as a current liability. For the purposes of the cash flow statement cash and cash equivalents comprise cash on hand deposits held other short-term highly liquid investments and bank overdrafts.

11 Prepayments and advances: Prepayments and advances are recognised in the statement of financial position when the Department receives or disburses the cash. Prepayments and advances are initially and subsequently measured at cost and are only expensed when invoices / interDepartmental claims are received.

12 Loans and receivables: Loans and receivables are recognised in the statement of financial position at cost plus accrued interest where interest is charged less amounts already settled or written-off. Write-offs are made according to the Department's write-off policy.

13 Investments: Investments are recognised in the statement of financial position at cost.

14 Financial Assets

14.1 Financial assets (not covered elsewhere): A financial asset is recognised initially at its cost plus transaction costs that are directly attributable to the acquisition or issue of the financial. At the reporting date a Department shall measure its financial assets at cost less amounts already settled or written-off except for recognised loans and receivables which are measured at cost plus accrued interest where interest is charged less amounts already settled or written-off.

14.2 Impairment of financial assets: Where there is an indication of impairment of a financial asset an estimation of the reduction in the recorded carrying value to reflect the best estimate of the amount of the future economic benefits expected to be received from that asset is recorded in the notes to the financial statements.

15 Payables: Loans and payables are recognised in the statement of financial position at cost.

16 Capital Assets

16.1 Immovable capital assets: Immovable capital assets are initially recorded in the notes to the financial statements at cost. Immovable capital assets acquired through a non-exchange transaction is measured at fair value as at the date of acquisition. Where the cost of immovable capital assets cannot be determined reliably the immovable capital assets are measured at R1 unless the fair value of the asset has been reliably estimated in which case the fair value is used.

All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1. Immovable capital assets are subsequently carried at cost and are not subject to depreciation or impairment. Subsequent expenditure that is of a capital nature is added to the cost of the asset at the end of the capital project unless the immovable asset is recorded by another Department in which case the completed project costs are transferred to that Department.

16.2 Movable capital assets: Movable capital assets are initially recorded in the notes to the financial statements at cost. Movable capital assets acquired through a non-exchange transaction is measured at fair value as at the date of acquisition. Where the cost of movable capital assets cannot be determined reliably the movable capital assets are measured at fair value and where fair value cannot be determined; the movable assets are measured at R1. All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1. Movable capital assets are subsequently carried at cost and are not subject to depreciation or impairment. Biological assets are subsequently carried at fair value. Subsequent expenditure that is of a capital nature is added to the cost of the asset at the end of the capital project unless the movable asset is recorded by another Department/entity in which case the completed project costs are transferred to that Department.

16.3 Intangible assets: Intangible assets are initially recorded in the notes to the financial statements at cost. Intangible assets acquired through a non-exchange transaction are measured at fair value as at the date of acquisition. Internally generated intangible assets are recorded in the notes to the financial statements when the Department commences the development phase of the project. Where the cost of intangible assets cannot be determined reliably the intangible capital assets are measured at fair value and where fair value cannot be determined; the intangible assets are measured at R1. All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1. Intangible assets are subsequently carried at cost and are not subject to depreciation or impairment. Subsequent expenditure that is of a capital nature is added to the cost of the asset at the end of the capital project unless the intangible asset is recorded by another Department/entity in which case the completed project costs are transferred to that Department.

16.4 Assets under investigation: Assets that could not be verified or assets that are in the process of being transferred or written off are only removed from the assets register once the investigation is complete and the removal of assets from the assets register been approved by the relevant authority. Assets still under investigation at year end will therefore remain part of the asset register.

17 Provisions and Contingents

17.1 Provisions: Provisions are recorded in the notes to the financial statements when there is a present legal or constructive obligation to forfeit economic benefits as a result of events in the past and it is probable that an outflow of resources embodying economic benefits or service potential will be required to settle the obligation and a reliable estimate of the obligation can be made. The provision is measured as the best estimate of the funds required to settle the present obligation at the reporting date.

17.2 Contingent liabilities: Contingent liabilities are recorded in the notes to the financial statements when there is a possible obligation that arises from past events and whose existence will be confirmed only by the occurrence or non-occurrence of one or more uncertain future events not within the control of the Department or when there is a present obligation that is not recognised because it is not probable that an outflow of resources will be required to settle the obligation or the amount of the obligation cannot be measured reliably.

17.3 Contingent assets: Contingent assets are recorded in the notes to the financial statements when a possible asset arises from past events and whose existence will be confirmed by the occurrence or non-occurrence of one or more uncertain future events not within the control of the Department.

17.4 Capital Commitments: Capital Commitments are recorded at cost in the notes to the financial statements.

18 Unauthorised expenditure: Unauthorised expenditure is recognised in the statement of financial position until such time as the expenditure is either:

- approved by Parliament or the Provincial Legislature with funding and the related funds are received; or
- approved by Parliament or the Provincial Legislature without funding and is written off against the appropriation in the statement of financial performance; or
- transferred to receivables for recovery.

Unauthorised expenditure is measured at the amount of the confirmed unauthorised expenditure.

19 Fruitless and wasteful expenditure: Fruitless and wasteful expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the total value of the fruitless and or wasteful expenditure incurred. Fruitless and wasteful expenditure is removed from the notes to the financial statements when it is resolved or transferred to receivables or written off. Fruitless and wasteful expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.

20 Irregular expenditure: Irregular expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the value of the irregular expenditure incurred unless it is impracticable to determine in which case reasons therefore are provided in the note. Irregular expenditure is removed from the note when it is either condoned by the relevant authority, transferred to receivables for recovery, not condoned and removed or written-off. Irregular expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.

21 Changes in accounting estimates: Changes in accounting estimates are applied prospectively in accordance with MCS requirements. Correction of errors is applied retrospectively in the period in which the error has occurred in accordance with MCS requirements, except to the extent that it is impracticable to determine the period-specific effects or the cumulative effect of the error. In such cases the Department shall restate the opening balances of assets, liabilities and net assets for the earliest period for which retrospective restatement is practicable

22 Events after the reporting date: Events after the reporting date that are classified as adjusting events have been accounted for in the financial statements. The events after the reporting date that are classified as non-adjusting events after the reporting date have been disclosed in the notes to the financial statements.

23 Principal Agent arrangements

The Department is not party to any principal-agent arrangements.

24 Departures from the MCS requirements: Management has concluded that the financial statements present fairly the Department's primary and secondary information and has not departed from the Standard.

25 Capitalisation reserve: The capitalisation reserve comprises of financial assets and/or liabilities originating in a prior reporting period but which are recognised in the statement of financial position for the first time in the current reporting period. Amounts are recognised in the capitalisation reserves when identified in the current period and are transferred to the National/Provincial Revenue Fund when the underlying asset is disposed and the related funds are received.

26 Recoverable revenue: Amounts are recognised as recoverable revenue when a payment made in a previous financial year becomes recoverable from a debtor in the current financial year. Amounts are either transferred to the National/Provincial Revenue Fund when recovered or are transferred to the statement of financial performance when written-off.

27 Related party transactions: A related party transaction is a transfer of resources, services or obligations between the reporting entity and a related party. Related party transactions within the Minister's portfolio are recorded in the notes to the financial statements when the transaction is not at arm's length.

Key management personnel: The number of individuals and their full compensation is recorded in the notes to the financial statements.

28 Inventories: At the date of acquisition, inventories are recognised at cost in the statement of financial performance.

Where inventories are acquired as part of a non-exchange transaction, the inventories are measured at fair value as at the date of acquisition. Inventories are subsequently measured at the lower of cost and net realisable value or where intended for distribution (or consumed in the production of goods for distribution) at no or a nominal charge, the lower of cost and current replacement value.

The cost of inventories is assigned by using the weighted average cost basis.

29 Public private partnerships

Public Private Partnerships are accounted for based on the nature and or the substance of the partnership. The transaction is accounted for in accordance with the relevant accounting policies. A summary of the significant terms of the PPP agreement the parties to the agreement and the date of commencement thereof together with the description and nature of the concession fees received the unitary fees paid rights and obligations of the Department are recorded in the notes to the financial statements.

30 Employee benefits: The value of each major class of employee benefit obligation (accruals, payables not recognised and provisions) is disclosed in the Employee benefits note.

31 Transfers of functions: Transfers of functions are accounted for by the acquirer by recognising or recording assets acquired and liabilities assumed at their carrying amounts at the date of transfer. Transfers of functions are accounted for by the transferor by derecognising or removing assets and liabilities at their carrying amounts at the date of transfer.

32 Mergers: Mergers are accounted for by the combined Department by recognising or recording assets acquired and liabilities assumed at their carrying amounts at the date of the merger. Mergers are accounted for by the combining Departments by derecognising or removing assets and liabilities at their carrying amounts at the date of the merger.

9. NOTES TO THE ANNUAL FINANCIAL STATEMENTS (R'000)

1. Annual Appropriation

Included are funds appropriated in terms of the Appropriation Act and the Adjustments Appropriation Act:

Programme	2020/21			2019/20	
	Final Appropriation	Actual Funds Received	Funds not requested/ not received	Final Appropriation	Appropriation received
Administration	159 993	159 993	-	188 079	188 079
National Planning Coordination	66 367	66 367	-	85 931	85 931
Sector Planning and Monitoring	58 700	58 700	-	82 885	82 885
Pub Sec Monitoring & Cap Dev	79 232	79 232	-	86 545	86 545
Evidence & Knowledge Systems	35 682	35 682	-	44 037	44 037
National Youth Development	-	-	-	469 462	469 462
TOTAL	399 974	399 974	-	956 939	956 939

2. Departmental revenue

	Note	2020/21	2019/20
Sales of goods and services other than capital assets	2.1	87	101
Interest dividends and rent on land	2.2	13	36
Sales of capital assets	2.3	7	38
Transactions in financial assets and liabilities	2.4	2 003	6 640
Departmental revenue collected		2 110	6 815

2.1. Sales of goods and services other than capital assets

	Note 2	2020/21	2019/20
Sales of goods and services produced by the Department		84	92
Sales by market establishment		13	13
Other sales		71	79
Sales of scrap, waste and other used current goods		3	9
Total		87	101

2.2. Interest, dividends and rent on land

	Note 2	2020/21	2019/20
Interest		13	36

2.3. Sales of capital assets

	Note 2	2020/21	2019/20
Machinery and Equipment		7	38

2.3. Sales of capital assets

	Note 2	2020/21	2019/20
Receivables		37	83
Other Receipts including Recoverable Revenue		1 996	6 557
Total		2 003	6 640

3. Aid Assistance

	2020/21	2019/20
Opening Balance		459
Transferred from statement of financial performance	-	484
Paid during the year	-	(943)
Total	-	-
Analysis of balance by source		
Aid assistance from RDP	-	-
Aid assistance from other sources	-	-
Closing balance	-	-
Analysis of balance		
Aid assistance receivable	-	-
Aid assistance unutilised	-	-
Aid assistance repayable	-	-
Closing balance	-	-

Aid assistance expenditure per economic classification

	2020/21	2019/20
Current	-	2 228
Capital	-	361
Transfers and subsidies	-	-
Total	-	2 589

4. Compensation of employees

	2020/21	2019/20
Salaries and Wages	258 237	266 733
Basic salary	202 362	208 008
Performance award	1 343	845
Service Based	44	268
Compensative/circumstantial	3 127	4 321
Periodic payments	1 830	1 847
Other non-pensionable allowances	49 531	51 444
Social contributions (Employer)	32 992	32 175
Pension	26 127	25 592
Medical	6 825	6 545
Bargaining council	40	38
Total compensation of Employees	291 229	298 908
Average number of employees	374	383

5. Goods and services

	Note	2020/21	2019/20
Administrative fees		345	1 954
Advertising		1378	745
Minor Assets	5.1	255	1 398
Audit cost – external	5.2	2 241	2 425
Bursaries (employees)		400	882
Catering		423	2573
Communication		8 582	6 071
Computer services	5.3	32 332	29 772
Consultants: Business and advisory services		14 790	22 137
Legal services		-	107
Contractors		448	1 962
Agency and support/outsourced services		100	183
Entertainment		-	-
Fleet services		620	681
Consumables	5.4	1 114	1 804
Operating leases		17 026	22 896
Rental and hiring		50	520
Property payments	5.5	4 369	4 646
Travel and subsistence	5.6	5 373	32 089
Training and development		547	5 803
Venues and facilities		284	3 252
Other operating expenditure	5.7	837	4 347
Total		<u>91 514</u>	<u>146 247</u>

Note: The expenditure on Consultants: Business and Advisory Services; Travel and subsistence; Training and development; and Venues and facilities decreased significantly due to the COVID-19 Pandemic and delays in finalising projects and the costs of the newly introduced rapid evaluations being lower than expected.

5.1. Minor Assets

	Note	2020/21	2019/20
	5		
Tangible assets: Machinery and equipment		255	794
Intangible assets: Software		-	604
Total		<u>255</u>	<u>1 398</u>

5.2. Audit cost – External

	Note 5	2020/21	2019/20
Regularity audits		2 241	2 425
Performance audits		-	-
Total		<u>2 241</u>	<u>2 425</u>

5.3. Computer Services

	Note 5	2020/21	2019/20
SITA computer services		21 769	19 787
External computer service providers		<u>10 563</u>	<u>9 985</u>
Total		<u>32 332</u>	<u>29 772</u>

5.4. Consumables

	Note 5	2020/21	2019/20
Consumable supplies		505	480
Uniform and clothing		14	53
Household supplies		244	214
Building material and supplies		5	30
Communication accessories		-	3
IT consumables		91	140
Other consumables		151	40
Stationery printing and office supplies		<u>609</u>	<u>1 324</u>
Total		<u>1 114</u>	<u>1 804</u>

5.5. Property Payments

	Note 5	2020/21	2019/20
Municipal services		508	491
Property management fees		-	4
Property maintenance and repairs		-	29
Other		<u>3 861</u>	<u>4 122</u>
Total		<u>4 369</u>	<u>4 646</u>

5.6. Travel and subsistence

	Note 5	2020/21	2019/20
Local		4 289	27 988
Foreign		1 084	4 101
Total		<u>5 373</u>	<u>32 089</u>

5.7. Other operating expenditure

	Note 5	2020/21	2019/20
Professional bodies membership and subscription fees*		17	1 511
Resettlement costs		238	304
Other		582	2 532
Total		<u>837</u>	<u>4 347</u>

*Note: The 2019/20 expenditure included payments to the Commonwealth Youth Programme which function has since been transferred to the Department of Women, Youth and Persons with Disabilities, as from 01 April 2020.

6. Payments for financial assets

	Note	2020/21	2019/20
Debts written off i.t.o. Departmental Policy*		4	30
Recoverable revenue written off		-	29
Other debt written off		4	1
Forex Losses		-	-
Total		<u>4</u>	<u>30</u>

*Note: Officials found not liable for debts arising from fruitless expenditure.

7. Transfers and subsidies

	Note	2020/21	2019/20
Provinces and municipalities	Annex 1A	8	8
Departmental agencies and accounts	Annex 1B	-	459 590
Households	Annex 1C	692	1 285
Total		<u>700</u>	<u>460 883</u>

8. Expenditure on capital assets

	Note	2020/21	2019/20
Tangible assets		3 047	6 589
Buildings and other fixed structures	28.2	<u>95</u>	<u>71</u>
Machinery and equipment	8.1 & 8.2	<u>2 952</u>	<u>6 518</u>
Software and other intangible assets			
Computer software	27.1 & 27.2	<u>645</u>	<u>2 222</u>
Total		<u>3 692</u>	<u>8 811</u>

8.1. Analysis of funds utilised to acquire capital assets – 2020/21

	Voted funds	Aid assistance	Total
Tangible assets	3 047	-	3 047
Buildings and other fixed structures	<u>95</u>	-	<u>95</u>
Machinery and equipment	<u>2 952</u>	-	<u>2 952</u>
Intangible assets - Software	<u>645</u>	-	<u>645</u>
Total	<u>3 692</u>	-	<u>3 692</u>

8.2. Analysis of funds utilised to acquire capital assets – 2019/20

	Voted funds	Aid assistance	Total
Tangible assets	6 589	-	6 589
Buildings and other fixed structures	<u>71</u>	<u>-</u>	<u>71</u>
Machinery and equipment	<u>6 518</u>	<u>-</u>	<u>6 518</u>
Intangible assets - Software	<u>1 861</u>	<u>361</u>	<u>2 222</u>
Total	<u>8 450</u>	<u>361</u>	<u>8 811</u>

8.3. Finance lease expenditure included in Expenditure for capital assets

	Note	2020/21	2019/20
Tangible assets			
Machinery and equipment	25.2	378	316
Total		378	316

9. Cash and cash equivalents

	Note	2020/21	2019/20
Consolidated Paymaster General Account		13 711	41 921
Cash on hand		55	55
Total		13 766	41 976

10. Prepayments and Advances

	Note	2020/21	2019/20
Advances for Travel and subsistence		-	-
Advances paid - Not Expensed	10.1 and 10.2	15	244
Total		15	244

10.1. Advances paid – Not expensed (Current year)

	Note	Balance 1 April 2020	Less: Advance Recovered	Add: Current year advances	Balance 31 March 2021
National Departments	Annex 8A	200	(200)	-	-
Municipalities	Annex 8A	-	-	-	-
Other institutions	Annex 8B	44	(29)	-	15
Total		244	(229)	-	15

10.2. Advances paid – Not expensed (Prior year)

	Note	Balance 1 April 2019	Less: Advance Recovered	Add: Current year advances	Balance 31 March 2020
National Departments	Annex 5	1 533	(1 333)	-	200
Municipalities	Annex 5	4	-	-	4
Other institutions	Annex 5	29	-	11	40
Total		1 566	(1 333)	11	244

11. Receivables

	Note	2020/21			2019/20		
		Current	Non-current	Total	Current	Non-current	Total
Claims recoverable	11.1	-	-	-	11	-	11
Recoverable expenditure	11.2	260	36	296	293	53	346
Staff debt	11.3	1	-	1	8	-	8
Other receivables	11.4	-	-	-	234	-	234
Fruitless and wasteful expenditure	11.5	-	-	-	3	-	3
Total		261	36	297	549	53	602

11.1. Claims recoverable

	Note 11	2020/21	2019/20
National Departments		-	11
Provincial Departments		-	-
Foreign governments		-	-
Total		-	11

11.2. Recoverable expenditure (disallowance accounts)

	Note 11	2020/21	2019/20
Private expenses		89	97
Contractual debt (Bursaries and salary overpayments)		207	249
Total		296	346

11.3. Staff debt

	Note 11	2020/21	2019/20
Tax debt		1	8

11.4. Other receivables

	Note 11	2020/21	2019/20
SARS – VAT		-	234
Salary Clearing Accounts		-	-
		-	234

11.5. Fruitless and wasteful expenditure

	Note 11	2020/21	2019/20
Opening balance		3	2
Less amounts recovered		(3)	(2)
Less amounts written off (Recovery overturned on appeal)		-	-
Transfers from Note 23: Fruitless and Wasteful Expenditure		-	3
Total		<u>-</u>	<u>3</u>

12. Voted funds to be surrendered to the Revenue Fund

	2020/21	2019/20
Opening balance	42 421	83 281
Transfer from statement of financial performance	12 835	42 421
Paid during the year	<u>(42 421)</u>	<u>(83 281)</u>
Closing balance	<u>12 835</u>	<u>42 421</u>

13. Departmental revenue and National Revenue Fund Receipts to be surrendered to the Revenue Fund

	Note	2020/21	2019/20
Opening balance		46	29
Transfer from statement of financial performance		2 110	6 815
Paid during the year		<u>(2 125)</u>	<u>(6 798)</u>
Closing balance		<u>31</u>	<u>46</u>

14. Payables – current

	Note	2020/21	2019/20
Clearing accounts – Salary related accounts		871	203
Other payables – Unallocated credits and refunds		<u>211</u>	<u>-</u>
Total		<u>1 082</u>	<u>203</u>

15. Net cash flow available from operating activities

	Note	2020/21	2019/20
Net surplus/(deficit) as per Statement of Financial Performance		14 945	49 720
Add back non cash/cash movements not deemed operating activities		(39 465)	(78 760)
(Increase)/decrease in receivables – current	11	288	2 014
(Increase)/decrease in prepayments and advances	10	229	1 342
(Increase)/decrease in other current assets		-	-
Increase/(decrease) in payables – current	14	879	133
Proceeds from sale of capital assets		(7)	(38)
Proceeds from sale of investments		-	-
(Increase)/decrease in other financial assets		-	-
Expenditure on capital assets	8	3 692	8 811
Surrenders to Revenue Fund	12 13	(44 546)	(90 079)
Surrenders to RDP Fund/Donor		-	(943)
Voted funds not requested/not received		-	-
Own revenue included in appropriation		-	-
Other non-cash items		-	-
Net cash flow generated by operating activities		(24 520)	(29 040)

16. Reconciliation of cash and cash equivalents for cash flow purposes

	Note	2020/21	2019/20
Consolidated Paymaster General account		13 711	41 921
Cash on hand		55	55
Total		13 766	41 976

17. Contingent liabilities and contingent assets

17.1. Contingent liabilities

Liable to	Nature	Note	2020/21	2019/20
Claims against the Department	Labour Relations, Damage to vehicle and SARS interest payable	Annex 3B	1 680	-
			1 680	-

17.2. Contingent Assets

Nature	Note	2020/21	2019/20
None		-	-

18. Capital Commitments¹

	Note	2020/21	2019/20
Computer Equipment		219	1 835
Other Machinery and Equipment		-	49
Total		<u>219</u>	<u>1 884</u>

Note 1: Excludes finance lease commitments disclosed under note 21.

19. Accruals and payables not recognised¹

	2020/21		2019/20	
Listed by economic classification	<30 Days	30+ Days ²	Total	Total ³
Goods and services	2 115	341	2 456	3 804
Transfers and subsidies	9	3	12	-
Capital assets	-	-	-	28
Total	<u>2 124</u>	<u>344</u>	<u>2 468</u>	<u>3 832</u>
Listed by programme level				
Administration	1 803		2 464	
National Planning Coordination	92		488	
Sector Monitoring Services	149		118	
Public Sector Monitoring & Capacity Development	368		163	
Evidence and Knowledge Systems	56		599	
National Youth Development	-		-	
Total	<u>2 468</u>		<u>3 832</u>	
Confirmed balances with other Departments included above	Annex 4	-	430	
Confirmed balances with other government entities included above	Annex 4	-	-	
Total		<u>-</u>	<u>430</u>	

Note 1: Includes accruals for operating and finance leases.

Note 2: Accruals older than 30 days refer to good/services received but invoices not yet received.

Note 3: Prior year accruals per programme adjusted due to changes in budget programme structure.

Note 4: No payments were outstanding in respect of invoices received prior to year-end.

20. Employee benefits (Provisions)

	2020/21	2019/20
Leave entitlement	18 783	10 938
Service bonus (Thirteenth cheque)	6 705	6 539
Performance awards	1 283	1 480
Capped leave commitments	1 748	1 728
Other	-	131
Total	<u>28 519</u>	<u>20 816</u>

21. Lease commitments

21.1. Operating leases commitments

	2020/21	2019/20
Not later than 1 year	132	11 254
Buildings & other fixed structures ¹	-	10 746
Machinery and equipment ²	132	508
Later than 1 year not more than 5 years	49	70
Buildings & other fixed structures ¹	-	-
Machinery and equipment ²	49	70
TOTAL	<u>181</u>	<u>11 324</u>

Note 1: Projected expenditure on rent of office accommodation, staff parking and other operating leases.

Note 2: Projected expenditure on photocopiers.

21.2. Finance leases commitments

	2020/21	2019/20
Machinery and Equipment		
Not later than 1 year	325	332
Later than 1 year, not more than 5 years	<u>340</u>	<u>438</u>
TOTAL	<u>665</u>	<u>770</u>

22. Irregular expenditure

22.1. Reconciliation of irregular expenditure

	Note	2020/21	2019/20
Opening balance		195	88
Prior period error		-	-
As restated		195	88
Add: Irregular expenditure – relating to prior year	21.2	-	162
Add: Irregular expenditure – relating to current year		-	6
Less: Prior year amounts condoned	21.3	-	(61)
Less: Current year amounts condoned		-	-
Less: Amounts not condoned and recoverable		-	-
Less: Amounts not condoned and not recoverable		-	-
Closing Balance		195	195
Analysis of awaiting condonation per age classification			
Current year		-	57
Prior years		195	138
Total		195	195

22.2. Details of irregular expenditure added for current financial year (relating to current and prior years)

Incident	Disciplinary steps /criminal proceedings	2020/21
Bid awarded to incorrect supplier	None – Internal controls improved	-
Exceeding variation order limits	Awaiting National Treasury determination	-
Approval not i.t.o. delegations	None – Internal controls improved	-
Exceeding travel limits (hotels/rental cars)	None – Internal controls improved	-
Exceeding venue threshold	None – Internal controls improved	-
Total		-

22.3. Details of irregular expenditure condoned

Incident	Condoned by (condoning authority)	2020/21
Approval not i.t.o. delegations	Loss Control Committee as delegated	-
Exceeding venue threshold	National Treasury	-
Total		-

22.4. Details of irregular expenditures under determination or investigation (not included in the main note)

Incident	2020/21	
Bid awarded to incorrect supplier		345
Exceeding No. of officials threshold		2
Exceeding variation order limits		295
Incorrect payment		-
Approval not i.t.o. delegations		-
Exceeding travel limits (hotels/rental cars)		42
Exceeding venue threshold		41
Other non-compliance		34
Total		<u>759</u>

23. Fruitless and wasteful expenditure

23.1. Reconciliation of fruitless and wasteful expenditure

	Note	2020/21	2019/20
Opening balance		625	622
Prior period error		-	-
As restated		<u>625</u>	<u>622</u>
Add: Fruitless and wasteful expenditure – relating to prior years	22.3	-	209
Add: Fruitless and wasteful expenditure – relating to current year	22.3	-	73
Less: Amounts written off	22.4	(1)	(276)
Less: Amounts recoverable	22.5	-	(3)
Closing balance	22.2	<u>624</u>	<u>625</u>

23.2. Analysis of closing balance

	Note	2020/21	2019/20
Current		77	78
Capital		<u>547</u>	<u>547</u>
Total		<u>624</u>	<u>625</u>

23.3. Details of current and prior year fruitless and wasteful expenditure added during current financial year

Incident	Disciplinary steps/criminal proceedings	2020/21
None	Not applicable	-
Total		-

23.4. Details of fruitless and wasteful expenditure written off

Incident	2020/21
No Shows	1
Cancellation / refund fees	-
Damages to rented vehicles	-
Over catering	-
Other	-
Total	1

23.5. Details of fruitless and wasteful expenditure recoverable

Incident	2020/21
No Shows	-
Damages to rented vehicles	-
Over catering	-
Total	-

23.6. Details of possible fruitless and wasteful expenditure under assessment (not included in the main note)

Incident	2020/21
No Shows	17
Cancellation / refund fees	29
Damages to rented vehicles	190
Over catering	1
Data recovery fees	-
Other	39
Total	276

24. Related party transactions

All Departments and public entities in the national sphere of government are related parties. The value of transactions is disclosed when these transactions are not undertaken at arm's length. National Youth Development Agency (NYDA) is reported under transfer payments during the 2019/20 financial year. The Department of Public Works and Infrastructure (DPWI) leases office accommodation on behalf of DPME on a cost recovery basis. Financial transactions with the Government Communication and Information System (GCIS) are undertaken at arm's length.

25. Key management personnel

	No. of Individuals	2020/21	2019/20
Political office bearers (Minister and Deputy Minister)	2	3 912	4 242
Officials			
Level 15 to 16	15	16 855	15 686
Level 14 and below	10	8 412	10 678
Family members of key management personnel	1	238	173
Total		29 417	30 779

Note 1: Remuneration only includes amounts expensed during the financial year.

26. Movable Tangible Capital Assets

26.1. Movable Tangible Capital Assets: Movement for 2020/21

Movement in movable tangible capital assets per asset register for the year ended 31 March 2021

MACHINERY AND EQUIPMENT	Opening balance	Value adjustments	Additions	Disposals	Closing Balance
Transport assets	4 823	-	-	-	4 823
Computer equipment	45 110	-	2 428	772	46 766
Furniture and office equipment	5 381	-	-	26	5 355
Other machinery and equipment	7 261	-	146	40	7 367
TOTAL	62 575	-	2 574	838	64 311

26.2. Movable Tangible Capital Assets: Additions for 2020/21

Additions to movable tangible capital assets per asset register for the year ended 31 March 2021

MACHINERY AND EQUIPMENT	Cash	Non-cash	(Finance lease payments)	Rcd. current not paid (Paid current rcd. prior)	Total
Transport assets	-	-	-	-	-
Computer equipment	2 428	-	-	-	2 428
Furniture and office equipment	-	-	-	-	-
Other machinery and equipment	146	-	-	-	146
TOTAL	2 574	-	-	-	2 574

26.3. Movable Tangible Capital Assets: Disposals for 2020/21

Disposals of movable tangible capital assets per asset register for the year ended 31 March 2021

MACHINERY AND EQUIPMENT	Sold for cash	Non-cash disposal	Total disposals	Cash Received Actual
Transport assets	-	-	-	-
Computer equipment	145	627	772	7
Furniture and office equipment	-	26	26	-
Other machinery and equipment	14	26	40	1
TOTAL	159	679	838	8

26.4. Movable Tangible Capital Assets: Movement for 2019/20

Movement in movable tangible capital assets per asset register for the year ended 31 March 2020

MACHINERY AND EQUIPMENT	Opening balance	Prior period error	Additions	Disposals	Closing Balance
Transport assets	4 923	-	1 794	(1 894)	4 823
Computer equipment	45 170	-	3 708	(3 768)	45 110
Furniture and office equipment	5 168	-	323	(110)	5 381
Other machinery and equipment	7 059	-	579	(377)	7 261
TOTAL	62 320	-	6 404	(6 149)	62 575

26.5. Movable Tangible Capital Assets under investigation

Included in the above total of the movable tangible capital assets per the asset register are assets that are under investigation

	Number	Value
Machinery and equipment*	8	623
TOTAL	8	623

*Includes assets with expired warranties that will be disposed in 2021/22

27. Minor Assets

27.1. Minor assets: Movement for 2020/21

Movement in minor assets per Asset Register for the year ended 31 March 2021

	Intangible assets	Machinery and equipment	TOTAL
Opening Balance	793	10 614	11 407
Value adjustments	-	-	-
Additions	-	255	255
Disposals	-	(89)	(89)
TOTAL	793	10 780	11 573
Number of R1 minor assets	-	-	-
Number of minor assets at cost	-	4 954	4 954
TOTAL	-	4 954	4 954

27.2. Minor assets: Movement for 2019/20

Movement in minor assets per Asset Register for the year ended 31 March 2020

	Intangible assets	Machinery and equipment	TOTAL
Opening Balance	333	10 555	10 888
Value adjustments	-	-	-
Additions	604	922	1 526
Disposals	(144)	(863)	(1 007)
TOTAL	793	10 614	11 407
Number of R1 minor assets	-	-	-
Number of minor assets at cost	1 571	4 782	6 353
TOTAL	1 571	4 782	6 353

27.3. Minor assets under investigation

Included in the above total of the minor assets per the Asset Register are assets that are under investigation

	Number	Value
Machinery and equipment	1	2 139
TOTAL	1	2 139

28. Intangible Capital Assets

28.1. Intangible Capital Assets: Movement for 2020/21

Movement in intangible capital assets per Asset Register for the year ended 31 March 2021

	Opening balance	Value adjustments	Additions	Disposals	Closing Balance
Software	16 586	-	645	-	17 231
TOTAL	16 586	-	645	-	17 231

28.2. Intangible Capital Assets: Additions for 2020/21

Additions to intangible capital assets per Asset Register for the year ended 31 March 2021

	Cash	Non-cash	Development work in progress – current costs)	Rcd. current not paid (Paid current rcd. prior)	Total
Software	645	-	-	-	645
TOTAL	645	-	-	-	645

28.3. Intangible Capital Assets: Disposals for 2020/21

Disposals of intangible capital assets per Asset Register for the year ended 31 March 2020

	Sold for cash	Non-cash disposal	Total disposals	Cash Received Actual
Software	-	-	-	-
TOTAL	-	-	-	-

28.4. Intangible Capital Assets: Movement for 2019/20

Movement in intangible capital assets per asset register for the year ended 31 March 2020

	Opening balance	Prior period error	Additions	Disposals	Closing Balance
Software	16 192	-	2 222	1 828	16 586
TOTAL	16 192	-	2 222	1 828	16 586

29. Immovable Tangible Capital Assets

29.1. Immovable Tangible Capital Assets: Movement for 2020/21

Movement in immovable tangible capital assets per asset register for the year ended 31 March 2021

	Opening balance	Value adjustments	Additions	Disposals	Closing Balance
Non-residential buildings	9 703	-	95	-	9 798
TOTAL	9 703	-	95	-	9 798

29.2. Immovable Tangible Capital Assets: Additions for 2020/21

Additions to immovable tangible capital assets per asset register for the year ended 31 March 2021

	Cash ¹	Non-cash	(Finance lease payments)	Rcd. current not paid (Paid current rcd. prior)	Total
Non-residential buildings	95	-	-	-	95
TOTAL	95	-	-	-	95

Note 1: Payments made for improvements to office accommodation leased by the Department of Public Works for DPME.

29.3. Immovable Tangible Capital Assets: Movement for 2019/20

Movement in immovable tangible capital assets per asset register for the year ended 31 March 2019

	Opening balance	Value adjustments	Additions	Disposals	Closing Balance
Non-residential buildings	9 632	-	71	-	9 703
TOTAL	9 632	-	71	-	9 703

*Note: Payments made for upgrades/additions to office accommodation leased by the Department of Public Works for DPME.

30. Accrued Departmental revenue

	2020/21	2019/20
Transactions in financial assets and liabilities – NIDS*	-	1 409
Total	-	1 409

* Note: The University of Cape Town (UCT) was contracted by DPME to conduct the National Income Dynamics Study (NIDS). This contract expired at the end of the 2019/20 financial year. In terms of the service level agreement between DPME and UCT, any surplus funds at the end of the contract period must be returned to DPME.

30.1. Analysis of accrued Departmental revenue

	2020/21	2019/20
Opening balance	1 409	-
Less: amounts received	(1 409)	-
Less: services received in lieu of cash	-	-
Add: amounts recorded	-	1 409
Less: amounts written-off/reversed as irrecoverable	-	-
Less: amounts transferred to receivables for recovery	-	-
Closing Balance	-	1 409

31. Transfer of functions and merger

Statement of Financial Position	Balance before transfer date	SEIAS /The Presidency	Youth /Dpt of Women	Balance after transfer date
ASSETS				
Current Assets	42 769	(23)		42 746
Cash and cash equivalents	41 976	-	-	41 976
Prepayments and advances	244	-	-	244
Receivables	549	(23)	-	526
Non-Current Assets	53			53
Loans	53			53
TOTAL ASSETS	42 822	(23)		42 799
LIABILITIES				
Current Liabilities	42 670			42 670
Voted funds to be surrendered to the Revenue Fund	42 421			42 421
Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund	46			46
Payables	203			203
TOTAL LIABILITIES	42 670			42 670
NET ASSETS	152	(23)		129
Notes:				
Contingent liabilities	12			12
Capital commitments	1 884			1 884
Accruals	3 842	(3)	(10)	3 829
Employee benefits	20 816	(255)	(370)	20 191
Lease commitments - operating lease	11 324			11 324
Lease commitments - finance lease	770			770
Accrued Departmental revenue	1 409			1 409
Irregular expenditure	195			195
Fruitless and wasteful expenditure	625			625
Movable tangible capital assets	62 575			62 575
Intangible capital assets	16 585			16 585

In terms of the 2019 National Macro-Organisation of Government Process and proclamation 49 of 2019, The Socio-Economic Impact Assessment function and the National Youth Development functions will be transferred to The Presidency and the Department of Women, Youth and Persons with Disability with effect from 1 April 2020. The transfers of tangible capital assets and minor assets were concluded in March 2020.

An Agreement was drawn up between DPME and the Department of Women. The function was transferred with effect as from 01 April 2020 and the Department of Women is fully accountable for the full budget, expenditure, assets and liabilities.

32. Broad based black economic empowerment performance

Information on compliance with the B-BBEE Act is included in the annual report under the section titled B-BBEE Compliance Performance Information.

33. COVID-19 Response Expenditure

	Annexure 11	2020/21	2019/20
Compensation of employees		-	-
Goods and services		358	-
Transfers and subsidies		-	-
Expenditure for capital assets		-	-
Other		-	-
Total		<u>358</u>	<u>-</u>

34. Authorisation for issue date

The Audit Committee approved the submission of the Annual Financial Statements at a meeting held on the 28th May 2021.

ANNEXURES TO THE FINANCIAL STATEMENTS (R'000)

ANNEXURE 1A: STATEMENT OF CONDITIONAL GRANTS AND OTHER TRANSFERS TO MUNICIPALITIES

Department/ Agency / Account	Transfer Allocation			Expenditure		
	Adjusted Approp. Act	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds Transferred
Tshwane Metro*	-	-	8	8	8	100%

*Note: Vehicle license fees

ANNEXURE 1G: STATEMENT OF TRANSFERS TO HOUSEHOLDS

Transfers to Households	Transfer Allocation				Expenditure		2020/21
	Adjusted Approp Act	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds Transferred	Final Approp
Leave Gratuities	231	-	461	692	692	100%	692
TOTAL	231	-	461	692	692	100%	692

ANNEXURE 1H: STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS RECEIVED

Name of Organisation	Nature of Gift Donation or Sponsorship	2020/21	2019/20
Received in cash		-	-
Received in kind*		-	1 963
UNICEF	Children's NDP Report – Printing & Layout	-	23
Canadian High Commission	Technical Paper - Gender	-	140
DST	Redesign of Presidential Hotline system	-	1 800
TOTAL		-	1 963

* Estimated market values

ANNEXURE 1J: STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS MADE

Nature of Gift Donation or Sponsorship	2020/21	2019/20
Made in kind		
Blankets for Mandela day	-	34
Sanitary Towels	-	24
TOTAL	-	58

ANNEXURE 3B: STATEMENT OF CONTINGENT LIABILITIES AS AT 31 March 2021

Nature of Liability	Opening Balance 01 April 2020	Liabilities incurred during the year	Liabilities paid/ cancelled/ reduced during the year	Liabilities recoverable (Provide details hereunder)	Closing Balance 31 March 2021
Arbitration Award under review	-	1 161	-	-	1 161
State Vehicle damages	-	180	-	-	180
SARS –Interest payable on VAT payable on imported software	-	339	-	-	339
TOTAL	-	1 680	-	-	1 680

ANNEXURE 4: CLAIMS RECOVERABLE

Government Entity: Outstanding Balances	Confirmed		Unconfirmed		Total	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020
Government Departments						
Dep. Justice & Constitutional Dev	-	-	-	11	-	11
Department of Environmental Affairs	-	-	-	-	-	-
Department of Cooperative Governance	-	-	-	-	-	-
Department of Human Settlements	-	-	-	-	-	-
Gauteng Department of Education	-	-	-	-	-	-
Department of Transport	-	-	-	-	-	-
Department of Basic Education	-	-	-	-	-	-
SUB-TOTAL	-	-	-	11	-	-
Other Government Entities						
CIDA	-	-	-	-	-	-
SARS	-	-	-	-	-	-
SUB-TOTAL	-	-	-	-	-	-
TOTAL	-	-	-	11	-	11

*Includes amounts paid before financial year end but received after 31 March.

ANNEXURE 8A: INTER-GOVERNMENT PAYABLES

Government Entity: Outstanding Balances	Confirmed		Unconfirmed		Total	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020
Departments (Current)						
DIRCO	-	-	-	200	-	200
Other Govt. Entities (Current)	-	-	-	-	-	-
TOTAL	-	-	-	200	-	200

ANNEXURE 8B: INTER-ENTITY ADVANCES PAID

Government Entity: Outstanding Balances	Confirmed		Unconfirmed		Total	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020
National Departments						
Dept of Public Works	871	-	-	-	871	-
TOTAL	871	-	-	-	871	-

ANNEXURE 11

COVID 19 RESPONSE EXPENDITURE

Expenditure per economic classification	APRIL	MAY	JUN	JUL	AUG	SEPT	Sub-total	OCT	NOV	DEC	Sub-total	JAN	FEB	MAR	Sub-total	2020/21	2019/20
	2020 R'000	2020 R'000	2020 R'000	2020 R'000	2020 R'000	2020 R'000	Q2 R'000	2020 R'000	2020 R'000	2020 R'000	Q3 R'000	2021 R'000	2021 R'000	2021 R'000	Q4 R'000	TOTAL R'000	TOTAL R'000
Compensation of employees	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Goods services	-	-	-	-	148	26	174	20	16	35	70	85	2	27	114	358	-
Minor Assets	-	-	-	-	15	-	15	-	-	-	-	-	-	4	4	19	-
Consumable supplies	-	-	-	-	109	22	131	6	16	15	36	60	2	23	85	252	-
Cons: Stat, Print Off Sup	-	-	-	-	1	-	1	-	-	-	-	1	-	-	1	2	-
Property Payments	-	-	-	-	23	4	27	14	-	20	34	24	-	-	24	85	-
Transfers and subsidies	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Expenditure for capital assets	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Other expenditure not listed above	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
TOTAL COVID 19 RESPONSE EXPENDITURE	-	-	-	-	148	26	174	20	16	35	70	85	2	27	114	358	-

ANNEXURE D

TEMPLATE ON REPORTING BY ORGANS OF STATE AND PUBLIC ENTITIES IN TERMS OF SECTION 13(G) (1) OF THE BROAD-BASED BLACK ECONOMIC EMPOWERMENT ACT 53 OF 2003 AS AMENDED BY ACT 46 OF 2013.

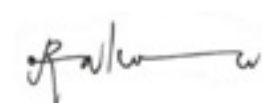
Name of Sphere of Government / Public Entity / Organ of State :	Department of Planning, Monitoring & Evaluation
Registration Number (If Applicable):	Not Applicable
Physical Address:	330 Grosvenor Street, Hatfield, Pretoria
Type of Sphere of Government / Public Entity / Organ of State:	National Department
Organisation Industry / Sector	National Government

The following table must be completed in full by the
Sphere of Government / Public Entity / Organ of State:

Has the Sphere of Government / Public Entity / Organ of State applied any relevant Code of Good Practice (B-BBEE Certificate Levels 1 – 8) with regards to the following:	
Criteria	Circle relevant answer
Determining qualification criteria for the issuing of licences, concessions or other authorisations in respect of economic activity in terms of any law	No
Developing and implementing a preferential procurement policy	No
Determining qualification criteria for the sale of state-owned enterprises	No
Developing criteria for entering into partnerships with the private sector	No
Determining criteria for the awarding of incentives, grants and investment schemes in support of Broad Based Black Economic Empowerment	No

The Department is in the process of assessment to determine its level of compliance with the BBBEE act. The certificate will be published on the departmental website once issued by the service provider.

APPROVED BY:



Mr Robert Nkuna
Department of Planning, Monitoring & Evaluation
31 August 2021

